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House Document

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FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE OF REPRESENTATIVES
JANUARY 1–DECEMBER 31, 2003
VOLUME 3

United States Congressional Serial Set

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108th Congress, 2d Session - - - - - - - - - - - - House Document 108–205

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2003 and December 31, 2003

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 3 of 3)

SEPTEMBER 7, 2004.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

95–396 WASHINGTON : 2004

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
U.S. HOUSE OF REPRESENTATIVES,
Washington, DC, August 1, 2004.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
U.S. House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2004. The compilation includes reported financial transactions which occurred between January 1, 2003 and December 31, 2003.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

JEFF TRANDAHL,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Nick Joe Rahall, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, WV 25801

Daytime Telephone: (202) 225-3452

HC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: WV District: 3rd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nick Rahall	5/17/04

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
Southeastern Assoc. of State Highway and Transportation officials	Speech	9/15/03	1,000.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT *Examples:* SP	Mega Corp. Stock	E	DIVIDENDS: X	VI	P
DC	Simon & Schuster	B Indefinite	Royalties	IX: X	
JT	1st Bank of Paducah, KY accounts	F	INTEREST: X	VI: X	
JT	EGR Industries, Inc. -	G	RENT: X	VII: X	
	Investment Bldg & Land -				
	Harper Road Co-Mac				
	Cellular One, Beckley, WV				
	1/2 with brother				

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Hernando Co. FL; Land with brother, cousins and friends - Approximately 250 acres	X														X		S
JT	H.L. & R Corp. - Raleigh County, WV Land and money market - 1/2 with brother				X			X	X						X			
	Rahall Realty - Beckley WV (12½%)		X					X						X				
	Hilton Head SC Time - Share Property - two week peak season		X									X						
	Wachovia Securities Account - See Attachment 1				X			X	X	X				X				Attach.15

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Salomon Smith Barney Account - See Attachment 2					X		X	X							X		Attach. 2 P. 4 & 7
	Morgan Stanley Access #1 Acct. See Attachments 3 and 4 (Acct. 642108600022)			X				X	X	X				X				Attach.16
	Morgan Stanley Access #2 Acct. See Attachments 5 and 6 (Acct. 642113487022)			X				X	X	X				X				Attach.17
	Morgan Stanley Access #3 Acct. See Attachments 7 and 8 (Acct. 642122048022)			X				X	X	X		X						Attach.18
	Morgan Stanley IRA Account. See Attachment 9		X					X	X	X			X					Attach.19

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Congressional Fed Credit Union Account		X						X				X					
DC	Suzanne Rahall - daughter- Wachovia Securities (Attachments 12 and 13)			X				X	X									Attach. 20
	.1712% Interest Qualified Housing Partners Limited Partnership real estate rental AR,IN,KY,LA,MS,NC,OK,PA,TN,TX,VA		X								Partnership	X						
	3.88% Interest Oxford - Columbia Associated L.P. realestate rental, MD	X									Partnership				X			S
	5.38% Interest SNI Development Company Partnership real estate rental, NY			X							Partnership			X				
	2.80% Interest Cambridge Heights Apartments Partnership, real estate rental, MS										Partnership	X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	.006449% Interest SB Partners Limited Partnership, real estate rental, FL, MO, SC, MN PA		X								Partnership	X						
	Wheat First Securities SEP IRA - Attachment 14			X				X	X				X					Attach.14
	.0966% Portfolio Strategy Fund Partnership - Attachment 10, investments NY										Partnership	X						
	Morgan Stanley Dean Witter Account #642115916 Attachment 10		X					X	X	X		X						
	Wachovia (Formerly - First Union National Bank) Checking Account								X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	1301 Delaware Ave. SW #409 N, Washington, D.C. (Acquired in 1985 - Began renting in 1999)	X												X				S
	10 Acres Real Estate - 3533 Marathon Avenue Castle Hayne, NC				X			X							X			
	Nick Rahall Charitable Remainder Unit Trust Attachment 11										Trust	X						

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SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Waehovia Securities Account - Attachment 15	X	X		See Attached			X							
	Salomon Smith Barney Account - Attachment 2 Page 4 and 7	X	X		See Attached				X						
	Morgan Stanley Dean Witter Access #1 (Account 642108600022) - Attachment 16	X	X		See Attached				X						
	Morgan Stanley Dean Witter Access #2 (Account 642113487022) - Attachment 17	X	X		See Attached		X								
	Morgan Stanley Dean Witter Access #3 (Account 642122048022) - Attachment 18	X	X		See Attached			X							
DC	Suzanne Rahall - Wachovia Securities Acct. Attachment 20	X	X		See Attached				X						

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SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	Wheat First Securities SEP IRA:	X	Various	X				
	Liberty Funds TR III & Columbia Mid Cap Value Fund							
	3.88% Interest Oxford-Columbia Associates Partnership	X	9-30-03		X			
JT	Hernando Co. FL Land	X	10-31-03		X			
	Morgan Stanley IRA Account Attachment 19	X	Various	X				
	1301 Delaware Ave., SW #409 N, Washington, D.C.	X	7-25-03					

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citi Cards	Cash Advances & Credit Charges		X								
	Chase Manhattan Mortgage Corp., Columbus,OH	Mortgage on 3533 Marathon Ave					X					
	MBNA America	Cash Advances & Credit Charges		X								
	John Deer	Tractor		X								
	American Express	Cash Advances & Credit Charges	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

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SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
National Motor Freight Traffic Association	1/30-2/3/03	Dulles to Palm Springs, and return	Y	Y	N	0
National Motor Freight Traffic Association	3/13-17/03	BWI to Jacksonsville, FL and return	Y	Y	N	0
Greater Yellowstone Coalition ~~National Parks Conservation~~	2/14-17/03	Wash. Dulles to Idaho Falls ~~Idaho and return~~	Y	Y	N	0
International Union of Operating Engineers	4/7/03	Orlando, FL to Wash. Dulles	N	Y	N	0
Islamic Institute	4/12-16/03	Wash. Dulles to Doha, Qatar Amman, and Tel Aviv	Y	Y	N	1
Industrial Minerals Assoc - N.A.	4/27-28/03	Charleston, WV to Jacksonville, FL to Wash. Dulles	Y	Y	N	0
CSX	5/24-27/03	Washington, DC to White Sulphur Spr. WV (No tranportation provided)	Y	Y	Y	0
National Motor Freight Traffic Associaton	7/31-8/4/03	Wash. Dulles to San Diego, CA and return (no tranportation provided	Y	Y	N	0
American Arab Insitute	10/18-19/03	Washington Dulles to Detroit, MI and return	Y	Y	N	0

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS (CONT.)

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Coolfont Foundation	10/24-25/04	Berkeley Springs, WV (No tranportation provided)	Y	Y	N	0
	Swiss Foundation	11/30-12/2/03	Wash. Dulles to Geneva Switzerland and return	Y	Y	N	0

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President & Secretary	H.L. & R. Corporation
Vice President & Secretary	E G R Industries, Inc.
Member	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member	American Task Force for Lebanon Board of Directors

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Capital Resource Account

NICK JOE RAHALL II

WACHOVIA SECURITIES

ACCOUNT STATEMENT

Sub / Branch	/ Rep	Account No.
001 / BK	/ BK08	6539-9650

December 1 - December 31, 2003

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Current Investment Objective: Growth + Aggressive

Portfolio Summary

Portfolio assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% total assets
Cash and money market	$383,943.36	$345,323.35	2,244.60	70.56
Stocks and options	$0.00	$0.00	0.00	
Preferred stocks	$0.00	$0.00	0.00	
Bonds	$131,248.10	$131,964.20	2,825.00	26.97
Certificates of Deposit	$0.00	$0.00	0.00	
Mutual funds	$11,278.94	$12,088.61	0.00	2.47
Annuities/Insurance	$0.00	$0.00	0.00	
Unit investment trusts	$0.00	$0.00	0.00	
Total assets	**$526,470.40**	**$489,376.16**	**5,069.60**	**100%**
Outstanding margin balance	$0.00	$0.00	0.00	
Net portfolio value	**$526,470.40**	**$489,376.16**		

Other assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct investments	$0.00	$0.00
Special products	$0.00	$0.00
Total other assets	**$0.00**	**$0.00**

Account Summary

	Statement Period	Year-to-date
Opening value of securities	$142,527.04	$176,978.75
Opening value of cash	$383,943.36	$330,132.75
Total opening value	**$526,470.40**	**$507,111.50**
Closing value of securities	$144,052.81	$144,052.81
Closing value of cash	$345,323.35	$345,323.35
Total closing value	**$489,376.16**	**$489,376.16**
Change in value	**- $37,094.24**	**- $17,735.34**

Cash Activity Summary

Total cash and money market funds on Nov 30: $383,943.36

	Credits	Debits	Year-to-date
Securities purchased	$0.00	$0.00	- $7,561.57
Securities sold and redeemed	$0.00	$0.00	$47,619.75
Deposits and withdrawals	$39,500.00	- $75,000.00	$65,544.54
Withdrawals by check	$0.00	$0.00	- $51,760.00
Electronic banking activity	$0.00	- $3,250.00	- $43,250.00
Dividends	$0.00	$0.00	$0.00
Interest	$124.47	$0.00	$3,999.47
Money market income	$5.52	$0.00	$598.41
Short term distributions	$0.00	$0.00	$0.00
Long term distributions	$0.00	$0.00	$0.00
Return of principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other activity	$0.00	$0.00	$0.00
Total activity	$39,629.99	- $78,250.00	
Total cash and money market funds on Dec 31:			**$345,323.35**

Tax-exempt income year-to-date: $3,875.00
Other income year-to-date: $124.47

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary above due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in these totals. The YTD Money market income is displayed above in the Cash Activity Summary. The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only

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NICK JOE RAHALL II

Sub / Branch / Rep
001 / BK / BK08

Account No.
6539-9650

December 1 - December 31, 2003

Portfolio Assets

Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and money market funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/03 - 01/01/04	$345,323.35	$2,244.60	0.65
EVERGREEN MONEY MKT FD CL A Interest Period 12/01/03 - 01/01/04	$0.00		0.05
Total cash and money market funds	**$345,323.35**		

Bonds

Municipal bonds

Description	# of bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
WEST VA PARKWAYS, ECON DEV & TOURISM AUTH PKWY REV SER 1989 OY-7.15% CPN 0.000% DUE 07/01/04 DTD 11/07/89 Moody AAA , S&P AAA CUSIP 956661AS1	15,000	99.4730	$14,920.95	N/A	N/A	N/A
TAMPA FL WTR & SWR RV CPN 0.000% DUE 10/01/05 DTD 05/01/82 CALL 11/26/03 @ 83.437 Moody AAA , S&P AAA CUSIP 875290BN7	20,000	96.9170	$19,383.40	N/A	N/A	N/A
WEST VA ECON DEV AUTH RESIDNTL CARE FAC REV BD (EDGEWOOD SUMMIT) SER 93 CPN 6.500% DUE 11/01/13 DTD 10/15/93 FC 05/01/94 CALL 11/01/03 @ 102.000 Moody N/R , S&P N/R CUSIP 956486AJ0	25,000	92.8490	$23,212.25	$270.75	$1,625.00	7.00

WACHOVIA SECURITIES

NICK JOE RAHALL II

ACCOUNT STATEMENT

Sub / Branch / Rep Account No.
001 / BK / BK08 6539-9650

December 1 - December 31, 2003

Bonds

Municipal bonds continued

Description	# of bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5% CPN 0.000% DUE 12/01/16 DTD 09/19/84 Moody AAA , S&P AAA CUSIP 483686F7	75,000	56.6380	$42,478.50	N/A	N/A	N/A
PUERTO RICO ELEC PWR AUTH PWR REV SERIES O OY-7.05% CPN 0.000% DUE 07/01/17 DTD 08/24/89 Moody A3 , S&P A- CUSIP 745268JW3	30,000	54.2630	$16,278.90	N/A	N/A	N/A
PRINCETON W VA HOSP REV REDG-PRINCETON CMNTY HOSP B/E CPN 6.000% DUE 05/01/18 DTD 05/01/93 FC 11/01/93 CALL 01/05/04 @ 102.000 Moody B2 , S&P B- CUSIP 742501AS8	20,000	78.4510	$15,690.20	$200.00	$1,200.00	7 64
Total Municipal bonds			**$131,964.20**	**$470.75**	**$2,825.00**	**2.14**
Total Bonds			**$131,964.20**	**$470.75**	**$2,825.00**	**2.14**

Mutual funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
MFS/F&C INTL GROWTH & INCOME FD CL B	MGIBX	627 65400	$19.2600	$12,088.61	N/A	N/A
Total Mutual funds				**$12,088.61**		

Sub / Branch / Rep Account No
001 / BK / BK08 6539-9650

December 1 - December 31, 2003

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/10	Cash	WIRE TRANSFER		TRANSFER TO ADM		-$3,250.00
12/11	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$3,250.00
12/12	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 121203 380,693.36000		$5.52
12/12	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$380,693.36
12/12	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$380,693.36
12/16	Cash	JOURNAL		FR 29813839 EGR INDS INC		$39,500.00
2/16	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$5.52
2/17	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$39,500.00
2/30	Cash	JOURNAL		TO 71755621 NICK RAHALL CHAR TRUST		-$75,000.00
2/30	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$75,000.00
2/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$124.47
2/31	Cash	REINVST DIV/INT		BANK DEPOSIT SWEEP OPTION		-$124.47

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SMITH BARNEY citigroup

Ref: 00009877 00062032

Select Client Statement
December 1 - December 31, 2003

Attachment 2 - p.1

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CONGRESSMAN NICK JOE RAHALL II
SAM ACCOUNT
106 MAIN STREET
BECKLEY WV 25801-4611

Account number 685-03503-12 291

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Consultant
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Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 520.00	.03
Money fund	192,798.87	207,844.36	11.34
Accrued money fund dividends	40.15	0.00	
Common stocks & options	1,572,553.20	1,623,789.52	88.63
Total value	**$ 1,765,392.22**	**$ 1,832,153.88**	**100.00**
Total value (excluding accrued interest)	**$ 1,765,352.07**	**$ 1,832,153.88**	

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 192,788.87**	
Securities bought and other subtractions	0.00	
Securities sold and other additions	14,237.32	
Withdrawals	(1,909.43)	(9,079.00)
Dividends credited	3,101.00	
Money fund earnings reinvested	136.60	
Closing balance	**$ 208,354.36**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period		This year	
	Taxable	Non-taxable	Taxable	Non-taxable
Dividends	$ 3,101.00	$ 0.00	$ 16,482.57	$ 0.00
Money fund earnings	0.00	136.60	0.00	1,314.13
Total	**$ 3,101.00**	**$ 136.60**	**$ 16,482.57**	**$ 1,314.13**

Gain/loss summary

	This period	This year
Realized gain or (loss)	($ 15,511.33)	$ 106,961.00 LT $ 0.00 ST
Unrealized gain or (loss)	1,063,045.96	*Not applicable*

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 1,765,352.07	$ 1,545,088.88
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(1,909.43)	(9,079.00)
Beginning value net of deposits/withdrawals	1,763,442.64	1,536,009.98
Total income	3,237.60	17,796.70
Asset appreciation	65,473.64	278,347.19
Total value as of 12/31/2003(excl. accr. int.)	$ 1,832,153.88	$ 1,832,153.88
Total return	$ 68,711.24	$ 296,143.89

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Ref: 00009877 00062033

Select Client Statement
December 1 - December 31, 2003

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

PORTFOLIO DETAILS

The values of your holdings are as of 12/31/03.

Dividend yield is the estimated annual income generated by securities in your account, including current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of prices reflected on this statement nor do these prices represent levels at which securities can be bought or sold.

***Please note:** unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated income (annualized)
207,844.36	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 207,844.36		.59%	$ 1,226.28
Total money fund		$ 207,844.36	$ 0.00	.59%	$ 1,226.28

Common stocks & options

The research rating for Standard & Poor's may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
3,600	AMERICAN EXPRESS CO RATING: A-	AXP	03/13/95	$ 40,054.98	$ 11.006	$ 48.23	$ 173,628.00	$ 133,573.02 LT	.829%	$ 1,440.00
3,600	AMGEN INC RATING: B	AMGN	06/14/90	5,700.00	1.583	61.79	222,444.00	216,744.00 LT		
1,600	BRISTOL MYERS SQUIBB CO RATING: A	BMY	07/12/83	7,794.56	4.871	28.60	45,760.00	37,965.44# LT	3.916	1,792.00
1,000	COCA-COLA CO RATING: B+	KO	12/28/93	22,544.75	22.257	50.75	50,750.00	28,205.25 LT	1.733	880.00
6.2846	COMCAST CORP CL A RATING: C	CMCSA	02/03/87	279.98	44.588	32.79	206.07	(73.91)# LT		
241.7154			02/03/95	8,481.86	35.199	32.79	7,925.85	(556.01) LT		
248				8,761.84	35.33		8,131.92	(629.92)		
2,000	FEDERAL HOME LN MTG CORP RATING: A+	FRE	11/23/94	24,363.54	12.031	58.32	116,640.00	92,276.46 LT	1.783	2,080.00
3,000	GENERAL ELECTRIC CO RATING: A+	GE	08/07/03	83,799.90	27.883	30.98	92,940.00	9,140.10 ST	2.582	2,400.00

Select Client Statement
December 1 - December 31, 2003

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4,500	HOME DEPOT INC RATING: A+	HD	01/05/94	$ 40,000.00	$ 8.888	$ 35.49	$ 159,705.00	$ 119,705.00 LT	.788%	$ 1,260.00
1,020	INTERACTIVECORP RATING: B-	IACI	08/14/03	36,674.00	35.954	33.93	34,608.60	(2,065.40) ST		
300			09/17/03	10,279.83	34.266	33.93	10,179.00	(100.83) ST		
1,320				46,953.83	35.571		44,787.60	(2,166.23)		
1,000	JOHNSON & JOHNSON RATING: A+	JNJ	08/07/03	50,680.00	50.43	51.66	51,660.00	980.00 ST	1.858	960.00
2,400	MCDONALDS CORP RATING: A	MCD	08/22/94	32,046.24	13.187	24.83	59,592.00	27,545.76 LT	1.61	960.00
1,400	MERCK & CO INC RATING: A+	MRK	12/23/86	8,808.11	6.291	46.20	64,680.00	55,871.89#LT	3.203	2,072.00
2,000	PFIZER INC RATING: A+	PFE	08/07/03	65,539.00	32.519	35.33	70,660.00	5,121.00 ST	1.924	1,360.00
1,200	3M COMPANY RATING: A-	MMM	11/25/92	29,718.21	24.765	85.03	102,036.00	72,317.79 LT	1.552	1,584.00
3,000	TIME WARNER INC RATING: C	TWX	01/18/93	32,783.72	10.927	17.99	53,970.00	21,186.28 LT		
1,200	VIACOM INC CL B RATING: B-	VIAB	08/30/95	29,372.31	24.187	44.38	53,256.00	23,883.69 LT	.54	288.00
3,500	WAL-MART STORES INC RATING: A+	WMT	01/15/85	4,527.99	1.275	53.05	185,675.00	181,147.01#LT	.678	1,260.00
1,000	WM WRIGLEY JR CO RATING: A+	WWY	05/22/96	26,899.66	26.577	56.21	56,210.00	29,310.34 LT	1.565	880.00
160	ZIMMER HOLDINGS INC	ZMH	07/12/83	394.92	2.468	70.40	11,264.00	10,869.08#LT		
Total common stocks and options				$ 580,743.56			$ 1,623,789.60	$ 13,074.87 ST	1.16	
								$ 1,049,971.09 LT		$ 19,216.00
Total portfolio value				$ 769,587.92			$ 1,631,633.88	$ 13,074.87 ST	1.11	$ 20,442.25
								$ 1,049,971.09 LT		

#Based on information supplied by your Financial Consultant.

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Ref: 00009877 00062035

Select Client Statement
December 1 - December 31, 2003

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CONGRESSMAN NICK JOE RAHALL II **Account number 685-03503-12 291**

TRANSACTION DETAILS
All dates of transactions appearing on this statement are settlement dates.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/11/03	Sold	EASTMAN KODAK CO	-600	$ 23.96	$ 14,237.32
Total securities bought and other subtractions					**$ 0.00**
Total securities sold and other additions					**$ 14,237.32**

Withdrawals

Date	Description	Reference no.	Amount
12/19/03	INVESTMENT & ADVISORY SERVICES FROM 12/01/03 TO 02/29/04		1,909.43

Date	Description	Reference no.	Amount

Money fund activity

Opening money fund balance **$ 182,798.87**

Date	Activity	Description	Amount
12/02/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	960.00
12/05/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	300.00
12/10/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	240.00
12/12/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	14,237.32

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
12/15/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	546.00
12/16/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	220.00
12/19/03	AutoInvest	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	315.00
12/19/03	Redemption	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	-1,909.43
		MONEY FUND EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)	136.60
		Closing balance	**$ 207,844.36**

EARNINGS DETAILS
The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
12/01/03	MCDONALDS CORP	CASH DIV ON 2400.0000 SHS	$ 960.00		$ 960.00
12/04/03	PFIZER INC	CASH DIV ON 2000.0000 SHS	300.00		300.00
12/09/03	JOHNSON & JOHNSON	CASH DIV ON 1000.0000 SHS	240.00		240.00
12/12/03	EASTMAN KODAK CO	CASH DIV ON 600.0000 SHS	150.00		150.00
12/12/03	3M COMPANY	CASH DIV ON 1200.0000 SHS	396.00		396.00
12/15/03	COCA-COLA CO	CASH DIV ON 1000.0000 SHS	220.00		220.00

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SMITH BARNEY citigroup

Ref: 00022416 00153624

Select Client Statement
2003 Year End Summary

CONGRESSMAN NICK JOE RAHALL II

Account Number 685-03503-12 291 SS # 236-78-8645

Details of 1099 Reported Dividends and Distributions 2003, Boxes 1a - 2e

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Total Ordinary Dividends Dividends* (Box 1a)	Total Ordinary Dividends Short term capital gain*	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Post-May 5 capital gain distribution (Box 2b)	Qualified 5-year gain (Box 2c)	Unrecaptured Sec 1250 gain (Box 2d)	Section 1202 gain (Box 2e)
150000100	AT&T CORP-NEW	$ 86.07		$ 86.07					
150000200	AMERICAN EXPRESS CO	1,296.00		1,296.00					
150000300	BRISTOL MYERS SQUIBB CO	1,792.00		1,792.00					
150000400	COCA-COLA CO	880.00		880.00					
150000500	EASTMAN KODAK CO	690.00		690.00					
150000600	FEDERAL HOME LN MTG CORP	2,080.00		2,080.00					
150000700	FORD MOTOR COMPANY	120.00		120.00					
150000800	GENERAL ELECTRIC CO	570.00		570.00					
150000900	HOME DEPOT INC	1,170.00		1,170.00					
150001000	JOHNSON & JOHNSON	480.00		480.00					
150001100	MCDONALDS CORP	960.00		960.00					
150001200	MERCK & CO INC	2,030.00		2,030.00					
150001300	PFIZER INC	600.00		600.00					
150001400	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED				1.19	1.19			
150001500	3M COMPANY*	1,584.00		1,584.00					
150001600	VIACOM INC CL B	72.00		72.00					
150001700	WAL-MART STORES INC	1,207.50		1,207.50					
150001800	WM WRIGLEY JR CO	865.00		865.00					
Totals		**$ 16,482.57**		**$ 16,482.57**	**$ 1.19**	**$ 1.19**			

**The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.*

Please note:

- *"Dividends" includes both Qualified and non-qualified dividends received.*
- *"Total capital gain distributions" is a total of Pre May 6 capital gain distributions and the amounts reported in Boxes 2b through 2e.*

2003 YEAR END SUMMARY

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SMITH BARNEY citigroup

: 00022418 00153625

Select Client Statement
2003 Year End Summary

CONGRESSMAN NICK JOE RAHALL II
Account Number 685-03503-12 291 SS # 236-78-8645

)etails of 1099 Exempt Dividends 2003

ıounts displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

erence ıber	Description	Ordinary dividends	Alternative minimum tax
)000100	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 1,312.94	$ 202.06 *
tals		$ 1,312.94	$ 202.06

ıis amount is the portion of "Ordinary dividends" that is subject to the Alternative Minimum Tax.

)etails of Long Term Gain (Loss) 2003

Is section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, hough cash in lieu less than $20 is not included on Form 1099-B (if applicable), It is included in this section. Please note, this material is ing prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

erence nber	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	Post May 5 Gain or (Loss)
5000100	3.8772	AT&T CORP-NEW	02/03/87	08/07/03	$ 80.60	$ 168.92	($ 86.32) b		($ 86.32)
	149.1228		02/03/95		3,100.11	5,056.77	(1,956.66)		(1,956.66)
5000200	6.2592	AT&T WIRELESS SERVICES INC	02/03/87	08/07/03	46.57	128.82	(82.25) b		(82.25)
	240.7408		02/03/95		1,791.02	3,902.45	(2,111.43)		(2,111.43)
5000300	1,600	AMGEN INC	02/08/90	08/07/03	106,251.02	1,816.67		104,434.35	104,434.35
	400		06/14/90		26,562.76	633.33		25,929.43	25,929.43
5000400	600	EASTMAN KODAK CO	08/26/93	12/08/03	14,237.32	29,748.65	(15,511.33)		(15,511.33)
5000500	400	FORD MOTOR COMPANY	09/30/93	08/07/03	4,155.80	7,113.70	(2,957.90)		(2,957.90)
5000600	16.8284	LUCENT TECHNOLOGIES INC	02/03/87	01/31/03	29.35	182.08	(152.73) b		
	647.1736		02/03/95		1,129.01	5,515.77	(4,386.76)		
5000700	.84	MEDCO HEALTH SOLUTIONS INC CASH IN LIEU OF .84000 RECORD 08/12/03 PAY 08/19/03	12/23/86	08/27/03	22.67	2.49		20.18 b	20.18
5000800	168	MEDCO HEALTH SOLUTIONS INC	12/23/86	09/02/03	4,320.75	498.33		3,822.42 b	3,822.42
ıtal					$ 161,726.98	$ 64,765.98	($ 27,245.38)	$ 134,206.38	$ 111,600.49

Based on information supplied by your Financial Consultant.

2003 YEAR END SUMMARY

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SMITH BARNEY citigroup

Ref: 00022416 00153621

Select Client Statement
Forms 1099 for 2003

Account Number 685-03503-12 291 SS # 236-78-8645

Payer

CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Consultant

JAMES A JOSEPH/PETER JOSEPH
CITIGROUP GLOBAL MKTS INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
Branch Office : 570-421-3100
Email: peter.t.joseph@smithbarney.com

CONGRESSMAN NICK JOE RAHALL II
SAM ACCOUNT
106 MAIN STREET
BECKLEY WV
25801-4611

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-DIV Dividends and Distributions 2003

(OMB No.1545-0110)

Total ordinary dividends	Qualified dividends	Total capital gain distributions	Post-May 5 capital gain distr.	Qualified 5-year gain	Unrecaptured sec. 1250 gain	Section 1202 gain
$ 16,482.57	$ 16,482.57	$ 1.19	$ 1.19			
Box 1a	Box 1b	Box 2a	Box 2b	Box 2c	Box 2d	Box 2e

Collectibles (28%) gain	Nontaxable distributions	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession	Cash liquidation distributions	Non cash liquidation distributions
Box 2f	Box 3	Box 4	Box 5	Box 6	Box 7	Box 8	Box 9

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2003

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
NICHOLAS-APPLEGATE CAPITAL MGMT

BBVNJCWH
00170769 4 MB 0.975 04 TR 1190 -000
THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001 642

Account Number
642 108600 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$4,999.32	6.5%
Stocks	71,602.30	93.5
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$76,601.62	100.0%
Cash	28.18	
Total Asset Value	$76,629.80	

Income Summary

	Year To Date
Money Market Funds	$28.81
Stocks	832.29
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$861.10
Taxable Income	$861.10
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2003		$61,117.95
Cash/Money Market Activity for 2003		
Credits To Your Account		
Dividend and Interest	861.10	
Deposits	0.00	
Sales Proceeds/Redemptions	97,184.34	
Other Credits	155.63	
Total Credits	98,201.07	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-94,593.69	
Other Debits	-1,752.23	
Total Debits	-96,345.92	
Changes in Asset Value for 2003		
Value of Priced Assets 1/01/03	$57,046.81	
Securities Bought	94,593.69	
Securities Received	0.00	
Securities Sold/Redeemed	-97,184.34	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	17,146.14	
Value of Priced Assets 12/31/03	71,602.30	
Net Change in Asset Value		14,555.49
Total Asset Value as of December 31 2003		$76,629.80

Investments and services are offered through Morgan Stanley

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	28.18	---	---
ACTIVE ASSETS MONEY TRUST	4,999.32	6.5%	33
Total Cash and Money Market Funds	$5,027.50	6.5%	$33

Net Change Since January 1, 2003 $1,865.15

Annualized 30 Day Yields

Active Assets Money Trust	0.68%
Active Assets Govt. Sec. Tr	0.51%
Active Assets California Tax Free	0.44%
Active Assets Tax Free Trust	0.57%
Active Assets Insured Account	0.34%
Active Assets Deposit Account	0.34%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
35	ADECCO SA SP ADR	16.11	563.85	0.8%	3	.58%	ADO	
36	ADVANTEST CORP	19.87	715.32	0.9%	1	.25%	ATE	
25	AGILENT TECHNOLOGIES	29.24	672.52	0.9%	0	0.00%	A	
39	ALCATEL ADS	12.85	501.15	0.7%	0	0.00%	ALA	
18	AMGEN INC	61.79	1,112.22	1.5%	0	0.00%	AMGN	
15	APOLLO GROUP INC A	67.81	1,017.15	1.3%	0	0.00%	APOL	
30	APPLIED MATERIALS INC	22.44	673.20	0.9%	0	0.00%	AMAT	
36	AXA ADS	21.47	772.92	1.0%	11	1.52%	AXA	
10	BARR LABS INC	76.95	769.50	1.0%	0	0.00%	BRL	
14	BASF AG SP ADR	55.75	780.50	1.0%	17	2.24%	BF	
22	BED BATH & BEYOND INC	43.35	953.70	1.2%	0	0.00%	BBBY	
43	BHP BILLITON PLC SPONS ADR	17.45	750.35	1.0%	13	1.77%	BBL	
32	BOSTON SCIENTIFIC CORP	36.76	1,176.32	1.5%	0	0.00%	BSX	
16	BRITISH AIRWAYS FINL INST ADR	41.74	667.84	0.9%	0	0.00%	BAB	
19	BRITISH SKY BRDCSTG GRP PLC	50.94	967.86	1.3%	0	0.00%	BSY	
53	CISCO SYS INC	24.23	1,284.19	1.7%	0	0.00%	CSCO	
13	CLEAR CHANNEL COMMUNICATION	46.83	608.79	0.8%	5	.85%	CCU	Next Dividend Payable 01/15/04
14	CNOOC LTD ADS	39.92	558.88	0.7%	10	1.87%	CEO	
16	COACH INC	37.75	604.00	0.8%	0	0.00%	COH	
9	COUNTRYWIDE FINANCIAL CORP	75.85	682.65	0.9%	5	.79%	CFC	Next Dividend Payable 03/04
25	CREDIT SUISSE GROUP	36.33	908.25	1.2%	1	.13%	CSR	
101	CROWN CASTLE INTL	11.03	1,114.03	1.5%	0	0.00%	CCI	
22	DAI NIPPON PRTG LTD JAPAN ADR	28.08	617.89	0.8%	6	.99%	DNPCY	
21	DELL INC	33.98	713.58	0.9%	0	0.00%	DELL	
6	EBAY INC	64.61	387.66	0.5%	0	0.00%	EBAY	
44	EMC CORP MASS	12.92	568.48	0.7%	0	0.00%	EMC	
19	ENCANA CORP	39.44	749.36	1.0%	5	.77%	ECA	Next Dividend Payable 03/04
36	EPCOS A G	22.55	811.80	1.1%	0	0.00%	EPC	
30	ERICSSON LM TEL ADR CL B NEW	17.70	531.00	0.7%	0	0.00%	ERICY	
40	FORD MOTOR CO NEW	16.00	640.00	0.8%	16	2.50%	F	Next Dividend Payable 03/04

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
19	FOREST LABORATORIES	61.80	1,174.20	1.5%	0	0.00%	FRX	
21	FUJI PHOTO ADR NEW	32.80	688.80	0.9%	3	.54%	FUJIY	
55	GAP INC	23.21	1,276.55	1.7%	4	.38%	GPS	Next Dividend Payable 01/07/04
9	GENENTECH INC	93.57	842.13	1.1%	0	0.00%	DNA	
71	GENERAL ELECTRIC CO	30.98	2,199.58	2.9%	56	2.58%	GE	Next Dividend Payable 01/26/04
22	GLAXOSMITHKLINE PLC ADS	46.62	1,025.64	1.3%	28	2.76%	GSK	Next Dividend Payable 01/06/04
183	HENDERSON LD DEV CO LTD SP ADR	4.41	808.49	1.1%	16	1.99%	HLDCY	
13	HSBC HOLDINGS PLC SPON ADR NEW	78.82	1,026.66	1.3%	44	4.34%	HBC	Next Dividend Payable 01/20/04
20	HUTCHISON WHAMPOA ADR	36.87	737.42	1.0%	21	2.89%	HUWHY	
56	ING GROEP NV ADR	23.41	1,310.96	1.7%	46	3.52%	ING	
43	INTEL CORP	32.05	1,378.15	1.8%	3	.24%	INTC	Next Dividend Payable 03/04
67	INTERCONTINENTAL HOTELS GRP PL	9.57	641.19	0.8%	4	.70%	IHG	
30	INTERNATIONAL GAME TECH	35.70	1,071.00	1.4%	12	1.12%	IGT	Next Dividend Payable 03/04
29	JP MORGAN CHASE&CO	36.73	1,065.17	1.4%	39	3.70%	JPM	Next Dividend Payable 01/04
77	KOBE STEEL LTD SPONS ADR	6.25	481.40	0.6%	0	0.00%	KBSTY	
16	KYOCERA CP ADR	67.00	1,072.00	1.4%	7	.70%	KYO	
17	LOWES COMPANIES INC	55.39	941.63	1.2%	2	.21%	LOW	Next Dividend Payable 01/04
47	LVMH MOET HENNESSY LOUIS VUITT	14.55	684.13	0.9%	5	.85%	LVMUY	
10	MAGNA INTL A COM	80.05	800.50	1.0%	13	1.69%	MGA	Next Dividend Payable 03/04
35	MARUBENI CORP ADR	19.12	669.48	0.9%	14	2.19%	MARUY	
63	MATSUSHITA EL INDL ADR	13.94	878.22	1.1%	6	.70%	MC	
35	MC DONALDS CORP	24.83	869.05	1.1%	14	1.61%	MCD	Next Dividend Payable 12/04
13	MICHAELS STORES	44.20	574.60	0.8%	5	.90%	MIK	Next Dividend Payable 01/04
47	MICROSOFT CORP	27.37	1,286.39	1.7%	7	.58%	MSFT	Next Dividend Payable 11/04
135	MITSUBISHI TOKYO FIN GP ADR	7.83	1,057.05	1.4%	4	.38%	MTF	
20	NEWS CP LTD ADR NEW	36.10	722.00	0.9%	1	.18%	NWS	
29	NEXTEL COMMUNICATIONS INC CL A	28.06	813.74	1.1%	0	0.00%	NXTL	
17	NOVARTIS AG ADR	45.89	780.13	1.0%	10	1.30%	NVS	
14	OMNICOM GROUP	87.33	1,222.62	1.6%	11	.91%	OMC	Next Dividend Payable 01/06/04
39	PEOPLESOFT INC	22.79	888.81	1.2%	0	0.00%	PSFT	
25	PEPSICO INC NC	46.62	1,165.50	1.5%	16	1.37%	PEP	Next Dividend Payable 01/02/04
24	PFIZER INC	35.33	847.92	1.1%	16	1.92%	PFE	Next Dividend Payable 03/04
21	PIONEER CP SPON ADR	28.17	591.57	0.8%	3	.63%	PIO	
12	PRECISION DRILLING CORP	43.68	524.16	0.7%	0	0.00%	PDS	
11	PROCTER & GAMBLE	99.88	1,098.68	1.4%	20	1.82%	PG	Next Dividend Payable 02/04
105	PROVIDIAN FINCL CORP	11.64	1,222.20	1.6%	0	0.00%	PVN	
5	PUMA AG R DASSLER SPORT ADR	176.58	882.94	1.2%	3	.40%	PMMAY	
9	ROCHE HOLDINGS ADR	100.86	907.82	1.2%	7	.86%	RHHBY	
18	ROYAL DUTCH PETE NY 1.25 GU	52.39	943.02	1.2%	26	2.79%	RD	
23	SANPAOLO IMI SPA ADS	25.93	596.39	0.8%	10	1.80%	IMI	
13	SAP AKTIENGESELLSCHAFT	41.56	540.28	0.7%	1	.32%	SAP	
135	SEGA ENTERPR LTD SPON ADR	2.37	321.16	0.4%	0	0.00%	SEGNY	
11	SIEMENS AKTIENGESELLSCHAFT	79.94	879.34	1.1%	11	1.27%	SI	
97	SUMITOMO TR & BK CO SPON ADR	5.87	570.16	0.7%	1	.30%	STBUY	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
41	TDC A/S	18.16	744.56	1.0%	51	6.92%	TLD	
5	TELE CENTRO DESTE CELULAR ADR	9.85	49.25	0.1%	0	0.00%	TRO	
16	TELECOMUNICACOES BRAS SA ADS	33.82	541.12	0.7%	10	1.86%	TBH	Next Dividend Payable 02/23/04
32	TEXAS INSTRUMENTS	29.38	940.16	1.2%	2	.28%	TXN	Next Dividend Payable 02/04
11	TOTAL FINA ELF SA	92.51	1,017.61	1.3%	21	2.15%	TOT	
7	TOYOTA MOTOR CP ADR NEW	68.75	481.25	0.6%	4	.91%	TM	
16	UNITEDHEALTH GP INC	58.18	930.88	1.2%	0	.02%	UNH	Next Dividend Payable 04/04
26	VERITAS SOFTWARE DE	37.02	962.52	1.3%	0	0.00%	VRTS	
31	VODAFONE GP PLC ADS	25.04	776.24	1.0%	9	1.22%	VOD	Next Dividend Payable 02/17/04
15	WAL MART STORES INC	53.05	795.75	1.0%	5	.67%	WMT	Next Dividend Payable 01/05/04
34	XTO ENERGY INC	28.30	962.20	1.3%	1	.14%	XTO	Next Dividend Payable 01/15/04
	Total Common Stocks		71,153.33	92.9%	709	.99%		
Preferred Stocks								
17	BANCO BRAD SA SP ADR PFD NEW	26.41	448.97	0.6%	0	0.00%	BBD	
Total Stocks			71,602.30	93.5%	$709	.99%		
Net Change Since January 1, 2003			$14,555.49					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$76,629.80	$745
Total Net Change In Priced Assets Since January 1, 2003	$14,555.49	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	28.81	Money Market Funds
Dividend	ADVANTEST CORP	1.24	Stocks
Dividend	ALLSTATE CORP	16.08	Stocks
Dividend	ARACRUZ CELULOSE SA AMER NEW	25.76	Stocks
Dividend	ASAHI GLASS ADR	3.57	Stocks

Morgan Stanley

1099-DIV
TAX YEAR 2003
DIVIDENDS AND DISTRIBUTIONS

COPY B
FOR RECIPIENT

OMB.NO.:1545-0110

CORRECTED 03/12/04

PAYER:
MORGAN STANLEY DW INC.
75 VARICK STREET
NEW YORK, NY 10013
IDENTIFICATION NUMBER: 94-1671384

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001

Account Number
642 108600 022

Federal Tax ID
236-78-8645

Customer Service
(800) 869-3326

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Post-May 5 Capital Gain Distributions	2c. Qualified 5-year Gains	2d. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid	7. Foreign Country or US Posses	8.Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	831.34	804.61	0.00	0.00	0.00	0.00	4.39	0.00	62.61	see detail	0.00
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-014665391	28.81	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00

There is no reportable information for Boxes 2e, 2f, 5 and 9; these Boxes are therefore not shown above.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Unrealized Gain/(Loss)

	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information

The "Total Cost" and "Unit Cost" for Fixed Income Unit Trusts, Mortgage-Backed Securities, and Zero Coupon Bonds has been adjusted to reflect any partial return of principal or capital that may have been paid to you, or accreted interest earned, since your purchase date. In the event that the accumulated total return of principal or capital is greater than the provided original cost, the adjusted cost will be "0.00".

Realized Gain/(Loss)

Short Term

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ADVANCED FIBRE COMMUNCATN	26	08-27-03	12-18-03	596.83	520.56	(76.27)	Short Term
AGILENT TECHNOLOGIES	19	08-14-03	09-12-03	410.86	468.91	58.05	Short Term
ALCATEL ADS	38	02-18-03	09-10-03	304.08	437.50	133.42	Short Term
ALLSTATE CORP	17	09-03-02	06-04-03	628.55	607.86	(20.69)	Short Term
ALLSTATE CORP	7	10-24-02	06-04-03	288.30	250.30	(38.00)	Short Term
AMGEN INC	7	09-26-02	04-04-03	300.74	415.64	114.90	Short Term
APOLLO GROUP INC A	6	01-22-03	03-11-03	250.56	277.15	26.59	Short Term
APPLIED MATERIALS INC	18	03-11-03	08-14-03	211.14	343.24	132.10	Short Term
ARACRUZ CELULOSE SA AMER NEW	24	03-14-03	05-22-03	503.77	447.17	(56.60)	Short Term
ASAHI GLASS ADR	5	05-24-02	05-13-03	381.25	274.45	(106.80)	Short Term
ASTRAZENECA PLC ADS	8	02-06-03	05-07-03	271.68	327.03	55.35	Short Term
ASTRAZENECA PLC ADS	9	02-06-03	11-24-03	305.65	417.40	111.75	Short Term
AT&T WIRELESS SERVICES INC	67	11-07-02	03-05-03	499.48	380.05	(119.43)	Short Term
AT&T WIRELESS SERVICES INC	40	11-21-02	03-05-03	305.95	226.89	(79.06)	Short Term
AT&T WIRELESS SERVICES INC	20	11-27-02	03-05-03	150.60	113.45	(37.15)	Short Term
AUTOMATIC DATA PROCESSING INC	30	08-14-03	10-10-03	1,183.50	1,158.54	(24.96)	Short Term
AUTOZONE INC	8	04-28-03	06-04-03	634.92	652.76	17.84	Short Term
BANCO BRAD SA SP ADR PFD NEW	18	03-26-03	07-07-03	317.56	342.27	24.71	Short Term
BANK OF AMERICA CORP	11	11-11-02	04-04-03	740.30	768.86	28.56	Short Term
BANK OF NOVA SCOTIA	15	05-15-03	08-28-03	631.36	654.28	22.92	Short Term
BARCLAYS PLC ADR	24	05-09-03	08-08-03	646.69	751.67	104.98	Short Term
BHP BILLITON PLC SPONS ADR	36	12-05-02	06-04-03	372.80	361.03	(11.77)	Short Term
BIOVAIL CORP	16	03-06-03	06-20-03	603.23	719.96	116.73	Short Term
BRIDGESTONE CP ADR	24	12-04-02	05-02-03	654.18	556.83	(97.35)	Short Term
BRITISH SKY BRDCSTG GRP PLC		01-14-02	12-23-02	0.00	19.96	19.96	Prior Year's Trade
BROADCOM CORP CL A	18	06-04-03	07-16-03	461.52	485.69	24.17	Short Term
BROADCOM CORP CL A	22	06-04-03	07-30-03	564.08	453.70	(110.38)	Short Term
BUSINESS OBJ IC SPONS ADR	30	04-22-03	07-10-03	631.27	619.76	(11.51)	Short Term
CALPINE CORP	102	06-17-03	08-14-03	685.44	505.89	(179.55)	Short Term
CARDINAL HEALTH INC	12	06-20-03	08-27-03	798.45	679.29	(119.16)	Short Term
CDN PACIFIC RY LTD NEW	21	10-21-03	12-22-03	557.71	570.39	12.68	Short Term
CDN PACIFIC RY LTD NEW	12	11-11-03	12-22-03	348.83	325.93	(22.90)	Short Term
CHECKFREE CORPORATION	34	03-05-03	06-20-03	713.29	927.96	214.67	Short Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108800 022

Realized Gain/(Loss)

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
CO SYS INC	29	03-25-03	11-20-03	397.88	645.50	247.62	Short Term
CH INC	8	12-30-02	04-04-03	258.50	307.98	49.48	Short Term
CH INC	5	12-30-02	08-14-03	161.56	269.66	108.10	Short Term
CH INC	12	12-30-02	11-20-03	193.88	422.64	228.76	Short Term
NIZANT TECH SOLUTIONS CL A	30	02-14-03	04-29-03	667.86	562.94	(104.90)	Short Term
CAST CORP (NEW) CLASS A	20	04-07-03	06-20-03	614.15	628.29	14.14	Short Term
CAST CORP (NEW) CLASS A	10	04-30-03	06-20-03	318.30	314.15	(4.15)	Short Term
NTRYWIDE FINANCIAL CORP		10-10-03	12-17-03	0.00	25.29	25.29	Cash in Lieu
SHIPS LTD	16	04-08-03	10-03-03	227.68	342.47	114.79	Short Term
SHIPS LTD	12	04-08-03	10-22-03	170.76	258.28	87.52	Short Term
SHIPS LTD	17	04-08-03	10-28-03	241.91	356.63	114.72	Short Term
SS TIMBERS ROY TR TR UNIT		09-18-03	09-18-03	0.00	5.10	5.10	Cash in Lieu
HORTON INC	35	06-04-03	08-14-03	1,001.04	983.17	(17.87)	Short Term
M NV SPONS ADR	65	03-14-03	04-14-03	584.74	675.30	90.56	Short Term
MLER-CHRYSLER AG	20	06-26-03	09-10-03	685.40	746.10	60.70	Short Term
HA SEC LTD JAPAN ADR	6	07-11-03	08-08-03	361.65	327.68	(33.97)	Short Term
SKE BK A/S SPON ADR	37	08-01-02	03-26-03	669.12	600.23	(68.89)	Short Term
N FOODS CO (NEW)	18	03-05-03	06-04-03	754.19	824.82	70.63	Short Term
TSCHE TELEKOM AG 1 ORD 1ADS	30	02-10-03	06-03-03	376.28	447.57	71.29	Short Term
AGEO PLC SPON ADR NEW	15	07-11-02	01-23-03	692.78	621.73	(71.05)	Short Term
AY INC	3	06-28-03	08-14-03	281.91	307.06	25.15	Short Term
AY INC	2	06-04-03	08-14-03	203.10	204.71	1.61	Short Term
HOSTAR COMM CORP CL A	25	05-22-03	11-06-03	807.60	908.98	101.38	Short Term
C CORP MASS	91	06-04-03	07-16-03	987.46	917.43	(70.03)	Short Term
SCO INTL INC	31	11-21-02	03-05-03	894.42	844.34	(50.08)	Short Term
CSSON LM TEL ADR CL B NEW	22	07-24-03	10-03-03	293.03	342.74	49.71	Short Term
NNIE MAE	11	01-24-03	06-20-03	728.93	777.11	48.18	Short Term
NNIE MAE	4	04-07-03	06-20-03	276.80	282.59	5.79	Short Term
REST LABORATORIES	8	09-26-02	04-23-03	323.88	397.80	73.92	Short Term
REST LABORATORIES	6	01-22-03	04-23-03	415.60	397.80	(17.80)	Short Term
ANCE TELECOM	13	12-10-02	04-30-03	250.93	304.54	53.61	Short Term
ANCE TELECOM	16	12-10-02	06-04-03	308.83	392.76	83.93	Short Term
NERAL ELECTRIC CO	9	02-28-02	01-22-03	354.15	213.29	(140.86)	Short Term
ECH HOLDINGS CORP	8	12-17-02	04-28-03	230.57	263.11	32.54	Short Term
ECH HOLDINGS CORP	15	12-17-02	06-04-03	432.31	508.47	76.16	Short Term
ECH HOLDINGS CORP	8	02-26-03	06-04-03	219.20	271.19	51.99	Short Term
ME DEPOT INC	15	05-22-03	08-14-03	402.74	428.98	26.24	Short Term
ME DEPOT INC	3	05-22-03	09-12-03	92.94	94.37	1.43	Short Term
ME DEPOT INC	14	06-04-03	09-12-03	456.36	440.40	(15.96)	Short Term
BC HOLDINGS PLC SPON ADR NEW	9	05-24-02	04-15-03	558.63	480.62	(78.01)	Short Term
PERION SOLUTIONS CORP	17	09-02-03	12-03-03	584.67	562.38	(22.29)	Short Term
PERIAL TOBACCO GP PLC SP ADR	10	04-29-03	06-23-03	335.00	361.98	26.98	Short Term
PERIAL TOBACCO GP PLC SP ADR	9	04-29-03	07-11-03	301.50	298.88	(2.62)	Short Term
TEL CORP	15	11-07-02	06-17-03	278.70	324.28	45.58	Short Term

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Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
INTERACTIVECORP	22	06-20-03	09-04-03	834.24	769.08	(65.16)	Short Term
INTL BUSINESS MACHINES CORP	10	10-24-02	07-28-03	736.99	828.36	91.37	Short Term
ITO YOKADO CO LTD ADR NEW	14	10-29-03	12-24-03	535.64	433.75	(101.89)	Short Term
ITT INDUSTRIES INC	12	10-24-02	02-14-03	811.00	671.79	(139.21)	Short Term
JAPAN TELECOM HLDGS LTD ADR	105	05-12-03	11-11-03	334.76	288.66	(46.10)	Short Term
JOHNSON & JOHNSON	9	10-07-02	06-04-03	520.11	481.47	(38.64)	Short Term
JOHNSON & JOHNSON	6	10-07-02	08-26-03	346.74	295.62	(51.12)	Short Term
JOHNSON & JOHNSON	6	11-07-02	08-26-03	358.56	295.62	(62.94)	Short Term
KAO CORP ADR	2	10-17-02	06-24-03	424.22	387.89	(36.33)	Short Term
KOMATSU LTD SPON ADR NEW	19	01-29-03	05-16-03	268.55	287.78	19.23	Short Term
KOMATSU LTD SPON ADR NEW	22	01-29-03	12-04-03	310.96	516.54	205.58	Short Term
KOMATSU LTD SPON ADR NEW	16	02-20-03	12-04-03	243.49	375.67	132.18	Short Term
KONAMI CORP	20	11-12-02	01-29-03	457.83	431.27	(26.56)	Short Term
KOOKMIN BANK	9	08-22-03	12-01-03	324.40	325.25	.85	Short Term
KOOKMIN BANK	9	09-03-03	12-01-03	355.40	325.25	(30.15)	Short Term
L-3 COMMUNICATIONS HOLDING INC	12	09-09-02	03-05-03	657.73	430.78	(226.95)	Short Term
L-3 COMMUNICATIONS HOLDING INC	7	10-24-02	03-05-03	347.67	251.29	(96.38)	Short Term
LOCKHEED MARTIN CORP	15	07-28-03	09-16-03	809.87	750.90	(58.97)	Short Term
MEDIMMUNE INC	20	09-12-03	10-17-03	743.20	565.67	(177.53)	Short Term
MEDTRONIC INC	15	11-21-02	10-10-03	739.50	672.79	(66.71)	Short Term
MERCK & CO	11	01-28-03	06-04-03	593.99	625.15	31.16	Short Term
MERCK & CO	4	02-26-03	06-04-03	208.91	227.33	18.42	Short Term
MERCK & CO	1	02-26-03	08-14-03	52.23	53.20	.97	Short Term
MERCK & CO	10	04-28-03	08-14-03	563.00	531.97	(31.03)	Short Term
MERRILL LYNCH & CO INC	21	11-21-02	02-05-03	903.47	730.22	(173.25)	Short Term
MI DEVELOPMENT INC CL A	5	09-02-03	09-10-03	pend corp act	114.27		
MILLEA HOLDINGS INC ADR	16	02-19-03	06-04-03	556.00	587.95	31.95	Short Term
MMO2 PLC ADR	60	01-24-03	07-08-03	495.89	526.91	31.02	Short Term
NATL GRID TRANSCO PLC	21	06-26-02	05-16-03	757.01	704.11	(52.90)	Short Term
NEXTEL COMMUNICATIONS INC CL A	33	03-05-03	04-22-03	427.02	407.45	(19.57)	Short Term
NINTENDO CO LTD ADR NEW	7	07-11-02	01-23-03	120.14	77.85	(42.29)	Short Term
NINTENDO CO LTD ADR NEW	20	09-09-02	01-23-03	301.87	222.43	(79.44)	Short Term
NOKIA CP ADR	17	09-26-02	01-23-03	237.66	239.69	2.03	Short Term
NOKIA CP ADR	23	07-11-03	12-03-03	410.32	417.89	7.57	Short Term
NOMURA HLDGS INC	33	06-24-03	12-11-03	411.18	506.24	95.06	Short Term
NORTEL NETWORKS CORP NEW	81	05-15-03	10-03-03	244.62	358.81	114.19	Short Term
NORTEL NETWORKS CORP NEW	83	05-15-03	11-12-03	250.66	366.01	115.35	Short Term
NORTEL NETWORKS CORP NEW	52	05-15-03	12-08-03	157.04	223.96	66.92	Short Term
NORTEL NETWORKS CORP NEW	98	06-04-03	12-08-03	316.54	422.07	105.53	Short Term
NORTH FORK BANCORP	21	07-26-02	03-05-03	769.82	664.63	(105.19)	Short Term
NOVARTIS AG ADR	17	07-24-02	02-19-03	628.85	598.40	(30.45)	Short Term
PEOPLESOFT INC	39	11-21-02	05-20-03	841.05	663.60	(177.45)	Short Term
PUMA AG R DASSLER SPORT ADR	4	06-17-02	05-02-03	264.95	391.29	126.34	Short Term
QLOGIC CORP	10	03-05-03	04-28-03	349.72	399.52	49.80	Short Term

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
.OGIC CORP	7	03-05-03	06-17-03	244.80	342.29	97.49	Short Term
!ALCOMM INC	19	11-21-02	04-25-03	763.99	590.87	(173.12)	Short Term
NAISSANCE RE HOLDINGS LTD	9	11-26-02	04-28-03	565.77	398.82	33.05	Short Term
NAISSANCE RE HOLDINGS LTD	10	11-26-02	06-20-03	406.41	459.97	53.56	Short Term
' MICRO DEVICES INC	96	08-18-03	12-18-03	802.85	932.11	129.26	Short Term
YAL DUTCH PETE NY 1.25 GU	14	07-31-02	02-06-03	636.97	562.14	(74.83)	Short Term
NOFI SYNTHELABO SA	22	09-26-02	02-07-03	630.17	535.90	(94.27)	Short Term
AGATE TECHNOLOGY HOLDINGS	43	07-28-03	11-10-03	851.40	895.15	43.75	Short Term
IANGRI-LA ASIA LTD ADR	22	08-25-03	12-08-03	387.71	395.54	7.83	Short Term
: TELECOM CO LTD	16	05-24-02	01-24-03	418.24	269.84	(148.40)	Short Term
M CORP	6	02-14-03	10-10-03	212.33	227.48	15.15	Short Term
M CORP	9	04-30-03	10-10-03	337.44	341.22	3.78	Short Term
ITH & NEPHEW PLC ADR	11	04-07-03	05-21-03	704.36	663.44	(40.92)	Short Term
IWAN SHCNDCTR MFG CO LTD ADR		06-03-03	08-07-03	0.00	.76	.76	Cash in Lieu
IWAN SHCNDCTR MFG CO LTD ADR	55	06-05-03	11-25-03	495.72	606.07	110.35	Short Term
LE CENTRO DESTE CELULAR ADR		12-12-03	12-12-03	0.00	3.34	3.34	Cash in Lieu
LE CENTRO DESTE CELULAR ADR	5	12-15-03	12-31-03	pend corp act	49.02		
LECOM ITALIA S.P.A	7	07-26-02	02-25-03	554.71	481.81	(72.90)	Short Term
LUS CORP	24	12-23-02	05-09-03	252.11	321.60	69.49	Short Term
LUS CORP	23	12-23-02	05-15-03	241.61	310.95	69.34	Short Term
VA PHARMACEUTICALS ADR	7	07-19-02	05-01-03	217.35	314.21	96.86	Short Term
VA PHARMACEUTICALS ADR	15	07-19-02	06-04-03	403.66	649.95	246.29	Short Term
ITAN CORP	35	09-05-03	09-25-03	587.37	722.51	135.14	Short Term
RANSOCEAN SEDCO FOREX	17	05-22-03	07-07-03	404.26	361.23	(43.03)	Short Term
S AG NEW	6	06-03-03	08-22-03	331.68	329.50	(2.18)	Short Term
S AG NEW	5	06-03-03	09-02-03	276.40	271.99	(4.41)	Short Term
S AG NEW	6	06-17-03	09-02-03	347.76	326.39	(21.37)	Short Term
ILEVER PLC (NEW) ADS	16	12-17-02	05-05-03	588.69	589.02	.33	Short Term
ITED MICROELECTRONICS DEP SH	93	05-15-03	12-22-03	504.38	452.47	148.09	Short Term
M KYMMENE CORP ADR	36	07-21-03	09-23-03	631.93	604.74	(27.19)	Short Term
TSTARCOM INC	12	07-24-03	09-08-03	519.61	470.14	(49.47)	Short Term
ALEO SPONSORED ADR	31	07-08-03	12-04-03	545.45	586.18	40.73	Short Term
ALEO SPONSORED ADR	14	07-18-03	12-04-03	268.29	264.73	(3.56)	Short Term
ARIAN MEDICAL SYS INC	11	03-05-03	09-12-03	544.50	609.37	64.87	Short Term
ARIAN MEDICAL SYS INC	6	03-11-03	09-12-03	296.91	332.38	35.47	Short Term
ERITAS SOFTWARE DE	17	09-26-02	05-20-03	260.78	405.92	145.14	Short Term
IACOM INC B	14	11-07-02	01-28-03	633.00	524.98	(108.02)	Short Term
ODAFONE GP PLC ADS	19	07-24-02	06-03-03	282.15	410.87	128.72	Short Term
ODAFONE GP PLC ADS	4	07-24-02	07-11-03	59.40	77.67	18.27	Short Term
ODAFONE GP PLC ADS	23	08-02-02	07-11-03	331.85	446.61	114.76	Short Term
AL MART STORES INC	1	02-13-02	01-21-03	60.72	49.68	(11.04)	Short Term
AL MART STORES INC	15	05-22-03	12-29-03	793.49	787.16	(6.33)	Short Term
EATHERFORD INTL INC BERMUDA	18	05-13-03	07-17-03	652.31	569.90	(82.41)	Short Term
ELLS FARGO & CO NEW	7	09-26-02	06-17-03	342.86	363.68	20.82	Short Term

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Investments and services are offered thru. .n Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
WELLS FARGO & CO NEW	5	09-26-02	08-27-03	244.90	247.29	2.39	Short Term
WELLS FARGO & CO NEW	8	11-07-02	08-27-03	375.83	395.66	19.83	Short Term
WELLS FARGO & CO NEW	6	04-28-03	08-27-03	286.80	296.74	9.94	Short Term
WILLIAMS CO INC	33	04-22-03	06-17-03	225.56	275.33	49.77	Short Term
WILLIAMS CO INC	37	04-22-03	07-23-03	252.90	258.72	5.82	Short Term
WILLIAMS CO INC	43	04-30-03	07-23-03	302.29	300.67	(1.62)	Short Term
WYETH	21	06-20-03	09-05-03	999.62	944.21	(55.41)	Short Term
XTO ENERGY INC		12-30-02	03-18-03	0.00	12.39	12.39	Cash in Lieu
ZIMMER HLDGS INC	6	07-19-02	02-26-03	185.99	268.61	82.62	Short Term
ZIMMER HLDGS INC	15	07-19-02	04-04-03	464.97	690.48	225.51	Short Term
3M COMPANY	5	10-07-02	04-23-03	563.00	638.66	75.66	Short Term
3M COMPANY	2	04-08-03	04-23-03	266.54	255.47	(11.07)	Short Term
Sub Total Short Term				$76,536.08	$78,510.67	$1,974.59	
Long Term							
ASAHI GLASS ADR	5	04-26-02	05-13-03	359.25	274.45	(84.80)	Long Term
BANCO BILBAO VIZ ARG SA ADS	65	03-20-02	05-06-03	810.52	657.88	(152.64)	Long Term
BANK OF IRELAND GRP	13	10-26-01	07-08-03	452.90	599.54	146.64	Long Term
BED BATH & BEYOND INC	11	06-20-01	01-21-03	343.38	375.73	32.35	Long Term
BJ SVCS CO	25	12-05-01	07-16-03	786.32	884.96	98.64	Long Term
BJ SVCS CO	21	01-17-02	07-16-03	548.10	743.36	195.26	Long Term
BNP PARIBAS SP ADR REPSTG	15	08-24-01	08-22-03	356.21	379.22	23.01	Long Term
BNP PARIBAS SP ADR REPSTG	21	08-24-01	08-29-03	498.70	527.55	28.85	Long Term
BRITISH SKY BRDCSTG GRP PLC	9	01-14-02	06-04-03	413.91	385.66	(28.25)	Long Term
CANON INC ADR NEW	10	05-01-02	06-04-03	383.70	432.47	48.77	Long Term
CANON INC ADR NEW	11	05-01-02	08-28-03	422.07	528.52	106.45	Long Term
CHARTER ONE FINCL	28	03-27-02	04-04-03	850.50	789.28	(61.22)	Long Term
CONVERIUM HOLDING AG	15	02-27-02	04-30-03	357.90	339.28	(18.62)	Long Term
CONVERIUM HOLDING AG	19	02-27-02	06-04-03	453.34	438.30	(15.04)	Long Term
DELL COMPUTER CORP	7	03-26-01	04-10-03	187.69	198.30	10.61	Long Term
DELL COMPUTER CORP	7	04-18-01	04-10-03	203.35	198.30	(5.05)	Long Term
GENERAL ELECTRIC CO	3	08-03-01	01-22-03	126.60	71.10	(55.50)	Long Term
GENERAL ELECTRIC CO	20	12-05-01	01-22-03	752.00	475.98	(276.02)	Long Term
HARLEY DAVIDSON INC	11	08-15-01	03-05-03	553.16	412.53	(140.63)	Long Term
INFOSYS TECH LIMITED	6	11-29-01	04-09-03	368.60	359.98	(8.62)	Long Term
INFOSYS TECH LIMITED	4	11-29-01	04-10-03	245.74	168.47	(77.27)	Long Term
LOWES COMPANIES INC	6	06-26-01	05-22-03	224.96	241.16	16.20	Long Term
LOWES COMPANIES INC	14	08-03-01	05-22-03	514.36	562.71	48.35	Long Term
MICROSOFT CORP	3	04-18-01	01-17-03	196.94	158.32	(38.62)	Long Term
MICROSOFT CORP	7	08-03-01	01-17-03	466.90	369.40	(97.50)	Long Term
NESTLE SPON ADR REP REG SHR	8	08-22-01	03-06-03	449.36	380.54	(68.82)	Long Term
NEWS CP LTD ADR NEW	10	11-11-02	11-12-03	250.68	361.87	111.19	Long Term

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ZER INC	12	10-02-01	06-04-03	491.64	383.07	(108.57)	Long Term
ZER INC	8	10-02-01	12-03-03	327.76	274.16	(53.60)	Long Term
ZER INC	15	09-03-02	12-03-03	475.27	514.04	38.77	Long Term
S STORES INC	17	01-17-02	03-05-03	552.48	564.51	12.03	Long Term
NAIR HLDGS PLC ADR	4	08-03-01	06-04-03	109.92	160.24	50.32	Long Term
NAIR HLDGS PLC ADR	15	08-03-01	06-12-03	412.20	602.99	190.79	Long Term
TELECOM CO LTD	17	10-11-01	01-24-03	343.74	286.70	(57.04)	Long Term
1 CORP	6	10-18-01	10-10-03	165.90	227.48	61.58	Long Term
1 CORP	12	06-27-02	10-10-03	375.52	454.96	79.44	Long Term
NY CORP ADR 1974 NEW	1	12-03-01	04-22-03	45.99	32.01	(13.98)	Long Term
NY CORP ADR 1974 NEW	9	12-14-01	04-22-03	412.98	288.07	(124.91)	Long Term
NCOR ENERGY INC	6	08-03-01	06-03-03	82.62	112.79	30.17	Long Term
NCOR ENERGY INC	28	11-15-01	06-03-03	378.00	526.34	148.34	Long Term
ENSKA CELLULOSA B SP ADR	13	03-06-01	03-14-03	430.52	547.82	117.30	Long Term
ENSKA CELLULOSA B SP ADR	3	08-03-01	03-14-03	69.75	91.30	21.55	Long Term
ITED MICROELECTRONICS DEP SH		05-06-02	08-15-03	0.00	.39	.39	Cash In Lieu
ITED MICROELECTRONICS DEP SH	56	03-06-02	12-22-03	455.21	272.45	(182.76)	Long Term
ITED MICROELECTRONICS DEP SH	9	10-30-02	12-22-03	38.07	43.79	5.72	Long Term
L MART STORES INC	8	11-08-01	01-21-03	435.60	397.42	(38.18)	Long Term
L MART STORES INC	6	02-13-02	03-05-03	364.32	283.99	(80.33)	Long Term
Sub Total Long Term				$17,544.63	$17,377.38	($167.25)	
Totals for closing transactions with cost data available				$96,080.71	$96,088.05	$7.34	
Total Proceeds					$96,051.34		

Summary

	Short Term	Long Term	Total
Unrealized gain.....	$7,823.24	$4,188.79	$12,012.03
Unrealized (loss).....	($232.07)	($175.86)	($407.93)
Realized gain YTD.....	$6,754.41	$1,622.72	$8,377.13
Realized (loss) YTD.....	($4,779.82)	($1,789.97)	($6,569.79)

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2003

Attachment 5 - p.1

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
LAZARD ASSET MANAGEMENT, LLC

#BWNJGWH
00170763 2 MB 0.566 02 TR 1190 -000
THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001

642

Account Number
642 113487 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$960.58	1.2%
Stocks	77,980.24	98.8
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$78,940.82	100.0%
Cash	0.00	
Total Asset Value	$78,940.82	

Income Summary

	Year To Date
Money Market Funds	$8.59
Stocks	2,066.33
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$2,074.92
Taxable Income	$2,074.92
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2003		$78,357.58
Cash/Money Market Activity for 2003		
Credits To Your Account		
Dividend and Interest	2,074.92	
Deposits	0.00	
Sales Proceeds/Redemptions	29,073.53	
Other Credits	1.25	
Total Credits	31,149.70	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	-12,500.00	
Funds to Purchase Securities	-17,930.94	
Other Debits	-1,919.03	
Total Debits	-32,349.97	
Changes in Asset Value for 2003		
Value of Priced Assets 1/01/03	$76,035.59	
Securities Bought	17,930.94	
Securities Received	0.00	
Securities Sold/Redeemed	-29,073.53	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	13,087.24	
Value of Priced Assets 12/31/03	77,980.24	
Net Change in Asset Value		1,944.65
Total Asset Value as of December 31 2003		$78,940.82

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS MONEY TRUST	960.58	1.2%	6
Total Cash and Money Market Funds	960.58	1.2%	6

Annualized 30 Day Yields	
Active Assets Money Trust	0.68%
Active Assets Govt. Sec. Tr	0.51%
Active Assets California Tax Free	0.46%
Active Assets Tax Free Trust	0.57%
Active Assets Insured Account	0.34%
Active Assets Deposit Account	0.34%

Net Change Since January 1, 2003 1,200.27

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
18	BANK OF AMERICA CORP	80.43	1,447.74	1.8%	57	3.97%	BAC	Next Dividend Payable 03/04
60	BANK ONE CORP	45.59	2,735.40	3.5%	60	2.19%	ONE	Next Dividend Payable 01/01/04
75	BARCLAYS PLC ADR	36.35	2,726.25	3.5%	91	3.37%	BCS	
50	CADBURY SCHW PLC ADR 10 ORD NH	29.89	1,494.50	1.9%	38	2.56%	CSG	
35	CANON INC ADR NEW	47.64	1,667.40	2.1%	8	.51%	CAJ	Next Dividend Payable 01/12/04
53	CITIGROUP INC	48.54	2,572.62	3.3%	74	2.88%	C	Next Dividend Payable 02/04
45	COCA COLA CO	50.75	2,283.75	2.9%	39	1.73%	KO	Next Dividend Payable 03/04
45	CREDIT SUISSE GROUP	36.33	1,634.85	2.1%	2	.13%	CSR	
48	DIAGEO PLC SPON ADR NEW	52.86	2,537.28	3.2%	80	3.16%	DEO	
110	ENDESA SA ADS	19.10	2,101.00	2.7%	75	3.59%	ELE	Next Dividend Payable 01/14/04
25	ENI SPA AMER DEP RCPT	94.98	2,374.50	3.0%	78	3.29%	E	
52	EXXON MOBIL CORP	41.00	2,132.00	2.7%	52	2.43%	XOM	Next Dividend Payable 03/04
35	FIRST DATA CORP	41.09	1,438.15	1.8%	2	.19%	FDC	Next Dividend Payable 01/12/04
65	GENERAL ELECTRIC CO	30.98	2,013.70	2.6%	52	2.58%	GE	Next Dividend Payable 01/26/04
49	GLAXOSMITHKLINE PLC ADS	46.62	2,284.38	2.9%	63	2.76%	GSK	Next Dividend Payable 01/06/04
65	HEINEKEN NV ADR	38.08	2,475.20	3.1%	20	.83%	HINKY	
45	HOME DEPOT INC	35.49	1,597.05	2.0%	12	.78%	HD	Next Dividend Payable 03/04
35	HSBC HOLDINGS PLC SPON ADR NEW	78.82	2,758.70	3.5%	119	4.34%	HBC	Next Dividend Payable 01/20/04
15	INTL BUSINESS MACHINES CORP	92.68	1,390.20	1.8%	9	.69%	IBM	Next Dividend Payable 03/04
35	JOHNSON & JOHNSON	51.66	1,808.10	2.3%	33	1.85%	JNJ	Next Dividend Payable 03/04
7	KAO CORP ADR	203.41	1,423.90	1.8%	16	1.17%	KADCY	
30	MARSH & MCLENNAN COS INC	47.89	1,436.70	1.8%	37	2.58%	MMC	Next Dividend Payable 02/13/04
75	MICROSOFT CORP	27.37	2,052.75	2.6%	12	.58%	MSFT	Next Dividend Payable 11/04
30	NESTLE SPON ADR REP REG SHR	62.46	1,873.86	2.4%	31	1.70%	NSRGY	
120	NOKIA CP ADR	17.00	2,040.00	2.6%	30	1.51%	NOK	
34	NOVARTIS AG ADR	45.89	1,560.26	2.0%	20	1.30%	NVS	
120	ORACLE CORP	13.25	1,587.60	2.0%	0	0.00%	ORCL	
75	PFIZER INC	35.33	2,649.75	3.4%	51	1.92%	PFE	Next Dividend Payable 03/04
15	PROCTER & GAMBLE	99.88	1,498.20	1.9%	27	1.82%	PG	Next Dividend Payable 02/04
38	ROYAL DUTCH PETE NY 1.25 GU	52.39	1,990.82	2.5%	55	2.79%	RD	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
22	SIEMENS AKTIENGESELLSCHAFT	79.94	1,758.68	2.2%	22	1.26%	SI	
100	SOCIETE GENERALE SP ADR	17.65	1,765.90	2.2%	39	2.21%	SCGLY	
20	SWISS REINSURANCE CO SPON ADR	67.51	1,350.32	1.7%	12	.95%	SWCEY	
53	TOTAL FINA ELF SA	92.51	5,052.83	3.9%	65	2.15%	TOT	
35	UBS AG NEW	67.99	2,379.65	3.0%	50	2.16%	UBS	
50	UNILEVER PLC (NEW) ADS	37.60	1,880.00	2.4%	55	2.96%	UL	
60	VERIZON COMMUNICATIONS	35.08	2,104.80	2.6%	92	4.38%	VZ	Next Dividend Payable 02/04
105	VODAFONE GP PLC ADS	25.04	2,629.20	3.3%	32	1.22%	VOD	Next Dividend Payable 02/17/04
25	WELLS FARGO & CO NEW	58.89	1,472.25	1.8%	45	3.05%	WFC	Next Dividend Payable 03/04
Total Stocks			77,980.24	98.6%	91,672	2.14%		
Net Change Since January 1, 2003			$1,944.65					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$79,940.82	$1,678
Total Net Change In Priced Assets Since January 1, 2003	$1,944.65	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	8.59	Money Market Funds
Dividend	ABN AMRO HLDGS N V	164.30	Stocks
Dividend	ASTRAZENECA PLC ADS	37.08	Stocks
Dividend	BANK OF AMERICA CORP	51.84	Stocks
Dividend	BANK ONE CORP	52.80	Stocks
Dividend	BARCLAYS PLC ADR	98.20	Stocks
Dividend	CADBURY SCHW PLC ADR 10 ORD NH	45.94	Stocks
Dividend	CITIGROUP INC	62.30	Stocks
Dividend	COCA COLA CO	39.60	Stocks
Dividend	DIAGEO PLC SPON ADR NEW	83.65	Stocks
Dividend	ELI LILLY & CO	56.85	Stocks
Dividend	ENDESA SA ADS	82.87	Stocks
Dividend	ENI SPA AMER DEP RCPT	107.10	Stocks

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Morgan Stanley

1099-DIV
TAX YEAR 2003
DIVIDENDS AND DISTRIBUTIONS

COPY B
FOR RECIPIENT

OMB.NO.:1545-0110

PAYER:
MORGAN STANLEY DW INC.
75 VARICK STREET
NEW YORK, NY 10013
IDENTIFICATION NUMBER: 94-1671384

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001

088165

Account Number
642 113487 022

Federal Tax ID
236-78-8645

Customer Service
(800) 869-3326

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Post-May 5 Capital Gain Distributions	2c. Qualified 5-year Gains	2d. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid	7. Foreign Country or US Posses	8. Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	2,066.33	2,066.33	0.00	0.00	0.00	0.00	0.00	0.00	161.14	see detail	0.00
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075805 PLAN NUMBER 268-014901854	0.59	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00

There is no reportable information for Boxes 2e, 2f, 5 and 9; these Boxes are therefore not shown above.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Short Term							
FIRST DATA CORP	10	10-29-02	09-23-03	331.73	425.98	94.25	Short Term
WELLS FARGO & CO NEW	10	11-14-02	09-23-03	475.05	509.67	34.62	Short Term
Sub Total Short Term				$806.78	$935.65	$128.87	
Long Term							
ABN AMRO HLDGS N V	90	11-30-98	10-08-03	1,884.38	1,745.11	(139.27)	Long Term
ABN AMRO HLDGS N V	75	11-30-98	12-12-03	1,570.31	1,672.26	101.95	Long Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
TRAZENECA PLC ADS	35	12-07-94	02-27-03	656.71	1,104.91	448.20	Long Term
TRAZENECA PLC ADS	36	12-07-94	07-09-03	675.48	1,473.20	797.72	Long Term
ENTIS ADS	5	06-20-96	01-24-03	127.50	261.47	133.97	Long Term
ENTIS ADS	33	12-20-99	01-24-03	2,128.50	1,725.74	(402.76)	Long Term
NK OF AMERICA CORP	15	11-29-95	02-27-03	437.22	1,038.86	601.64	Long Term
DBURY SCHW PLC ADR 10 ORD NH	10	06-19-95	09-25-03	151.88	251.18	99.30	Long Term
TIGROUP INC	20	01-03-95	02-27-03	104.79	660.98	556.19	Long Term
CA COLA CO	20	02-05-02	02-27-03	902.21	808.37	(93.84)	Long Term
UTSCHE BK AG REG SHS	30	08-13-96	02-20-03	1,537.05	1,253.31	(283.74)	Long Term
I LILLY & CO	10	07-12-01	02-27-03	751.13	568.48	(182.65)	Long Term
I LILLY & CO	10	07-12-01	11-03-03	751.13	664.42	(86.71)	Long Term
I LILLY & CO	5	07-12-01	11-06-03	375.56	329.63	(45.93)	Long Term
I LILLY & CO	5	01-11-02	11-06-03	387.55	329.63	(57.92)	Long Term
I LILLY & CO	10	01-11-02	12-02-03	775.10	697.04	(78.06)	Long Term
I SPA AMER DEP RCPT	10	10-28-96	02-27-03	471.25	761.97	290.72	Long Term
ENERAL ELECTRIC CO	15	05-01-96	02-27-03	194.64	358.93	164.29	Long Term
ENERAL ELECTRIC CO	10	05-01-96	09-12-03	129.76	312.78	183.02	Long Term
SBC HOLDINGS PLC SPON ADR NEW	10	09-15-95	02-27-03	240.46	531.48	291.02	Long Term
NTL BUSINESS MACHINES CORP	10	05-17-96	02-27-03	274.38	782.17	507.79	Long Term
NTL BUSINESS MACHINES CORP	10	05-17-96	12-18-03	274.38	927.67	653.29	Long Term
OHNSON & JOHNSON	25	10-14-98	02-27-03	991.85	1,320.21	328.36	Long Term
ERCK & CO	35	10-16-98	04-23-03	2,360.32	2,057.76	(302.56)	Long Term
ESTLE SPON ADR REP REG SHR	5	05-08-95	02-27-03	124.69	240.99	116.30	Long Term
ESTLE SPON ADR REP REG SHR	10	09-19-96	02-27-03	279.38	481.98	202.60	Long Term
OVARTIS AG ADR	9	06-07-95	02-27-03	152.70	324.17	171.47	Long Term
OVARTIS AG ADR	6	03-24-97	02-27-03	171.74	216.11	44.37	Long Term
OVARTIS AG ADR	15	03-24-97	10-03-03	429.35	587.55	158.20	Long Term
ROCTER & GAMBLE	20	11-11-99	02-27-03	2,115.44	1,654.15	(461.29)	Long Term
ROCTER & GAMBLE	5	01-11-02	09-10-03	390.60	456.66	66.06	Long Term
BC COMMUNICATIONS	39	10-09-96	02-13-03	838.83	868.42	29.59	Long Term
BC COMMUNICATIONS	20	03-10-99	02-13-03	966.60	445.34	(521.26)	Long Term
OCIETE GENERALE SP ADR	25	03-19-97	10-07-03	142.19	354.98	212.79	Long Term
NILEVER PLC (NEW) ADS	25	07-13-98	02-27-03	1,204.55	869.97	(334.58)	Adjusted 12/19/01 Long Term
Sub Total Long Term				$24,969.61	$28,137.88	$3,168.27	
Totals for closing transactions with cost/basis available				$25,276.39	$29,075.65	$3,297.10	
Total Proceeds					$29,075.65		

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2003

Attachment 7 - p.1

3987

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-8000

BBNKJCWH
00170768 3 HB 0.750 03 TR 1190 -000
THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001

642

Account Number
642 122048 022

AAA Customer Service
1-800-869-3326
Households Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$2,618.60	3.9%
Stocks	64,216.67	96.1
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$66,835.27	100.0%
Cash	6.20	
Total Asset Value	$66,841.47	

Income Summary

	Year To Date
Money Market Funds	$70.21
Stocks	1,230.87
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,301.08
Taxable Income	$1,301.08
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2003		$56,240.99
Cash/Money Market Activity for 2003		
Credits To Your Account		
Dividend and Interest	1,301.08	
Deposits	0.00	
Sales Proceeds/Redemptions	43,797.36	
Other Credits	54.26	
Total Credits	45,152.70	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-44,125.31	
Other Debits	-1,548.28	
Total Debits	-45,673.59	
Changes in Asset Value for 2003		
Value of Priced Assets 1/01/03	$53,095.30	
Securities Bought	44,125.31	
Securities Received	0.00	
Securities Sold/Redeemed	-43,797.36	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	10,793.42	
Value of Priced Assets 12/31/03	64,216.67	
Net Change in Asset Value		11,121.37
Total Asset Value as of December 01 2003		$66,841.47

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

Morgan Stanley

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

ASSET DETAILS

Cash and Money Market Funds

	Amount	Pct of Assets	Est Yrly Income
CASH	6.20	---	---
ACTIVE ASSETS MONEY TRUST	2,618.60	3.9%	17
Total Cash and Money Market Funds	$2,624.80	3.9%	$17

Net Change Since January 1, 2003 $520.89

Annualized 30 Day Yields

Active Assets Money Trust.....0.68%
Active Assets Govt. Sec. Tr.....0.51%
Active Assets California Tax Free.....0.44%
Active Assets Tax Free Trust.....0.57%
Active Assets Insured Account..... 0.34%
Active Assets Deposit Account..... 0.34%

Stocks

Common Stocks

		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
40	A T & T CORP NEW	20.30	812.00	1.2%	38	4.67%	T	Next Dividend Payable 02/02/04
60	ALBERTSONS INC	22.65	1,359.00	2.0%	45	3.35%	ABS	Next Dividend Payable 02/04
10	BANK OF AMERICA CORP	80.43	804.30	1.2%	32	3.97%	BAC	Next Dividend Payable 03/04
45	BANK OF NEW YORK	33.12	1,490.40	2.2%	34	2.29%	BK	Next Dividend Payable 02/04
85	BRISTOL MYERS SQUIBB CO	28.60	2,431.00	3.6%	95	3.91%	BMY	Next Dividend Payable 02/02/04
50	CAMPBELL SOUP	26.80	1,340.00	2.0%	31	2.35%	CPB	Next Dividend Payable 02/02/04
20	CHEVRON TEXACO CORP	86.39	1,727.80	2.6%	58	3.38%	CVX	Next Dividend Payable 03/04
15	CITIGROUP INC	48.54	728.10	1.1%	21	2.88%	C	Next Dividend Payable 02/04
30	COCA COLA CO	50.75	1,522.50	2.3%	26	1.73%	KO	Next Dividend Payable 03/04
31	CONOCOPHILLIPS	65.57	2,032.67	3.0%	53	2.62%	COP	Next Dividend Payable 03/04
40	CVS CORPORATION	36.12	1,444.80	2.2%	10	.73%	CVS	Next Dividend Payable 01/04
130	DELPHI CORPORATION	10.21	1,327.30	2.0%	36	2.74%	DPH	Next Dividend Payable 01/14/04
20	DEVON ENERGY CORP NEW	57.26	1,145.20	1.7%	4	.34%	DVN	Next Dividend Payable 03/04
35	DOW CHEMICAL CO	41.57	1,454.95	2.2%	46	3.22%	DOW	Next Dividend Payable 01/30/04
10	ELECTR DATA SYSTEMS CORP	24.54	245.40	0.4%	6	2.44%	EDS	Next Dividend Payable 03/04
20	ELI LILLY & CO	70.33	1,406.60	2.1%	28	2.01%	LLY	Next Dividend Payable 03/04
20	EXELON CORP	66.36	1,327.20	2.0%	40	3.01%	EXC	Next Dividend Payable 03/04
42	EXXON MOBIL CORP	41.00	1,722.00	2.6%	42	2.43%	XOM	Next Dividend Payable 03/04
80	GAP INC	23.21	1,856.80	2.8%	7	.38%	GPS	Next Dividend Payable 01/07/04
95	GENERAL ELECTRIC CO	30.98	2,943.10	4.4%	76	2.58%	GE	Next Dividend Payable 01/26/04
50	GEORGIA-PAC (GEORGIA PAC GP)	30.67	1,533.50	2.3%	25	1.63%	GP	Next Dividend Payable 03/04
35	HOME DEPOT INC	35.49	1,242.15	1.9%	9	.78%	HD	Next Dividend Payable 03/04
50	HONEYWELL INTERNATIONAL INC	33.43	1,671.50	2.5%	37	2.24%	HON	Next Dividend Payable 03/04
15	INTL BUSINESS MACHINES CORP	92.68	1,390.20	2.1%	9	.69%	IBM	Next Dividend Payable 03/04
15	LEHMAN BROS HOLDING INC DELA	77.22	1,158.30	1.7%	7	.62%	LEH	Next Dividend Payable 02/04
35	LINCOLN NTL CORP IND	40.37	1,412.95	2.1%	49	3.46%	LNC	Next Dividend Payable 02/04
50	MAY DEPARTMENT STORES	29.07	1,453.50	2.2%	48	3.30%	MAY	Next Dividend Payable 03/04
15	MERRILL LYNCH & CO INC	58.65	879.75	1.3%	9	1.09%	MER	Next Dividend Payable 02/04
20	METLIFE INCORPORATED	33.67	673.40	1.0%	4	.68%	MET	Next Dividend Payable 12/04
60	MICROSOFT CORP	27.37	1,642.20	2.5%	9	.58%	MSFT	Next Dividend Payable 11/04

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
30	MONSANTO CO/NEW	28.78	863.40	1.3%	15	1.80%	MON	Next Dividend Payable 01/04
125	MOTOROLA INC	14.00	1,750.00	2.6%	20	1.14%	MOT	Next Dividend Payable 01/15/04
20	NEWMONT MINING CORP (NEW)	48.61	972.20	1.5%	4	.41%	NEM	Next Dividend Payable 03/04
30	NORTHERN TRUST CORP	46.28	1,388.40	2.1%	22	1.64%	NTRS	Next Dividend Payable 01/02/04
65	PEOPLESOFT INC	22.79	1,481.35	2.2%	0	0.00%	PSFT	
60	PFIZER INC	35.33	2,119.80	3.2%	40	1.92%	PFE	Next Dividend Payable 03/04
35	PITNEY BOWES INC	40.62	1,421.70	2.1%	42	2.95%	PBI	Next Dividend Payable 03/04
30	SBC COMMUNICATIONS	26.07	782.10	1.2%	37	4.79%	SBC	Next Dividend Payable 02/04
35	ST PAUL COMPANIES INC NO PAR	39.65	1,387.75	2.1%	40	2.92%	SPC	Next Dividend Payable 01/16/04
40	STANLEY WORKS	37.87	1,514.80	2.3%	41	2.74%	SWK	Next Dividend Payable 03/04
85	SYMBOL TECHNLGS	16.89	1,435.65	2.1%	1	.11%	SBL	Next Dividend Payable 03/04
30	TARGET CORPORATION	38.40	1,152.00	1.7%	8	.72%	TGT	Next Dividend Payable 03/04
55	TECO ENERGY	14.41	792.55	1.2%	41	5.27%	TE	Next Dividend Payable 02/04
50	TEXAS INSTRUMENTS	29.38	1,469.00	2.2%	4	.28%	TXN	Next Dividend Payable 02/04
85	TIME WARNER INC NEW	17.99	1,529.15	2.2%	0	0.00%	TWX	
15	VALERO ENERGY CP DELA NEW	46.34	695.10	1.0%	7	1.03%	VLO	Next Dividend Payable 03/04
55	WALT DISNEY CO HLDG CO	23.33	1,283.15	1.9%	11	.90%	DIS	Next Dividend Payable 01/06/04
Total Stocks			$64,216.67	96.1%	$1,282	1.99%		
Net Change Since January 1, 2003			$11,121.37					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$66,841.47	$1,580
Total Net Change In Priced Assets Since January 1, 2003	$11,121.37	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	70.21	Money Market Funds
Dividend	A T & T CORP NEW	46.64	Stocks
Dividend	ALBERTSONS INC	11.40	Stocks
Dividend	ANHEUSER BUSCH COS INC	3.90	Stocks
Dividend	APACHE CORP	2.00	Stocks

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Morgan Stanley

1099-DIV
TAX YEAR 2003
DIVIDENDS AND DISTRIBUTIONS

COPY B
FOR RECIPIENT

OMB.NO.:1545-0110

PAYER:
MORGAN STANLEY DW INC.
75 VARICK STREET
NEW YORK, NY 10013
IDENTIFICATION NUMBER: 94-1671384

BSMNJGMN
00761476 X MB 0.750 03 TR 9445 -000
THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001 642

Account Number
642 122048 022

Federal Tax ID
238-78-8645

Customer Service
(800) 869-3326

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Post-May 5 Capital Gain Distributions	2c. Qualified 5-year Gains	2d. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid	7. Foreign Country or US Posses	8. Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	1,236.87	1,236.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075085 PLAN NUMBER 246-688148766	78.21	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00

There is no reportable information for Boxes 2e, 2f, 5 and 9; these Boxes are therefore not shown above.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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Realized Gain/(Loss)

Short Term

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
A T & T CORP NEW	10	02-19-03	10-03-03	184.30	205.35	21.05	Short Term
AMERICAN INTERNATIONAL GP	10	10-17-02	01-06-03	648.45	614.11	(34.34)	Short Term
AMERICAN INTERNATIONAL GP	10	10-17-02	02-26-03	648.45	494.61	(153.84)	Short Term
APACHE CORP	5	09-16-02	02-26-03	287.35	328.86	41.51	Short Term
APACHE CORP	5	09-16-02	03-26-03	287.35	300.26	12.91	Short Term
APACHE CORP	10	09-23-02	03-26-03	567.85	600.53	32.68	Short Term
APACHE CORP		04-02-03	04-02-03	0.00	45.54	45.54	Cash In Lieu
BAKER HUGHES INC	20	09-16-02	04-16-03	573.62	555.25	(18.37)	Short Term
BAKER HUGHES INC	20	11-04-02	05-06-03	599.15	575.04	(24.11)	Short Term
BANK OF NEW YORK	50	10-23-02	05-05-03	1,329.46	1,108.32	(221.14)	Short Term
BEST BUY CO	10	11-13-02	07-23-03	194.61	426.20	231.59	Short Term
BEST BUY CO	5	06-12-03	07-23-03	212.25	213.10	.85	Short Term
BEST BUY CO	10	06-12-03	08-05-03	424.50	412.89	(11.61)	Short Term
CITIGROUP INC	5	06-12-03	11-11-03	218.20	237.53	19.33	Short Term
CITIGROUP INC	5	06-12-03	11-26-03	218.20	234.54	16.34	Short Term
COCA COLA CO	5	08-16-02	01-06-03	260.20	224.84	(35.36)	Short Term
COCA COLA CO	15	08-16-02	03-12-03	780.60	569.29	(211.31)	Short Term
CONAGRA FOODS INC	25	09-25-02	03-06-03	635.64	544.73	(90.91)	Short Term
CONAGRA FOODS INC	25	09-25-02	03-11-03	635.64	536.47	(99.17)	Short Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
LL COMPUTER CORP	20	11-07-02	02-06-03	595.71	485.80	(109.91)	Short Term
PONT EI DE NEMOURS & CO	10	10-14-02	10-14-03	393.39	404.01	10.62	Short Term
STMAN CHEMICAL COMPANY	15	06-12-03	10-14-03	499.20	480.18	(19.02)	Short Term
STMAN CHEMICAL COMPANY	5	06-12-03	11-03-03	166.40	161.56	(4.84)	Short Term
STMAN CHEMICAL COMPANY	15	08-05-03	11-03-03	535.35	484.67	(50.68)	Short Term
STMAN KODAK CO	5	10-11-02	01-21-03	142.39	189.93	47.54	Short Term
STMAN KODAK CO	35	10-11-02	02-24-03	996.72	1,028.31	31.59	Short Term
EEPORT MCMORAN CP&GLD CL B	25	06-12-03	07-01-03	584.50	602.12	17.62	Short Term
IDANT CORP	15	02-20-03	06-12-03	531.75	610.77	79.02	Short Term
IDANT CORP	20	03-17-03	06-12-03	719.77	814.36	94.59	Short Term
GERSOLL RAND CO CL A	15	10-22-02	01-27-03	586.77	587.44	.67	Short Term
GERSOLL RAND CO CL A	15	10-22-02	02-10-03	586.77	565.63	(21.14)	Short Term
YCORP NEW	20	09-26-02	04-21-03	509.10	462.36	(46.74)	Short Term
YCORP NEW	25	09-26-02	09-22-03	636.38	645.80	9.42	Short Term
HMAN BROS HOLDING INC DELA	5	06-12-03	11-11-03	368.60	367.83	(.77)	Short Term
RRILL LYNCH & CO INC	10	06-12-03	11-26-03	472.90	561.34	88.44	Short Term
SDAQ-100 TRUST SERIES I	15	06-12-03	09-11-03	456.00	500.09	44.09	Short Term
SDAQ-100 TRUST SERIES I	25	06-12-03	10-16-03	760.00	881.67	121.67	Short Term
HELL RUBBERMAID INC	20	07-02-02	01-08-03	679.00	603.10	(75.90)	Short Term
HMONT MINING CORP (NEW)	5	06-12-03	08-22-03	158.20	187.95	29.75	Short Term
HMONT MINING CORP (NEW)	10	06-12-03	10-13-03	316.40	390.90	74.50	Short Term
RFOLK SOUTHERN CORP	25	10-16-02	02-04-03	523.68	478.22	(45.46)	Short Term
RFOLK SOUTHERN CORP	30	10-16-02	07-07-03	628.42	588.29	(40.13)	Short Term
G INDUSTRIES INC	20	06-25-02	03-28-03	1,206.08	927.86	(278.22)	Short Term
C COMMUNICATIONS	5	09-25-02	01-09-03	114.91	144.30	29.39	Short Term
C COMMUNICATIONS	20	09-25-02	02-10-03	459.66	487.43	27.77	Short Term
PERVALU INC	10	11-05-02	07-02-03	172.08	219.98	47.90	Short Term
PERVALU INC	25	11-05-02	07-10-03	430.20	541.86	111.66	Short Term
PERVALU INC	30	11-05-02	09-23-03	516.24	743.47	227.23	Short Term
MBOL TECHNLGS	10	08-01-03	11-12-03	135.20	144.69	9.49	Short Term
S BANCORP COM NEW	60	07-29-02	04-02-03	1,219.73	1,183.78	(35.95)	Short Term
RIZON COMMUNICATIONS	1	06-09-03	10-03-03	38.91	33.55	(5.36)	Short Term
RIZON COMMUNICATIONS	19	06-09-03	10-14-03	739.38	593.39	(145.99)	Short Term
YERHAEUSER CO	20	06-14-02	04-02-03	1,230.83	986.65	(244.18)	Short Term
Sub Total Short Term				$26,816.44	$26,316.75	($499.69)	
Long Term							
T & T CORP NEW	20	02-26-02	10-03-03	576.61	410.71	(165.90)	Long Term
HEUSER BUSCH COS INC	20	03-10-99	02-06-03	776.25	940.26	164.01	Long Term
HCAST CORP (NEW) CLASS A	10	02-26-02	07-10-03	301.60	317.43	15.83	Long Term
HCAST CORP (NEW) CLASS A	22	02-26-02	07-25-03	663.52	647.90	(15.62)	Long Term
HPUTER ASSOC INTL INC	70	01-18-01	04-22-03	2,139.38	1,061.67	(1,077.71)	Long Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
OMPUTER ASSOC INTL INC	30	03-16-01	07-01-03	729.00	650.71	(78.29)	Long Term
OMPUTER ASSOC INTL INC	10	03-16-01	07-23-03	243.00	224.87	(18.13)	Long Term
OMPUTER ASSOC INTL INC	5	02-20-02	07-23-03	109.56	112.43	2.87	Long Term
OMPUTER ASSOC INTL INC	10	02-20-02	11-12-03	219.11	231.08	11.97	Long Term
OMPUTER ASSOC INTL INC	25	02-20-02	11-19-03	547.78	554.99	7.21	Long Term
U PONT EI DE NEMOURS & CO	15	08-14-02	09-22-03	605.09	618.34	13.25	Long Term
U PONT EI DE NEMOURS & CO	5	08-14-02	10-14-03	201.70	202.01	.31	Long Term
L PASO CORPORATION	20	09-01-00	10-28-03	1,196.25	145.25	(1,051.00)	Long Term
L PASO CORPORATION	24	01-19-01	10-28-03	1,478.24	174.30	(1,303.94)	Long Term
L PASO CORPORATION	40	12-12-01	10-28-03	1,568.80	290.50	(1,278.30)	Long Term
LECTR DATA SYSTEMS CORP	10	08-12-00	12-15-03	616.00	225.47	(390.53)	Long Term
LECTR DATA SYSTEMS CORP	25	07-14-00	12-15-03	1,037.50	563.68	(473.82)	Long Term
LECTR DATA SYSTEMS CORP	20	07-12-02	12-15-03	698.99	450.94	(248.05)	Long Term
LI LILLY & CO	20	07-16-99	02-07-03	1,523.75	1,196.08	(327.67)	Long Term
LI LILLY & CO	10	08-06-99	02-26-03	631.88	561.31	(70.57)	Long Term
EXELON CORP	5	06-07-02	07-11-03	257.25	284.80	27.55	Long Term
EWLETT PACKARD	55	12-21-01	01-24-03	826.87	1,038.78	211.91	Long Term
EWLETT PACKARD	20	12-21-01	09-11-03	300.68	401.35	100.67	Long Term
EWLETT PACKARD	35	12-21-01	09-23-03	526.19	708.61	182.42	Long Term
NTL BUSINESS MACHINES CORP	10	03-10-99	01-27-03	908.75	782.59	(126.36)	Long Term
ERCK & CO	30	07-17-01	08-12-03	1,910.10	1,626.27	(283.83)	Long Term
BC COMMUNICATIONS	20	09-25-02	10-03-03	459.66	457.10	(2.56)	Long Term
CHERING PLOUGH CORP	25	03-10-99	06-02-03	1,403.13	451.04	(952.09)	Long Term
CHERING PLOUGH CORP	15	03-10-99	07-14-03	841.88	257.29	(584.59)	Long Term
CHERING PLOUGH CORP	20	09-30-99	07-14-03	859.56	343.06	(516.50)	Long Term
ERIZON COMMUNICATIONS	15	03-10-99	01-09-03	767.97	604.22	(163.75)	Long Term
ERIZON COMMUNICATIONS	15	03-10-99	03-04-03	767.97	521.64	(246.33)	Long Term
ERIZON COMMUNICATIONS	4	03-10-99	10-03-03	204.79	134.19	(70.60)	Long Term
ERIZON COMMUNICATIONS	10	07-24-00	10-03-03	490.63	335.48	(155.15)	Long Term
Sub Total Long Term				$26,389.44	$17,526.15	($8,863.29)	
Totals for closing transactions with cost data available				$53,205.88	$43,842.90	($9,362.98)	
Total Proceeds					$43,842.90		

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2003

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001

Account Number
642 032928 022

IRA Rollover
Dated 02/25/03
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$1,246.76	3.5%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	33,639.56	96.5
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$34,886.32	100.0%
Cash	0.00	
Total Asset Value	$34,886.32	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$0.44	$5.80
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	181.99	493.68
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$182.43	$499.48

Activity Summary

Total Asset Value November 30 2003		$33,612.78
Cash/Money Market Activity for December		
Closing Balance 11/30	$1,246.23	
Credits To Your Account		
Dividends and Interest	132.43	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	16.73	
Total Credits	149.16	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-148.63	
Other Debits	0.00	
Total Debits	-148.63	
Closing Balance 12/31	1,246.76	
Net Change Cash/Money Market Activity		0.53
Changes In Asset Value for December		
Value of Priced Assets 11/30	$32,366.55	
Securities Bought	148.63	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	1,124.38	
Value of Priced Assets 12/31	33,639.56	
Net Change In Asset Value		1,273.01
Total Asset Value as of December 31 2003		$34,886.32

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 032828 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
LIQUID ASSET FUND	1,246.76	8.5%	6
Total Cash and Money Market Funds	$1,246.76	8.5%	$6
Net Change Since Last Statement	$1.56		

Annualized 30 Day Yields	
Liquid Asset Fund	0.54%
U.S. Gov't Money Market Tr	0.40%

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Mutual Funds								
299.184 AMERICAN OPPORTUNITIES FD D	22.9500	6,866.27	19.7%	N/A	N/A	174944823	AMODX	Reinv/Reinv
Other Mutual Funds								
435.407 VAN KAMPEN GROWTH & INCOME A	18.0400	7,854.74	22.5%	N/A	N/A		ACGIX	Reinv/Reinv
188.853 MSIF US CORE FIX INC INST	11.1000	2,096.27	6.0%	N/A	N/A		MPSFX	Reinv/Reinv
726.478 MSIF TR LTD DURATION INST	10.5900	7,693.40	22.1%	N/A	N/A		MPLDX	Reinv/Reinv
197.971 MSIF TR HI YIELD PORT INST	5.4200	1,073.00	3.1%	N/A	N/A		MPHYX	Reinv/Reinv
505.071 VAN KAMPEN COMSTOCK A	15.9500	8,055.88	23.1%	N/A	N/A		ACSTX	Reinv/Reinv
Total Other Mutual Funds		26,773.29	76.8%	N/A	N/A			
Total Mutual Funds		$33,639.56	96.5%	N/A	N/A			
Net Change Since Last Statement		$1,278.01						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$34,886.32	$6
Total Net Change In Priced Assets Since Last Statement	$1,273.01	

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2003

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

#BWNJGWM
00170764 2 MB 0.566 02 TR 1190 -000
THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB
WASHINGTON DC 20515-0001 642

Account Number
642 115916 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$19.95	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	143,560.82	100.0
Asset Value	$143,580.77	100.0%
Cash	0.00	
Total Asset Value	$143,580.77	

Income Summary

	Year To Date
Money Market Funds	$0.00
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$0.00
Taxable Income	$0.00
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2003		$137,749.95
Cash/Money Market Activity for 2003		
Credits To Your Account		
Dividend and Interest	0.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	0.00	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	-100.00	
Total Debits	-100.00	
Changes in Asset Value for 2003		
Value of Priced Assets 1/01/03	$137,630.00	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	5,930.82	
Value of Priced Assets 12/31/03	143,560.82	
Net Change in Asset Value		5,930.82
Total Asset Value as of December 31 2003		$143,580.77

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

3996

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 115916 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS TAX FREE TRUST	19.95		0
Total Cash and Money Market Funds	$19.95		$0

Net Change Since January 1, 2003 -100.00

Annualized 30 Day Yields

Active Assets Money Trust	0.68%
Active Assets Govt. Sec. Tr.	0.51%
Active Assets California Tax Free	0.44%
Active Assets Tax Free Trust	0.57%
Active Assets Insured Account	0.34%
Active Assets Deposit Account	0.34%

Other		Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures							
43.609 PRT STRATEG FUND	(EST.VAL)	3,292.00	143,560.82	100.0%	0	12/30	
Total Other			$143,560.82	100.0%			

Net Change Since January 1, 2003 $5,930.82

Asset Summary	Value	Est Yrly Income
Total Asset Value	$143,580.77	$0
Total Net Change In Priced Assets Since January 1, 2003	$5,930.82	

DEBITS TO YOUR ACCOUNT

Other Debits	Date	Activity	Description	Amount	Additional Information
	04-02	Charge	AAA ANNUAL SERVICE FEE	-100.00	
			Total Other Debits	-100.00	
Total Debits To Your Account				-100.00	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

3997

Attachment 11 - p.1

3998

Financial Advisor,
EDWARD G RAHALL
Office Phone:
(304) 252-6221

Office Address:
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
Investment Account number: 7175-5621
Taxpayer Identification number: 52-7185892
Issue Date: 1/16/2004

Portfolio Assets *on 12/31/2003*

Investments in stocks, bonds, mutual funds and other securities are offered through Wachovia Securities.
This section includes estimated unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Systematic investments in mutual funds and reinvested dividends for both stocks and mutual funds have been consolidated for each position. Estimated Annual Income reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield reflects the current estimated annual income divided by the current value of the security as of the statement closing date.

Cash and Money Market Funds

Description	Market Value	Estimated Ann. Income	Estimated Curr. Yield (%)
Cash	10,441.22		
BANK DEPOSIT SWEEP OPTION	265,261.72	1,724.20	0.65%
EVERGREEN US GOVT MONEY MARKET FD CL A	0.00		0.05%
Total Cash and Money Market Funds	$ 275,702.94		

Stocks and Options

Stocks

Description	Symbol	# of Shares	Share Price	Market Value	Estimated Annual Income / Yield
ISHARES DOW JONES SELECT DIVIDEND INDEX FUND	DVY	100.00000	53.84000	5,384.00	175.00 / 3.25
MICROSOFT CORP	MSFT	206.00000	27.37000	5,638.22	32.96 / 0.58
PEPSICO INCORPORATED	PEP	100.00000	46.62000	4,662.00	64.00 / 1.37

YT131020 095880 020100700131 YYNNN NNNNN NNNNNNNN 000012

WACHOVIA SECURITIES

ANNUAL SUMMARY
ACCOUNT STATEMENT

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Financial Advisor,
EDWARD G RAHALL
Office Phone:
(304) 252-6221

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122 MAIN STREET
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NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
Investment Account number: 7175-5621
Taxpayer Identification number: 52-7185892
Issue Date: 1/16/2004

Portfolio Assets *on 12/31/2003 Continued*

Stocks

Description	Symbol	# of Shares	Share Price	Market Value	Estimated Annual Income / Yield%
PROCTER & GAMBLE CO	PG	68.00000	99.88000	6,791.84	123.76 / 1.82%
SYSCO CORPORATION	SYY	134.00000	37.23000	4,988.82	69.68 / 1.39%
VERIZON COMMUNICATIONS COM	VZ	150.00000	35.08000	5,262.00	231.00 / 4.38%
3M CO	MMM	60.00000	85.03000	5,101.80	79.20 / 1.55%
Total - Stocks				$37,828.68	$775.60
Total - Stocks and Options				$37,828.68	$775.60

Bonds

Mortgage-backed Securities

Description	# of Bonds	Price	Market Value	Accrued Interest	Estimated Annual Income / Yield%
FHLMC 2719 AA REMIC MULTICLASS CMO RETAIL LOTTERY CPN 5.750% DUE 03/15/32 REMAIN BAL 34,000.00 DEC FACTOR 1.00000000 CUSIP# 31394MRD4					

3999

11 - n.3

4000

Financial Advisor,
EDWARD G RAHALL
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REMAINDER UNIT TRUST
Investment Account number: 7175-5621
Taxpayer Identification number: 52-7185892
Issue Date: 1/16/2004

Portfolio Assets *on 12/31/2003 Continued*

Mortgage-backed Securities

Description	*# of Bonds*	*Price*	*Market Value*	*Accrued Interest*	*Estimated Annual Income / Yield%*
	34,000.00000	100.01400	34,004.76	162.86	1,955.00 / 5.74%
FNMA 03-79 BA REMIC MULITCLASS CMO CPN 5.000% DUE 03/25/32 DTD 07/01/03 REMAIN BAL 18,525.98 DEC FACTOR .92629945 CUSIP# 31393EDJ5	20,000.00000	95.01800	17,603.02	77.20	926.29 / 5.26%
FHLMC 2719 AK REMIC MULTICLASS CMO CPN 5.750% DUE 04/15/32 DTD 12/01/03 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP# 31394MRH5	25,000.00000	99.75000	24,937.50	119.75	1,437.50 / 5.76%
GNMA 03-102 JW REMIC MULTICLASS CMO CPN 5.750% DUE 09/16/32 DTD 11/01/03 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP# 38374EDS3					

WACHOVIA SECURITIES

ANNUAL SUMMARY
ACCOUNT STATEMENT

Financial Advisor,
EDWARD G RAHALL
Office Phone:
(304) 252-6221

Office Address:
122 MAIN STREET
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BECKLEY, WEST VIRGINIA 25802

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
Investment Account number: 7175-5621
Taxpayer Identification number: 52-7185892
Issue Date: 1/16/2004

Portfolio Assets *on 12/31/2003 Continued*

Mortgage-backed Securities

Description	# of Bonds	Price	Market Value	Accrued Interest	Estimated Annual Income / Yield%
	25,000.00000	97.76700	24,441.75	119.75	1,437.50 / 5.88%
GNMA 03-85 GA REMIC MULTICLASS CMO CPN 5.500% DUE 02/20/33 DTD 10/01/03 REMAIN BAL 68,860.45 DEC FACTOR .91813946 CUSIP# 38374C2R1	75,000.00000	99.70500	68,657.32	315.75	3,787.32 / 5.51%
GNMA 03-70 YA REMIC MULTICLASS CMO CPN 5.500% DUE 05/20/33 DTD 08/01/03 REMAIN BAL 3,631.48 DEC FACTOR .90787097 CUSIP# 38374BH30	4,000.00000	98.45800	3,575.48	16.64	199.73 / 5.58%
FHLMC 2646 LL RETAIL LOTTERY REMIC MULTICLASS CMO CPN 5.000% DUE 07/15/33 REMAIN BAL 18,000.00 DEC FACTOR 1.00000000 CUSIP# 31393VZT1					

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Financial Advisor,
EDWARD G RAHALL
Office Phone:
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NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
Investment Account number: 7175-5621
Taxpayer Identification number: 52-7185892
Issue Date: 1/16/2004

Portfolio Assets *on 12/31/2003 Continued*

Mortgage-backed Securities

Description	# of Bonds	Price	Market Value	Accrued Interest	Estimated Annual Income / Yield%
	18,000.00000	88.53700	15,936.66	75.06	900.00 / 5.64%
FHLMC 2668 MM RETAIL LOTTERY REMIA MULTICLASS CMO CPN 6.000% DUE 09/15/33 REMAIN BAL 20,000.00 DEC FACTOR 1.00000000 CUSIP# 31394HU74					
	20,000.00000	100.00600	20,001.20	100.00	1,200.00 / 5.99%
Total - Mortgage-backed Securities			**$209,157.69**	**$ 987.01**	**$11,643.34**

Total Remaining Balance on all Mortgage-backed Securities $ 213,017.91

Total - Bonds	**$209,157.69**		**$11,643.34**

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2003

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

SDMKJCWH
00170787 2 MB 8.566 02 TR 1190 -000
NICK RAHALL CHAR REM UNITRUST
DTD 12/18/99
NICK JOE RAHALL II TTEE 642
2307 RAYBURN HOB
WASHINGTON DC 20515-4803

Account Number
642 153988 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$0.00	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	39,708.86	100.0
Asset Value	$39,708.86	100.0%
Cash	-54.11	
Total Asset Value	$39,654.75	

Income Summary

	Year To Date
Money Market Funds	$6.33
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	39.56
Total Income	$45.89
Taxable Income	$45.89
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2003		$0.00
Cash/Money Market Activity for 2003		
Credits To Your Account		
Dividend and Interest	45.89	
Deposits	35,000.00	
Sales Proceeds/Redemptions	35,000.00	
Other Credits	0.00	
Total Credits	70,045.89	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-70,000.00	
Other Debits	-100.00	
Total Debits	-70,100.00	

Changes in Asset Value for 2003		
Value of Priced Assets 1/01/03	$0.00	
Securities Bought	70,000.00	
Securities Received	0.00	
Securities Sold/Redeemed	-35,000.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	4,708.86	
Value of Priced Assets 12/31/03	39,708.86	
Net Change in Asset Value		39,708.86
Total Asset Value as of December 31 2003		$39,654.75

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2003

NICK RAHALL CHAR REM UNITRUST
DTD 12/16/99

Account Number
642 153988 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	-54.11	---	---
Total Cash and Money Market Funds	$54.11		0
Net Change Since January 1, 2003	-54.11		

Annualized 30 Day Yields

Active Assets Money Trust	0.68%
Active Assets Govt. Sec. Tr.	0.51%
Active Assets California Tax Free	0.44%
Active Assets Tax Free Trust	0.57%
Active Assets Insured Account	0.34%
Active Assets Deposit Account	0.34%

Other		Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures							
951.993	DW SPECTRUM STRATEGC (EST.VAL)	14.18	13,499.26	34.0%	0	12/30	
1,159.204	DW SPECTRUM TECH (EST.VAL)	22.61	26,209.60	66.0%	0	12/30	
Total Other			$39,708.86	100.0%			
Net Change Since January 1, 2003			$39,708.86				

Asset Summary	Value	Est Yrly Income
Total Asset Value	$39,654.75	0
Total Net Change in Priced Assets Since January 1, 2003	$39,708.86	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	6.33	Money Market Funds
Taxable Interest	DW SPECTRUM STRATEGC	13.22	Managed Futures
Taxable Interest	DW SPECTRUM TECH	26.34	Managed Futures
	Total Managed Futures	$39.56	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

NICK RAHALL CHAR REM UNITRUST
DTD 12/16/99

Account Number
642 153998 022

Dividends and Interest

Activity	Description	Amount	Income Category
	Total Dividends and Interest	945.07	

Deposits

Date	Activity	Description	Amount	Additional Information
03-03	Transfer	FUNDS TRANSFERRED	17,500.00	PER LETTER OF AUTHORIZATION FROM 642 151360 0
03-03	Transfer	FUNDS TRANSFERRED	17,500.00	PER LETTER OF AUTHORIZATION FROM 642 151361 0
		Total Deposits	35,000.00	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-01	04-10	Escrow Sold		DW SPECTRUM STRATEGIC		11,700.00	
04-01	04-10	Escrow Sold		DW SPECTRUM TECHNICAL		23,300.00	
				Total Sales Proceeds/Redemptions		35,000.00	

Total Credits To Your Account 270,045.07

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-10	03-10	Buy Escrow		DW SPECTRUM STRATEGC		11,700.00	UNSETTLED PURCHASE IN ESCROW
03-10	03-10	Buy Escrow		DW SPECTRUM TECH		23,300.00	UNSETTLED PURCHASE IN ESCROW
04-01	04-10	Bought	951.993	DW SPECTRUM STRATEGIC	12.2900	11,700.00	
04-01	04-10	Bought	1,159.204	DW SPECTRUM TECHNICAL	20.1000	23,300.00	
				Total Funds to Purchase Securities		-70,000.00	

WACHOVIA SECURITIES

Capital Resource Account

15,7

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	Account No.
001 / BK	/ BK08	6540-1050

December 1 - December 31, 2003

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Current Investment Objective: Growth & Income + Aggressive

Portfolio Summary

Portfolio assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% total assets
Cash and money market	$246.15	$42,656.78	216.60	49.12
Stocks and options	$39,103.00	$8,074.00	0.00	9.30
Preferred stocks	$0.00	$0.00	0.00	
Bonds	$1,649.01	$1,573.53	128.93	1.81
Certificates of Deposit	$0.00	$0.00	0.00	
Mutual funds	$43,560.01	$34,544.86	401.64	39.78
Annuities/Insurance	$0.00	$0.00	0.00	
Unit investment trusts	$0.00	$0.00	0.00	
Total assets	**$84,558.17**	**$86,849.17**	**747.17**	**100%**
Outstanding margin balance	$0.00	$0.00	0.00	
Net portfolio value	**$84,558.17**	**$86,849.17**		

Other assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct investments	$0.00	$0.00
Special products	$0.00	$0.00
Total other assets	**$0.00**	**$0.00**

Account Summary

	Statement Period	Year-to-date
Opening value of securities	$84,312.02	$61,501.31
Opening value of cash	$246.15	$7,444.54
Total opening value	**$84,558.17**	**$68,945.85**
Closing value of securities	$44,192.39	$44,192.39
Closing value of cash	$42,656.78	$42,656.78
Total closing value	**$86,849.17**	**$86,849.17**
Change in value	**$2,291.00**	**$17,903.32**

Cash Activity Summary

Total cash and money market funds on Nov 30: $246.15

	Credits	Debits	Year-to-date
Securities purchased	$0.00	- $2,601.03	- $54,541.11
Securities sold and redeemed	$44,868.33	$0.00	$87,653.43
Deposits and withdrawals	$0.00	$0.00	$66.00
Withdrawals by check	$0.00	$0.00	$0.00
Electronic banking activity	$0.00	$0.00	$0.00
Dividends	$44.00	$0.00	$669.32
Interest	$21.22	$0.00	$181.37
Money market income	$0.00	$0.00	$11.08
Short term distributions	$0.00	$0.00	$174.45
Long term distributions	$0.00	$0.00	$0.00
Return of principal	$78.11	$0.00	$997.72
Partnership Distributions	$0.00	$0.00	$0.00
Interest charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other activity	$0.00	$0.00	$0.00
Total activity	$45,011.66	- $2,601.03	
Total cash and money market funds on Dec 31:			**$42,656.78**

Tax-exempt income year-to-date: $0.00
Other income year-to-date: $1,522.54

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary above due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in these totals. The YTD Money market income is displayed above in the Cash Activity Summary. The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT

Sub	Branch	Rep	Account No.
001	BK	BK08	6540-1050

December 1 - December 31, 2003

Portfolio Assets

Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related Income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and money market funds

Description	Current market value	Est. ann. Income	Est current yield(%)
Cash	$9,333.12		
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/03 - 01/01/04	$33,323.66	$216.60	0.65
EVERGREEN US GOVT MONEY MARKET FD CL A Interest Period 12/01/03 - 01/01/04	$0.00		0.05
Total cash and money market funds	**$42,656.78**		

Stocks and options

Stocks

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
CHARTER COMMUNICATIONS INC	CHTR	500	$4.0200	$2,010.00	N/A	N/A
DAVE & BUSTERS INC	DAB	200	$12.6800	$2,536.00	N/A	N/A
INSIGHT COMMUNICATIONS INC	ICCI	200	$10.3400	$2,068.00	N/A	N/A
QUANTA SVCS INC	PWR	200	$7.3000	$1,460.00	N/A	N/A
Total Stocks				**$8,074.00**		
Total Stocks and options				**$8,074.00**		

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WACHOVIA SECURITIES

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT

ACCOUNT STATEMENT

Sub / Branch / Rep Account No.
001 / BK / BK08 6540-1050

December 1 - December 31, 2003

Bonds

Mortgage-backed securities

Description	# of bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
MSMT K 3 MULTICLASS CMO CPN 8.425% DUE 09/20/18 DTD 05/20/87 FC 09/20/87 CALL 06/20/99 @ 100.000 Moody NR , S&P AAA REMAIN BAL 214.59 DEC FACTOR .07163231 CUSIP 617909AD8	3,000	100.3472	$215.34	$0.54	$18.07	8.39
FNMA 91 6ZD PAC 0% COMPOUNDED RATE MULTICLASS CMO CPN 9.000% DUE 01/25/21 DTD 01/01/91 FC 02/25/91 Moody NR , S&P NR REMAIN BAL 1,036.73 DEC FACTOR .34567748 CUSIP 31358FZB8	3,000	108.8850	$1,128.84	N/A	$93.30	8.26
FNMA 92 11E MULTICLASS CMO CPN 8.000% DUE 12/25/21 DTD 01/01/92 FC 02/25/92 REMAIN BAL 219.60 DEC FACTOR .07320244 CUSIP 31358LBZ8	3,000	104.4380	$229.35	$1.47	$17.56	7.66
Total Mortgage-backed securities			**$1,573.53**	**$2.01**	**$128.93**	**8.19**
Total Remaining Balance on all Mortgage-backed securities $1,470.92						
Total Bonds			**$1,573.53**	**$2.01**	**$128.93**	**8.19**

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NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT

Sub / Branch / Rep Account No.
001 / BK / BK08 6540-1050

December 1 - December 31, 2003

Mutual funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann yield (%)
AIM BLUE CHIP FUND B	ABCBX	386.99700	$10.7000	$4,140.86	N/A	N/A
MFS RESEARCH GROWTH & INCOME FD CL B	MRGBX	626.30000	$14.7500	$9,237.92	N/A	N/A
MFS MASSACHUSETTS INVT TR CL B	MITBX	533.08500	$15.2600	$8,134.87	N/A	N/A
VK PACE FUND CL B	ACPBX	909.17500	$8.3200	$7,564.33	N/A	N/A
ZWEIG FUND INC	ZF	1,115.69100	$4.9000	$5,466.88	$401.64	7.34
Total Mutual funds				**$34,544.86**	**$401.64**	**1.16**

4009

FIRST CLEARING, LLC 2003

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

WACHOVIA SECURITIES

SUB	REP	ACCOUNT	PAGE
001	BK08	6540-1050	1 OF 7
	TAXPAYER ID: 233-25-9977		

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NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
106 MAIN ST
BECKLEY WV 25801-4611

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE.
IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON
YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 03/12/04

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION		DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	CNTRY
EVERGREEN US GOVT MM FD		01/31/03		DIVIDEND	$1.75	1A	
EVERGREEN US GOVT MM FD		02/28/03		DIVIDEND	$0.34	1A	
EVERGREEN US GOVT MM FD		03/31/03		DIVIDEND	$0.13	1A	
EVERGREEN US GOVT MM FD		04/30/03		DIVIDEND	$0.23	1A	
EVERGREEN US GOVT MM FD		05/30/03		DIVIDEND	$1.56	1A	
EVERGREEN US GOVT MM FD		06/30/03		DIVIDEND	$2.67	1A	
EVERGREEN US GOVT MM FD		07/31/03		DIVIDEND	$2.30	1A	
EVERGREEN US GOVT MM FD		08/29/03		DIVIDEND	$1.10	1A	
EVERGREEN US GOVT MM FD		09/30/03		DIVIDEND	$0.67	1A	
EVERGREEN US GOVT MM FD		10/31/03		DIVIDEND	$0.31	1A	
EVERGREEN US GOVT *	(1)	12/31/03		DIVIDEND	$14.13	1A	
GILLETTE CO		03/05/03	01/29/03	QUAL DIVIDEND	$16.25	1B	
GILLETTE CO		06/05/03	04/29/03	QUAL DIVIDEND	$16.25	1B	
LIBERTY ALL-STAR EQUITY		01/02/03	11/13/02	QUAL DIVIDEND	$8.85	1B	
LIBERTY ALL-STAR EQUITY		03/17/03		QUAL DIVIDEND	$15.83	1B	
LIBERTY ALL-STAR EQUITY		06/30/03	05/14/03	QUAL DIVIDEND	$18.12	1B	
LIBERTY ALL-STAR EQUITY		10/06/03	08/13/03	QUAL DIVIDEND	$19.51	1B	
TRIBUNE CO NEW		09/11/03	08/26/03	QUAL DIVIDEND	$11.00	1B	
TRIBUNE CO NEW		12/11/03	11/24/03	QUAL DIVIDEND	$11.00	1B	
U S RESTAURANTS PPTYS		11/14/03		DIVIDEND	$20.12	1A	
U S RESTAURANTS PPTYS		12/15/03		DIVIDEND	$20.12	1A	
WENDYS INTL INC		02/25/03	02/06/03	QUAL DIVIDEND	$6.00	1B	
WENDYS INTL INC		05/19/03	05/01/03	QUAL DIVIDEND	$6.00	1B	
ZWEIG FUND INC		04/28/03	04/07/03	QUAL DIVIDEND	$9.71	1B	
ZWEIG FUND INC		07/28/03	07/07/03	QUAL DIVIDEND	$10.57	1B	
ZWEIG FUND INC		10/27/03	10/06/03	QUAL DIVIDEND	$7.02	1B	
ZWEIG FUND INC	RTCS	01/12/04	01/05/04	QUAL DIVIDEND	$3.86	1B	
TOTAL DIVIDENDS					$225.20		
LIBERTY ALL-STAR EQUITY		01/02/03		POST MAY 5TH GAIN	$31.61	2B	
LIBERTY ALL-STAR EQUITY		03/17/03		POST MAY 5TH GAIN	$56.55	2B	
LIBERTY ALL-STAR EQUITY		06/30/03		POST MAY 5TH GAIN	$64.74	2B	
LIBERTY ALL-STAR EQUITY		10/06/03		POST MAY 5TH GAIN	$69.71	2B	
U S RESTAURANTS PPTYS		11/14/03		LONG TERM CAP	$2.62	2A	
U S RESTAURANTS PPTYS		12/15/03		LONG TERM CAP	$2.62	2A	
TOTAL CAPITAL GAINS					$227.85		
LIBERTY ALL-STAR EQUITY		01/02/03		RETURN OF CAPITAL	$57.04	3	
LIBERTY ALL-STAR EQUITY		03/17/03		RETURN OF CAPITAL	$102.07	3	
LIBERTY ALL-STAR EQUITY		06/30/03		RETURN OF CAPITAL	$116.85	3	
LIBERTY ALL-STAR EQUITY		10/06/03		RETURN OF CAPITAL	$125.80	3	
U S RESTAURANTS PPTYS		11/14/03		RETURN OF CAPITAL	$10.24	3	
U S RESTAURANTS PPTYS		12/15/03		RETURN OF CAPITAL	$10.24	3	
ZWEIG FUND INC		04/28/03		RETURN OF CAPITAL	$126.58	3	
ZWEIG FUND INC		07/28/03		RETURN OF CAPITAL	$137.82	3	

<<<< FORM 1099-DIV CONTINUED ON NEXT PAGE >>>

FIRST CLEARING, LLC **2003**

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR	SUB	REP	ACCOUNT	PAGE
106 MAIN ST	001	BK08	6540-1050	2 OF 7
BECKLEY WV 25801-4611		TAXPAYER ID: 233-25-9977		

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

CORRECTION: 03/12/04

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	CNTRY
ZWEIG FUND INC	10/27/03		RETURN OF CAPITAL	$91.51	3	
ZWEIG FUND INC	RICS 01/12/04		RETURN OF CAPITAL	$47.66	3	
TOTAL NON TAXABLE DISTRIBUTIONS				$825.81		

IRS BOX	1099-DIV -- TOTALS FOR TAX YEAR 2003 SUBSTITUTE FOR IRS FORM 1099-DIV, OMB NO. 1545-0110	
1A.	TOTAL ORDINARY DIVIDENDS	$225.20
1B.	QUALIFIED DIVIDENDS	$159.77
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$227.85
2B.	POST-MAY 5 CAPITAL GAIN DISTRIBUTIONS	$222.61
2C.	QUALIFIED 5-YEAR GAIN	$0.00
2D.	UNRECAP SEC. 1250 GAIN	$0.00
2E.	SECTION 1202 GAIN	$0.00
2F.	COLLECTIBLES (28%) GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$825.81
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NON CASH LIQUIDATION DISTRIBUTIONS	$0.00

RICS THIS JANUARY 2004 DISTRIBUTION IS REPORTED AS 2003 INCOME ACCORDING TO IRS REGULATIONS. THE INCOME CREDIT DOES NOT APPEAR ON YOUR 2003 STATEMENT.

(1) INCLUDES A SERVICE FEE OF $14.13 THAT MAY BE DEDUCTIBLE

Department of the Treasury - Internal Revenue Service

FIRST CLEARING, LLC 2003 1099 - OID, REMIC

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

PAGE: 1 OF 3

ACCOUNT NUMBER:	6540-1050
SUB/REP NUMBER:	001/BK08
TAX ID NUMBER:	233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
106 MAIN ST
BECKLEY WV 25801-4611

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

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ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

IRS BOX	1099-OID -- TOTALS FOR TAX YEAR 2003	
1.	ORIGINAL ISSUE DISCOUNT	$136.76
2.	OTHER PERIODIC INTEREST	$26.84
7.	INVESTMENT EXPENSE	$0.00

DATE QUANTITY ACTION
01/01 3,000.00 POSITION

CUSIP: 31358FZB8 --ACCRUED OID--
FNMA 91 62D 9.01/25/21 $136.76
FNMA 91 62D PAC
CPN 9.000% DUE 01/25/21

NOTE: ACCRUED INTEREST AND/OR ACCRUED OID WAS CALCULATED USING THE FOLLOWING TABLE.

BEG DATE	END DATE	DAYS	QUANTITY	ACCR INT	ACCR OID	ACCR EXP	INT ACCRUED	OID ACCRUED	ADJ PRICE	DISC FRAC
01/01	01/24	24	3000.00		$11.04		.00000000	.15327040	534.0174	.01143930
01/25	02/24	30	3000.00		$13.06		.00000000	.14515940	513.9515	.01412430
02/25	03/24	30	3000.00		$12.83		.00000000	.14259530	498.8413	.01434040
03/25	04/24	30	3000.00		$12.22		.00000000	.13576480	482.1243	.01417290
04/25	05/24	30	3000.00		$11.92		.00000000	.13245320	468.3374	.01427770
05/25	06/24	30	3000.00		$12.18		.00000000	.13530760	454.2702	.01508530
06/25	07/24	30	3000.00		$11.06		.00000000	.12265360	432.4034	.01443810
07/25	08/24	30	3000.00		$11.28		.00000000	.12538720	418.6548	.01526770
08/25	09/24	30	3000.00		$9.70		.00000000	.10781460	397.5367	.01386990
09/25	10/24	30	3000.00		$10.97		.00000000	.12186420	391.0350	.01598650
10/25	11/24	30	3000.00		$10.07		.00000000	.11193880	365.5655	.01576540
11/25	12/24	30	3000.00		$8.47		.00000000	.09414270	343.9513	.01413360
12/25	12/31	6	3000.00		$1.96		.00000000	.10863860	337.2863	.00333750

FIRST CLEARING, LLC 2003 1099 - OID, REMIC

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

PAGE: 2 OF 3

ACCOUNT NUMBER:	6540-1050
SUB/REP NUMBER:	001/BK08
TAX ID NUMBER:	233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
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ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

CUSIP: 31358FZB8
FNMA 91 6?D 9.01/25/21
FNMA 91 6?D PAC
CPN 9.000% DUE 01/25/21

ASSET COMPOSITION	1ST QRTR	2ND QRTR	3RD QRTR	4TH QRTR
IRC SECTION 593 :	95%	95%	95%	95%
IRC SECTION 7701 :	95%	95%	95%	95%
IRC SECTION 856 :	95%	95%	95%	95%

OID CALCULATION INCLUDES OID AND INTEREST.

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FIRST CLEARING, LLC 2003 1099 - OID, REMIC

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

ACCOUNT NUMBER:	6540-1050
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ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

DATE	QUANTITY	ACTION
01/01	3,000.00	POSITION

CUSIP: 31358LBZ8 --ACCRUED INT--
FNMA 92 11E 8 12/25/21 $26.84
FNMA 92 11E
DTD 01/01/92 FC 02/25/92

NOTE: ACCRUED INTEREST AND/OR ACCRUED OID WAS CALCULATED USING THE FOLLOWING TABLE.

BEG DATE	END DATE	DAYS	QUANTITY	ACCR INT	ACCR OID	ACCR EXP	INT ACCRUED	OID ACCRUED	ADJ PRICE	DISC FRAC
01/01	01/24	24	3000.00	$2.53			.03511460	.00000000	133.1027	.01513040
01/25	02/24	30	3000.00	$2.95			.03273000	.00000000	122.3724	.01949430
02/25	03/24	30	3000.00	$2.73			.03036360	.00000000	111.7235	.01984840
03/25	04/24	30	3000.00	$2.54			.02826450	.00000000	102.2774	.02007870
04/25	05/24	30	3000.00	$2.39			.02654870	.00000000	94.5564	.01995000
05/25	06/24	30	3000.00	$2.29			.02548250	.00000000	89.7587	.02073340
06/25	07/24	30	3000.00	$2.16			.02401360	.00000000	83.1485	.02096770
07/25	08/24	30	3000.00	$2.05			.02280530	.00000000	77.7110	.02148180
08/25	09/24	30	3000.00	$1.94			.02157660	.00000000	72.1818	.02209940
~~09/25~~	~~10/24~~	~~30~~	~~3000.00~~	~~$1.83~~			~~.02027950~~	~~.00000000~~	~~66.3448~~	~~.02417520~~
10/25	11/24	30	3000.00	$1.63			.01812660	.00000000	56.6570	.02401550
11/25	12/24	30	3000.00	$1.51			.01681580	.00000000	50.7582	.02341910
12/25	12/31	6	3000.00	$.29			.01626720	.00000000	48.2897	.00494380

ASSET COMPOSITION	1ST QRTR	2ND QRTR	3RD QRTR	4TH QRTR
IRC SECTION 593 :	95%	95%	95%	95%
IRC SECTION 7701 :	95%	95%	95%	95%
IRC SECTION 856 :	95%	95%	95%	95%

THE AMOUNT OF OID ATTRIBUTABLE TO YOUR POSITION IN THE ABOVE REMIC/CMO IS CONSIDERED "DE MINIMIS" AND THEREFORE SHOULD BE TREATED AS ZERO.

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Individual Retirement Account

14/667

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch	/ Rep	Account No
001 / BK	/ BK08	6539-9300

December 1 - December 31, 2003

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1323
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Current Investment Objective: Growth & Income + Conservative

Portfolio Summary

Portfolio assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% total assets
Cash and money market	$990.58	$990.90	6.44	0.99
Stocks and options	$0.00	$0.00	0.00	
Preferred stocks	$0.00	$0.00	0.00	
Bonds	$70,796.45	$71,843.90	1,462.50	72.03
Certificates of Deposit	$0.00	$0.00	0.00	
Mutual funds	$26,440.09	$26,900.92	0.00	26.97
Annuities/insurance	$0.00	$0.00	0.00	
Unit investment trusts	$0.00	$0.00	0.00	
Total assets	**$98,227.12**	**$99,735.72**	**1,468.94**	**100%**
Outstanding margin balance	$0.00	$0.00	0.00	
Net portfolio value	**$98,227.12**	**$99,735.72**		

Other assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct investments	$0.00	$0.00
Special products	$0.00	$0.00
Total other assets	**$0.00**	**$0.00**

Account Summary

	Statement Period	Year-to-date
Opening value of securities	$97,236.54	$88,483.49
Opening value of cash	$990.58	$701.80
Total opening value	**$98,227.12**	**$89,185.29**
Closing value of securities	$98,744.82	$98,744.82
Closing value of cash	$990.90	$990.90
Total closing value	**$99,735.72**	**$99,735.72**
Change in value	**$1,508.60**	**$10,550.43**

Cash Activity Summary

Total cash and money market funds on Nov 30: $990.58

	Credits	Debits	Year-to-date
Securities purchased	$0.00	$0.00	- $15,225.76
Securities sold and redeemed	$0.00	$0.00	$11,122.24
Deposits and withdrawals	$0.00	$0.00	$3,500.00
Withdrawals by check	$0.00	$0.00	$0.00
Electronic banking activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$0.30	$0.00	$820.93
Money market income	$0.02	$0.00	$1.69
Short term distributions	$0.00	$0.00	$0.00
Long term distributions	$0.00	$0.00	$0.00
Return of principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other activity	$0.00	$0.00	$0.00
Total activity	$0.32	$0.00	
Total cash and money market funds on Dec 31:			**$990.90**

EVEM22 010/07/01153/22233 YNNNN NNNYN NNNNNNNNN 000002

14,859

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep Account No.
001 / BK / BK08 6539-9300

December 1 - December 31, 2003

Portfolio Assets

Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and money market funds

Description	Current market value	Est. ann. income	Est current yield (%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/03 - 01/01/04	$990.90	$6.44	0.65
EVERGREEN US GOVT MONEY MARKET FD CL A Interest Period 12/01/03 - 01/01/04	$0.00		0.05
Total cash and money market funds	**$990.90**		

Bonds

Government bonds

Description	# of bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
FICO STRIP 1 05/11/12 INTEREST PMT DUE 05/11/12 DTD 05/16/85 Moody NR , S&P NR CUSIP 31771C6Z7	20,000	66.8550	$13,371.00	N/A	N/A	N/A
US TREAS STRIPS 08/13 INTEREST PMT DUE 08/15/13 CUSIP 912803CE7	35,000	64.7810	$22,673.35	N/A	N/A	N/A
Total Government bonds			**$36,044.35**			

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep Account No.
001 / BK / BK08 6539-8300

December 1 - December 31, 2003

Bonds

Corporate bonds

Description	# of bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
TRUMP AC ASSOC/FNDG INC SECD 1ST MTG NTS EX"RAORDINARY CALL CPN 11 250% DUE 06/01/08 DTD 04/17/98 FC 11/01/98 CALL 85/01/04 @ 100.000 Moody B3 , S&P CCC+ CUSIP 89790TAA9	13,000	77.5000	$10,075.00	$243.75	$1,462.50	14.51
FLEMING COMPANIES INC GLOBAL NOTES SERIES D CALLABLE CPN 10 625% DUE 07/31/07 DTD 01/31/92 FC 07/31/02 CALL 07/31/03 @ 103 542 Moody NR , S&P NR CUSIP 339130AT3	3,000	0 1250	$3.75	N/A	N/A	N/A
FICO STRIP BR 5 02/08/10 INTEREST PMT CPN 0 000% DUE 02/08/10 DTD 06/01/89 Moody NR , S&P NR CUSIP 31771CMJ6	15,000	78 4160	$11,762.40	N/A	N/A	N/A
FICO STRIP BR 1 11/11/11 INTEREST PMT CPN 0 000% DUE 11/11/11 DTD 05/16/89 Moody NR , S&P NR CUSIP 31771CBY0	20,000	69.7920	$13,958.40	N/A	N/A	N/A
Total Corporate bonds			**$35,799.55**	**$243.75**	**$1,462.50**	**4.09**
Total Bonds			**$71,843.90**	**$243.75**	**$1,462.50**	**2.04**

14,673

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep Account No
001 / BK / BK08 6539-9300

December 1 - December 31, 2003

Mutual funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
COLUMBIA MID CAP VALUE FUND CLASS B	COGBX	627.72600	$22.0000	$13,809.97	N/A	N/A
MFS SER TR I NEW DISCOVERY FD-CL B	MVDBX	877.99800	$14.9100	$13,090.95	N/A	N/A
Total Mutual funds				**$26,900.92**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/12	Cash	DIVIDEND		EVERGREEN US GOVT MONEY MARKET FD CL A 121203 950.68000		$0.02
12/12	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$990.58
12/12	Cash	TRANSFER FROM		EVERGREEN US GOVT MONEY MARKET FD CL A	1.0000	$990.58
12/15	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$0.02
12/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$0.30
12/31	Cash	REINVST DIV/INT		BANK DEPOSIT SWEEP OPTION		-$0.30

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub	/ Branch	/ Rep	Account No
001 /	BK	/ BK08	6539-9300

December 1 - December 31, 2003

Retirement Transactions

Contribution Summary

	Amount
2003 FOR 2003	$3,500.00

For IRA accounts established with First Clearing, LLC (FCC) as custodian, this is your individual retirement account (IRA) fair market value statement. The combined value of "Net Portfolio Value" and "Total Other Assets" when applicable, in your IRA account will be furnished to the Internal Revenue Service on Form 5498. Any corrections made to your market value after December 31, 2003, will result in a corrected "IRA Portfolio Holding Valuation" being issued to you.

If you own an FCC as custodian Traditional, SEP, or SIMPLE IRA and turn 70 1/2 this year, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of next year. If you turned 70 1/2 in a prior year, you must take an RMD payment by December 31st of this year. Your Financial Advisor is available to provide specific RMD calculations. Beginning in 2004, we will report to the IRS if you are required to receive an RMD for that calendar year.

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

EVENU2 010/07/30115372/2233 YWWWWWWWWWWWWWWWWW 000004

FIRST CLEARING, LLC 2003

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	SUB	REP	ACCOUNT	PAGE
216 1/2 MAIN ST	001	BK08	6539-9650	3 OF 5
BECKLEY WV 25801-4613		TAXPAYER ID: 236-78-8645		

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

ISSUE DATE: 01/16/04

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
INKTOMI CORP	03/21/03	MERGER	6,000.00000		$9,900.00
CUSIP: 457277101					
TAMPA 0.00% 10/01/2005	10/01/03	REDEMPTION	5,000.00000		$5,000.00
CUSIP: 875290BN7					
UNITED ONLINE INC	10/15/03	SALE	300.00000	26.1400	$7,719.75
CUSIP: 911268100					
WEST VA ST 4.2% 07012003	07/01/03	REDEMPTION	25,000.00000		$25,000.00
CUSIP: 956597CP1					
TOTAL PROCEEDS					$47,619.75

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 2003 SUBSTITUTE FOR IRS FORM 1099-B, OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSION ON STOCK, BONDS, ETC	$47,619.75
4.	FEDERAL INCOME TAX WITHHELD	$0.00

"71200" 450827 F013 3212 1OZ 2312 —— 1071

FIRST CLEARING, LLC 2003

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	SUB	REP	ACCOUNT	PAGE
216 1/2 MAIN ST	001	BK08	6539-9650	5 OF 5
BECKLEY WV 25801-4613			TAXPAYER ID: 236-78-8645	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE

ISSUE DATE: 01/16/04

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
UNITED ONLINE INC CUSIP: 911268100	10/14/03	PURCHASE	300.00000	24.7500	$7,561.57

<<<< END OF CONSOLIDATED 1099 STATEMENT >>>>

"71310" 450627 F013 3213 10Z 3/312—— 1071

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Dividends and Interest

Activity	Description	Amount	Income Category
	Total Dividends and Interest	$861.10	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-18	12-23	Sold	26	ADVANCED FIBRE COMMUNCATN	20.0228	520.56	
09-12	09-17	Sold	19	AGILENT TECHNOLOGIES	24.6813	468.91	
09-10	09-15	Sold	38	ALCATEL ADS	11.5140	437.50	
06-04	06-09	Sold	24	ALLSTATE CORP	35.7589	858.16	
04-04	04-09	Sold	7	AMGEN INC	59.3799	415.64	
03-11	03-16	Sold	6	APOLLO GROUP INC A	46.1939	277.15	
12-30	01-03	Sold	49	APPLIED MATERIALS INC	13.2500	649.23	
08-14	08-19	Sold	18	APPLIED MATERIALS INC	19.0701	343.24	
05-22	05-28	Sold	24	ARACRUZ CELULOSE SA AMER NEW	18.6333	447.17	
05-13	05-16	Sold	10	ASAHI GLASS ADR	54.8915	548.89	
05-07	05-12	Sold	8	ASTRAZENECA PLC ADS	40.8816	327.03	
11-24	11-28	Sold	9	ASTRAZENECA PLC ADS	46.3800	417.40	
03-05	03-10	Sold	127	AT&T WIRELESS SERVICES INC	5.6726	720.39	
10-10	10-16	Sold	30	AUTOMATIC DATA PROCESSING INC	38.6200	1,156.54	
06-04	06-09	Sold	8	AUTOZONE INC	81.6000	652.76	
05-06	05-09	Sold	65	BANCO BILBAO VIZ ARG SA ADS	10.1218	657.88	
07-07	07-10	Sold	18	BANCO BRAD SA SP ADR PFD NEW	19.0163	342.27	
04-04	04-09	Sold	11	BANK OF AMERICA CORP	69.9000	768.86	
07-08	07-11	Sold	13	BANK OF IRELAND GRP	46.1206	599.54	
08-28	09-03	Sold	15	BANK OF NOVA SCOTIA	43.6211	654.28	
08-08	08-13	Sold	24	BARCLAYS PLC ADR	31.3212	751.67	
01-21	01-24	Sold	11	BED BATH & BEYOND INC	34.1592	375.75	
06-04	06-09	Sold	35	BHP BILLITON PLC SPONS ADR	10.3156	361.03	
06-20	06-25	Sold	16	BIOVAIL CORP	45.0000	719.96	
07-16	07-21	Sold	46	BJ SVCS CO	35.4000	1,628.32	
08-22	08-27	Sold	15	BNP PARIBAS SP ADR REPSTG	25.2826	379.22	
08-29	09-04	Sold	21	BNP PARIBAS SP ADR REPSTG	25.1227	527.55	
05-02	05-07	Sold	24	BRIDGESTONE CP ADR	23.2025	556.83	
06-04	06-09	Sold	9	BRITISH SKY BRDCSTG GRP PLC	42.8529	385.66	
07-16	07-21	Sold	18	BROADCOM CORP CL A	26.9846	485.69	
07-30	08-04	Sold	22	BROADCOM CORP CL A	20.6242	453.70	
07-10	07-15	Sold	30	BUSINESS OBJ IC SPONS ADR	20.6595	619.76	
08-14	08-19	Sold	102	CALPINE CORP	4.9600	505.89	
06-04	06-09	Sold	10	CANON INC ADR NEW	43.2500	432.47	
08-28	09-03	Sold	11	CANON INC ADR NEW	48.0500	528.52	
08-27	09-02	Sold	12	CARDINAL HEALTH INC	56.6109	679.29	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
842 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-22	12-26	Sold	33	CDN PACIFIC RY LTD NEW	27.1626	896.32	
04-04	04-09	Sold	28	CHARTER ONE FINCL	28.1900	789.28	MSDWI IS MARKET MAKER
06-20	06-25	Sold	34	CHECKFREE CORPORATION	27.2945	927.96	
11-20	11-25	Sold	29	CISCO SYS INC	22.2600	645.50	
04-04	04-09	Sold	8	COACH INC	38.5000	307.98	
08-14	08-19	Sold	5	COACH INC	53.9350	269.66	
11-20	11-25	Sold	12	COACH INC	35.2214	422.64	
04-29	05-02	Sold	30	COGNIZANT TECH SOLUTIONS CL A	18.7658	562.94	
06-20	06-25	Sold	30	COMCAST CORP (NEW) CLASS A	31.4163	942.44	
04-30	05-05	Sold	15	CONVERIUM HOLDING AG	22.6198	339.28	
06-04	06-09	Sold	19	CONVERIUM HOLDING AG	23.0702	438.30	
10-03	10-08	Sold	16	CP SHIPS LTD	21.4055	342.47	
10-22	10-27	Sold	12	CP SHIPS LTD	21.5252	258.28	
10-28	10-31	Sold	17	CP SHIPS LTD	20.9794	356.63	
08-14	08-19	Sold	35	D R HORTON INC	28.0921	983.17	
04-14	04-17	Sold	65	D S M NV SPONS ADR	10.3899	675.30	
09-10	09-15	Sold	20	DAIMLER-CHRYSLER AG	37.3071	746.10	
08-08	08-13	Sold	6	DAIWA SEC LTD JAPAN ADR	54.6174	327.68	
03-26	03-31	Sold	37	DANSKE BK A/S SPON ADR	16.2229	600.23	
06-04	06-09	Sold	18	DEAN FOODS CO (NEW)	45.8253	824.82	TRADE CARRIES STOCK DISTRIB.
04-10	04-15	Sold	14	DELL COMPUTER CORP	28.3300	396.60	
06-03	06-06	Sold	30	DEUTSCHE TELEKOM AG 1 ORD 1ADS	14.9200	447.57	
01-23	01-28	Sold	15	DIAGEO PLC SPON ADR NEW	41.4500	621.73	
08-14	08-19	Sold	5	EBAY INC	102.3600	511.77	TRADE CARRIES STOCK DISTRIB.
11-06	11-12	Sold	25	ECHOSTAR COMM CORP CL A	36.3612	908.98	
07-16	07-21	Sold	91	EMC CORP MASS	10.0822	917.43	
03-05	03-10	Sold	31	ENSCO INTL INC	27.2377	844.34	
10-03	10-08	Sold	22	ERICSSON LM TEL ADR CL B NEW	15.5800	342.76	
06-20	06-25	Sold	15	FANNIE MAE	70.6500	1,059.70	
04-23	04-28	Sold	16	FOREST LABORATORIES	49.7269	795.59	
04-30	05-05	Sold	13	FRANCE TELECOM	23.4278	304.54	
06-04	06-09	Sold	16	FRANCE TELECOM	24.5490	392.76	
01-22	01-27	Sold	32	GENERAL ELECTRIC CO	23.7000	758.37	
04-28	05-01	Sold	8	GTECH HOLDINGS CORP	32.8916	263.11	
06-04	06-09	Sold	23	GTECH HOLDINGS CORP	33.9000	779.66	
03-05	03-10	Sold	11	HARLEY DAVIDSON INC	37.5049	412.53	
08-14	08-19	Sold	13	HOME DEPOT INC	33.0010	428.98	
09-12	09-17	Sold	17	HOME DEPOT INC	31.4589	534.77	
04-15	04-21	Sold	9	HSBC HOLDINGS PLC SPON ADR NEW	53.4056	480.62	
12-03	12-08	Sold	17	HYPERION SOLUTIONS CORP	33.0827	562.38	
06-23	06-26	Sold	10	IMPERIAL TOBACCO GP PLC SP ADR	36.2000	361.98	
07-11	07-16	Sold	9	IMPERIAL TOBACCO GP PLC SP ADR	33.2112	298.88	
04-09	04-14	Sold	6	INFOSYS TECH LIMITED	60.0000	359.98	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-10	04-15	Sold	4	INFOSYS TECH LIMITED	42.1212	168.47	
06-17	06-20	Sold	15	INTEL CORP	21.6200	324.28	
09-04	09-09	Sold	22	INTERACTIVECORP	34.9600	769.08	
07-28	07-31	Sold	10	INTL BUSINESS MACHINES CORP	82.8400	828.36	
12-24	12-30	Sold	14	ITO YOKADO CO LTD ADR NEW	30.9841	433.75	
02-14	02-20	Sold	12	ITT INDUSTRIES INC	55.9852	671.79	
11-11	11-14	Sold	105	JAPAN TELECOM HLDGS LTD ADR	2.7493	288.66	
06-04	06-09	Sold	9	JOHNSON & JOHNSON	53.5000	481.47	
08-26	08-29	Sold	12	JOHNSON & JOHNSON	49.2718	591.25	
06-24	06-27	Sold	2	KAO CORP ADR	193.9531	387.89	
05-16	05-21	Sold	19	KOMATSU LTD SPON ADR NEW	15.1474	287.78	
12-04	12-09	Sold	38	KOMATSU LTD SPON ADR NEW	25.4804	892.21	
01-29	02-03	Sold	20	KONAMI CORP	21.5643	431.27	
12-01	12-04	Sold	18	KOOKMIN BANK	36.1410	650.50	
03-05	03-10	Sold	19	L-3 COMMUNICATIONS HOLDING INC	35.9000	682.07	
09-16	09-19	Sold	15	LOCKHEED MARTIN CORP	50.0628	750.90	
05-22	05-28	Sold	20	LOWES COMPANIES INC	40.1957	803.87	
10-17	10-22	Sold	20	MEDIMMUNE INC	28.2848	565.67	
10-10	10-16	Sold	15	MEDTRONIC INC	44.8555	472.79	
06-04	06-09	Sold	15	MERCK & CO	56.8344	852.48	
08-14	08-19	Sold	11	MERCK & CO	53.2000	585.17	
02-05	02-10	Sold	21	MERRILL LYNCH & CO INC	34.7737	730.22	
09-10	09-15	Sold	5	MI DEVELOPMENT INC CL A	22.8552	114.27	
01-17	01-23	Sold	10	MICROSOFT CORP	52.7743	527.72	
06-04	06-09	Sold	16	MILLEA HOLDINGS INC ADR	36.7487	587.95	
07-08	07-11	Sold	60	MMO2 PLC ADR	8.7824	526.91	
05-16	05-21	Sold	21	NATL GRID TRANSCO PLC	33.5310	704.11	
03-06	03-11	Sold	8	NESTLE SPON ADR REP REG SHR	47.5705	380.54	
11-12	11-17	Sold	10	NEWS CP LTD ADR NEW	36.1890	361.87	
04-22	04-25	Sold	33	NEXTEL COMMUNICATIONS INC CL A	12.3475	407.45	
01-23	01-28	Sold	27	NINTENDO CO LTD ADR NEW	11.1217	300.28	
01-23	01-28	Sold	17	NOKIA CP ADR	14.1000	239.69	
12-03	12-08	Sold	23	NOKIA CP ADR	18.1700	417.89	
12-11	12-16	Sold	33	NOMURA HLDGS INC	15.3414	506.24	
10-03	10-08	Sold	81	NORTEL NETWORKS CORP NEW	4.4300	358.81	
11-12	11-17	Sold	83	NORTEL NETWORKS CORP NEW	4.4100	366.01	
12-08	12-11	Sold	150	NORTEL NETWORKS CORP NEW	4.3071	646.03	
03-05	03-10	Sold	21	NORTH FORK BANCORP	31.6500	664.63	
02-19	02-24	Sold	17	NOVARTIS AG ADR	35.2012	598.40	
03-20	03-25	Sold	39	PEOPLESOFT INC	17.0160	663.60	
06-04	06-09	Sold	12	PFIZER INC	31.9241	383.07	
12-03	12-08	Sold	23	PFIZER INC	34.2714	788.20	
05-02	05-07	Sold	4	PUMA AG R DASSLER SPORT ADR	97.8269	391.29	

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-28	05-01	Sold	10	QLOGIC CORP	39.9544	399.52	
06-17	06-20	Sold	7	QLOGIC CORP	48.9010	342.29	
04-25	04-30	Sold	19	QUALCOMM INC	31.1000	590.87	
04-28	05-01	Sold	9	RENAISSANCE RE HOLDINGS LTD	44.3153	398.82	
06-20	06-25	Sold	10	RENAISSANCE RE HOLDINGS LTD	46.0000	459.97	
12-18	12-23	Sold	96	RF MICRO DEVICES INC	9.7100	932.11	
03-05	03-10	Sold	17	ROSS STORES INC	33.2076	564.51	
02-06	02-11	Sold	14	ROYAL DUTCH PETE NY 1.25 GU	40.1542	562.14	
06-04	06-09	Sold	4	RYANAIR HLDGS PLC ADR	40.0613	160.24	
06-12	06-17	Sold	15	RYANAIR HLDGS PLC ADR	40.2010	602.99	
02-07	02-12	Sold	22	SANOFI SYNTHELABO SA	24.3600	535.90	
11-10	11-14	Sold	43	SEAGATE TECHNOLOGY HOLDINGS	20.8187	895.15	
12-08	12-11	Sold	22	SHANGRI-LA ASIA LTD ADR	17.9800	395.54	
01-24	01-29	Sold	33	SK TELECOM CO LTD	16.8654	556.56	
10-10	10-16	Sold	33	SLM CORP	37.9148	1,251.13	
05-21	05-27	Sold	11	SMITH & NEPHEW PLC ADR	60.3165	663.44	
04-22	04-25	Sold	10	SONY CORP ADR 1974 NEW	32.0097	320.08	
06-03	06-06	Sold	34	SUNCOR ENERGY INC	18.7989	639.13	
03-14	03-19	Sold	21	SVENSKA CELLULOSA B SP ADR	30.4353	639.12	
12-30	01-03	Sold	13	SYHANTEC CORP	40.8538	531.08	
11-25	12-01	Sold	55	TAIWAN SMCNDCTR MFG CO LTD ADR	11.0200	606.07	
12-31	01-06	Sold	5	TELE CENTRO DESTE CELULAR ADR	9.8051	49.02	
02-25	02-28	Sold	7	TELECOM ITALIA S.P.A	68.8327	481.81	
05-09	05-14	Sold	24	TELUS CORP	13.4009	321.60	
05-13	05-16	Sold	23	TELUS CORP	13.5203	310.95	
05-01	05-06	Sold	7	TEVA PHARMACEUTICALS ADR	44.8906	314.21	
06-04	06-09	Sold	13	TEVA PHARMACEUTICALS ADR	49.9996	649.95	
09-25	09-30	Sold	35	TITAN CORP	20.6442	722.51	
07-07	07-10	Sold	17	TRANSOCEAN SEDCO FOREX	21.2500	361.23	
08-22	08-27	Sold	6	UBS AG NEW	54.9200	329.50	
09-02	09-05	Sold	11	UBS AG NEW	54.4013	598.38	
05-05	05-08	Sold	16	UNILEVER PLC (NEW) ADS	36.8154	589.02	
12-22	12-26	Sold	158	UNITED MICROELECTRONICS DEP SH	4.8655	768.71	
09-23	09-26	Sold	36	UPM KYMMENE CORP ADR	16.7991	604.74	
09-08	09-11	Sold	12	UTSTARCOM INC	39.1806	470.14	
12-04	12-09	Sold	45	VALEO SPONSORED ADR	18.9100	850.91	
09-12	09-17	Sold	17	VARIAN MEDICAL SYS INC	55.4000	941.75	
05-20	05-23	Sold	17	VERITAS SOFTWARE DE	23.8789	405.92	
01-28	01-31	Sold	14	VIACOM INC B	37.5000	524.98	
06-03	06-06	Sold	19	VODAFONE GP PLC ADS	21.6257	410.87	
07-11	07-16	Sold	27	VODAFONE GP PLC ADS	19.4190	524.28	
01-21	01-24	Sold	9	WAL MART STORES INC	49.6800	447.10	
03-05	03-10	Sold	6	WAL MART STORES INC	47.3337	283.99	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-29	01-02	Sold	15	WAL MART STORES INC	52.4800	787.16	
07-17	07-22	Sold	15	WEATHERFORD INTL INC BERMUDA	37.9952	569.90	
06-17	06-20	Sold	7	WELLS FARGO & CO NEW	51.9565	363.68	
08-27	09-02	Sold	19	WELLS FARGO & CO NEW	49.4600	939.69	
06-17	06-20	Sold	33	WILLIAMS CO INC	8.3439	275.33	
07-23	07-28	Sold	80	WILLIAMS CO INC	6.9927	559.39	
09-05	09-10	Sold	21	WYETH	44.9647	944.21	
02-26	03-03	Sold	6	ZIMMER HLDGS INC	44.7707	268.61	
04-04	04-09	Sold	15	ZIMMER HLDGS INC	46.0348	690.48	
04-23	04-28	Sold	7	3M COMPANY	127.7400	894.13	
				Total Sales Proceeds/Redemptions		$97,184.34	

Other Credits

Date	Activity	Description	Amount	Additional Information
12-23	Stock Dividend	BRITISH SKY BRDCSTG GRP PLC	19.96	CASH IN LIEU FRACTIONAL SHARE
01-13	Rebate	12B1 FEE PASS THROUGH	0.39	
02-10	Rebate	12B1 FEE PASS THROUGH	0.27	
03-18	Stock Dividend	XTO ENERGY INC	12.59	CASH IN LIEU FRACTIONAL SHARE
03-27	Rebate	12B1 FEE PASS THROUGH	0.33	
04-10	Rebate	12B1 FEE PASS THROUGH	0.32	
04-14	Distribution	FRANCE TELECOM	79.35	PROCEEDS SALE FOREIGN RIGHTS
05-12	Rebate	12B1 FEE PASS THROUGH	0.32	
06-11	Rebate	12B1 FEE PASS THROUGH	0.35	
07-14	Rebate	12B1 FEE PASS THROUGH	0.21	
08-07	Stock Dividend	TAIWAN SHCNDCTR MFG CO LTD ADR	0.76	CASH IN LIEU FRACTIONAL SHARE
08-12	Rebate	12B1 FEE PASS THROUGH	0.33	
08-15	Stock Dividend	UNITED MICROELECTRONICS DEP SH	0.39	CASH IN LIEU FRACTIONAL SHARE
08-22	Return of Capital	SEAGATE TECHNOLOGY HOLDINGS	1.72	RETURN OF CAPITAL
09-12	Rebate	12B1 FEE PASS THROUGH	0.27	
09-18	Stock Dividend	CROSS TIMBERS ROY TR TR UNIT	5.10	CASH IN LIEU FRACTIONAL SHARE
10-10	Distribution	AXA ADS	1.80	PROCEEDS SALE FOREIGN RIGHTS
10-14	Rebate	12B1 FEE PASS THROUGH	0.35	
11-10	Rebate	12B1 FEE PASS THROUGH	0.38	
11-21	Return of Capital	SEAGATE TECHNOLOGY HOLDINGS	1.72	RETURN OF CAPITAL
12-11	Rebate	12B1 FEE PASS THROUGH	0.29	
12-12	Stock Dividend	TELE CENTRO DESTE CELULAR ADR	3.34	CASH IN LIEU FRACTIONAL SHARE
12-17	Stock Dividend	COUNTRYWIDE FINANCIAL CORP	25.29	CASH IN LIEU FRACTIONAL SHARE
		Total Other Credits	$155.63	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108800 022

Total Credits To Your Account 698,201.07

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-04	11-07	Bought	35	ADECCO SA SP ADR	14.5810	510.34	
08-27	09-02	Bought	26	ADVANCED FIBRE COMMUNCATN	22.9851	596.83	
09-02	09-05	Bought	36	ADVANTEST CORP	18.6137	670.09	
08-14	08-19	Bought	35	AGILENT TECHNOLOGIES	21.6240	756.84	
08-27	09-02	Bought	7	AGILENT TECHNOLOGIES	24.6954	172.87	
02-18	02-21	Bought	77	ALCATEL ADS	8.0021	616.16	
09-12	09-17	Bought	6	AMGEN INC	67.7571	406.54	
01-22	01-27	Bought	21	APOLLO GROUP INC A	41.7600	876.96	
03-11	03-14	Bought	48	APPLIED MATERIALS INC	11.7300	563.04	
03-14	03-19	Bought	24	ARACRUZ CELULOSE SA AMER NEW	20.9906	503.77	
02-06	02-11	Bought	17	ASTRAZENECA PLC ADS	33.9608	577.33	
08-14	08-19	Bought	30	AUTOMATIC DATA PROCESSING INC	39.4500	1,183.50	
04-28	05-01	Bought	8	AUTOZONE INC	79.3648	634.92	
03-26	03-31	Bought	35	BANCO BRAD SA SP ADR PFD NEW	17.6423	617.48	
05-13	05-16	Bought	15	BANK OF NOVA SCOTIA	42.0908	631.36	
05-09	05-14	Bought	24	BARCLAYS PLC ADR	26.9455	646.69	
12-18	12-23	Bought	10	BARR LABS INC	76.2496	762.50	
04-15	04-21	Bought	14	BASF AG SP ADR	41.1763	576.47	
11-20	11-25	Bought	9	BED BATH & BEYOND INC	40.6912	366.22	
04-07	04-10	Bought	26	BHP BILLITON PLC SPONS ADR	10.8777	282.82	
03-06	03-11	Bought	16	BIOVAIL CORP	37.7019	603.23	
06-13	06-18	Bought	11	BOSTON SCIENTIFIC CORP	61.0296	671.33	MSDWI IS MARKET MAKER
06-17	06-20	Bought	5	BOSTON SCIENTIFIC CORP	64.6100	323.05	MSDWI IS MARKET MAKER
07-24	07-29	Bought	16	BRITISH AIRWAYS FINL INST ADR	27.1834	434.93	
11-13	11-18	Bought	9	BRITISH SKY BRDCSTG GRP PLC	46.7521	420.77	
06-04	06-09	Bought	40	BROADCOM CORP CL A	25.6400	1,025.60	
04-22	04-26	Bought	30	BUSINESS OBJ IC SPONS ADR	21.0423	631.27	
06-17	06-20	Bought	102	CALPINE CORP	6.7200	685.44	
06-20	06-25	Bought	12	CARDINAL HEALTH INC	66.5373	798.45	
10-21	10-24	Bought	21	CDN PACIFIC RY LTD NEW	26.5576	557.71	
11-11	11-14	Bought	12	CDN PACIFIC RY LTD NEW	29.0690	348.83	
03-05	03-10	Bought	34	CHECKFREE CORPORATION	20.9790	713.29	
03-25	03-28	Bought	33	CISCO SYS INC	13.7200	452.76	
06-04	06-09	Bought	19	CISCO SYS INC	17.3600	329.84	
08-27	09-02	Bought	30	CISCO SYS INC	19.1000	573.00	
09-04	09-09	Bought	13	CLEAR CHANNEL COMMUNICATION	45.7036	594.15	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-03	10-08	Bought	14	CNOOC LTD ADS	36.0643	504.90	
12-30	01-03	Bought	21	COACH INC	32.3127	678.57	
02-26	03-03	Bought	6	COACH INC	33.4435	200.66	
02-14	02-20	Bought	10	COGNIZANT TECH SOLUTIONS CL A	66.7841	667.84	
04-07	04-10	Bought	20	COMCAST CORP (NEW) CLASS A	30.7077	614.15	
04-30	05-05	Bought	10	COMCAST CORP (NEW) CLASS A	31.8300	318.30	
10-10	10-16	Bought	7	COUNTRYWIDE FINANCIAL CORP	93.6000	655.20	
04-08	04-11	Bought	45	CP SHIPS LTD	14.2299	640.35	
05-16	05-21	Bought	25	CREDIT SUISSE GROUP	26.5183	662.96	
08-27	09-02	Bought	57	CROWN CASTLE INTL	10.5034	598.69	
09-30	10-03	Bought	44	CROWN CASTLE INTL	9.4186	414.42	
06-04	06-09	Bought	35	D R HORTON INC	28.6011	1,001.04	
03-14	03-19	Bought	65	D S M NV SPONS ADR	8.9960	584.74	
06-26	07-01	Bought	20	DAIMLER-CHRYSLER AG	34.2700	685.40	
07-11	07-16	Bought	6	DAIWA SEC LTD JAPAN ADR	60.2749	361.65	
03-05	03-10	Bought	18	DEAN FOODS CO (NEW)	41.8992	754.19	
02-10	02-13	Bought	30	DEUTSCHE TELEKOM AG 1 ORD 1ADS	12.5428	376.28	
04-28	05-01	Bought	3	EBAY INC	93.9700	281.91	
06-04	06-09	Bought	5	EBAY INC	101.5523	507.76	
05-22	05-28	Bought	25	ECHOSTAR COMM CORP CL A	32.3041	807.60	
06-04	06-09	Bought	91	EMC CORP MASS	10.8512	987.46	
10-10	10-16	Bought	44	EMC CORP MASS	14.2791	628.28	
10-29	11-03	Bought	22	EPCOS A G	20.3817	448.40	
11-13	11-18	Bought	14	EPCOS A G	23.3548	326.97	
07-24	07-29	Bought	33	ERICSSON LM TEL ADR CL B NEW	13.3196	439.55	
08-01	08-06	Bought	19	ERICSSON LM TEL ADR CL B NEW	14.3100	271.89	
01-24	01-29	Bought	11	FANNIE MAE	66.2660	728.93	
04-07	04-10	Bought	4	FANNIE MAE	69.2000	276.80	
12-19	12-24	Bought	40	FORD MOTOR CO NEW	15.2597	610.39	
01-22	01-27	Bought	8	FOREST LABORATORIES	51.9500	415.60	
09-30	10-03	Bought	19	FOREST LABORATORIES	50.8284	965.74	
12-24	12-30	Bought	21	FUJI PHOTO ADR NEW	32.4082	680.57	
06-04	06-09	Bought	55	GAP INC	17.9676	988.22	
12-03	12-08	Bought	9	GENENTECH INC	87.4424	786.98	
04-03	04-08	Bought	23	GENERAL ELECTRIC CO	27.4400	631.12	
04-28	05-01	Bought	13	GENERAL ELECTRIC CO	29.2400	380.12	
09-30	10-03	Bought	10	GENERAL ELECTRIC CO	29.6900	296.90	
05-07	05-12	Bought	6	GLAXOSMITHKLINE PLC ADS	42.4300	254.58	
09-11	09-16	Bought	8	GLAXOSMITHKLINE PLC ADS	41.4868	331.89	
02-26	03-03	Bought	8	GTECH HOLDINGS CORP	27.4000	219.20	
08-29	09-04	Bought	183	HENDERSON LD DEV CO LTD SP ADR	3.8017	695.71	
05-22	05-28	Bought	16	HOME DEPOT INC	30.9800	495.68	
06-04	06-09	Bought	14	HOME DEPOT INC	32.5971	456.36	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-03	10-08	Bought	5	HSBC HOLDINGS PLC SPON ADR NEW	69.6991	348.50	
08-08	08-13	Bought	20	HUTCHISON WHAMPOA ADR	31.9132	638.26	
09-02	09-05	Bought	17	HYPERION SOLUTIONS CORP	34.3922	584.67	
04-29	05-02	Bought	19	IMPERIAL TOBACCO GP PLC SP ADR	33.5000	636.50	
05-07	05-12	Bought	21	ING GROEP NV ADR	17.4020	365.44	
06-20	06-25	Bought	22	INTERACTIVECORP	37.9200	834.24	
05-07	05-12	Bought	67	INTERCONTINENTAL HOTELS GRP PL	6.7514	452.34	
07-28	07-31	Bought	30	INTERNATIONAL GAME TECH	26.1911	785.73	
10-29	11-03	Bought	14	ITO YOKADO CO LTD ADR NEW	38.2598	535.64	
05-12	05-15	Bought	105	JAPAN TELECOM HLDGS LTD ADR	3.2072	336.76	
06-04	06-09	Bought	29	JP MORGAN CHASE&CO	34.3800	997.02	
12-01	12-04	Bought	77	KOBE STEEL LTD SPONS ADR	5.8173	447.93	
01-29	02-03	Bought	41	KOMATSU LTD SPON ADR NEW	14.1344	579.51	
02-20	02-25	Bought	16	KONATSU LTD SPON ADR NEW	15.2184	243.49	
08-22	08-27	Bought	9	KOOKMIN BANK	36.0441	324.40	
09-03	09-08	Bought	9	KOOKMIN BANK	39.4886	355.40	
07-07	07-10	Bought	10	KYOCERA CP ADR	67.7342	677.34	
07-24	07-29	Bought	6	KYOCERA CP ADR	61.5614	369.37	
07-28	07-31	Bought	15	LOCKHEED MARTIN CORP	53.9913	809.87	
11-20	11-25	Bought	17	LOWES COMPANIES INC	58.4700	993.99	
09-08	09-11	Bought	47	LVMH MOET HENNESSY LOUIS VUITT	12.4362	584.50	
05-12	05-15	Bought	10	MAGNA INTL A COM	66.6982	666.98	
08-29	09-04	Bought	35	MARUBENI CORP ADR	15.2447	533.56	
07-08	07-11	Bought	63	MATSUSHITA EL INDL ADR	11.8122	744.17	
08-27	09-02	Bought	35	MC DONALDS CORP	22.4680	786.38	
09-12	09-17	Bought	20	MEDIMMUNE INC	37.1600	743.20	
01-28	01-31	Bought	11	MERCK & CO	53.9989	593.99	
02-26	03-03	Bought	5	MERCK & CO	52.2288	261.14	
04-28	05-01	Bought	10	MERCK & CO	56.3000	563.00	
10-10	10-16	Bought	13	MICHAELS STORES	45.5722	592.44	
03-25	03-28	Bought	13	MICROSOFT CORP	25.6300	333.19	
04-07	04-10	Bought	12	MICROSOFT CORP	26.0902	313.08	
02-19	02-24	Bought	16	MILLEA HOLDINGS INC ADR	34.7500	556.00	
06-02	06-05	Bought	75	MITSUBISHI TOKYO FIN GP ADR	4.0082	300.62	
01-24	01-29	Bought	60	MMO2 PLC ADR	8.2648	495.89	
03-05	03-10	Bought	33	NEXTEL COMMUNICATIONS INC CL A	12.9400	427.02	
10-10	10-16	Bought	29	NEXTEL COMMUNICATIONS INC CL A	21.4000	620.60	
07-11	07-16	Bought	23	NOKIA CP ADR	17.8400	410.32	
06-24	06-27	Bought	33	NOMURA HLDGS INC	12.4600	411.18	
05-15	05-20	Bought	216	NORTEL NETWORKS CORP NEW	3.0200	652.32	
06-04	06-09	Bought	98	NORTEL NETWORKS CORP NEW	3.2300	316.54	
11-24	11-28	Bought	17	NOVARTIS AG ADR	41.8649	711.70	
06-04	06-09	Bought	14	OMNICOM GROUP	71.0785	995.10	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-03	12-08	Bought	39	PEOPLESOFT INC	21.9029	854.21	
12-30	01-03	Bought	16	PEPSICO INC NC	42.1483	674.37	
04-28	05-01	Bought	9	PEPSICO INC NC	43.2990	389.69	
04-28	05-01	Bought	9	PFIZER INC	30.5184	274.67	
06-20	06-25	Bought	10	PFIZER INC	35.7900	357.90	
12-04	12-09	Bought	21	PIONEER CP SPON ADR	26.0236	546.50	
10-03	10-08	Bought	12	PRECISION DRILLING CORP	39.5277	474.33	
06-04	06-09	Bought	105	PROVIDIAN FINCL CORP	9.6061	1,008.54	
03-05	03-10	Bought	17	QLOGIC CORP	34.9719	594.52	
08-18	08-21	Bought	96	RF MICRO DEVICES INC	8.3630	802.85	
06-23	06-26	Bought	5	ROCHE HOLDINGS ADR	77.8734	389.37	
12-23	12-29	Bought	4	ROCHE HOLDINGS ADR	98.8300	395.32	
12-22	12-26	Bought	18	ROYAL DUTCH PETE NY 1.25 GU	50.8448	915.21	
11-26	12-02	Bought	23	SANPAOLO IMI SPA ADS	25.7765	592.86	
11-25	12-01	Bought	13	SAP AKTIENGESELLSCHAFT	38.4700	500.11	
07-28	07-31	Bought	43	SEAGATE TECHNOLOGY HOLDINGS	19.8000	851.40	
09-08	09-11	Bought	135	SEGA ENTERPR LTD SPON ADR	2.6979	364.22	
08-25	08-28	Bought	22	SHANGRI-LA ASIA LTD ADR	17.6233	387.71	
08-07	08-12	Bought	11	SIEMENS AKTIENGESELLSCHAFT	55.9417	615.36	
02-14	02-20	Bought	2	SLM CORP	106.1672	212.33	
04-30	05-05	Bought	3	SLM CORP	112.4800	337.44	
04-07	04-10	Bought	11	SMITH & NEPHEW PLC ADR	64.0328	704.36	
11-28	12-03	Bought	97	SUMITOMO TR & BK CO SPON ADR	5.4765	531.22	
06-03	06-06	Bought	51	TAIWAN SMCNDCTR MFG CO LTD ADR	9.7200	495.72	
12-11	12-16	Bought	20	TDC A/S	17.7455	354.91	
12-12	12-17	Bought	21	TDC A/S	17.8057	373.92	
05-22	05-28	Bought	16	TELECOMUNICACOES BRAS SA ADS	26.7199	427.52	
10-10	10-16	Bought	32	TEXAS INSTRUMENTS	25.6138	819.64	
09-05	09-10	Bought	35	TITAN CORP	16.7819	587.37	
09-19	09-24	Bought	7	TOYOTA MOTOR CP ADR NEW	64.5143	451.60	
05-22	05-28	Bought	17	TRANSOCEAN SEDCO FOREX	23.7798	404.26	
06-03	06-06	Bought	11	UBS AG NEW	55.2800	608.08	
06-17	06-20	Bought	6	UBS AG NEW	57.9594	347.76	
05-13	05-16	Bought	89	UNITED MICROELECTRONICS DEP SH	3.4200	304.38	
07-21	07-24	Bought	36	UPM KYMMENE CORP ADR	17.5537	631.93	
07-24	07-29	Bought	12	UTSTARCOM INC	43.3010	519.61	
07-08	07-11	Bought	31	VALEO SPONSORED ADR	17.5950	545.45	
07-18	07-23	Bought	14	VALEO SPONSORED ADR	19.1634	268.29	
03-05	03-10	Bought	11	VARIAN MEDICAL SYS INC	49.5000	544.50	
03-11	03-14	Bought	6	VARIAN MEDICAL SYS INC	49.4842	296.91	
12-03	12-08	Bought	31	VODAFONE GP PLC ADS	23.7500	736.25	
05-22	05-28	Bought	15	WAL MART STORES INC	52.8993	793.49	
05-13	05-16	Bought	15	WEATHERFORD INTL INC BERMUDA	43.4871	652.31	

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THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-28	05-01	Bought	6	WELLS FARGO & CO NEW	47.8000	286.80	
04-22	04-25	Bought	70	WILLIAMS CO INC	6.8352	478.46	
04-30	05-05	Bought	43	WILLIAMS CO INC	7.0300	302.29	
06-20	06-25	Bought	21	WYETH	47.6009	999.62	
12-30	01-03	Bought	26	XTO ENERGY INC	24.6900	641.94	
04-08	04-11	Bought	2	3M COMPANY	133.2700	266.54	
				Total Funds to Purchase Securities		-94,593.69	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-15	Charge	ACCESS 1Q03 FEE NIC	-418.06	
04-14	Charge	ACCESS 2Q03 FEE NIC	-409.18	
07-15	Charge	ACCESS 3Q03 FEE NIC	-451.85	
08-07	Journal Out	TAIWAN SMCNDCTR MFG CO LTD ADR	-1.19	TO ADJ 20% W/H ON STOCK DIV
08-15	Journal Out	UNITED MICROELECTRONICS DEP SH	-1.77	TO ADJ 20% W/H ON STOCK DIV
10-14	Charge	ACCESS 4Q03 FEE NIC	-470.18	
		Total Other Debits	-1,752.23	

Total Debits To Your Account -96,345.92

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-05	Stock Dividend	16	BOSTON SCIENTIFIC CORP			
10-01	Stock Dividend	14	COACH INC			
04-01	Stock Dividend	20	COGNIZANT TECH SOLUTIONS CL A			
12-17	Stock Dividend	2	COUNTRYWIDE FINANCIAL CORP			
07-23	Name Change From		DELL COMPUTER CORP			
07-23	Name Change To		DELL INC			
08-28	Stock Dividend	3	EBAY INC			
01-08	Stock Dividend	4	FOREST LABORATORIES			
09-01	Stock Dividend	5	MI DEVELOPMENT INC CL A			
02-14	Stock Dividend	11	MICROSOFT CORP			
06-20	Stock Dividend	22	SLM CORP			

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	EXXON MOBIL CORP	50.96	Stocks
Dividend	FIRST DATA CORP	3.40	Stocks
Dividend	GENERAL ELECTRIC CO	60.80	Stocks
Dividend	GLAXOSMITHKLINE PLC ADS	45.73	Stocks
Dividend	HEINEKEN NV ADR	27.31	Stocks
Dividend	HSBC HOLDINGS PLC SPON ADR NEW	105.20	Stocks
Dividend	INTL BUSINESS MACHINES CORP	17.25	Stocks
Dividend	JOHNSON & JOHNSON	37.50	Stocks
Dividend	KAO CORP ADR	18.64	Stocks
Dividend	MERCK & CO	25.20	Stocks
Dividend	MICROSOFT CORP	12.00	Stocks
Dividend	NESTLE SPON ADR REP REG SHR	37.52	Stocks
Dividend	NOKIA CP ADR	22.78	Stocks
Dividend	NOVARTIS AG ADR	34.58	Stocks
Dividend	PFIZER INC	33.75	Stocks
Dividend	PROCTER & GAMBLE	40.53	Stocks
Dividend	ROYAL DUTCH PETE NY 1.25 GU	74.06	Stocks
Dividend	SBC COMMUNICATIONS	15.93	Stocks
Dividend	SIEMENS AKTIENGESELLSCHAFT	23.64	Stocks
Dividend	SOCIETE GENERALE SP ADR	119.84	Stocks
Dividend	TOTAL FINA ELF SA	140.18	Stocks
Dividend	UBS AG NEW	50.95	Stocks
Dividend	UNILEVER PLC (NEW) ADS	55.64	Stocks
Dividend	VERIZON COMMUNICATIONS	92.40	Stocks
Dividend	VODAFONE GP PLC ADS	10.06	Stocks
Dividend	WELLS FARGO & CO NEW	48.00	Stocks
	Total Stocks	$2,066.33	
	Total Dividends and Interest	$2,072.32	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-08	10-14	Sold	90	ABN AMRO HLDGS N V	19.3911	1,745.11	
12-12	12-17	Sold	75	ABN AMRO HLDGS N V	22.2978	1,672.26	
02-27	03-04	Sold	35	ASTRAZENECA PLC ADS	31.5700	1,104.91	
07-09	07-14	Sold	36	ASTRAZENECA PLC ADS	40.9242	1,473.20	
01-24	01-29	Sold	58	AVENTIS ADS	82.2967	1,987.21	
02-27	03-04	Sold	15	BANK OF AMERICA CORP	69.2600	1,038.86	
09-23	09-26	Sold	10	CADBURY SCHW PLC ADR 10 ORD NW	25.1200	251.18	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-27	03-04	Sold	20	CITIGROUP INC	33.0500	660.98	
02-27	03-04	Sold	20	COCA COLA CO	40.4200	808.37	
02-20	02-25	Sold	30	DEUTSCHE BK AG REG SHS	41.7783	1,253.51	
02-27	03-04	Sold	10	ELI LILLY & CO	56.8500	568.48	
11-03	11-06	Sold	10	ELI LILLY & CO	66.4456	664.42	
11-06	11-12	Sold	10	ELI LILLY & CO	65.9299	659.26	
12-02	12-05	Sold	10	ELI LILLY & CO	69.7084	697.04	
02-27	03-04	Sold	10	ENI SPA AMER DEP RCPT	76.2000	761.97	
09-23	09-26	Sold	10	FIRST DATA CORP	42.6000	425.98	
02-27	03-04	Sold	15	GENERAL ELECTRIC CO	23.9500	358.93	
09-12	09-17	Sold	10	GENERAL ELECTRIC CO	31.2800	312.78	
02-27	03-04	Sold	10	HSBC HOLDINGS PLC SPON ADR NEW	53.1500	531.48	
02-27	03-04	Sold	10	INTL BUSINESS MACHINES CORP	78.2200	782.17	
12-18	12-23	Sold	10	INTL BUSINESS MACHINES CORP	92.7723	927.67	
02-27	03-04	Sold	25	JOHNSON & JOHNSON	52.8100	1,320.21	
04-23	04-28	Sold	35	MERCK & CO	58.7960	2,057.76	
02-27	03-04	Sold	15	NESTLE SPON ADR REP REG SHR	48.2000	722.97	
02-27	03-04	Sold	15	NOVARTIS AG ADR	36.0200	540.28	
10-03	10-08	Sold	15	NOVARTIS AG ADR	39.1718	587.56	
02-27	03-04	Sold	20	PROCTER & GAMBLE	82.7100	1,654.15	
09-10	09-15	Sold	5	PROCTER & GAMBLE	91.5388	456.66	
02-13	02-19	Sold	59	SBC COMMUNICATIONS	22.2678	1,313.76	
10-07	10-10	Sold	25	SOCIETE GENERALE SP ADR	14.2000	354.98	
02-27	03-04	Sold	25	UNILEVER PLC (NEW) ADS	34.8000	869.97	
09-23	09-26	Sold	10	WELLS FARGO & CO NEW	50.9700	509.67	
				Total Sales Proceeds/Redemptions		$29,073.53	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-13	Rebate	12B1 FEE PASS THROUGH	0.22	
02-10	Rebate	12B1 FEE PASS THROUGH	0.17	
03-27	Rebate	12B1 FEE PASS THROUGH	0.17	
04-10	Rebate	12B1 FEE PASS THROUGH	0.07	
05-12	Rebate	12B1 FEE PASS THROUGH	0.01	
06-11	Rebate	12B1 FEE PASS THROUGH	0.13	
07-14	Rebate	12B1 FEE PASS THROUGH	0.08	
08-12	Rebate	12B1 FEE PASS THROUGH	0.10	
09-12	Rebate	12B1 FEE PASS THROUGH	0.08	
10-14	Rebate	12B1 FEE PASS THROUGH	0.08	
11-10	Rebate	12B1 FEE PASS THROUGH	0.08	
12-11	Rebate	12B1 FEE PASS THROUGH	0.06	

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 113487 022

Date	Activity	Description	Amount	Additional Information
		Total Other Credits	91.25	
		Total Credits To Your Account	$31,149.76	

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information
03-04	Check	FUNDS PAID	12,500.00	HARTFORD LIFE/PER LOA/MAIL
		Total Withdrawals	-12,500.00	

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-24	12-30	Bought	35	CANON INC ADR NEW	45.7424	1,600.98	
10-10	10-16	Bought	45	CREDIT SUISSE GROUP	33.7627	1,519.32	
09-23	09-26	Bought	15	GLAXOSMITHKLINE PLC ADS	43.1058	646.59	
12-15	12-18	Bought	45	HOME DEPOT INC	34.7786	1,565.04	
11-10	11-14	Bought	30	MARSH & MCLENNAN COS INC	45.2884	1,358.65	
03-06	03-11	Bought	75	MICROSOFT CORP	23.3277	1,749.58	
07-09	07-14	Bought	45	NOKIA CP ADR	17.7474	798.63	
10-07	10-10	Bought	120	ORACLE CORP	12.1900	1,462.80	
01-29	02-03	Bought	75	PFIZER INC	30.5157	2,288.68	
09-12	09-17	Bought	20	SWISS REINSURANCE CO SPON ADR	66.5000	1,330.00	
02-20	02-25	Bought	35	UBS AG NEW	43.9281	1,537.48	
05-13	05-16	Bought	70	VODAFONE GP PLC ADS	19.8921	1,392.45	
07-10	07-15	Bought	35	VODAFONE GP PLC ADS	19.4496	680.74	
				Total Funds to Purchase Securities		-17,930.94	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-15	Charge	ACCESS 1Q03 FEE LZD	-536.71	
04-14	Charge	ACCESS 2Q03 FEE LZD	-426.19	
07-15	Charge	ACCESS 3Q03 FEE LZD	-476.66	
10-14	Charge	ACCESS 4Q03 FEE LZD	-479.47	
		Total Other Debits	-1,919.03	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	STANLEY WORKS	5.20	Stocks
Dividend	SUPERVALU INC	22.87	Stocks
Dividend	SYMBOL TECHNLGS	.95	Stocks
Dividend	TARGET CORPORATION	7.80	Stocks
Dividend	TECO ENERGY	10.45	Stocks
Dividend	TEXAS INSTRUMENTS	1.06	Stocks
Dividend	U S BANCORP COM NEW	24.00	Stocks
Dividend	VALERO ENERGY CP DELA NEW	1.80	Stocks
Dividend	VERIZON COMMUNICATIONS	42.74	Stocks
Dividend	WEYERHAEUSER CO	8.00	Stocks
	Total Stocks	$1,230.87	
	Total Dividends and Interest	$1,501.08	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-03	10-08	Sold	30	A T & T CORP NEW	20.5364	616.06	
01-06	01-09	Sold	10	AMERICAN INTERNATIONAL GP	61.4134	614.11	
02-26	03-03	Sold	10	AMERICAN INTERNATIONAL GP	49.4629	494.61	
02-06	02-11	Sold	20	ANHEUSER BUSCH COS INC	47.0147	940.26	
02-26	03-03	Sold	5	APACHE CORP	65.7743	328.86	
03-26	03-31	Sold	15	APACHE CORP	60.0567	900.79	
04-16	04-22	Sold	20	BAKER HUGHES INC	27.7641	555.25	
05-06	05-09	Sold	20	BAKER HUGHES INC	28.7534	575.06	
03-05	03-10	Sold	50	BANK OF NEW YORK	22.1672	1,108.32	
07-23	07-28	Sold	15	BEST BUY CO	42.6218	639.30	
08-05	08-08	Sold	10	BEST BUY CO	41.2912	412.89	
11-11	11-14	Sold	5	CITIGROUP INC	47.5100	237.53	
11-26	12-02	Sold	5	CITIGROUP INC	46.9126	234.54	
01-06	01-09	Sold	5	COCA COLA CO	44.9707	224.84	
03-12	03-17	Sold	15	COCA COLA CO	37.9541	569.29	
07-10	07-15	Sold	10	COMCAST CORP (NEW) CLASS A	31.7432	317.43	
07-25	07-30	Sold	22	COMCAST CORP (NEW) CLASS A	29.4500	647.90	
04-22	04-25	Sold	70	COMPUTER ASSOC INTL INC	15.1674	1,061.67	
07-01	07-07	Sold	30	COMPUTER ASSOC INTL INC	21.6918	650.71	
07-23	07-28	Sold	15	COMPUTER ASSOC INTL INC	22.4880	337.30	
11-12	11-17	Sold	10	COMPUTER ASSOC INTL INC	23.1098	231.08	
11-19	11-24	Sold	25	COMPUTER ASSOC INTL INC	22.2007	554.99	
03-06	03-11	Sold	25	CONAGRA FOODS INC	21.7898	544.73	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-11	03-14	Sold	25	CONAGRA FOODS INC	21.4596	536.47	
02-06	02-11	Sold	20	DELL COMPUTER CORP	24.2900	485.80	
09-22	09-25	Sold	15	DU PONT EI DE NEMOURS & CO	41.2246	618.34	
10-14	10-17	Sold	15	DU PONT EI DE NEMOURS & CO	40.4034	606.02	
10-14	10-17	Sold	15	EASTMAN CHEMICAL COMPANY	32.0139	480.18	
11-03	11-06	Sold	20	EASTMAN CHEMICAL COMPANY	32.3130	646.22	
01-21	01-24	Sold	5	EASTMAN KODAK CO	37.9874	189.93	
02-24	02-27	Sold	35	EASTMAN KODAK CO	29.3815	1,028.31	
10-28	10-31	Sold	84	EL PASO CORPORATION	7.2650	610.06	
12-15	12-18	Sold	55	ELECTR DATA SYSTEMS CORP	22.5482	1,240.09	MSDHI IS MARKET MAKER VSP BY DATE 20000512
02-07	02-12	Sold	20	ELI LILLY & CO	59.8061	1,196.08	
02-26	03-03	Sold	10	ELI LILLY & CO	56.1327	561.31	
07-11	07-16	Sold	5	EXELON CORP	56.9649	284.80	
07-01	07-07	Sold	25	FREEPORT MCMORAN CP&GLD CL B	24.0859	602.12	
06-12	06-17	Sold	35	GUIDANT CORP	40.7200	1,425.13	
01-24	01-29	Sold	55	HEWLETT PACKARD	18.8877	1,038.78	
09-11	09-16	Sold	20	HEWLETT PACKARD	20.0684	401.35	
09-23	09-26	Sold	35	HEWLETT PACKARD	20.2472	708.61	
01-27	01-30	Sold	15	INGERSOLL RAND CO CL A	39.1643	587.44	
02-10	02-13	Sold	15	INGERSOLL RAND CO CL A	37.7099	565.63	
01-27	01-30	Sold	10	INTL BUSINESS MACHINES CORP	78.2421	782.39	
04-21	04-24	Sold	20	KEYCORP NEW	23.1194	462.36	
09-22	09-25	Sold	25	KEYCORP NEW	25.8334	645.80	
11-11	11-14	Sold	5	LEHMAN BROS HOLDING INC DELA	73.5702	367.85	
08-12	08-15	Sold	30	MERCK & CO	54.2117	1,626.27	
11-26	12-02	Sold	10	MERRILL LYNCH & CO INC	56.1374	561.34	
09-11	09-16	Sold	15	NASDAQ-100 TRUST SERIES I	33.3413	500.09	
10-16	10-21	Sold	25	NASDAQ-100 TRUST SERIES I	35.2686	881.67	
01-08	01-13	Sold	20	NEWELL RUBBERMAID INC	30.1562	603.10	
08-22	08-27	Sold	5	NEWMONT MINING CORP (NEW)	37.5912	187.95	
10-13	10-16	Sold	10	NEWMONT MINING CORP (NEW)	39.0917	390.90	
02-04	02-07	Sold	25	NORFOLK SOUTHERN CORP	19.1296	478.22	
07-07	07-10	Sold	30	NORFOLK SOUTHERN CORP	19.6108	588.29	
03-28	04-02	Sold	20	PPG INDUSTRIES INC	46.3944	927.86	
01-09	01-14	Sold	5	SBC COMMUNICATIONS	28.8617	144.30	
02-10	02-13	Sold	20	SBC COMMUNICATIONS	24.3724	487.43	
10-03	10-08	Sold	20	SBC COMMUNICATIONS	22.8565	457.10	
06-02	06-05	Sold	25	SCHERING PLOUGH CORP	18.0428	451.04	
07-14	07-17	Sold	35	SCHERING PLOUGH CORP	17.1536	600.35	
07-02	07-08	Sold	10	SUPERVALU INC	22.0000	219.98	
07-10	07-15	Sold	25	SUPERVALU INC	21.6754	541.86	
09-23	09-26	Sold	30	SUPERVALU INC	24.7837	743.47	

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-12	11-17	Sold	10	SYMBOL TECHNLGS	14.4699	144.69	
04-02	04-07	Sold	60	U S BANCORP COM NEW	19.7306	1,183.78	
01-09	01-14	Sold	15	VERIZON COMMUNICATIONS	40.2828	604.22	
03-04	03-07	Sold	15	VERIZON COMMUNICATIONS	34.7776	521.64	
10-03	10-08	Sold	15	VERIZON COMMUNICATIONS	33.5500	503.22	
10-14	10-17	Sold	19	VERIZON COMMUNICATIONS	31.2327	593.39	
04-02	04-07	Sold	20	WEYERHAEUSER CO	49.3351	986.65	
				Total Sales Proceeds/Redemptions		$43,797.36	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-13	Rebate	12B1 FEE PASS THROUGH	0.21	
02-10	Rebate	12B1 FEE PASS THROUGH	0.35	
03-27	Rebate	12B1 FEE PASS THROUGH	0.74	
04-02	Stock Dividend	APACHE CORP	45.54	CASH IN LIEU FRACTIONAL SHARE
04-10	Rebate	12B1 FEE PASS THROUGH	1.98	
05-12	Rebate	12B1 FEE PASS THROUGH	1.39	
06-11	Rebate	12B1 FEE PASS THROUGH	1.56	
07-14	Rebate	12B1 FEE PASS THROUGH	1.24	
08-12	Rebate	12B1 FEE PASS THROUGH	0.59	
09-12	Rebate	12B1 FEE PASS THROUGH	0.51	
10-14	Rebate	12B1 FEE PASS THROUGH	0.38	
11-10	Rebate	12B1 FEE PASS THROUGH	0.33	
12-11	Rebate	12B1 FEE PASS THROUGH	0.24	
		Total Other Credits	$54.26	

Total Credits To Your Account $45,152.70

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-19	02-24	Bought	45	A T & T CORP NEW	18.4305	829.37	
03-17	03-20	Bought	5	A T & T CORP NEW	17.1203	85.60	

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Morgan Stanley

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2003

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-05	09-10	Bought	30	ALBERTSONS INC	22.8237	684.71	
09-11	09-16	Bought	30	ALBERTSONS INC	21.9533	658.60	
09-22	09-25	Bought	45	BANK OF NEW YORK	30.7321	1,382.94	
06-12	06-17	Bought	15	BEST BUY CO	42.4500	636.75	
06-18	06-23	Bought	25	CAMPBELL SOUP	25.9081	647.70	
09-11	09-16	Bought	25	CAMPBELL SOUP	25.8956	647.39	
06-12	06-17	Bought	25	CITIGROUP INC	43.6400	1,091.00	
11-12	11-17	Bought	30	COCA COLA CO	47.1097	1,413.29	
08-08	08-13	Bought	20	CVS CORPORATION	30.1665	603.33	
09-03	09-08	Bought	20	CVS CORPORATION	31.7914	635.83	
08-11	08-14	Bought	10	DEVON ENERGY CORP NEW	52.3310	523.31	
12-11	12-16	Bought	10	DEVON ENERGY CORP NEW	53.5141	535.14	
10-24	10-29	Bought	35	DOW CHEMICAL CO	36.6839	1,283.94	
06-12	06-17	Bought	20	EASTMAN CHEMICAL COMPANY	33.2800	665.60	
08-05	08-08	Bought	15	EASTMAN CHEMICAL COMPANY	35.6901	535.35	
10-23	10-28	Bought	20	ELI LILLY & CO	64.4206	1,288.41	
06-12	06-17	Bought	25	FREEPORT MCMORAN CP&GLD CL B	23.3800	584.50	
03-17	03-20	Bought	80	GAP INC	14.7599	1,180.79	
07-07	07-10	Bought	30	GEORGIA-PAC (GEORGIA PAC GP)	20.2211	606.63	
07-22	07-25	Bought	20	GEORGIA-PAC (GEORGIA PAC GP)	20.1860	403.72	
02-20	02-25	Bought	15	GUIDANT CORP	35.4501	531.75	
03-17	03-20	Bought	20	GUIDANT CORP	35.9887	719.77	
06-12	06-17	Bought	35	HOME DEPOT INC	32.7000	1,144.50	
03-17	03-20	Bought	5	INTL BUSINESS MACHINES CORP	82.1935	410.97	
06-12	06-17	Bought	10	LEHMAN BROS HOLDING INC DELA	73.7200	737.20	
07-07	07-10	Bought	10	LEHMAN BROS HOLDING INC DELA	68.3404	683.40	
07-09	07-14	Bought	35	LINCOLN NTL CORP IND	38.0466	1,331.63	
07-23	07-28	Bought	30	MAY DEPARTMENT STORES	23.0130	690.39	
07-31	08-05	Bought	20	MAY DEPARTMENT STORES	25.1357	502.71	
06-12	06-17	Bought	25	MERRILL LYNCH & CO INC	47.2900	1,182.25	
10-21	10-24	Bought	20	METLIFE INCORPORATED	30.8375	616.75	
03-19	03-24	Bought	45	MICROSOFT CORP	26.0183	1,170.82	
11-26	12-02	Bought	15	MICROSOFT CORP	25.4600	381.90	
06-12	06-17	Bought	30	MONSANTO CO/NEW	21.0700	632.10	
07-18	07-18	Bought	65	MOTOROLA INC	9.7629	634.59	
09-03	09-08	Bought	60	MOTOROLA INC	10.8125	648.75	
06-12	06-17	Bought	40	NASDAQ-100 TRUST SERIES I	30.4000	1,216.00	
06-12	06-17	Bought	35	NEWMONT MINING CORP (NEW)	31.6400	1,107.40	
09-22	09-25	Bought	30	NORTHERN TRUST CORP	43.6191	1,308.57	
06-12	06-17	Bought	65	PEOPLESOFT INC	17.1500	1,114.75	
06-12	06-17	Bought	15	PITNEY BOWES INC	37.8000	567.00	
09-03	09-08	Bought	20	PITNEY BOWES INC	39.1546	783.09	
06-25	06-30	Bought	25	SBC COMMUNICATIONS	26.4553	661.38	

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 122048 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-12	11-17	Bought	20	STANLEY WORKS	32.4441	648.88	
11-26	12-02	Bought	20	STANLEY WORKS	32.7526	655.05	
08-01	08-06	Bought	95	SYMBOL TECHNLGS	13.5200	1,284.40	
10-05	10-08	Bought	20	TECO ENERGY	14.5000	290.00	
10-23	10-28	Bought	35	TECO ENERGY	12.1809	426.33	
09-22	09-25	Bought	30	TEXAS INSTRUMENTS	23.6552	709.66	
10-13	10-16	Bought	20	TEXAS INSTRUMENTS	25.4281	508.56	
12-03	12-08	Bought	85	TIME WARNER INC NEW	16.6505	1,415.29	
08-11	08-14	Bought	15	VALERO ENERGY CP DELA NEW	38.0888	571.33	
06-09	06-12	Bought	20	VERIZON COMMUNICATIONS	38.9145	778.29	
06-12	06-17	Bought	55	WALT DISNEY CO HLDG CO	20.6900	1,137.95	
				Total Funds to Purchase Securities		-44,125.31	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-15	Charge	1ST QTR MGMNT FEE	-385.60	
04-14	Charge	2ND QTR MGMNT FEE	-361.47	
07-15	Charge	3RD QTR MGMNT FEE	-397.20	
10-14	Charge	4TH QTR MGMNT FEE	-404.01	
		Total Other Debits	-1,548.28	

Total Debits To Your Account -45,673.59

ADDITIONAL ACCOUNT INFORMATION

Tax Information

	Year-to-Date
Reportable Gross Proceeds	43,842.90

The tax information provided above should be used only as a guide; a complete 1099 will be sent to you.

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Morgan Stanley

This transaction is confirmed in accordance with the explanations and conditions stated on the reverse side.

Your Account Number: 642-032928-0-022
Cash Account - IRA
IRA Rollover
Dated 02/25/03

*BUNJGXK
S000000059 05 C642 000000015 **
THE HONORABLE NICK JOE RAHALL
1251 PINE HILL ROAD
MC LEAN, VA 22101-2906

Your Financial Advisor
MR PEAR/MR HANDO
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

You Bought
Trade Date 02/26/03 For Settlement on 02/27/03

PORTFOLIO ARCHITECT					Settlement Amount
Quantity	Symbol/ CUSIP	Exch/ Exec	Fund Description	Price	
180.962	MPSFX 617440607	8R	MSIF U.S. CORE FIX INC INST	11.250	2,035.82
708.020	MPLDX 617440862	8R	MSIF TR LTD DURATION INST	10.680	7,561.65
186.032	MPHYX 617440888	8R	MSIF TR HIGH YLD PORT INST	4.690	872.49
498.428	ACSTX 92112D103	8R	VAN KAMPEN COMSTOCK A	11.670	5,816.65
430.865	ACGIX 92113H103	8R	VAN KAMPEN GROWTH & INCOME A	13.500	5,816.68
0.719	AMODX 616933404	8M	AMERICAN OPPORTUNITIES FD D	18.330	13.18
				NET AMOUNT	22,116.47

Additional Information:

QUANTITY INCLUDES FRACTIONAL SHARES
PROSPECTUS

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

This transaction is confirmed in accordance with the explanations and conditions stated on the reverse side.

Exchange Code: 8
Execution Code: M
Your Account Number: 642-115917-0-022
Cash Account
IRA Standard
Dated 03/22/94

*BWNJGXK
S000006712 05 C642 000000023 **

THE HONORABLE NICK JOE RAHALL
1251 PINE HILL ROAD
MC LEAN, VA 22101-2906

Your Financial Advisor
MR PEAR/MR HANDO
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

You Sold
Trade Date 02/26/03 As of 02/25/03 for Settlement on 02/26/03

Quantity	483.498	Price	17.42	Settlement Amount	
Description: AMERICAN OPPORTUNITIES FD B				Principal	$8,422.54
UNSOLICITED TRADE QUANTITY INCLUDES FRACTIONAL SHARES				Net Amount	$8,422.54
				Security No. 616933206 Symbol AMOBX	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

Morgan Stanley

This transaction is confirmed in accordance with the explanations and conditions stated on the reverse side.

Exchange Code: 8
Execution Code: M
Your Account Number: 642-115917-0-022
Cash Account
IRA Standard
Dated 03/22/94

THE HONORABLE NICK JOE RAHALL
1251 PINE HILL ROAD
MC LEAN, VA 22101-2906

Your Financial Advisor
MR PEAR/MR HANDO
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

You Sold
Trade Date 02/26/03 As of 02/25/03 for Settlement on 02/26/03

Quantity	445.008	Price	34.10	Settlement Amount	
Description: DIVIDEND GRWTH SECURITIES B				Principal	$15,174.77
UNSOLICITED TRADE QUANTITY INCLUDES FRACTIONAL SHARES				Net Amount	$15,174.77
Investments and services are offered through Morgan Stanley DW Inc., member SIPC.				Security No. 616938205 Symbol DIVBX	

FIRST CLEARING, LLC 2003

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR	SUB	REP	ACCOUNT	PAGE
106 MAIN ST	001	BK08	6540-1050	4 OF 7
BECKLEY WV 25801-4611		TAXPAYER ID: 233-25-9977		

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

CORRECTION: 03/12/04

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
FLEXTRONICS INTL CUSIP: Y2573F102	07/09/03	SALE	300.00000	11.9000	$3,487.33
ALLIED WASTE IND NEW PAR CUSIP: 019589308	07/18/03	SALE	300.00000	11.9500	$3,530.33
ALLIED WASTE IND NEW PAR CUSIP: 019589308	12/18/03	SALE	300.00000	12.6100	$3,684.49
DYCOM INDUSTRIES INC CUSIP: 267475101	06/03/03	SALE	300.00000	16.7700	$4,919.33
ECOLAB INC CUSIP: 278865100	08/18/03	SALE	200.00000	25.9700	$5,111.25
FIRST DATA CORP CUSIP: 319963104	12/29/03	SALE	100.00000	40.1900	$3,961.31
GILLETTE CO CUSIP: 375766102	05/22/03	SALE	100.00000	32.8500	$3,227.34
LIBERTY ALL-STAR EQUITY CUSIP: 530158104	12/04/03	SALE	700.00000	9.4500	$6,487.43
LIBERTY ALL-STAR EQUITY CUSIP: 530158104	12/04/03	SALE	400.10100	9.4500	$3,700.53
LIBERTY MEDIA CORP A CUSIP: 530718105	12/26/03	SALE	600.00000	11.7100	$6,913.97
MCDONALDS CORP CUSIP: 580135101	06/02/03	SALE	300.00000	18.9100	$5,615.23
MEDTRONIC INC CUSIP: 585055106	12/18/03	SALE	100.00000	48.6000	$4,777.27
O CHARLEYS INC CUSIP: 670823103	12/30/03	SALE	300.00000	18.1900	$5,371.81
QUANTA SVCS INC CUSIP: 74762E102	06/04/03	SALE	800.00000	8.0700	$6,272.31
SPEEDWAY MOTORSPORTS INC CUSIP: 847788106	05/06/03	SALE	200.00000	25.0100	$4,919.26
TRIBUNE CO NEW CUSIP: 896047107	12/22/03	SALE	100.00000	49.9400	$4,936.26
TRITON PCS HOLDINGS INC CUSIP: 89677M106	04/22/03	SALE	1,000.00000	2.9200	$2,862.36
U S RESTAURANTS PPTYS CUSIP: 902971100	12/22/03	SALE	300.00000	17.0600	$5,035.26
WENDYS INTL INC CUSIP: 950590109	05/01/03	SALE	100.00000	28.9800	$2,840.36
TOTAL PROCEEDS					$87,653.43

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 2003 SUBSTITUTE FOR IRS FORM 1099-B, OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSION ON STOCK, BONDS, ETC	$87,653.43
4.	FEDERAL INCOME TAX WITHHELD	$0.00

FIRST CLEARING, LLC 2003

FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR	SUB	REP	ACCOUNT	PAGE
106 MAIN ST	001	BK08	6540-1050	6 OF 7
BECKLEY WV 25801-4611		TAXPAYER ID: 233-25-9977		

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE

CORRECTION: 03/12/04

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
FLEXTRONICS INTL	06/18/03	PURCHASE	300.00000	10.7000	$3,302.31
CUSIP: Y2573F102					
ALLIED WASTE IND NEW PAR	09/12/03	PURCHASE	300.00000	11.2400	$3,466.01
CUSIP: 019589300					
DAVE & BUSTERS INC	12/15/03	PURCHASE	200.00000	12.5400	$2,601.03
CUSIP: 23833N104					
ECOLAB INC	07/30/03	PURCHASE	200.00000	24.2500	$4,959.32
CUSIP: 278865100					
FIRST DATA CORP	10/14/03	PURCHASE	100.00000	37.6000	$3,842.50
CUSIP: 319963104					
GILLETTE CO	01/15/03	PURCHASE	100.00000	31.2400	$3,206.50
CUSIP: 375766102					
INSIGHT COMMUNICATIONS	08/26/03	PURCHASE	200.00000	11.4000	$2,362.50
CUSIP: 45768V108					
LIBERTY ALL-STAR EQUITY	01/02/03	PURCHASE	13.00000		$91.91
CUSIP: 530158104					
LIBERTY ALL-STAR EQUITY	03/17/03	PURCHASE	24.92100		$174.45
CUSIP: 530158104					
LIBERTY ALL-STAR EQUITY	06/30/03	PURCHASE	24.00000		$192.24
CUSIP: 530158104					
LIBERTY ALL-STAR EQUITY	10/06/03	PURCHASE	25.00000		$213.25
CUSIP: 530158104					
LIBERTY MEDIA CORP A	09/10/03	PURCHASE	300.00000	11.1200	$3,435.78
CUSIP: 530718105					
LIBERTY MEDIA CORP A	09/12/03	PURCHASE	300.00000	10.7000	$3,314.42
CUSIP: 530718105					
MCDONALDS CORP	01/28/03	PURCHASE	100.00000	14.5500	$1,512.50
CUSIP: 580135101					
MEDTRONIC INC	10/14/03	PURCHASE	100.00000	44.5700	$4,539.50
CUSIP: 585055106					
O CHARLEYS INC	07/22/03	PURCHASE	200.00000	18.0500	$3,699.51
CUSIP: 670823103					
O CHARLEYS INC	08/08/03	PURCHASE	100.00000	14.8500	$1,567.50
CUSIP: 670823103					
SPEEDWAY MOTORSPORTS INC	02/11/03	PURCHASE	100.00000	22.1800	$2,275.50
CUSIP: 847788106					
TRIBUNE CO NEW	08/20/03	PURCHASE	100.00000	47.3000	$4,822.30
CUSIP: 896047107					
U S RESTAURANTS PPTYS	10/14/03	PURCHASE	300.00000	16.0700	$4,937.49
CUSIP: 902971100					
ZWEIG FUND INC	01/10/03	PURCHASE	27.42700		$138.78
CUSIP: 989834106					
ZWEIG FUND INC	04/28/03	PURCHASE	27.14900		$136.29
CUSIP: 989834106					
ZWEIG FUND INC	07/28/03	PURCHASE	27.17800		$148.39
CUSIP: 989834106					
ZWEIG FUND INC	10/27/03	PURCHASE	20.96400		$98.53
CUSIP: 989834106					

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

James M. Ramstad
(Full Name)

103 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-2871
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representative — State: MN District: 03 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/04

4045

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Ramstad

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Legislative Retirement Plan	$15,001 - $50,000		NONE	
	Farm Property -- North Dakota. Total of 960 acres (no improvements) and undivided one-half interest in parcels totaling 800 acres (one parcel with farm buildings)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	Woodworth Farmers Group	$1 - $1,000	DIVIDENDS	NONE	

SCHEDULE V - LIABILITIES

Name James M. Ramstad

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wells Fargo Bank, Minneapolis, MN	Personal loan	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name James M. Ramstad

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Lake Country Food Bank
Board Member	Minnesota D.A.R.E.
Board Member	C. Charles Jackson Foundation
Advisory Board Member	Bolder Options

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(3)

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 4:05

MC
(Office Use Only)

CHARLES BERNARD RANGEL
(Full Name)
40 WEST 135th STREET, APT. 16N (202) 225-4365
(Mailing Address) Daytime Telephone:
NEW YORK CITY, NY 10037

Filer Status	☒ Member of the U.S. House of Representatives	State: NEW YORK District: 15	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C B Rangel	5-13-04

4049

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: CHARLES B. RANGEL — Page 1 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

Categories: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

BLOCK C — Type of Income

Categories: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

Categories: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year. (P, S, E)

SP, DC, JT	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
Examples: SP	Mega Corp. Stock	E ($50,001 – $100,000)	DIVIDENDS	IV ($1,001 – $2,500)	P
	Simon & Schuster	Indefinite	Royalties	IX ($100,001 – $1,000,000)	
	1st Bank of Paducah, KY accounts	F ($100,001 – $250,000)	INTEREST	VI ($5,001 – $15,000)	
JT	74 West 132nd St. New York City, NY	G ($250,001 – $500,000)	RENT	V ($2,501 – $5,000)	
JT	Punta Cana Beach Villa, Punta Cana, The Dominican Republic	F ($100,001 – $250,000)	RENT	VI ($5,001 – $15,000)	
JT	Metropolitan Life Ins.	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CHARLES B. RANGEL

Page 2 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	CHASE MANHATTAN	D	INTEREST	III	
JT	CONGRESSMAN FEDERAL CREDIT UNION	D	INTEREST	III	
JT	GREENPOINT BANK	D	INTEREST	II	
JT	M+T BANK	C	INTEREST	II	
JT	MERRILL LYNCH ACCOUNT:				
	ESSEX National Securities	D	DIVIDENDS	II	
	PEPSICO, INC.	C	DIVIDENDS	II	
	AMI Global Technology	C	DIVIDENDS	III	
	INCE Principal Protection Fund	D	DIVIDENDS	III	
	Alliance Muni Income Fund	C	DIVIDENDS	III	
	New York Port Authority Class A Bonds	C	DIVIDENDS	III	
	Cash/Money Accounts	G	INTEREST	V	

SCHEDULE V — LIABILITIES

Name CHARLES B. RANGEL Page 3 of 4

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Citicorp Mortgage	Mortgage on 74 West 132nd St, New York City NY 10037			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	New York Labor Day Carnival Committee	Feb. 28 to Mar. 4	New York City-Port of Spain-Washington, D.C.	Y	Y	N	N
	Dominican American National Roundtable	Apr. 23-28	New York-Santo Domingo-Punta Cana-New York	Y	Y	N	3 days
	U.S. Conference of Mayors	June 8-9	New York-Denver-Washington, D.C.	Y	Y	N	N
	New York Caribe News	Nov. 6-9	New York City-Nassau-The Bahamas-New York City	Y	Y	N	N

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

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MC

Ralph Regula
(Full Name)

2306 Rayburn House Office Building (202) 225-3876
(Mailing Address) Daytime Telephone

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: Ohio District: 16th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 14, 2004

4054

Name	Ralph Regula	Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ohio Public Employees Retirement System	Employer-PEmployee Funded Retirem. Pension	$6,493.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Ralph Regula | Page 3 of 8

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
Council for Opportunity in Education	Speech	March 24, 2003	$2,000.00
American Council on Education Fellows Program	Participation in Fed. Budget Exercise	June 4, 2003	$1,000.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Ralph Regula

Page 4 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B		BLOCK C					BLOCK D										BLOCK E
			A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X															
	United Bank--Stocks & Savings									X					X											X						
	J.M. Smucker - STock					X									X								X									
	Sky Financial Group, Formerly CBC Bank -Stock						X								X										X							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ralph Regula

Page 5 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000	DIVIDENDS; INTEREST; EXCEPTED TRUST; Other Type of Income (Specify)	I None; III $201 – $1,000; V $2,501 – $5,000; VII $15,001 – $50,000; IX $100,001 – $1,000,000; XI Over $5,000,000	P, S, E
	Undivided half int. in 25 acres, Bethlehem Twp. Stark County, Ohio	X	Royalty Rent	X	
	200 acres, Bethlehem Twp. Stark County, Ohio Exclusive of residences 2		Royalty Rent	X	
	Regula Bros, Beach City, Ohio-Partnership - Live stock, farm equipment, transportation equipment	X	Partnership Distribution	X	

This page may be copied if more space is required.

Name Ralph Regula

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Unizan Bank	Mortgage on 8787 Erie Ave S.W., Navarre, OH 44662										
	Unizan Bank	Business Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute Conf. on Edu	Feb 14-19	DCA-Montego Bay-Cleveland	X	X	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Regula Brothers Partnership
Trustee	Mount Union College
Trustee	Stark Wilderness Center
Trustee	McKinley Museum
Trustee	JASON Project

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

2306 Rayburn House Office Building
Washington, DC 20515–3516
(202) 225–3876

District Offices
4150 Belden Village Street NW
Suite 408
Canton, OH 44718
(330) 489–4414

124 West Washington Street
Suite 1A
Medina, OH 44256
(330) 722–3793

Toll-Free District Number
1–800–826–9015

Congress of the United States
House of Representatives
Washington, DC 20515–3516

RALPH REGULA
16th District, Ohio

Committee:
APPROPRIATIONS
Vice Chairman

Subcommittees
Chairman, Labor, Health and Human Services and Education
Interior
Commerce, Justice, State, and Judiciary

World Wide Web
www.house.gov/regula

June 3, 2004

MC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -3 PM 4:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

The Honorable Jeff Trandahl
Clerk of the House
Legislative Resource Center
INSIDE MAIL

Dear Mr. Trandahl:

This letter is for the purpose of amending my financial disclosure statement for the calendar year 2003:

The correction is as follows: Page 4, block A, United Bank item should read United Bank—Stocks – the savings item should be deleted.

Respectfully submitted,

Ralph Regula, M.C.

RR:sls

Enclosure (revised Page 4)

HAND DELIVERED

LEGISLATIVE RESEARCH BUREAU
2004 JUN -3 PM 4:14
P.S.E
Published on the Internet
U.S. HOUSE OF REPRESENTATIVES

Name Ralph Regula

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B	Block C	Block C	Block C	Block C	Block D	Block D	Block E
				A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	DIVIDENDS	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	Over $5,000,000	
SP, DC, JT	*Examples:* SP	Mega Corp. Stock				X			X								P
	DC	Simon & Schuster			Indefinite					Royalties					X		
	JT	1st Bank of Paducah, KY accounts															
		United Bank—Stocks							X								
		J.M. Smucker - STock							X			X					
		Sky Financial Group, Formerly GBC Bank -Stock				X			X				X				

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

2004 JUN 14 PM 2:30

(Office Use Only) MC

Dennis Ray Rehberg
(Full Name)

CHOB 516 Washington DC (202) 225-3211
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: MT District: AL	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis R Rehberg	6/14/04

4064

Name Dennis Ray Rehberg | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None.		

For payments to charity in lieu of honoraria, use Schedule II

Name Dennis Ray Rehberg | Page 3 of 8

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
Examples: XYZ Magazine	Article	Aug. 13, 2003	$500
None			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Dennis R. Rehberg

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

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BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	F	DIVIDENDS	IV	P
DC, JT	Simon & Schuster	Indefinite	Royalties	V	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
SP	Ashland Petroleum Joequ Co	C	RENT	III	
SP	Meyerland Livestock - Teague, MT (family - sub-S)	F	Sub-S income	V	
SP	Leuhardt Property LP Billings, MT (family partnership)	G	Partnership income	X	
SP	Robert G Leuhardt Estate	(Family gift - undetermined)			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dennis R. Rehberg

Page 5 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Keogh Retirement Plan						X							X		X							X									
	(1) Goldman Sachs Finance Square Prime;				X																											
	(2) Security Funds Int'l Prospective				X																											
	Fund Wash. Mutual Investors Fund;				X																											
	Int'l Interest Rent; Bkp. MT				X																											
JT	737 Ave. D, Bkp. MT (Rental)					X									X											X						
JT	3902 Pfile Creek (Rental)							X							X											X						
JT	Rehberg Ranch LLC Bkp MT																															
	hand Sec. Dawson - Bkp MT										X										X											
JT	Rehberg Ranch Marketing, Inc									X										Farming income (not sales)	X											[redacted]
	Developed lots - Bkp. MT																															
JT	Rehberg Ranch - Sole prop.									X					X					Livestock sales							X					
	hand the livestock - Billings MT																															
DC	Gillette Co.			X										X									X									
DC	Sybase			X																	X											
DC	Invest Financial - Prime Fund			X										X									X									
	Capital Preserves																															
DC	1st Citizens			X												X							X									
DC	U.S. Treasury CATS	X																			X											Surrendered
JT	Western Security Bank - Pkg	MT		O												X							X									

SCHEDULE IV — TRANSACTIONS

Name Dennis L Rehberg

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
DC	U.S. Treasury Bonds (CATS)		Surrendered X		11/15/03	X									

This page may be copied if more space is required.

Name Dennis R. Rehberg | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Dorothy Martin	Contract For Deed	X									
JT	First Citizens Bank	Livestock Loan			X							
JT-	Stockman Bank	Guarantor RE Loans - [redacted]							X			
JT	Stockman Bank	RE loan - construction					X					

* Corporate debt.

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

06/14/2004 08:03 FAX 406 256 4934 CONGRESSMAN REHBERG - DC OFFICE 009

06/14/2004 08:04 FAX 406 256 4934 CONGRESSMAN REHBERG - DC OFFICE 010

Jenna K. Rehberg

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Safari Club International	Jan 31-Feb1	Billings-MT - Reno, NV → Billings, MT	Y	Y	N	N
	The Lexington Institute	June 6-11	Wash DC - Havana → DC	Y	Y	N	N
	Center for International Policy	Sept 12-15	Wash DC - Havana → DC	Y	Y	N	N

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06/14/2004 08:04 FAX 406 256 4934 CONGRESSMAN REHBERG → DC OFFICE 011

Name Dennis R. Rehberg | Page 5 of 7

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
owner	Rehberg Ranch (land + livestock operation)
member	Rehberg Ranch LLC
shareholder / director	Rehberg Ranch Marketing, Inc.
member	The Rims Golf Club LLC
"	The Rims Equestrian Center, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2004 81-810 (mec)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 14 PM 4:45
MC
(Office Use Only)

Dennis Ray Rehberg
(Full Name)

CHOB 516 Washington DC
(Mailing Address)

(202) 225-3211
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MT District: AL	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis R Rehberg	6/14/04

4073

Name Dennis Ray Kelberg | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

Name Dennis Ray Pohlberg | Page 3 of 5

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Dennis P. Lehrkeng

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
SP	Ingland Petroleum + Jersey Co			X											X																	
SP	Teigen Land + Livestock - Teigen, MT (family - sub-S)						X													sub-S income					X							
SP	Lenhardt Property, LP Billings, MT (family partnership)							X												Partnership income	X											
SP	Robert & Lenhardt Estate	(Partial gift - undetermined)																														

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dennis R. Rehberg

Page 5 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Keogh Retirement Plan		Div	III	
(1)	Goldman Sachs Finance Square Prime,	C	Int		
(2)	Security Funding Inc, Prime Prospective	C			
	Fund; Wash. Mutual Investors Fund,	C			
	Wing 1st Interstate Bank, Bhp MT	C			
JT	1737 Ave D, Bhp, MT (Rent)	F			
JT	3902 Pole Creek (Rent)	G	Div	VI	
JT	Rehberg Ranch LLC, Bhp MT		Div	VI	
	hand & Sec Dev Corp - Bhp MT			X	
JT	Rehberg Ranch Marketing, Inc	I		X	[redacted]
	Developed lots - Bhp, MT		Other Type of Income (Net Sales)	X	
Sole	Rehberg Ranch - Sole prop.	I	Div	VII	
	hand & livestock - Billings MT		Other Type of Income (Livestock Sales)		
DC	Gillette Co.		Div	III	
DC	Sybase	X		X	
DC	Invest Financial - Prime Fund	X	Div		
	Capital Preserves				
DC	At Citizens	X	Int		
DC	U.S. Treasury CATS	A		X	Surrendered
JT	Western Security Bank - Bhp MT	O	Int		

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SCHEDULE IV — TRANSACTIONS

Name Donna L Pelkey | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
DC	U.S. Treasury Bonds (CATS)		Surrendered X		11/15/03	X									

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Name Dennis R. Dehlbrees | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP. DC. JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Dorothy Martin	Contract for Deed	X									
JT	First Citizens Bank	Livestock Loan			X							
* Corp. JT-	Ranch Mkt Inc. Stockman Bank	Guarantor Pit Loans - [redacted]							X			
JT	Stockman Bank	RE loan - construction					X					

* Corporate debt.

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

Name Dennis R. Rehberg | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Safari Club International	Jan 31-Feb 1	Billings-MT - Reno, NV → Bilgs. MT	Y	Y	N	N
	The Lexington Institute	June 6-11	Wash DC - Havana → DC	Y	Y	N	N
	Center for International Policy	Sept 12-15	Wash DC - Havana → DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
owner	Rehberg Ranch (land + livestock operation)
member	Rehberg Ranch LLC
shareholder / director	Rehberg Ranch Marketing, Inc.
member	The Rims Golf Club LLC
"	The Rims Equestrian Center, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

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LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 1:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Richard G. Renzi
(Full Name)

2063 North Raintree Drive Flagstaff, AZ 86004 — 202-225-2315
(Mailing Address) — Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: AZ District: 1	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/04

4082

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard G. Renzi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Renzi Vino, Inc., Sonoita, AZ	$500,001 - $1,000,000		NONE	
	12 Los Encinos, Sonoita, AZ	$500,001 - $1,000,000		NONE	
	Fountain Hills Realty & Development, Kingman, AZ	None	CAPITAL GAINS	$1,000,001 - $5,000,000	S
SP	Patriot Insurance, Inc., Sonoita, AZ	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
	AIM Constellation Fund, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AIM Global Aggressive Growth Fund, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard G. Renzi

	AIM Global Growth Fund, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	573 North Lower Elgin Road, Elgin, AZ (property is a personal residence)	$500,001 - $1,000,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Richard G. Renzi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fountain Hills Realty & Development, Kingman, AZ	S	2-16-03	$1,000,001 - $5,000,000

SCHEDULE V - LIABILITIES

Name Richard G. Renzi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan, New York, NY	Mortgage on 12 Los Encinos, Sonoita, AZ	$100,001 - $250,000
	Land America, Richmond, VA	Mortgage on 12 Los Encinos, Sonoita, AZ	$50,001 - $100,000
	Land America, Richmond, VA	Mortgage on 12 Los Encinos, Sonoita, AZ	$15,001 - $50,000
	Bank USA, Phoenix, AZ	Floating construction line of credit for Fountain Hills Realty & Development	$250,001 - $500,000
SP	Bank One, Tucson, AZ	Line of Credit for Patriot Insurance, Inc.	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Richard G. Renzi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Fountain Hills Realty & Development, Inc.
President	Renzi Vino, Inc.
Member of Board	Goodwill Industries, Flagstaff, AZ

RICK RENZI
1ST DISTRICT, ARIZONA

418 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2315
FAX: (202) 226-9739

WHITE MOUNTAIN OFFICE
2707 SOUTH WHITE MOUNTAIN ROAD
SUITE E
SHOW LOW, AZ 85901
TOLL FREE: (866) 537-2800
FAX: (928) 532-5088

www.house.gov/renzi
rick.renzi@mail.house.gov

(2)

Congress of the United States
House of Representatives

RESOURCES COMMITTEE
SUBCOMMITTEE ON FORESTS AND FOREST HEALTH
SUBCOMMITTEE ON WATER AND POWER
VICE CHAIRMAN

FINANCIAL SERVICES COMMITTEE
SUBCOMMITTEE ON CAPITAL MARKETS, INSURANCE, AND GOVERNMENT SPONSORED ENTERPRISES
SUBCOMMITTEE ON FINANCIAL INSTITUTIONS AND CONSUMER CREDIT
SUBCOMMITTEE ON HOUSING

VETERANS' AFFAIRS COMMITTEE
SUBCOMMITTEE ON HEALTH

HAND DELIVERED

2004 JUN -2 PM 4:54

June 1, 2004

MC

The Honorable Jeff Trandahl
The Clerk
H-154 Capitol
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Trandahl:

I would like to clarify the information provided in my financial disclosure for 2003. The disclosure correctly states that I sold Fountain Hills Realty & Development in 2003. The amount of the income received from this sale is correctly reported as between $1,000,000 and $5,000,000; however, I inadvertently omitted the description of the sale agreement in Schedule IX to reflect future payments attributable to this sale.

Please find Schedule IX attached reporting this agreement. Thank you for allowing me to clarify this matter.

Sincerely,

Rick Renzi
Member of Congress

FLAGSTAFF OFFICE
2501 NORTH 4TH STREET
SUITE 23
FLAGSTAFF, AZ 86004
(928) 213-3434
FAX: (928) 213-5447

PRESCOTT OFFICE
107 NORTH CORTEZ
SUITE 208
PRESCOTT, AZ 86301
(928) 708-9120
FAX: (928) 708-9121

CASA GRANDE OFFICE
P.O. BOX 11188
CASA GRANDE, AZ 85203
(520) 876-0329
FAX: (520) 876-9374

WINDOW ROCK OFFICE
DNA LEGAL SERVICES BUILDING
HIGHWAY 264 & ROUTE 12
P.O. BOX 4673
WINDOW ROCK, AZ 86515
CELL: (928) 853-3750
FAX: (928) 871-4879

SAN CARLOS OFFICE
EPA BUILDING
10 WEST TONTO
P.O. BOX 0
SAN CARLOS, AZ 85550
(928) 475-3733

WHITERIVER OFFICE
APACHE VETERAN'S CENTER
201 EAST WALNUT
WHITERIVER, AZ 85941
CELL: (928) 521-2810
FAX: (928) 532-5088

SAFFORD OFFICE
1420 1ST AVENUE, SUITE 100
SAFFORD, AZ 85546
TOLL FREE: (866) 537-2800
FAX: (928) 428-8194

PRINTED ON RECYCLED PAPER

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2004 JUN -2 PM 4:55

SCHEDULE IX - AGREEMENTS

Name Richard G. Renzi

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2-16-03	Fountain Hills Realty & Development	agreement with buyer of Fountain Hills Realty & Construction to share in future proceeds.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(3)

LEGISLATIVE RECORDING UNIT

2004 MAY 17 AM 11:32

(Office Use Only)

Silvestre Reyes
(Full Name)

732 Azalea El Paso TX 79922
(Mailing Address)

(202) 225-4831
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: TX District: 16	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/04

4090

Name Silvestre Reyes | Page 2 of 3

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	D	E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.			X						
	Ruidoso State Bank, NM	Mortgage on undeveloped land at Lincoln County									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	Congressional Hispanic Caucus Institute

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

8

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 1:00

MC

(Office Use Only)

Thomas M Reynolds
(Full Name)

8261 Old Post Rd E, E. Amherst, NY 14051 716-634-2324
(Mailing Address) Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: NY District: 26 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas M Reynolds	5-15-04

4093

Name T. Reynolds | Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	MJ Peterson Real Estate	Spouse Commissions	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples:* SP — Mega Corp. Stock	Indefinite	X	X		P
SP — Simon & Schuster			Royalties	X	
JT — 1st Bank of Paducah, KY accounts		X	X	X	
T.M. Reynolds & Co Inc Hamburg, NY 14075	X			X	
6200 South Park Ave Hamburg, NY (½ partnership)		X		X	
H102 Snow pine Village Ellicottville, NY (½ partnership)		X	X	X	
LOT 5 East Hill Estates Springville, NY (1/3 partnership)		X	Subdivision lot	X	
Sp National Financial Services-IRA		X		N/A	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. Reynolds

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Key Bank Money Market	D	INTEREST	II	
	Key Bank Savings Acct	D	INTEREST	II	
	Erie Co Employees Credit Union	D	INTEREST	II	
	New York State Retirement System	C–D Unknown	Other Type of Income: Future Pension	III–IX Unknown; X N/A	
JT	Key Bank Money Market	C	INTEREST	II	

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name T. Reynolds

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B. $1,001–$15,000	C. $15,001–$50,000	D. $50,001–$100,000	E. $100,001–$250,000	F. $250,001–$500,000	G. $500,001–$1,000,000	H. $1,000,001–$5,000,000	I. $5,000,001–$25,000,000	J. $25,000,001–$50,000,000	K. Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
SP	National Financial Services - IRA Brokerage Account	X			1-23-04	X									
SP	National Financial Services - IRA Brokerage Account	X			4-14-03	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Seth Abbott Hamburg, NY	Mortgage: H 102 Sharpine Village Ellicottville, NY		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Leadership Retreat Congressional Institute	JAN 29-31	Wash, D.C. – St Michels, Md – Wash, D.C.	Y	Y	N	N
	Ripon Educational Fund	AUG 10-15	BUFFALO, NY – LONDON, England – Buffalo, NY (SP)	Y	Y	Y	N
	American Isreal Education Fund	AUG 23-31	Rome, Italy – Tel Aviv, Jerusalem, Isreal – Buffalo NY	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	T.M. Reynolds & Co Inc
Real Estate Broker	Thomas M Reynolds Real Estate Broker

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

THOMAS M. REYNOLDS
26TH DISTRICT, NEW YORK

COMMITTEE ON RULES

COMMITTEE ON
HOUSE ADMINISTRATION

DEPUTY MAJORITY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
332 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5265

DISTRICT OFFICES
500 ESSJAY, SUITE 260
WILLIAMSVILLE, NY 14221
(716) 634-2324

1577 WEST RIDGE ROAD
ROCHESTER, NY 14615
(585) 663-5570

June 14, 2004

MC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 14 PM 1:06
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Hon. Jeff Trandahl, Clerk
US House of Representatives
H154 Capitol
Washington, DC

RE: 2003 Financial Disclosure

Dear Jeff:

The purpose of this letter is to offer further clarification of my Financial Disclosure Statement for Calendar Year 2003.

Attached please find an updated Schedule III, which includes additional reporting on my spouse's National Financial Services IRA to include specific investments in that fund.

Thank you for your assistance and attention in this matter.

Sincerely,

THOMAS M. REYNOLDS
U.S. Representative, NY 26

Name T. REYNOLDS Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	Examples:	SP	Mega Corp. Stock	$50,001 – $100,000	DIVIDENDS		P
			Simon & Schuster	Indefinite	Royalties	$100,001 – $1,000,000	
			1st Bank of Paducah, KY accounts	$250,001 – $500,000	INTEREST		
			TH REYNOLDS & Co INC HAMBURG, NY 14075	A		I	
			6200 SOUTH PARK AVE HAMBURG, NY (1/2 partnership)			I	
			4102 SUGAR PINE VILLAGE ELLICOTTVILLE, NY (1/2 partnership)	$50,001 – $100,000		VI	
			LOT 5 EAST HILL ESTATES SPRINGVILLE, NY (1/2 partnership)	C	SUBDIVISION LOT	I	

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2001 JUN 14 PM 1:00
U.S. HOUSE OF REPRESENTATIVES

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. Reynolds

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	Key Bank Money Market			X												X						X										
	Key Bank Savings Acct			X												X						X										
	Erie Co. Employees Credit Union			X												X						X										
	New York State Retirement System			Unknown																future pension				Unknown							N/A	
JT	Key Bank Money Market			X												X						X										
SP	NATIONAL FINANCIAL SERVICES LLC AMERICAN GROWTH FUND OF AMERICA CLASS B PIONEER PROTECTED PRINCIPAL ACS II			X																					X X							

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERE

6

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 6:07

MC

(Office Use Only)

CIRO D. RODRIGUEZ
(Full Name)

363 W. HARDING
(Mailing Address)

SAN ANTONIO, TX 78221

Daytime Telephone: 202-225-1640

Filer Status	[X] Member of the U.S. House of Representatives — State: TX District: 28	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ciro D. Rodriguez	5/17/04

4104

Name	Page 2 of 6
CIRO D. RODRIGUEZ	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SAN ANTONIO ISD, SAN ANTONIO, TX	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: CIRO D. RODRIGUEZ

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction (P, S, E)
		A None / B $1 – $1,000 / C $1,001 – $15,000 / D $15,001 – $50,000 / E $50,001 – $100,000 / F $100,001 – $250,000 / G $250,001 – $500,000 / H $500,001 – $1,000,000 / I $1,000,001 – $5,000,000 / J $5,000,001 – $25,000,000 / K $25,000,001 – $50,000,000 / L Over $50,000,000	DIVIDENDS / RENT / INTEREST / CAPITAL GAIN / EXCEPTED TRUST / BLANK / Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None / II $201 – $1,000 / III $1,001 – $2,500 / IV $2,501 – $5,000 / V $5,001 – $15,000 / VI $15,001 – $50,000 / VII $50,001 – $100,000 / VIII $100,001 – $1,000,000 / IX $1,000,001 – Over $5,000,000 / X Over $5,000,000	
SP	Examples: Mega Corp. Stock	E $50,001 – $100,000	DIVIDENDS		P
	Simon & Schuster	Indefinite	Royalties		
	1st Bank of Paducah, KY accounts		INTEREST		
	371 W HARDING SAN ANTONIO, TX			IV $2,501 – $5,000	
	TIAA/CREF RETIREMENT EQUITIES FUND, NY, NY	E $50,001 – $100,000	DIVIDENDS; INTEREST	II $201 – $1,000; V $5,001 – $15,000	
	SAN ANTONIO CREDIT UNION SAN ANTONIO, TX		DIVIDENDS; INTEREST		
	WRIGHT PATMAN CONGRESSIONAL FCU, WASHINGTON, DC		DIVIDENDS; INTEREST		
	SAN ANTONIO TEACHER CREDIT UNION, SAN ANTONIO, TX		DIVIDENDS; INTEREST		
	530 FIRST ST SE WASHINGTON, DC	G $250,001 – $500,000	INTEREST		

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: CIRO D. RODRIGUEZ

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	AT & T STOCK		X					X				X						

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Name CIRO D. RODRIGUEZ

Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	EDDIE EBNER, SAN ANTONIO, TX	MORTGAGE ON 371 W HARDIN, SAN ANTONIO, TX					
	FIRST HORIZON	MORTGAGE ON 530 4TH ST SE WASHINGTON, DC		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Congressional Hispanic Caucus Institute	Jan. 22-26	San Antonio, Tx – San Juan, Puerto Rico – San Antonio, Tx	Y	Y	Y	N
The Aspen Institute	Feb. 14-19	San Antonio – Miami, FL – Montego Bay, Jamaica – Miami - San Antonio	Y	Y	Y	N
The Imagen Foundation	May 28-30	San Antonio – Houston, – Los Angeles, CA – Houston – San Antonio	Y	Y	N	N
Congressional Hispanic Caucus Institute	Jul. 18-21	Wash, DC – Los Angeles, CA – Washington, DC	Y	Y	Y	N
Gery Chico Campaign	July 31	Wash. DC – Chicago, IL – Washington, DC	N	Y	N	N
Sen. Patty Murray	Aug. 10-12	San Antonio – Dallas, Tx. – Seattle, WA – Yakima, WA – Seattle – Dallas – San Antonio	Y	Y	N	N
Democratic Nat'l Committee	Sep. 2-5	San Antonio – El Paso, Tx – Phoenix, AZ – Las Vegas, NV – Albuquerque, NM – San Antonio, Tx	N	N	N	N
Latin American Working Group	Oct. 10-12	San Antonio – El Paso, Tx – Ciudad Juarez, Mexico – El Paso – San Antonio	Y	Y	N	N
Sony Music Corp.	Oct. 23-27	San Antonio – Dallas – San Juan, Puerto Rico – Dallas – San Antonio	Y	Y	Y	1 day

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED

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LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 1:40

MC

(Office Use Only)

Congressman Harold Dallas Rogers
(Full Name)

2406 Rayburn H.O.B. Washington, DC 20515
(Mailing Address)

(202) 225-4601
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: KY, District: 05	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Harold D. Rogers	5/12/04

4110

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Citizens Bancshares	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
	Citizens National Bank - Certificate of Deposit	None	INTEREST	$201 - $1,000	S
	Congressional Federal Credit Union	$15,001 - $50,000	None	NONE	
	Fidelity Magellan Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Beaver Lodge Subdivision - Wayne County, Kentucky	$1 - $1,000	None	NONE	
	See Attached UBS Financial Services Statements for Brokerage Assets, Income and Transactions	see attached	see attached	see attached	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

SP	Specified Period Annuity - Northwestern Mutual Life	$15,001 - $50,000	INTEREST	$2,501 - $5,000	S
SP	See Attached UBS Financial Services Statements for Assets, Income and Transactions	see attached	see attached	see attached	PS

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See Attached UBS Financial Services Statements for Transactions	PS	See Attached	See Attached
SP	See Attached UBS Financial Services Statements for Transactions	PS	See Attached	See Attached
SP	Specified Period Annuity - Northwestern Mutual Life	S	Monthly Partial Redemption of $2,121.86 beginning 1-1-03 through 12-1-03	$15,001 - $50,000
	Citizens National Bank - Certificate of Deposit	S	09/31/03	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Congressman Harold Dallas Rogers

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Citizens National Bank - Somerset, KY	Line of Credit	$15,001 - $50,000
SP	Citizens National Bank - Somerset, KY	Personal Note	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Congressman Harold Dallas Rogers

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Association of Airport Executives	Jan. 10-11	Kamuala, Hawaii	Y	Y	Y	N/A
The Nature Conservancy	April 11-19	Washington, D.C.--Sao Paulo and Pantanal, Brazil--Lexington, Kentucky	Y	Y	Y	N/A
Burlington Northern Santa Fe Railway	April 22-24	Hazard, Kentucky -- Klamath Falls, Oregon --Napa, California -- Monterey, California	Y	Y	Y	N/A
Association of American Railroads	April 24-27	Monterey, California	Y	Y	Y	N/A
American Association of Airport Executives	April 27-29	Monterey, California -- Los Angeles, California -- Washington, D.C.	Y	Y	Y	N/A
PrSM Corporation	July 26-27	Somerset, Kentucky -- Walland, Tennessee -- Somerset, Kentucky	Y	Y	Y	N/A
American Association or Airport Executives	Aug. 2-5	San Diego, California -- San Francisco, California	Y	Y	Y	N/A
Kessler and Associates	Aug. 5-9	San Francisco, California -- Shannon, Ireland -- London, England	Y	Y	Y	N/A
Ripon Educational Fund	August 10-15	London, England -- Cincinnati, Ohio	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Congressman Harold Dallas Rogers

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director (unpaid)	Citizens Bancshares, Inc. Somerset, Kentucky

UBS Financial Services Inc.

Your Financial Advisor

Resource Management Account®

PZ6A097421-X26
7367858 - 000004

Account Number:
Universal ID

HAROLD D ROGERS

December 2003

This month at a glance

Value on November 28	$	307,256.33
Value on December 31	$	313,639.95
Money fund and other sweep option balance in 12/31 value	$	43.19
Debit balance in 12/31 value	$	-1.07

Activity highlights

	Current period	Year-to-date
Withholdings and taxes paid	$ -2.84	$ -5.08
Deposits	.00	120,000.00
Loan/Late payment interest charged	-1.07	-1.96
Resource Management Account fee	.00	-125.00
Net change from activity listed above	$ -3.91	$ 119,867.96

Portfolio summary

	Current period	Year-to-date
Opening value	$ 307,258.33	$ 124,412.31
Net change from Activity Highlights	-3.91	119,867.96
Net security earnings	378.62	1,838.38
Change in value of investments	6,008.91	67,521.30
Value on December 31	$ 313,639.95	$ 313,639.95

Withholdings and tax summary

	Current period	Year-to-date
Foreign taxes paid	-2.84	-5.08

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #:
VISIT OUR WEB SITE AT WWW.UBS.COM.

4117

Account Number:
Your Financial Advisor

Resource Management Account®

PZ8A097422-X
7367858 - 0000

Statement Period: December 2003

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
Dividends	$	378.62	$	1,827.94
Total taxable security earnings	$	378.62	$	1,827.94
Non-taxable				
Dividends/interest	$	.00	$	10.37
Total non-taxable security earnings	$	.00	$	10.37
Total current year security earnings	$	378.62	$	1,838.31
Prior year(s) adjustments		.00		.07
Net security earnings	$	378.62	$	1,838.38

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Capital appreciation

Risk profile: Primary: Moderate Secondary: None selected

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Valu
Equities	99.99		313,597.83
Money funds/sweep options	.01		43.19
Net invested assets	100.00	$	313,641.02
Less debit balance			-1.07
Value on December 31		$	313,639.95

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Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	39.080	9,770.00	13	04/01/02	100.000	40.960	4,174	-266
					09/11/03	150.000	40.020	6,109	-247
500	ALEXANDER & BALDWIN INC	33.750	16,875.00	450	09/11/03	250.000	28.340	7,209	1,228
					09/26/03	150.000	27.650	4,237	825
					10/09/03	100.000	30.700	3,154	221
68	APACHE CORP	81.100	5,514.80	33	02/15/01	68.000	52.987	3,684	1,850
200	ASHLAND INC	44.060	8,812.00	220	10/23/03	200.000	35.560	7,287	1,525
100	AT&T WIRELESS SERVICES INC	7.990	799.00		12/05/00	100.000	18.000	1,854	-1,055
200	ATLANTIC TELE NETWORK INC NEW	28.050	5,610.00	200	08/26/03	100.000	20.490	2,107	698
					08/29/03	100.000	20.670	2,126	679
300	BAXTER INTL INC	30.520	9,156.00	175	08/26/03	200.000	27.010	5,509	595
					09/11/03	100.000	29.860	3,055	-3
300	CASS INFORMATION SYSTEMS INC	33.110	9,933.00	252	09/11/03	300.000	33.843	10,318	-385
100	CISCO SYS INC	24.230	2,423.00		01/17/01	100.000	40.437	4,121	-1,698
125	DANAHER CORP	91.750	11,468.75	13	08/26/03	100.000	75.260	7,608	1,567
					11/05/03	25.000	83.590	2,160	133
200	FLEXTRONICS INTL LTD	14.800	2,960.00		09/26/03	200.000	14.140	2,928	32
150	GENL ELECTRIC CO	30.980	4,647.00	120	07/14/99	150.000	38.500	5,868	-1,221
100	GILEAD SCIENCES INC	58.280	5,828.00		08/26/03	100.000	63.360	6,418	-590
300	GIVEN IMAGING LTD SHS ORD	17.950	5,385.00		08/26/03	200.000	11.549	2,383	1,207
					11/05/03	100.000	15.899	1,662	133
400	H & R BLOCK INC	55.370	22,148.00	320	09/11/03	250.000	41.200	10,457	3,385
					10/09/03	150.000	44.040	6,741	1,564
100	HARMONY GOLD MINING CO LTD SPON ADR	16.230	1,623.00	35	11/08/02	100.000	15.070	1,553	70

Continued on page 4

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
911	INTEL CORP	32.050	29,197.55	73	02/24/99	511.000	5.105	2,608	13,76
					12/31/99	400.000	15.312	6,125	6,69
100	INTL BUSINESS MACH	92.680	9,268.00	64	04/16/02	100.000	86.300	8,723	54
150	LABORATORY CORP AMER HLDGS NEW	36.950	5,542.50		08/26/03	100.000	30.020	3,071	62
					11/05/03	50.000	34.500	1,799	4
100	LEXMARK INTL INC	78.640	7,864.00		12/30/99	100.000	91.625	9,255	-1,39
100	LINCARE HLDGS INC	30.080	3,008.00		09/24/03	100.000	38.000	3,903	-89
100	LINCOLN ELEC HOLDINGS NEW	24.740	2,474.00	64	08/26/03	100.000	22.730	2,335	13
24	MEDCO HEALTH SOLUTIONS INC	33.990	815.76		05/24/94	12.000	6.888	82	32
					03/19/99	12.000	6.888	82	32
200	MERCK & CO	46.200	9,240.00	296	05/24/94	100.000	14.609	1,460	3,16
					03/19/99	100.000	14.609	1,460	3,16
500	MILLENNIUM PHARMACEUTICALS INC	18.650	9,325.00		09/24/03	500.000	16.290	8,349	97
500	MOTOROLA INC	14.000	7,000.00	80	04/25/00	6.000	16.666	100	-1
					12/01/00	94.000	20.000	1,934	-81
					01/17/01	100.000	22.000	2,268	-86
					08/25/03	200.000	10.310	2,132	66
					09/11/03	100.000	11.020	1,157	24
500	OPTIMAL ROBOTICS CORP NEW CL A	8.000	4,000.00		09/26/03	500.000	8.430	4,351	-35
150	ORACLE CORP	13.230	1,984.50		11/30/00	150.000	23.250	3,572	-1,587
200	PALMONE INC	11.750	2,350.00		05/29/01	12.000	80.082	1,004	-86
					06/21/01	38.000	54.644	2,162	-1,715
					12/02/02	50.000	10.839	576	11
					10/10/03	100.000	14.058	1,449	-274
61	PALMSOURCE INC	21.770	1,327.97		05/29/01	3.000	159.783	501	-435
					08/21/01	13.000	104.568	1,415	-1,131
					12/02/02	15.000	21.627	345	-18
					10/10/03	30.000	28.050	867	-213
300	PENGROWTH ENERGY TRUST	16.400	4,920.00	568	08/27/03	300.000	12.570	3,873	1,047
300	PFIZER INC	35.330	10,599.00	204	06/23/99	300.000	32.937	9,974	625
250	SEARS ROEBUCK	45.490	11,372.50	230	09/11/03	250.000	43.780	11,108	264
500	SONY CORP ADR NEW JAPAN	34.670	17,335.00	99	09/11/03	250.000	36.040	9,154	-486
					10/09/03	250.000	35.950	9,161	-493

Continued on page 5

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ommon stock - continued

tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
1,300	TEXAS INSTRUMENTS	29.380	38,194.00	111	05/24/94	436.000	4.925	2,147	10,662'
					05/25/94	800.000	4.925	7,880	15,624'
					05/25/96	64.000	12.050	771	1,109'
500	TIME WARNER INC	17.990	8,995.00		01/06/00	100.000	72.000	7,293	-5,494
					05/01/03	50.000	13.580	734	165
					10/10/03	350.000	15.850	5,612	684
250	WALT DISNEY CO (HOLDING CO) DISNEY COM	23.330	5,832.50	53	04/20/99	54.000	32.750	1,808	-546
					04/22/99	100.000	33.437	3,426	-1,093
					12/01/00	96.000	26.041	2,604	-364
	Total	$	**313,597.83**	**3,673**				**261,330**	**52,264**

loney funds and other sweep options

ssription	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
MA TAX-FREE FUND INC	.00	43.19	1.00	0.45%	11/21 - 12/23	33
otal	$ .00	$ 43.19				

Monthly activity

ash flow

ate	Activity	Description	Amount
1/28		**Balance forward**	$ -332.58
2/01	DIVIDEND	INTEL CORP PAID ON 911	18.22
2/04	DIVIDEND	ALEXANDER & BALDWIN INC PAID ON 500	112.50
2/04	DIVIDEND	PFIZER INC PAID ON 300	45.00
2/08	FOREIGN DIVIDEND	SONY CORP ADR NEW JAPAN PAID ON 250	28.42
2/08	FOREIGN TAX WITHHELD	SONY CORP ADR NEW JAPAN	-2.84
12/10	DIVIDEND	INTL BUSINESS MACH PAID ON 100	16.00
12/15	DIVIDEND	ASHLAND INC PAID ON 200	55.00
12/15	DIVIDEND	CASS INFORMATION SYSTEMS INC PAID ON 300	63.00
12/15	DIVIDEND	PENGROWTH ENERGY TRUST	40.48
12/31	LOAN CHARGE	LOAN INTEREST CHARGED	-1.07
		Funds used for money fund/sweep trans.	$ -43.19
12/31		**Closing balance**	$ -1.07

Resource Management Account®

Statement Period: December 2003

PZ8A087426-X2
7367658 - 00000

Money funds and other sweep options

The RMA Tax-Free Fund Inc is your primary sweep fund

Date	Activity	Money fund/sweep option		Amount
11/28		Opening RMA Tax-Free Fund Inc	$	.00
12/16	BOUGHT	RMA TAX-FREE FUND INC		43.19
12/31		Closing RMA Tax-Free Fund Inc	$	43.19
		Sweep option purchased/deposited	$	43.19

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UBS Financial Services Inc. Your Financial Advisor

Resource Management Account®

PZ8A097419-X26
7367858 - 000002

PZ8A097410-X26 - 1203 - LC - 0

Account Number:
Universal ID:

HAROLD D ROGERS

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withholdings and taxes paid	$	-5.08
Deposits		120,000.00
Loan/Late payment interest charged		-1.96
Resource Management Account fee		-125.00
Net change from activity listed above	$	119,867.96

Portfolio summary

		Year-to-date
Opening value	$	124,412.31
Net change from Activity Highlights		119,867.96
Net security earnings		1,838.38
Change in value of investments		67,521.30
Value on December 31	$	313,639.95

Earnings summary

		Paid in 2003
Taxable		
Dividends	$	1,827.94
Total taxable security earnings	$	1,827.94
Non-taxable		
Dividends/interest	$	10.37
Total non-taxable security earnings	$	10.37
Total current year security earnings	$	1,838.31
Prior year(s) adjustments		.07
Net security earnings	$	1,838.38

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
GOLDCORP INC NEW	FIFO	150.000	11/08/02	08/26/03	$ 1,833.32	$ 1,728.89		$ 104.43	
HARMONY GOLD MINING CO LTD SPON ADR	FIFO	200.000	11/08/02	08/26/03	2,588.99	3,106.62	-517.63		
Total					$ 4,422.31	$ 4,835.51	$ -517.63	$ 104.43	$ -413.20
Long-term capital gains and losses:									
APACHE CORP	FIFO	.250	02/15/01	04/02/03	$ 15.35	$ 13.48		$ 1.87	
CISCO SYS INC	FIFO	100.000	11/30/00	08/25/03	1,839.33	5,168.90	-3,329.57		
ENERGY EAST CORP	FIFO	250.000	04/20/99	08/26/03	5,222.64	6,736.81	-1,514.17		
INTEL CORP	FIFO	11.000	05/25/96	08/26/03	291.81	112.75		179.06	
	FIFO	289.000	02/24/98	08/26/03	7,666.69	1,475.35		6,191.34	
INTL BUSINESS MACH	FIFO	100.000	06/29/99	08/26/03	8,081.75	12,530.70	-4,448.95		
MEDCO HEALTH SOLUTIONS INC	Adjustment	.120	03/19/99	08/20/03	3.02	0.82		2.20	
PALMSOURCE INC	FIFO	.960	06/21/01	10/29/03	33.69	80.20	-46.51		
TEXAS INSTRUMENTS	FIFO	364.000	05/24/94	08/26/03	7,990.54	1,792.70		6,197.84	
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	148.000	04/20/99	08/26/03	3,014.26	4,885.50	-1,871.24		
Total					$ 34,159.08	$ 32,797.21	$ -11,210.44	$ 12,572.31	$ 1,361.87
Net capital gains/losses:									$ 948.67

2003 Realized capital gain/loss summary

Short-term gains/losses:	$ -413.20
Long-term gains/losses:	$ 1,361.87
Net year-to-date capital gains/losses:	$ 948.67

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Account Number P11L015513-X1
Tax Identification Number
Your Financial Advisor or Contact

HAROLD D ROGERS

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Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

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IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 1,672.57
1b	Qualified dividends	1,672.57
2a	Total capital gain distributions (includes lines 2b, 2c, 2d, 2e, 2f)	0.00
2b	Post May 5th capital gain distributions	0.00
2c	Qualified 5 - year gain	0.00
2d	Unrecaptured section 1250 gain	0.00
2e	Section 1202 gain	0.00
2f	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	5.08
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions) $	38,581.39
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
Qualified dividends						
ADOBE SYSTEMS INC (DELAWARE)		01/07/03	01/23/03	2003		1.25
		03/20/03	04/07/03	2003		1.25
		06/19/03	07/07/03	2003		1.25
		09/19/03	10/07/03	2003		3.13
Sub Total: ADOBE SYSTEMS INC						$ 6.88

Continued on page 2

UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015514-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
ALEXANDER & BALDWIN INC		11/04/03	12/04/03	2003		$ 112.50
APACHE CORP		01/17/03	02/21/03	2003		6.50
		04/17/03	05/22/03	2003		6.80
		07/18/03	08/22/03	2003		6.80
		10/20/03	11/21/03	2003		8.16
Sub Total: APACHE CORP						$ 28.26
ASHLAND INC		11/20/03	12/15/03	2003		$ 55.00
ATLANTIC TELE NETWORK INC NEW		09/26/03	10/10/03	2003		$ 50.00
CASS INFORMATION SYSTEMS INC		12/03/03	12/15/03	2003		$ 63.00
DANAHER CORP		09/24/03	10/31/03	2003		$ 2.50
ENERGY EAST CORP		01/16/03	02/15/03	2003		62.50
		04/17/03	05/15/03	2003		62.50
		07/18/03	08/15/03	2003		62.50
Sub Total: ENERGY EAST CORP						$ 187.50
GENL ELECTRIC CO		12/27/02	01/27/03	2003		28.50
		02/26/03	04/25/03	2003		28.50
		06/26/03	07/25/03	2003		28.50
		09/25/03	10/27/03	2003		28.50
Sub Total: GENL ELECTRIC CO						$ 114.00
GOLDCORP INC NEW		02/06/03	02/14/03	2003		3.75
		04/08/03	04/15/03	2003		3.75
		05/21/03	06/06/03	2003		3.75
		08/01/03	08/13/03	2003		3.75
Sub Total: GOLDCORP INC NEW						$ 15.00
HARMONY GOLD MINING CO LTD SPON ADR		02/26/03	03/13/03	2003		46.17
		09/03/03	09/18/03	2003		19.59
Sub Total: HARMONY GOLD MINING CO						$ 65.76
INTEL CORP		02/05/03	03/01/03	2003		24.22
		05/05/03	06/01/03	2003		24.22
		08/05/03	09/01/03	2003		24.22
		11/05/03	12/01/03	2003		18.22
Sub Total: INTEL CORP						$ 90.88
INTL BUSINESS MACH		02/06/03	03/10/03	2003		30.00

Continued on page 3

UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015515 X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
INTL BUSINESS MACH		05/07/03	06/10/03	2003		32.00
		08/06/03	09/10/03	2003		32.00
		11/06/03	12/10/03	2003		16.00
Sub Total: INTL BUSINESS MACH						$ 110.00
LINCOLN ELEC HOLDINGS NEW		09/26/03	10/15/03	2003		$ 16.00
MERCK & CO		12/04/02	01/02/03	2003		72.00
		03/05/03	04/01/03	2003		72.00
		06/04/03	07/01/03	2003		72.00
		09/03/03	10/01/03	2003		74.00
Sub Total: MERCK & CO						$ 290.00
MOTOROLA INC		12/12/02	01/15/03	2003		8.00
		03/12/03	04/15/03	2003		8.00
		06/11/03	07/15/03	2003		8.00
		09/11/03	10/15/03	2003		16.00
Sub Total: MOTOROLA INC						$ 40.00
PFIZER INC		01/15/03	02/14/03	2003		45.00
		05/14/03	06/05/03	2003		45.00
		08/13/03	09/04/03	2003		45.00
		11/12/03	12/04/03	2003		45.00
Sub Total: PFIZER INC						$ 180.00
SONY CORP ADR NEW JAPAN		09/25/03	12/08/03	2003		$ 28.42
TEXAS INSTRUMENTS		01/29/03	02/10/03	2003		35.36
		04/28/03	05/19/03	2003		35.36
		07/29/03	08/18/03	2003		35.36
		10/29/03	11/17/03	2003		27.63
Sub Total: TEXAS INSTRUMENTS						$ 133.71
WALT DISNEY CO (HOLDING CO) DISNEY COM		12/11/02	01/09/03	2003		$ 83.16
Total Line 1b Qualified dividends						$ 1,672.57

Foreign tax paid

GOLDCORP INC NEW		02/06/03	02/14/03	2003		.56
		04/08/03	04/15/03	2003		.56
		05/21/03	06/06/03	2003		.56

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11LD15516-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
GOLDCORP INC NEW		08/01/03	08/13/03	2003		.56
Sub Total: GOLDCORP INC NEW						$ 2.24
SONY CORP ADR NEW JAPAN		09/25/03	12/08/03	2003		$ 2.84
Total Line 6 Foreign tax paid						$ 5.08

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/02/03	Sell		APACHE CORP	037411105	15.35	.00	.00
08/25/03	Sell	100	CISCO SYS INC	17275R102	1,839.33	.00	.00
08/26/03	Sell	250	ENERGY EAST CORP	29266M109	5,222.64	.00	.00
08/26/03	Sell	150	GOLDCORP INC NEW	380956409	1,833.32	.00	.00
08/26/03	Sell	200	HARMONY GOLD MINING CO LTD SPON ADR	413216300	2,588.99	.00	.00
08/26/03	Sell	300	INTEL CORP	458140100	7,958.50	.00	.00
08/26/03	Sell	100	INTL BUSINESS MACH	459200101	8,081.75	.00	.00
08/20/03	Sell		MEDCO HEALTH SOLUTIONS INC	58405U102	3.02	.00	.00
11/14/03	Cash in Lieu		PALMSOURCE INC	697154102	33.69	.00	.00
08/26/03	Sell	364	TEXAS INSTRUMENTS	882508104	7,990.54	.00	.00
08/26/03	Sell	146	WALT DISNEY CO (HOLDING CO) DISNEY COM	254687106	3,014.26	.00	.00
			Total		$ 38,581.39	$ 0.00	$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

**Applicable to Tax-exempt Original Issue Discount information only*

Activity	Description	*Days held	*Quantity/ Face amount	Payment Date	Tax year	*Amortized acquisition premium	Alternate Minimum Tax	Amount
Tax-exempt dividend	RMA TAX-FREE FUND INC			01/24/03	2003			.15
				02/24/03	2003			.26
				03/24/03	2003			.27

Continued on page 5

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015517-X1

Other Income Details Not Reported to the Internal Revenue Service - continued

*Applicable to Tax-exempt Original Issue Discount Information only

Activity	Description	*Days held	*Quantity/ Face amount	Payment Date	Tax year	*Amortized acquisition premium	Alternate Minimum Tax	Amount
Tax-exempt dividend	RMA TAX-FREE FUND INC			04/23/03	2003			.31
				05/22/03	2003			.23
				06/23/03	2003			.05
				07/24/03	2003			.03
				08/22/03	2003			.05
				09/23/03	2003			1.81
				10/01/03	2003			1.65
				10/24/03	2003			4.60
				11/10/03	2003			.96
	Sub Total: RMA TAX-FREE FUND INC							**$ 10.37**
	Total Tax-exempt dividends							**$ 10.37**

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UBS Financial Services Inc. Your Financial Advisor

PZ8A097427-X28 - 1203 - LC - 0

December 2003

This month at a glance

alue on November 28	$	68,878.15
alue on December 31	$	73,579.54
Money fund and other sweep option balance in 12/31 value	$	176.08

Earnings summary

rnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used r tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
BS Money fund dividends	$	.00	$	2.65
ther dividends		314.93		975.29
ther		.00		2.10
otal current year security earnings	$	314.93	$	980.04
ior year(s) entries and adjustments		.00		.08
et security earnings	$	314.93	$	980.12

Asset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
quities	35.93		26,436.00
oney funds/sweep options	.24		176.08
utual funds	55.20		40,614.36
nit investment trusts	8.63		6,353.10
et invested assets	100.00	$	73,579.54
alue on December 31		$	73,579.54

Retirement Account

PZ8A097427-X28
7367858 - 000010

Account Number:
Universal ID:
Account Type: Individual Retirement Account

HAROLD ROGERS
IRA

Account Instructions
UBS Financial Services Inc. is your IRA custodian.

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primar risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify you Financial Advisor or Branch Manager at your branch office, in writing or by telephon

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

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Account Number:
Your Financial Advisor

Retirement Account

Statement Period: December 2003

PZ8A097428-X2(
7367858 - 00001

Asset portfolio

Prices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

Quantity/face value	Description	Contract premium	Price	Current value	Est. income
600	ASHLAND INC		44.060	26,436.00	680
176.080	UBS BANK USA DEP ACCT			176.08	
	Opening balance $ 10.42 Avg yield 0.50% Int period 11/21 - 12/23				
1,169.648	LORD ABBETT AFFILIATED FUND CL B		13.590	15,895.51	145
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.290	6,551.06	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		11.960	12,413.68	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		24.590	5,754.13	
5,850	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.086	6,353.10	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			**$ 73,579.54**	**$ 918**

Activity

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

Date	Activity	Description	Quantity	Price / Value / Comment	Amount
11/28		**Balance forward**		$	.00
12/15	DIVIDEND	ASHLAND INC		ON 600	165.00
12/16	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	165.000		-165.00
12/23	DIVIDEND	LORD ABBETT AFFILIATED FUND CL B			14.49
		AS OF 12/19/03			
12/23	REINVESTMENT	LORD ABBETT AFFILIATED FUND CL B	1.090		-14.49
		DIVIDEND REINVESTED AT 13.29 NAV ON 12/19/03			
		AS OF 12/19/03			
12/29	DIVIDEND	UNTS BLUE CHIP TRUST 2003-B EQUITY			23.14
		OPPORTUNITY TRUST (TRAD) AS OF 12/25/03			
12/29	REINVESTMENT	UNTS BLUE CHIP TRUST 2003-B EQUITY	21.000		-22.48
		OPPORTUNITY TRUST (TRAD) AT 1.070300 REINVEST PRICE			
		ON 12/29/03 EXECUTION CAPACITY: AGENT			
		AS OF 12/25/03			
12/30	DIVIDEND	UBS GLOBAL ALLOCATION FUND CLASS B			112.30
		AS OF 12/23/03			

Continued on page 3

ıctivity - continued

efer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

ate	Activity	Description	Quantity	Price / Value / Comment	Amount
2/30	REINVESTMENT	UBS GLOBAL ALLOCATION FUND CLASS B DIVIDEND REINVESTED AT 11.78 NAV ON 12/23/03 AS OF 12/23/03	9.533		-112.30
2/30	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	.660		-.66
2/31		**Closing balance**		$	.00

RA beneficiary information

ensure your IRA assets are distributed as you wish, it is crucial that your beneficiary designation is up-to-date. Contact your Financial Advisor to ensure that UBS Financial Services Inc. has the most current naficiary information for your account and to have your beneficiary information appear on your statement.

:003 Year-End valuation statement - Form 5498

etain this statement as your year-end valuation. If your Asset portfolio includes Insurance products, Private investments, IRA pecial products or Other assets or if you own Outside assets that do not appear on this statement, we will automatically provide ou with an updated year-end valuation in January 2004. This 2003 year-end value of your IRA (or the updated value, if pplicable) will be furnished to the Internal Revenue Service.

ecember 31 value	$	73,579.54

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Account: ROGERS IRA **FA:25** HH IRA **Activity as of:** 05/11/04
Return Objective: Capital Appreciation **Risk Profile:** 1.Moderate **Last Statement:** 04/04
Name: HAROLD ROGERS IRA
Address:

Total Portfolio:	Equity:	Money Funds/Sweep:	Debit/Credit Balance:	Funds Available:	Buying Power:
74,007.84	73,666.00	341.84	0.00	341.84	0.00

Activities of Months : 06/03; 05/03; 04/03; 03/03; 02/03; 01/03

Settlement Date	Trade Date	Quantity	Description	Print Price/ Activity	Amount	Security #	Type
06/27/03	06/27/03		MMF BUY UBS PW RET SY=P005	RMA	-0.19		1-Cash
06/25/03	06/25/03		UNTS UBS BLUE CHIP 2001-B SERIES EQUITY TR SY=DIV1 ENTRY: 06/26/03	DIV	17.20	353B30	1-Cash
06/25/03	06/25/03	22.000	UNTS UBS BLUE CHIP 2001-B SERIES EQUITY TR AT 0.773200 REINVEST PRICE ON 06/26/03 EXECUTION CAPACITY: AGENT SY=DIV4 ENTRY: 06/26/03	DIVIDEND	-17.01	353B30	1-Cash
06/23/03	06/24/03		MMF BUY UBS PW RET SY=P005 ENTRY: 06/24/03	RMA DIVD	-0.32		1-Cash
06/23/03	06/23/03		UBS PW RETIREMENT MONEY FUND ENTRY: 06/24/03	DIVD INCOME	0.32	919276	1-Cash
06/17/03	06/17/03		MMF BUY UBS PW RET SY=P005	RMA	-165.00		1-Cash
06/15/03	06/15/03		ASHLAND INC ENTRY: 06/16/03	DIV 600	165.00	0331E4	1-Cash
06/12/03	06/12/03	1,028.399	UBS GLOBAL ALLOCATION FUND CLASS B EXCHANGE PURCHASE SY=BOT3 Trade#:85087 Blot:37	10.740	-11,045.00	3B0BA8	1-Cash
06/12/03	06/12/03	-500.000	UBS TACTICAL ALLOCATION FUND CLASS B EXCHANGE REDEMPTION SY=SLD3 Trade#:85087 Blot:37	22.090	11,045.00	3B0BQ5	1-Cash
05/22/03	05/23/03		MMF BUY UBS PW RET ENTRY: 05/23/03	RMA DIVD	-0.29		1-Cash
05/22/03	05/23/03		MMF BUY UBS PW RET ENTRY: 05/23/03	RMA DIVD	-0.29		1-Cash
05/22/03	05/22/03		UBS PW RETIREMENT MONEY FUND ENTRY: 05/23/03	DIVD INCOME	0.29	919276	1-Cash
05/22/03	05/22/03		UBS PW RETIREMENT MONEY FUND ENTRY: 05/23/03	DIVD INCOME	0.29	919276	1-Cash
05/22/03	05/22/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 05/27/03	DIV	39.53	54AQ77	1-Cash
05/22/03	05/22/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 05/27/03	DIV	39.53	54AQ77	1-Cash
05/22/03	05/27/03	3.529	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 11.20 NAV ON 05/22/03 SY=DIV3 ENTRY: 05/27/03	REINVEST DIV	-39.53	54AQ77	1-Cash
05/22/03	05/27/03	3.529	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 11.20 NAV ON 05/22/03 SY=DIV3 ENTRY: 05/27/03	REINVEST DIV	-39.53	54AQ77	1-Cash
04/23/03	04/24/03		MMF BUY UBS PW RET ENTRY: 04/24/03	RMA DIVD	-0.30		1-Cash
04/23/03	04/24/03		MMF BUY UBS PW RET ENTRY: 04/24/03	RMA DIVD	-0.30		1-Cash
04/23/03	04/24/03		MMF BUY UBS PW RET ENTRY: 04/24/03	RMA DIVD	-0.30		1-Cash
04/23/03	04/23/03		UBS PW RETIREMENT MONEY FUND ENTRY: 04/24/03	DIVD INCOME	0.30	919276	1-Cash
04/23/03	04/23/03		UBS PW RETIREMENT MONEY FUND ENTRY: 04/24/03	DIVD INCOME	0.30	919276	1-Cash
04/23/03	04/23/03		UBS PW RETIREMENT MONEY FUND ENTRY: 04/24/03	DIVD INCOME	0.30	919276	1-Cash
03/27/03	03/27/03		MMF BUY UBS PW RET	RMA	-0.42		1-Cash
03/25/03	03/25/03		UNTS UBS PW BLUE CHIP 2001-B SERIES EQUITY TR SY=DIV1 ENTRY: 03/26/03	DIV	18.40	353B30	1-Cash
03/25/03	03/25/03	25.000	UNTS UBS PW BLUE CHIP 2001-B SERIES EQUITY TR AT 0.719300 REINVEST PRICE ON 03/26/03 EXECUTION CAPACITY: AGENT SY=DIV4 ENTRY: 03/26/03	DIVIDEND	-17.98	353B30	1-Cash

03/24/03	03/25/03		MMF BUY UBS PW RET ENTRY: 03/25/03	RMA DIVD	-0.29		1-Cash
03/24/03	03/24/03		UBS PW RETIREMENT MONEY FUND ENTRY: 03/25/03	DIVD INCOME	0.29	919276	1-Cash
03/18/03	03/18/03		MMF BUY UBS PW RET	RMA	-165.00		1-Cash
03/15/03	03/15/03		ASHLAND INC ENTRY: 03/17/03	DIV 600	165.00	0331E4	1-Cash
02/24/03	02/24/03		MMF BUY UBS PW RET	RMA DIVD	-0.28		1-Cash
02/24/03	02/24/03		UBS PW RETIREMENT MONEY FUND	DIVD INCOME	0.28	919276	1-Cash
02/14/03	02/14/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 02/19/03	DIV	18.52	54AQ77	1-Cash
02/14/03	02/19/03	1.861	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 9.95 NAV ON 02/14/03 SY=DIV3 ENTRY: 02/19/03	REINVEST DIV	-18.52	54AQ77	1-Cash
01/24/03	01/27/03		MMF BUY UBS PW RET ENTRY: 01/27/03	RMA DIVD	-0.26		1-Cash
01/24/03	01/24/03		UBS PW RETIREMENT MONEY FUND ENTRY: 01/27/03	DIVD INCOME	0.26	919276	1-Cash
12/31/02	01/02/03		MMF BUY UBS PW RET ENTRY: 01/02/03	RMA DIVD	-0.08		1-Cash
12/31/02	12/31/02		UBS PW RETIREMENT MONEY FUND ENTRY: 01/02/03	DIVD INCOME	0.08	919276	1-Cash

Item: 1 - 38 of 38

Activities of Months : 06/03; 05/03; 04/03; 03/03; 02/03; 01/03

Note: The above activity does not reflect debit card purchases, which are not debited from your account until the 2nd to last business day of each month.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on the Intraday Activity page, on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superceded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Account: ROGERS IRA FA:25 HH IRA Activity as of: 05/11/04
Return Objective: Capital Appreciation Risk Profile: 1.Moderate Last Statement: 04/04
Name: HAROLD ROGERS IRA
Address:

Total Portfolio:	Equity:	Money Funds/Sweep:	Debit/Credit Balance:	Funds Available:	Buying Power:
74,007.84	73,666.00	341.84	0.00	341.84	0.00

Activities of Months : 12/03; 11/03; 10/03; 09/03; 08/03; 07/03

Settlement Date	Trade Date	Quantity	Description	Print Price/ Activity	Amount	Security #	Type
12/30/03	12/30/03		DEPOSIT UBS BNK USA SY=D023	RMA	-0.66		1-Cash
12/25/03	12/25/03		UNTS BLUE CHIP TRUST 2003-B EQUITY OPPORTUNITY TRUST (TRAD) SY=DIV1 ENTRY: 12/29/03	DIV	23.14	002Z84	1-Cash
12/25/03	12/25/03	21.000	UNTS BLUE CHIP TRUST 2003-B EQUITY OPPORTUNITY TRUST (TRAD) AT 1.070300 REINVEST PRICE ON 12/29/03 EXECUTION CAPACITY: AGENT SY=DIV4 ENTRY: 12/29/03	DIVIDEND	-22.48	002Z84	1-Cash
12/23/03	12/23/03		UBS GLOBAL ALLOCATION FUND CLASS B SY=DIV1 ENTRY: 12/30/03	DIV	112.30	3B0BA8	1-Cash
12/23/03	12/30/03	9.533	UBS GLOBAL ALLOCATION FUND CLASS B DIVIDEND REINVESTED AT 11.78 NAV ON 12/23/03 SY=DIV3 ENTRY: 12/30/03	REINVEST DIV	-112.30	3B0BA8	1-Cash
12/19/03	12/19/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 12/23/03	DIV	14.49	54AQ77	1-Cash
12/19/03	12/23/03	1.090	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 13.29 NAV ON 12/19/03 SY=DIV3 ENTRY: 12/23/03	REINVEST DIV	-14.49	54AQ77	1-Cash
12/16/03	12/16/03		DEPOSIT UBS BNK USA SY=D023	RMA	-165.00		1-Cash
12/15/03	12/15/03		ASHLAND INC	DIV 600	165.00	0331E4	1-Cash
11/25/03	11/25/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 11/28/03	DIV	35.32	54AQ77	1-Cash
11/25/03	11/28/03	0.165	LORD ABBETT AFFILIATED FUND CL B ENTRY: 11/28/03	REINVEST RIV	-2.10	54AQ77	1-Cash
11/25/03	11/28/03	2.777	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 12.72 NAV ON 11/25/03 SY=DIV3 ENTRY: 11/28/03	REINVEST DIV	-35.32	54AQ77	1-Cash
11/25/03	11/28/03		LORD ABBETT AFFILIATED FUND CL B 20% RATE GAIN SY=LTG2 ENTRY: 11/28/03	LTG	2.10	54AQ77	1-Cash
10/08/03	10/08/03		DEPOSIT UBS BNK USA SY=D023	RMA	-0.11		1-Cash
10/07/03	10/07/03		WTHDRAWL UBS BNK USA SY=W023	RMA	154.69		1-Cash
10/07/03	10/07/03		MMF SELL UBS RET MNY SY=S005	RMA	845.31		1-Cash
10/07/03	10/02/03	73.855	LORD ABBETT DEVELOPING GROWTH FUND CL B FBOID 32751029518 SUBJECT TO EXIT FEE Trade#:02951 Blot:37	13.540	-1,000.00	54ARB3	1-Cash
10/07/03	10/07/03		UBS RETIREMENT MONEY FUND	DIVD INCOME	0.11	919276	1-Cash
09/23/03	09/24/03		MMF BUY UBS RET MNY SY=P005 ENTRY: 09/24/03	RMA DIVD	-0.26		1-Cash
09/23/03	09/23/03		UBS RETIREMENT MONEY FUND ENTRY: 09/24/03	DIVD INCOME	0.26	919276	1-Cash
09/16/03	09/16/03		DEPOSIT UBS BNK USA SY=D023	RMA	-165.00		1-Cash
09/15/03	09/15/03		ASHLAND INC	DIV 600	165.00	0331E4	1-Cash
09/12/03	09/15/03		MMF SELL UBS RET MNY SY=S005 ENTRY: 09/15/03	RMA	40.00		1-Cash
09/11/03	09/11/03		ANNUAL FEE QP=113A X PI ENTRY: 09/12/03	FEE COLLECTD	40.00		F-Fee
09/11/03	09/11/03		MISCELLANEOUS DEBIT QP=719 X PI ENTRY: 09/12/03	FEE COLLECTD	-40.00		1-Cash
09/08/03	09/08/03		ANNUAL FEE QP=653A C PI ENTRY: 09/09/03	FEE CHARGED	-40.00		F-Fee

09/02/03	09/02/03		MMF BUY UBS RET MNY SY=P005	RMA	-0.04		1-Cash
08/29/03	08/26/03	5,829.000	UNTS BLUE CHIP TRUST 2003-B EQUITY OPPORTUNITY TRUST (TRAD) REINVEST UIT ROLLOVER Trade#:85048 Blot:7P	99 CENTS	-5,770.71	002284	1-Cash
08/29/03	08/26/03	-7,406.000	UNTS UBS BLUE CHIP 2001-B SERIES EQUITY TR UIT ROLLOVER Trade#:85047 Blot:7P	.779200	5,770.75	353830	1-Cash
08/29/03			Balance Forward		0.04		1-Cash
08/22/03	08/25/03		MMF BUY UBS RET MNY SY=P005 ENTRY: 08/25/03	RMA DIVD	-0.26		1-Cash
08/22/03	08/22/03		UBS RETIREMENT MONEY FUND ENTRY: 08/25/03	DIVD INCOME	0.26	919276	1-Cash
08/21/03	08/21/03		LORD ABBETT AFFILIATED FUND CL B SY=DIV1 ENTRY: 08/25/03	DIV	36.39	54AQ77	1-Cash
08/21/03	08/25/03	2.966	LORD ABBETT AFFILIATED FUND CL B DIVIDEND REINVESTED AT 12.27 NAV ON 08/21/03 SY=DIV3 ENTRY: 08/25/03	REINVEST DIV	-36.39	54AQ77	1-Cash
07/24/03	07/25/03		MMF BUY UBS PW RET SY=P005 ENTRY: 07/25/03	RMA DIVD	-0.28		1-Cash
07/24/03	07/24/03		UBS PW RETIREMENT MONEY FUND ENTRY: 07/25/03	DIVD INCOME	0.28	919276	1-Cash

Item: 1 - 36 of 36

Activities of Months : 12/03; 11/03; 10/03; 09/03; 08/03; 07/03

Note: The above activity does not reflect debit card purchases, which are not debited from your account until the 2nd to last business day of each month.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on the Intraday Activity page, on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superceded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

UBS Financial Services Inc.

Your Financial Advisor

Investment Account

PZ8D006833-X26
7056841 - 000044

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RORER EQ-IV

4138

December 2003

This month at a glance

Value on November 28	$	78,481.89
Value on December 31	$	80,603.03
Money fund and other sweep option balance in 12/31 value	$	4,035.60

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	2.54	$	30.75
Other dividends		74.76		970.88
Total taxable security earnings	$	77.30	$	1,001.63
Total current year security earnings	$	77.30	$	1,001.63
Prior year(s) adjustments		.00		1.34
Net security earnings	$	77.30	$	1,002.97

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation

Risk profile: Primary: Conservative Secondary: None selected

Account instructions
Your ACCESS account is managed by RORER VALUE EQUITY.

Bulletin Board
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Equities	94.99		76,567.43
Money funds/sweep options	5.01		4,035.60
Net invested assets	100.00	$	80,603.03
Value on December 31		$	80,603.03

Account Number:
Your Financial Advisor

Investment Account

Statement Period: December 2003

PZ8D008634-X26
7056841 - 000045

Activity highlights

	Current period	Year-to-date
Deposits	$ .00	$ 63.11
Professional management fees and related services	.00	-1,944.44
Net change from activity listed above	**$ .00**	**$ -1,881.33**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 76,481.89	$ 67,290.14
Net change from Activity Highlights	.00	-1,881.33
Net security earnings	77.30	1,002.97
Change in value of investments	4,043.84	14,191.25
Value on December 31	**$ 80,603.03**	**$ 80,603.03**

Asset portfolio

*When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. * indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' Indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.*

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
35	ABBOTT LABS	46.600	1,631.00	34	10/20/03	20.000	41.786	835	97
					11/10/03	15.000	41.369	620	79
37	AMER INTL GROUP INC	66.280	2,452.36	10	03/03/00	15.000	58.166	872	122
					03/10/00	22.000	56.605	1,245	213
70	BANK OF N Y CO INC	33.120	2,318.40	53	07/24/03	25.000	30.219	755	73
					12/11/03	45.000	32.159	1,447	43
40	CARDINAL HEALTH INC	61.160	2,446.40	5	02/05/02	15.000	65.241	978	-60
					03/25/02	10.000	69.045	690	-78
					05/02/02	15.000	70.874	1,063	-145
10	CHEVRONTEXACO CORP	86.390	863.90	29	03/20/03	10.000	65.845	658	205
125	CISCO SYS INC	24.230	3,028.75		08/28/02	65.000	13.948	906	668

Continued on page 3

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					10/24/02	60.000	11.676	700	753
45	CITIGROUP INC	48.540	2,184.30	63	03/03/00	15.000	37.787	566	162
					05/25/01	15.000	48.938	734	-5
					08/23/02	15.000	34.083	511	217
25	COCA COLA CO COM	50.750	1,268.75	22	08/18/03	25.000	44.916	1,122	146
30	CONOCOPHILLIPS	65.570	1,967.10	52	03/23/01	15.000	54.292	814	169
					07/09/01	15.000	56.933	854	129
65	CVS CORP	36.120	2,347.80	17	02/20/03	40.000	25.436	1,017	427
					11/24/03	25.000	36.857	921	-18
10	DANAHER CORP	91.750	917.50	1	08/18/03	10.000	76.582	765	152
30	DEVON ENERGY CORP NEW	57.260	1,717.80	6	06/12/03	15.000	55.044	825	33
					11/03/03	15.000	47.965	719	139
130	EMC CORP MASS	12.920	1,679.60		04/23/03	55.000	9.394	516	194
					08/13/03	75.000	11.405	855	114
40	EXXON MOBIL CORP	41.000	1,640.00	40	03/03/00	40.000	37.375	1,496	145
25	GENL DYNAMICS CORP	90.390	2,259.75	32	03/21/01	15.000	65.827	987	368
					09/25/02	10.000	82.135	821	82
65	GENL ELECTRIC CO	30.980	2,013.70	52	07/29/02	25.000	30.172	754	20
					08/28/02	20.000	31.381	627	-7
					12/02/02	20.000	27.231	544	75
140	HEWLETT PACKARD CO	22.970	3,215.80	45	05/08/02	70.000	19.715	1,380	227
					07/08/02	40.000	15.957	638	280
					08/01/02	30.000	13.782	412	277
25	INTEL CORP	32.050	801.25	2	06/17/03	25.000	21.614	540	261
25	INTL BUSINESS MACH	92.680	2,317.00	16	09/15/03	15.000	89.356	1,340	50
					09/19/03	10.000	93.354	933	-6
65	J P MORGAN CHASE & CO	36.730	2,387.45	88	04/24/03	35.000	28.384	993	282
					11/04/03	30.000	36.547	1,096	5
20	JOHNSON & JOHNSON COM	51.660	1,033.20	19	03/29/01	20.000	43.869	877	156
48	LOWES COMPANIES INC	55.390	2,658.72	6	03/04/03	8.000	37.628	301	142
					04/09/03	15.000	43.059	645	185
					06/06/03	25.000	44.047	1,101	283
55	MARSH & MCLENNAN COS INC	47.890	2,633.95	68	03/03/00	55.000	40.250	2,213	420

Continued on page 4

Investment Account

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
90	MBNA CORP	24.850	2,236.50	36	03/03/00	90.000	15.375	1,383	853
100	MICROSOFT CORP	27.370	2,737.00	16	07/17/01	40.000	35.542	1,421	-326
					10/03/01	30.000	27.495	824	-2
					05/08/02	30.000	27.263	817	4
105	NEXTEL COMMUNICATIONS INC CL A	28.060	2,946.30		10/23/02	70.000	10.258	717	1,247
					12/18/02	35.000	12.959	453	529
15	OMNICOM GROUP	87.330	1,309.95	12	12/17/03	15.000	83.636	1,254	55
65	PFIZER INC	35.330	2,296.45	44	07/12/01	25.000	38.087	952	-68
					09/20/01	20.000	37.059	741	-34
					11/05/03	20.000	31.507	630	78
25	PROCTER & GAMBLE CO	99.880	2,497.00	46	02/11/02	15.000	81.986	1,229	269
					03/21/02	10.000	90.000	900	98
100	SOUTHWEST AIRLINES CO	16.140	1,614.00	2	03/03/00	45.000	12.083	543	183
					05/29/02	30.000	17.262	517	-32
					06/10/03	25.000	16.548	413	-9
30	ST PAUL COMPANIES	39.650	1,189.50	35	12/10/03	30.000	38.039	1,141	48
55	TARGET CORP	38.400	2,112.00	15	10/17/02	20.000	29.677	593	175
					12/05/02	20.000	34.668	693	75
					09/02/03	15.000	41.247	618	-42
140	TIME WARNER INC	17.990	2,518.60		06/14/03	45.000	15.463	695	114
					09/02/03	45.000	16.478	741	68
					09/19/03	50.000	16.245	812	87
35	UNTD TECHNOLOGIES CORP	94.770	3,316.95	49	02/21/01	5.000	79.958	399	74
					12/19/01	10.000	64.232	642	305
					04/09/03	20.000	61.729	1,234	661
90	US BANCORP DEL (NEW)	29.780	2,680.20	86	03/04/03	30.000	20.580	617	276
					04/23/03	60.000	22.864	1,371	415
75	VIACOM INC B NON VTG	44.380	3,328.50	15	03/03/00	75.000	55.687	4,176	-847
	Total		**$ 76,567.43**	**1,019**				**65,211**	**11,336**

4141

Account Number:
Your Financial Advisor

Investment Account

Statement Period: December 2003

PZ8D006837-X26
7056841 - 000048

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	4,924.04	4,035.60	1.00	0.50%	11/21 - 12/23	33
Total	$ 4,924.04	$ 4,035.60				

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	$ .00
12/01	DIVIDEND	CONOCOPHILLIPS	12.90
		PAID ON 30	
12/01	DIVIDEND	INTEL CORP	.60
		PAID ON 25	
12/04	DIVIDEND	PFIZER INC	9.75
		PAID ON 65	
12/09	DIVIDEND	JOHNSON & JOHNSON COM	4.80
		PAID ON 20	
12/10	DIVIDEND	CHEVRONTEXACO CORP	7.30
		PAID ON 10	
12/10	DIVIDEND	EXXON MOBIL CORP	10.00
		PAID ON 40	
12/10	DIVIDEND	INTL BUSINESS MACH	4.00
		PAID ON 25	
12/10	DIVIDEND	TARGET CORP	3.85
		PAID ON 55	
12/10	DIVIDEND	UNTD TECHNOLOGIES CORP	12.25
		PAID ON 35	
12/15	DIVIDEND	COCA COLA CO COM	5.50
		PAID ON 25	
12/19	DIVIDEND	AMER INTL GROUP INC	2.41
		PAID ON 37	
12/24	DIVIDEND	RMA MONEY MKT. PORTFOLIO	2.54
		AS OF 12/23/03	
12/30	DIVIDEND	DEVON ENERGY CORP NEW	1.50
		PAID ON 30	
		Proceeds from security transactions	$ 2,877.16
		Funds used for security transactions	$ -3,842.90
		Proceeds from money fund/sweep trans.	$ 3,834.99
		Funds used for money fund/sweep trans.	$ -2,946.55
12/31		**Closing balance**	$ .00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

Date	Activity	Description	Quantity	Price / Value	Amount
12/10	SOLD	COMCAST CORP NEW SPECIAL CL A	-96.000	30.287400	$ 2,877.16
12/15	BOUGHT	ST PAUL COMPANIES	30.000	38.039600	1,141.19
12/16	BOUGHT	BANK OF N Y CO INC	45.000	32.159300	1,447.17
12/22	BOUGHT	OMNICOM GROUP	15.000	83.636200	1,254.54
		Securities purchased			$ 3,842.90

Continued on page 6

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
		Securities sold		$	**2,877.16**

Money funds and other sweep options

Date	Activity	Money fund/sweep option		Amount
11/28		**Opening RMA Money Mkt. Portfolio**	**$**	**4,924.04**
12/02	BOUGHT	RMA MONEY MKT. PORTFOLIO		13.40
12/05	BOUGHT	RMA MONEY MKT. PORTFOLIO		9.75
12/10	BOUGHT	RMA MONEY MKT. PORTFOLIO		4.80
12/11	BOUGHT	RMA MONEY MKT. PORTFOLIO		2,914.56
12/15	SOLD	RMA MONEY MKT. PORTFOLIO		-1,141.19
12/16	SOLD	RMA MONEY MKT. PORTFOLIO		-1,441.67
12/22	SOLD	RMA MONEY MKT. PORTFOLIO		-1,252.13
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO		2.54
		AS OF 12/23/03		
12/31	BOUGHT	RMA MONEY MKT. PORTFOLIO		1.50
12/31		**Closing RMA Money Mkt. Portfolio**	**$**	**4,035.60**
		Sweep option purchased/deposited	**$**	**2,946.55**
		Sweep option sold/withdrawn	**$**	**-3,834.99**

UBS Financial Services Inc. Your Financial Advisor

Investment Account

B

PZ8D006630-X26
7056841 - 000041

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RORER EQ-IV

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	63.11
Professional management fees and related services		-1,944.44
Net change from activity listed above	$	-1,881.33

Portfolio summary

		Year-to-date
Opening value	$	67,290.14
Net change from Activity Highlights		-1,881.33
Net security earnings		1,002.97
Change in value of investments		14,191.25
Value on December 31	$	80,603.03

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	30.75
Other dividends		970.88
Total taxable security earnings	$	1,001.63
Total current year security earnings	$	1,001.63
Prior year(s) adjustments		1.34
Net security earnings	$	1,002.97

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

4144

2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
CHEVRONTEXACO CORP	FIFO	5.000	03/20/03	10/27/03	$ 364.44	$ 329.23		$ 35.21	
NEWELL RUBBERMAID INC	FIFO	20.000	04/04/03	08/15/03	453.09	596.88	-143.79		
	FIFO	35.000	06/09/03	08/15/03	792.91	971.32	-178.41		
STATE STREET CORP	FIFO	20.000	05/22/02	04/10/03	650.21	928.97	-278.76		
Total					$ 2,260.65	$ 2,826.40	$ -600.96	$ 35.21	$ -565.75
Long-term capital gains and losses:									
BANK OF AMER CORP	FIFO	15.000	07/24/01	09/04/03	$ 1,144.78	$ 931.80		$ 212.98	
	FIFO	15.000	09/07/01	09/04/03	1,144.78	884.43		260.35	
	FIFO	10.000	12/14/01	09/04/03	763.19	614.47		148.72	
BOEING COMPANY	FIFO	25.000	05/12/00	04/23/03	698.69	932.97	-234.28		
	FIFO	20.000	08/23/00	04/23/03	558.96	1,011.40	-452.44		
CHEVRONTEXACO CORP	FIFO	15.000	07/13/00	10/27/03	1,093.31	1,051.80		41.51	
COMCAST CORP NEW SPECIAL	FIFO	30.000	06/17/02	12/05/03	908.58	788.65		119.93	
CL A	FIFO	30.000	06/28/02	12/05/03	908.58	701.84		206.74	
	FIFO	35.000	10/16/02	12/05/03	1,060.01	712.32		347.69	
CONOCOPHILLIPS	FIFO	20.000	02/21/01	01/14/03	947.51	1,113.85	-166.34		
COSTCO WHSL CORP	FIFO	20.000	11/08/01	08/12/03	599.99	856.00	-256.01		
	FIFO	25.000	03/13/02	08/12/03	749.99	1,046.76	-296.77		
	FIFO	15.000	05/07/02	08/12/03	449.99	613.79	-163.80		
EXXON MOBIL CORP	FIFO	20.000	03/03/00	01/13/03	702.15	747.50	-45.35		
HCA INC	FIFO	65.000	03/13/00	05/07/03	2,085.11	1,363.24		721.87	
JOHNSON & JOHNSON COM	FIFO	20.000	06/10/00	05/27/03	1,064.15	859.28		204.87	
MARSH & MCLENNAN COS INC	FIFO	25.000	03/03/00	05/22/03	1,224.65	1,006.25		218.40	
MBNA CORP	FIFO	75.000	03/03/00	10/30/03	1,863.53	1,153.13		710.40	
PEPSICO INC	FIFO	80.000	03/03/00	07/28/03	3,716.13	2,545.00		1,171.13	
RAYTHEON CO NEW	FIFO	25.000	10/18/01	11/04/03	671.86	856.39	-184.53		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	25.000	10/25/01	11/04/03	$ 671.86	$ 812.80	-140.94		
	FIFO	20.000	10/09/02	11/04/03	537.49	543.15	-5.66		
STATE STREET CORP	FIFO	15.000	12/04/01	04/10/03	487.66	776.33	-288.67		
WYETH	FIFO	15.000	09/13/02	10/30/03	654.18	636.53		17.65	
	FIFO	20.000	10/29/02	10/30/03	872.23	675.61		196.62	
Total					$ 25,579.35	$ 23,235.29	$ -2,234.79	$ 4,578.86	$ 2,344.07
Net capital gains/losses:									$ 1,778.32

2003 Realized capital gain/loss summary

Short-term gains/losses:	$ -565.75
Long-term gains/losses:	$ 2,344.07
Net year-to-date capital gains/losses:	$ 1,778.32

UBS Financial Services Inc.

2003 Consolidated Form 1099

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Account Number 5-X1
Tax Identification Number
Your Financial Advisor or Contact [redacted]

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA_DOYLE ROGERS TRUSTEE
DTD 08/20/01
RORER EQ-IV 304714

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

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Form 1099-DIV Dividends and Distributions *(OMB No. 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 1,002.05
1b	Qualified dividends	970.88
2a	Total capital gain distributions (includes lines 2b, 2c, 2d, 2e, 2f)	0.00
2b	Post May 5th capital gain distributions	0.00
2c	Qualified 5 - year gain	0.00
2d	Unrecaptured section 1250 gain	0.00
2e	Section 1202 gain	0.00
2f	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 27,840.00
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amoun
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO		01/24/03	01/24/03	2003		4.40
		02/24/03	02/24/03	2003		4.79
		03/24/03	03/24/03	2003		3.95
		04/23/03	04/23/03	2003		2.82

Continued on page 2

UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015506-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
RMA MONEY MKT. PORTFOLIO		05/22/03	05/22/03	2003		1.54
		06/23/03	06/23/03	2003		2.66
		07/24/03	07/24/03	2003		1.05
		08/22/03	08/22/03	2003		1.66
		09/23/03	09/23/03	2003		2.04
		10/24/03	10/24/03	2003		1.55
		11/20/03	11/20/03	2003		1.72
		12/23/03	12/23/03	2003		2.54
		12/31/03	12/31/03	2003		.42
Sub Total: RMA MONEY MKT. PORTFOLIO						$ 31.17
Total Line 1a Ordinary dividends (less lines 1b and 5)						$ 31.17
Qualified dividends						
AMER INTL GROUP INC		03/05/03	03/21/03	2003		1.74
		06/04/03	06/20/03	2003		1.74
		09/03/03	09/19/03	2003		2.41
		12/03/03	12/19/03	2003		2.41
Sub Total: AMER INTL GROUP INC						$ 8.30
BANK OF N Y CO INC		10/22/03	11/06/03	2003		$ 4.75
BANK OF AMER CORP		03/05/03	03/28/03	2003		25.60
		06/04/03	06/27/03	2003		25.60
		09/03/03	09/26/03	2003		32.00
Sub Total: BANK OF AMER CORP						$ 83.20
BOEING COMPANY		02/05/03	03/07/03	2003		$ 7.65
CARDINAL HEALTH INC		12/27/02	01/15/03	2003		1.00
		03/28/03	04/15/03	2003		1.00
		06/27/03	07/15/03	2003		1.20
		09/29/03	10/15/03	2003		1.20
Sub Total: CARDINAL HEALTH INC						$ 4.40
CHEVRONTEXACO CORP		02/12/03	03/10/03	2003		10.50
		05/15/03	06/10/03	2003		21.00
		08/15/03	09/10/03	2003		21.90
		11/14/03	12/10/03	2003		7.30
Sub Total: CHEVRONTEXACO CORP						$ 60.70

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015507-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
CITIGROUP INC		01/30/03	02/28/03	2003		9.0
		05/01/03	05/23/03	2003		9.0
		07/31/03	08/22/03	2003		15.7
		10/30/03	11/26/03	2003		15.7
Sub Total: CITIGROUP INC					$	49.5
COCA COLA CO COM		09/11/03	10/01/03	2003		5.5
		11/26/03	12/15/03	2003		5.5
Sub Total: COCA COLA CO COM					$	11.0
CONOCOPHILLIPS		02/18/03	03/03/03	2003		12.0
		04/28/03	06/02/03	2003		12.0
		07/29/03	09/02/03	2003		12.0
		10/29/03	12/01/03	2003		12.9
Sub Total: CONOCOPHILLIPS					$	48.9
CVS CORP		04/17/03	05/02/03	2003		2.3
		07/17/03	08/01/03	2003		2.3
		10/17/03	10/31/03	2003		2.3
Sub Total: CVS CORP					$	6.9
DANAHER CORP		09/24/03	10/31/03	2003	$	.2
DEVON ENERGY CORP NEW		09/12/03	09/30/03	2003		.7
		12/12/03	12/31/03	2003		1.5
Sub Total: DEVON ENERGY CORP NEW					$	2.2
EXXON MOBIL CORP		02/06/03	03/10/03	2003		9.2
		05/09/03	06/10/03	2003		10.0
		08/11/03	09/10/03	2003		10.0
		11/07/03	12/10/03	2003		10.0
Sub Total: EXXON MOBIL CORP					$	39.2
GENL DYNAMICS CORP		01/15/03	02/07/03	2003		7.5
		04/09/03	05/09/03	2003		8.0
		07/02/03	08/08/03	2003		8.0
		10/08/03	11/14/03	2003		8.0
Sub Total: GENL DYNAMICS CORP					$	31.5
GENL ELECTRIC CO		12/27/02	01/27/03	2003		12.3
		02/26/03	04/25/03	2003		12.3

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015S08-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
GENL ELECTRIC CO		08/26/03	07/25/03	2003		12.3
		09/25/03	10/27/03	2003		12.3
Sub Total: GENL ELECTRIC CO						$ 48.4
HCA INC		01/29/03	03/01/03	2003		1.3
		04/29/03	06/01/03	2003		1.3
Sub Total: HCA INC						$ 2.6
HEWLETT PACKARD CO		12/16/02	01/08/03	2003		11.2
		03/17/03	04/09/03	2003		11.2
		06/16/03	07/09/03	2003		11.2
		09/15/03	10/08/03	2003		11.2
Sub Total: HEWLETT PACKARD CO						$ 44.8
INTEL CORP		08/05/03	09/01/03	2003		.5
		11/05/03	12/01/03	2003		.5
Sub Total: INTEL CORP						$ 1.0
INTL BUSINESS MACH		11/06/03	12/10/03	2003		$ 4.0
J P MORGAN CHASE & CO		07/01/03	07/31/03	2003		11.9
		10/02/03	10/31/03	2003		11.9
Sub Total: J P MORGAN CHASE & CO						$ 23.8
JOHNSON & JOHNSON COM		02/13/03	03/11/03	2003		8.2
		05/16/03	06/10/03	2003		9.6
		08/15/03	09/09/03	2003		4.8
		11/14/03	12/09/03	2003		4.8
Sub Total: JOHNSON & JOHNSON COM						$ 27.4
LOWES COMPANIES INC		04/15/03	05/02/03	2003		.5
		07/16/03	08/01/03	2003		1.2
		10/15/03	10/31/03	2003		1.4
Sub Total: LOWES COMPANIES INC						$ 3.2
MARSH & MCLENNAN COS INC		01/02/03	02/14/03	2003		22.4
		04/07/03	05/15/03	2003		22.4
		07/07/03	08/15/03	2003		17.0
		10/10/03	11/14/03	2003		17.0
Sub Total: MARSH & MCLENNAN COS INC						$ 78.9

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UBS Financial Services Inc.

2003 Consolidated Form 1099

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amour
MBNA CORP		12/11/02	01/01/03	2003		11.55
		03/12/03	04/01/03	2003		13.20
		06/11/03	07/01/03	2003		13.20
		09/11/03	10/01/03	2003		16.50
Sub Total: MBNA CORP					$	**54.45**
MICROSOFT CORP		02/19/03	03/07/03	2003		8.00
		10/15/03	11/07/03	2003		16.00
Sub Total: MICROSOFT CORP					$	**24.00**
NEWELL RUBBERMAID INC		05/19/03	06/11/03	2003	$	4.20
PEPSICO INC		12/04/02	01/02/03	2003		12.00
		03/12/03	03/31/03	2003		12.00
		06/11/03	06/30/03	2003		12.80
Sub Total: PEPSICO INC					$	**36.80**
PFIZER INC		01/15/03	02/14/03	2003		6.75
		05/14/03	06/05/03	2003		6.75
		08/13/03	09/04/03	2003		6.75
		11/12/03	12/04/03	2003		9.75
Sub Total: PFIZER INC					$	**30.00**
PROCTER & GAMBLE CO		01/22/03	02/14/03	2003		10.25
		04/15/03	05/15/03	2003		10.25
		07/16/03	08/15/03	2003		11.38
		10/22/03	11/14/03	2003		11.38
Sub Total: PROCTER & GAMBLE CO					$	**43.26**
RAYTHEON CO NEW		12/30/02	01/30/03	2003		14.00
		04/03/03	05/05/03	2003		14.00
		07/02/03	08/04/03	2003		14.00
		10/02/03	11/03/03	2003		14.00
Sub Total: RAYTHEON CO NEW					$	**56.00**
SOUTHWEST AIRLINES CO		12/09/02	01/10/03	2003		.34
		03/04/03	03/27/03	2003		.34
		06/02/03	06/25/03	2003		.34
		08/29/03	09/24/03	2003		.45
Sub Total: SOUTHWEST AIRLINES CO					$	**1.47**

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015510-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
STATE STREET CORP		12/30/02	01/15/03	2003		4.55
		03/28/03	04/15/03	2003		4.55
Sub Total: STATE STREET CORP						$ 9.10
TARGET CORP		02/18/03	03/10/03	2003		2.40
		05/16/03	06/10/03	2003		2.40
		08/18/03	09/10/03	2003		2.80
		11/18/03	12/10/03	2003		3.85
Sub Total: TARGET CORP						$ 11.45
UNTD TECHNOLOGIES CORP		02/12/03	03/10/03	2003		3.68
		05/14/03	06/10/03	2003		9.45
		08/20/03	09/10/03	2003		9.45
		11/12/03	12/10/03	2003		12.25
Sub Total: UNTD TECHNOLOGIES CORP						$ 34.83
US BANCORP DEL (NEW)		03/27/03	04/15/03	2003		6.15
		06/26/03	07/15/03	2003		18.45
		09/26/03	10/15/03	2003		18.45
Sub Total: US BANCORP DEL (NEW)						$ 43.05
VIACOM INC B NON VTG		08/13/03	10/01/03	2003		$ 4.50
WYETH		02/11/03	03/01/03	2003		8.05
		05/09/03	06/01/03	2003		8.05
		08/11/03	09/01/03	2003		8.05
Sub Total: WYETH						$ 24.15
Total Line 1b Qualified dividends						$ 970.88

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/04/03	Sell	40	BANK OF AMER CORP	060505104	3,052.74	.00	.00
04/23/03	Sell	45	BOEING COMPANY	097023105	1,257.65	.00	.00
10/27/03	Sell	20	CHEVRONTEXACO CORP	166764100	1,457.75	.00	.00

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UBS Financial Services Inc.

2003 Consolidated Form 1099

P11L015511-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/05/03	Sell	95	COMCAST CORP NEW SPECIAL CL A	20030N200	2,877.16	.00	.00
01/14/03	Sell	20	CONOCOPHILLIPS	20825C104	947.51	.00	.00
08/12/03	Sell	60	COSTCO WHSL CORP	22160K105	1,799.97	.00	.00
01/13/03	Sell	20	EXXON MOBIL CORP	30231G102	702.15	.00	.00
05/07/03	Sell	65	HCA INC	404119109	2,085.11	.00	.00
05/27/03	Sell	20	JOHNSON & JOHNSON COM	478160104	1,064.15	.00	.00
05/22/03	Sell	25	MARSH & MCLENNAN COS INC	571748102	1,224.65	.00	.00
10/30/03	Sell	75	MBNA CORP	55262L100	1,863.53	.00	.00
08/15/03	Sell	55	NEWELL RUBBERMAID INC	651229106	1,246.00	.00	.00
07/28/03	Sell	80	PEPSICO INC	713448108	3,716.13	.00	.00
11/04/03	Sell	70	RAYTHEON CO NEW	755111507	1,881.22	.00	.00
04/10/03	Sell	35	STATE STREET CORP	857477103	1,137.87	.00	.00
10/30/03	Sell	35	WYETH	983024100	1,526.41	.00	.00
			Total		$ 27,840.00	$ 0.00	$ 0.00

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Investment Account

PZ8D006599-X26
7056841 - 000009

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA D ROGERS TRUSTEE
DTD 08/20/01
LAZARD FRERES

December 2003

This month at a glance

Value on November 28	$	90,181.80
Value on December 31	$	**97,039.08**
Cash/Money fund and other sweep option balance in 12/31 value	$	4,886.00

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	2.04	$	26.59
Other dividends		152.51		2,165.57
Total taxable security earnings	$	**154.55**	$	**2,192.16**
Total current year security earnings	$	**154.55**	$	**2,192.16**
Prior year(s) adjustments		.00		.78
Net security earnings	$	**154.55**	$	**2,192.94**
Return of capital/principal		.00		22.43

Withholdings and tax summary

	Current period	Year-to-date
Foreign taxes paid	-8.13	-229.18

Account Instructions
Your ACCESS account is managed by
UBS INTL EQ.

Bulletin Board
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Equities	95.18		92,353.08
Money funds/sweep options	4.82		4,679.12
Net invested assets	**100.00**	$	**97,032.20**
Plus cash balance			6.88
Value on December 31		$	**97,039.08**

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Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation

Risk profile: Primary: Conservative Secondary: None selected

Activity highlights

	Current period	Year-to-date
Withholdings and taxes paid	$ -8.13	$ -229.18
Deposits	.00	32.40
Professional management fees and related services	.00	-2,172.62
Miscellaneous charges and adjustments	-4.02	-27.25
Net change from activity listed above	**$ -12.15**	**$ -2,396.65**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 90,181.80	$ 75,774.56
Net change from Activity Highlights	-12.15	-2,396.65
Net security earnings	154.55	2,192.94
Change in value of investments	6,714.88	21,468.23
Value on December 31	**$ 97,039.08**	**$ 97,039.08**

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Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. " indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
48	ABN AMRO HLDG NV SPONS NETHERLANDS ADR	23.480	1,127.04	36	03/15/00	19.000	21.413	406	40
					09/18/03	12.000	18.982	227	54
					09/24/03	17.000	18.796	319	80
112	ADECCO SA SPON ADR	16.110	1,804.32	11	01/22/03	35.000	7.986	279	284
					01/24/03	40.000	7.850	314	330
					01/27/03	20.000	7.732	154	168
					01/28/03	10.000	7.687	76	85
					01/29/03	7.000	7.500	52	60
28	ASTRAZENECA PLC SPON ADR	48.380	1,354.64	20	03/02/00	28.000	34.166	956	398
37	AVENTIS SPON ADR	66.260	2,451.62	23	03/02/00	30.000	48.937	1,468	519
					03/14/03	3.000	44.948	134	64
					09/29/03	4.000	53.099	212	53
115	BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR	13.850	1,592.75	39	12/18/02	91.000	9.868	898	362
					04/07/03	10.000	9.582	95	43
					04/08/03	10.000	9.318	93	45
					04/09/03	4.000	9.507	38	17
120	BANCO SANTANDER CENTL HISPANO S.A SPON ADR	12.010	1,441.20	35	12/18/02	120.000	6.803	816	625
33	BANK OF IRELAND SPON ADR	54.940	1,813.02	58	12/18/02	20.000	40.298	805	293
					08/14/03	5.000	48.045	240	34
					08/21/03	3.000	48.076	144	20
					08/28/03	5.000	47.814	239	35
40	BARCLAYS PLC ADR ADR	36.350	1,454.00	49	01/18/02	20.000	31.863	637	90
					04/24/02	20.000	35.281	705	22
19	BAYER A G SPON ADR	29.410	558.79	15	09/05/03	10.000	22.284	222	72

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/09/03	9.000	22.534	202	62
13	BCE INC CANADA	22.360	290.68	12	12/18/02	13.000	17.857	232	58
62	BNP PARIBAS SA ADR	31.483	1,951.94	33	12/18/02	62.000	20.352	1,261	690
31	BOC GROUP PLC SPON ADR	31.090	963.79	39	06/12/03	16.000	26.030	416	81
					11/12/03	10.000	29.094	290	20
					11/13/03	5.000	27.803	139	16
49	BP PLC SPON ADR	49.350	2,418.15	75	03/02/00	49.000	48.375	2,370	48
25	BT GROUP PLC SPON ADR	34.220	855.50	30	12/18/02	11.000	30.791	338	38
					04/08/03	14.000	28.019	392	67
34	CANON INC ADR JAPAN	47.640	1,619.76	8	03/06/00	24.000	39.125	939	204
					12/10/02	10.000	38.534	385	91
57	CRH PLC ADR IRELAND ADR	20.100	1,145.70	14	12/18/02	57.000	12.662	721	424
36	DANSKE BAN A/S SPON ADR	23.462	844.63	17	03/06/00	36.000	10.200	367	477
43	DIAGEO PLC NEW GB SPON ADR	52.860	2,272.98	72	06/19/03	13.000	47.021	611	76
					07/25/03	3.000	41.599	124	34
					09/24/03	12.000	44.096	529	105
					10/20/03	10.000	46.892	468	60
					10/21/03	5.000	46.362	231	33
19	ENI SPA IT SPON ADR	94.980	1,804.62	59	03/06/00	19.000	48.761	926	878
108	FORTIS NL NEW "MANDATORY EXCHANGE 12/01" SPON ADR	20.144	2,175.55	83	06/12/03	43.000	18.150	780	86
					06/19/03	14.000	18.270	255	27
					07/16/03	25.000	17.662	441	62
					07/17/03	26.000	17.501	455	68
35	FRANCE TELECOM SA SPON ADR	28.590	1,000.65	29	06/12/03	26.000	23.930	670	130
					07/30/03	5.000	25.550	127	15
					08/20/03	2.000	26.248	52	5
64	FUJI PHOTO FILM ADR NEW JAPAN	32.800	2,099.20	11	12/18/02	40.000	29.676	1,187	125
					04/22/03	5.000	25.560	127	37
					04/24/03	3.000	26.568	79	19
					11/12/03	16.000	27.589	441	83
39	GALLAHER GROUP PLC ADR	42.540	1,659.06	71	12/18/02	39.000	37.946	1,479	180
59	GLAXO SMITHKLINE PLC ADR	46.620	2,750.58	78	03/13/00	40.000	53.738	2,149	-284
					02/12/02	15.000	50.280	754	-54
					09/30/03	4.000	42.360	169	17

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
25	HANSON PLC NEW (HOLDING CO) SPON ADR	36.500	912.50	32	04/28/03	11.000	26.950	296	105
					05/07/03	14.000	28.390	397	114
111	HONDA MOTOR CO ADR JAPAN	22.500	2,497.50	15	12/18/02	86.000	17.968	1,545	390
					05/20/03	5.000	17.005	85	27
					11/12/03	15.000	20.261	303	34
					11/13/03	5.000	20.120	100	12
17	HSBC HOLDINGS PLC NEW GB SPON ADR	78.820	1,339.94	58	01/17/02	2.000	57.885	115	42
					04/23/02	15.000	59.480	892	290
5	KAO CORP ADR JAPAN	203.415	1,017.07	12	10/30/02	3.000	228.643	685	-74
					05/27/03	1.000	194.000	194	9
					09/24/03	1.000	224.858	224	-20
86	KINGFISHER PLC NEW SPON ADR	9.971	857.50	27	12/18/02	86.000	7.581	651	206
35	KONINKLIJKE PHILIPS EL N V SPONSORED ADR NEW 2000	29.090	1,018.15	12	12/18/02	35.000	17.528	613	405
51	MITSUBISHI CORP SPONS ADR ADR	21.200	1,081.20	5	12/18/02	42.000	12.279	515	375
					09/24/03	9.000	18.308	164	26
5	MITSUBISHI ESTATE LTD ADR ADR	94.803	474.01	3	12/18/02	3.000	70.857	212	72
					09/24/03	2.000	99.306	198	-8
43	NATIONAL GRID TRANSCO PLC SPON ADR	36.250	1,558.75	88	12/18/02	24.000	33.826	811	59
					08/27/03	8.000	34.235	273	17
					11/12/03	10.000	32.381	323	39
					11/13/03	1.000	32.638	32	4
48	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	62.462	2,998.17	51	03/02/00	26.000	43.000	1,118	506
					01/22/03	3.000	51.800	155	32
					05/07/03	5.000	52.270	261	51
					08/13/03	5.000	51.735	258	54
					08/14/03	9.000	51.980	467	96
111	NINTENDO LTD ADR NEW JAPAN	11.664	1,294.70	13	12/18/02	90.000	11.450	1,030	19
					04/22/03	10.000	9.970	99	17
					04/23/03	11.000	9.680	106	22
49	NIPPON TELEG & TEL CORP SPON ADR	24.580	1,203.44	10	06/28/03	15.000	19.804	297	71
					08/27/03	10.000	19.959	199	46
					07/01/03	5.000	20.037	100	22
					07/02/03	5.000	20.921	104	18

Continued on page 6

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					07/03/03	2.000	22.088	44	5
					07/24/03	12.000	21.361	256	38
109	NOKIA CORP SPONS ADR FINLAND ADR	17.000	1,853.00	28	08/19/02	75.000	13.958	1,046	229
					07/16/03	5.000	17.562	87	-2
					07/17/03	10.000	14.620	146	24
					09/05/03	19.000	16.641	316	7
78	NOMURA HOLDINGS INC NEW SPON ADR	16.930	1,320.54	14	12/18/02	28.000	10.853	303	171
					04/25/03	25.000	9.500	237	188
					05/01/03	10.000	10.082	100	69
					05/02/03	15.000	9.963	149	104
14	NOVARTIS AG SPON ADR	45.890	642.46	8	03/02/00	14.000	31.313	438	204
35	PORTUGAL TELECOM SGPS S.A. 1 ADR = 1 ORD SPON ADR	10.020	350.70	8	10/03/03	35.000	8.360	292	58
58	REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR	24.750	1,435.50	32	12/18/02	58.000	24.370	1,413	22
53	RENTOKIL INITIAL PLC SPON ADR	17.007	901.37	23	12/18/02	28.000	16.786	469	7
					03/14/03	25.000	13.530	338	87
9	RIO TINTO PLC SPON ADR	111.310	1,001.79	22	12/18/02	9.000	77.531	697	304
22	ROCHE HOLDING LTD SPONS ADR SWITZERLAND	100.869	2,219.11	19	12/18/02	22.000	70.706	1,555	664
51	ROLLS ROYCE GROUP PLC SPON ADR	15.865	809.11	34	12/18/02	46.000	8.604	396	334
					04/02/03	5.000	6.410	32	47
10	ROYAL BANK OF CANADA	47.680	476.80	14	12/18/02	10.000	37.032	370	106
65	ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV * UNITED KINGDOM	29.485	1,915.22		12/18/02	65.000	22.848	1,485	430
23	SANOFI-SYNTHELABO SA SPON ADR	37.750	868.25	10	12/18/02	23.000	29.495	678	190
10	SCOTTISH & STHN ENERGY PLC SPON ADR	120.478	1,204.78	57	12/18/02	7.000	104.296	730	113
					08/14/03	3.000	102.564	307	54
90	SEKISUI HOUSE LTD SPON ADR	10.329	929.61	11	06/26/03	30.000	7.720	231	78
					06/27/03	10.000	7.700	77	26
					06/30/03	15.000	7.729	115	39
					07/01/03	20.000	7.745	154	52
					07/02/03	5.000	7.893	39	12

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					07/03/03	10.000	8.227	82	21
49	SHELL TRANS&TRADING PLC NEW YORK SHS NEW ADR	45.030	2,206.47	72	12/18/02	49.000	38.067	1,865	341
37	SVENSKA CELLS AKTBLGT SPONSORED ADR ADR	40.860	1,511.82	35	12/18/02	37.000	33.716	1,247	264
9	SWEDISH MATCH AB SPON ADR	102.105	918.94	15	12/18/02	9.000	74.667	672	246
26	TELEFONICA S A SPON ADR	44.190	1,148.94		12/18/02	21.000	27.063	568	359
					04/07/03	5.000	31.098	155	65
114	TESCO PLC SPONS ADR UNITED KINGDOM	13.842	1,577.98	33	01/22/03	5.000	9.225	46	23
					01/23/03	20.000	9.133	182	94
					01/24/03	15.000	9.050	135	72
					01/27/03	10.000	8.650	86	52
					01/28/03	10.000	8.470	84	54
					01/29/03	5.000	8.250	41	28
					01/30/03	5.000	8.400	42	27
					01/31/03	10.000	8.250	82	58
					02/03/03	5.000	8.650	43	26
					02/04/03	15.000	8.560	128	79
					05/07/03	14.000	9.693	135	58
30	TORONTO DOMINION BK NEW CANADA	33.420	1,002.60	29	06/19/03	10.000	27.939	279	55
					06/24/03	8.000	27.978	223	44
					07/16/03	5.000	27.390	136	31
					07/18/03	7.000	26.740	187	46
32	TOTAL S.A. FRANCE SPON ADR	92.510	2,960.32	64	03/02/00	32.000	66.500	2,128	832
32	TOYOTA MOTOR CORP NEW JAPAN SPON ADR	68.750	2,200.00	20	06/11/03	9.000	52.926	476	142
					07/24/03	8.000	52.599	420	130
					08/14/03	10.000	54.535	545	142
					08/15/03	5.000	55.836	279	64
66	TPG NV SPON ADR	23.590	1,556.94	26	06/26/03	15.000	17.557	263	90
					06/30/03	10.000	17.149	171	64
					07/02/03	5.000	17.419	87	30
					07/07/03	10.000	17.467	174	61
					07/08/03	5.000	17.547	87	30
					07/09/03	9.000	17.439	156	58

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					08/08/03	12.000	19.867	238	45
39	UPM KYMMENE CORP SPON ADR	19.250	750.75	27	12/18/02	39.000	18.737	652	98
44	VNU NV SPON ADR	31.597	1,390.26	20	12/18/02	29.000	25.474	738	178
					04/08/03	5.000	27.939	139	18
					04/09/03	10.000	27.457	274	41
123	VODAFONE GROUP PLC SPON ADR	25.040	3,079.92	38	12/18/02	90.000	18.018	1,621	632
					08/07/03	20.000	18.951	379	121
					08/13/03	13.000	19.137	248	77
40	WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR	60.420	2,416.80	110	12/18/02	40.000	39.534	1,581	835
	Total		**$ 92,353.08**	**2,010**				**72,030**	**20,253**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	4,518.59	4,679.12	1.00	0.50%	11/21 - 12/23	33
Total	**$ 4,518.59**	**$ 4,679.12**				

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	**$ 25.01**
12/03	FOREIGN DIVIDEND	HONDA MOTOR CO ADR JAPAN PAID ON 91	7.86
12/03	FOREIGN TAX WITHHELD	HONDA MOTOR CO ADR JAPAN	-.79
12/08	FOREIGN DIVIDEND	BP PLC SPON ADR PAID ON 49	21.22
12/08	FOREIGN TAX WITHHELD	BP PLC SPON ADR	-2.11
12/08	FOREIGN DIVIDEND	TOYOTA MOTOR CORP NEW JAPAN SPON ADR PAID ON 32	11.67
12/08	FOREIGN TAX WITHHELD	TOYOTA MOTOR CORP NEW JAPAN SPON ADR	-1.17
12/08	FOREIGN DIVIDEND	TESCO PLC SPONS ADR UNITED KINGDOM PAID ON 114	12.14
12/08	FEE	TESCO PLC SPONS ADR UNITED KINGDOM	-1.48

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Cash flow - continued

Date	Activity	Description	Amount
12/10	FOREIGN DIVIDEND	NIPPON TELEG & TEL CORP SPON ADR PAID ON 49	5.63
12/10	FOREIGN TAX WITHHELD	NIPPON TELEG & TEL CORP SPON ADR	-.56
12/16	FOREIGN TAX WITHHELD	KAO CORP ADR JAPAN	-.58
12/16	FEE	KAO CORP ADR JAPAN	-.08
12/16	FOREIGN DIVIDEND	KAO CORP ADR JAPAN	5.83
12/22	FOREIGN TAX WITHHELD	FUJI PHOTO FILM ADR NEW JAPAN	-.55
12/22	FEE	FUJI PHOTO FILM ADR NEW JAPAN	-.48
12/22	FOREIGN DIVIDEND	FUJI PHOTO FILM ADR NEW JAPAN	5.54
12/23	FOREIGN TAX WITHHELD	MITSUBISHI CORP SPONS ADR ADR AS OF 12/22/03	-.56
12/23	FEE	MITSUBISHI CORP SPONS ADR ADR AS OF 12/22/03	-.77
12/23	FOREIGN DIVIDEND	MITSUBISHI CORP SPONS ADR ADR AS OF 12/22/03	5.66
12/24	DIVIDEND	RMA MONEY MKT. PORTFOLIO AS OF 12/23/03	2.04
12/29	FOREIGN TAX WITHHELD	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	-.11
12/29	FOREIGN TAX WITHHELD	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	-.07
12/29	FEE	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	-.06
12/29	FEE	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	-.04
12/29	FOREIGN DIVIDEND	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	1.10
12/29	FOREIGN DIVIDEND	MITSUBISHI ESTATE LTD ADR ADR AS OF 12/26/03	.74
12/29	FOREIGN TAX WITHHELD	NOMURA HOLDINGS INC NEW SPON ADR AS OF 12/26/03	-.74
12/29	FOREIGN DIVIDEND	NOMURA HOLDINGS INC NEW SPON ADR AS OF 12/26/03	7.40
12/29	FOREIGN DIVIDEND	WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR PAID ON 40	58.84
12/31	FOREIGN TAX WITHHELD	NINTENDO LTD ADR NEW JAPAN AS OF 12/30/03	-.89
12/31	FEE	NINTENDO LTD ADR NEW JAPAN AS OF 12/30/03	-1.11
12/31	FOREIGN DIVIDEND	NINTENDO LTD ADR NEW JAPAN AS OF 12/30/03	8.88
		Funds used for money fund/sweep trans. $	**-160.53**
12/31		**Closing balance $**	**6.88**

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Money funds and other sweep options

Date	Activity	Money fund/sweep option		Amount
11/28		**Opening RMA Money Mkt. Portfolio**	**$**	**4,518.59**
12/01	BOUGHT	RMA MONEY MKT. PORTFOLIO		25.01
12/04	BOUGHT	RMA MONEY MKT. PORTFOLIO		7.07
12/09	BOUGHT	RMA MONEY MKT. PORTFOLIO		40.27
12/11	BOUGHT	RMA MONEY MKT. PORTFOLIO		5.07
12/17	BOUGHT	RMA MONEY MKT. PORTFOLIO		5.17
12/23	BOUGHT	RMA MONEY MKT. PORTFOLIO		4.51
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO AS OF 12/23/03		2.04
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO		4.33
12/30	BOUGHT	RMA MONEY MKT. PORTFOLIO		67.08
12/31		**Closing RMA Money Mkt. Portfolio**	**$**	**4,679.12**
		Sweep option purchased/deposited	**$**	**160.53**

Investment Account

H

PZBD006592-X26 - 1203 - LC - 0

PZBD006592-X26
7058841 - 000003

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA D ROGERS TRUSTEE
DTD 08/20/01
LAZARD FRERES GL--TV

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withholdings and taxes paid	$	-229.18
Deposits		32.40
Professional management fees and related services		-2,172.62
Miscellaneous charges and adjustments		-27.25
Net change from activity listed above	**$**	**-2,396.65**

Portfolio summary

		Year-to-date
Opening value	$	75,774.58
Net change from Activity Highlights		-2,396.65
Net security earnings		2,192.94
Change in value of investments		21,468.23
Value on December 31	**$**	**97,039.08**

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	26.59
Other dividends		2,165.57
Total taxable security earnings	**$**	**2,192.16**
Total current year security earnings	**$**	**2,192.16**
Prior year(s) adjustments		.78
Net security earnings	**$**	**2,192.94**
Return of capital/principal		22.43

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
ABBEY NATL PLC-SPONS ADR	FIFO	12.000	12/18/02	09/17/03	$ 208.37	$ 187.97		$ 20.40	
ADR	FIFO	5.000	12/18/02	09/09/03	84.62	78.32		6.30	
	FIFO	5.000	12/18/02	09/08/03	84.70	78.32		6.38	
	FIFO	24.000	12/18/02	06/12/03	418.06	375.95		42.11	
ALLIED DOMECQ PLC SPON	FIFO	7.000	12/18/02	10/22/03	182.79	175.87		6.92	
ADR	FIFO	5.000	12/18/02	10/21/03	130.81	125.62		5.19	
	FIFO	15.000	12/18/02	10/20/03	407.48	376.86		30.62	
	FIFO	4.000	12/18/02	06/30/03	87.79	100.49	-12.70		
	FIFO	5.000	12/18/02	06/24/03	116.29	125.62	-9.33		
	FIFO	5.000	12/18/02	06/20/03	121.89	125.62	-3.73		
	FIFO	10.000	12/18/02	06/19/03	240.99	251.24	-10.25		
	FIFO	17.000	12/18/02	05/07/03	384.69	427.10	-42.41		
BCE INC CANADA	FIFO	13.000	12/18/02	06/27/03	295.85	232.15		63.70	
BNP PARIBAS SA ADR	FIFO	14.000	12/18/02	08/19/03	378.27	284.94		93.33	
	FIFO	5.000	12/18/02	08/14/03	136.04	101.76		34.28	
	FIFO	5.000	12/18/02	08/13/03	132.49	101.76		30.73	
CARLTON COMMUNICTNS PLC	FIFO	4.000	12/18/02	06/30/03	48.75	43.61		5.14	
ADR	FIFO	5.000	12/18/02	06/27/03	59.64	54.52		5.12	
UNITED KINGDOM	FIFO	5.000	12/18/02	06/26/03	57.99	54.52		3.47	
	FIFO	10.000	12/18/02	06/26/03	114.36	109.04		5.32	
	FIFO	24.000	12/18/02	06/12/03	254.62	261.69	-7.07		
COMPASS GROUP PLC	FIFO	46.000	12/18/02	04/23/03	208.82	234.89	-26.07		
	FIFO	16.000	12/18/02	04/22/03	67.12	76.59	-9.47		
	FIFO	25.000	12/18/02	04/17/03	111.62	127.66	-16.04		
	FIFO	30.000	12/18/02	04/10/03	131.09	153.18	-22.09		
	FIFO	25.000	12/18/02	04/09/03	109.37	127.66	-18.29		
	FIFO	55.000	12/18/02	04/08/03	238.13	280.83	-42.70		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	55.000	12/18/02	04/07/03	$ 241.36	$ 280.81	-39.45		
CREDIT SUISSE GROUP SPON ADR	FIFO	30.000	12/18/02	03/14/03	547.18	657.54	-110.36		
DEUTSCHE TELEKOM AG DE SPON ADR	FIFO	37.000	12/18/02	06/11/03	541.21	479.29		61.92	
E ON AG SPON ADR	FIFO	10.000	12/18/02	09/05/03	502.48	419.16		83.32	
	FIFO	8.000	12/18/02	08/14/03	416.68	335.33		81.35	
ELECTRICIDADE DE	FIFO	6.000	12/18/02	09/05/03	129.51	99.79		29.72	
PORTUGAL S.A. SPON ADR	FIFO	10.000	12/18/02	08/29/03	218.16	166.32		51.84	
	FIFO	10.000	12/18/02	08/28/03	217.47	166.32		51.15	
	FIFO	4.000	12/18/02	04/29/03	71.57	66.53		5.04	
	FIFO	5.000	12/18/02	04/25/03	89.74	83.16		6.58	
	FIFO	5.000	12/18/02	04/23/03	89.41	83.16		6.25	
	FIFO	10.000	12/18/02	04/22/03	181.26	166.32		14.94	
HONDA MOTOR CO ADR	FIFO	21.000	12/18/02	07/17/03	420.67	377.34		43.33	
JAPAN	FIFO	25.000	12/18/02	07/16/03	513.89	449.21		64.68	
HONG KONG ELEC HLDGS LTD	FIFO	41.000	12/18/02	11/17/03	158.66	155.60		3.06	
(HONG KONG) SPON ADR	FIFO	30.000	12/18/02	11/14/03	116.99	113.85		3.14	
	FIFO	55.000	12/18/02	11/13/03	215.19	208.73		6.46	
	FIFO	55.000	12/18/02	11/12/03	215.86	208.73		7.13	
KONINKLIJKE AHOLD NV NEW	FIFO	12.000	12/18/02	02/14/03	135.33	149.90	-14.57		
SPON ADR	FIFO	15.000	12/18/02	01/31/03	188.96	187.37		1.59	
	FIFO	10.000	12/18/02	01/30/03	124.52	124.92	-.40		
	FIFO	25.000	12/18/02	01/23/03	311.41	312.29	-.88		
	FIFO	5.000	12/18/02	01/22/03	62.56	62.46		.10	
MITSUBISHI CORP SPONS	FIFO	1.000	12/18/02	11/14/03	19.59	12.28		7.31	
ADR ADR	FIFO	10.000	12/18/02	11/13/03	196.02	122.80		75.22	
	FIFO	15.000	12/18/02	11/12/03	280.48	184.20		96.28	
NOKIA CORP SPONS ADR	FIFO	20.000	08/19/02	04/25/03	318.20	279.16		39.04	
FINLAND ADR									
NOMURA HOLDINGS INC NEW	FIFO	4.000	12/18/02	11/20/03	60.95	43.41		17.54	
SPON ADR	FIFO	5.000	12/18/02	11/19/03	75.41	54.27		21.14	
	FIFO	5.000	12/18/02	11/14/03	79.56	54.27		25.29	

2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	5.000	12/18/02	11/13/03	$ 79.46	$ 54.27		25.19	
	FIFO	10.000	12/18/02	11/12/03	159.39	108.53		50.86	
NTT DOCOMO INC ECH	FIFO	2.000	12/18/02	07/03/03	48.22	36.78		11.44	
REPSTG 1/200TH COM SHS	FIFO	5.000	12/18/02	07/01/03	108.16	91.95		16.21	
SPON ADR	FIFO	10.000	12/18/02	06/27/03	215.78	183.91		31.87	
	FIFO	10.000	12/18/02	06/26/03	216.92	183.91		33.01	
RENTOKIL INITIAL PLC	FIFO	10.000	12/18/02	07/18/03	145.59	167.66	-22.07		
SPON ADR	FIFO	10.000	12/18/02	07/17/03	146.16	167.66	-21.50		
	FIFO	10.000	12/18/02	07/16/03	149.56	167.66	-18.10		
ROCHE HOLDING LTD SPONS ADR SWITZERLAND	FIFO	5.000	12/18/02	08/13/03	406.83	353.53		53.30	
ROLLS ROYCE GROUP PLC SPON ADR	FIFO	56.000	12/18/02	06/14/03	648.70	481.84		166.86	
ROYAL BANK OF CANADA	FIFO	7.000	12/18/02	08/18/03	311.23	259.23		52.00	
SANDVIK AB SPON ADR	FIFO	8.000	12/18/02	02/19/03	185.59	183.14		2.45	
	FIFO	10.000	12/18/02	02/18/03	228.49	228.90	-.41		
	FIFO	5.000	12/18/02	02/14/03	114.49	114.42		.07	
SANOFI-SYNTHELABO SA	FIFO	5.000	12/18/02	10/02/03	153.23	147.48		5.75	
SPON ADR	FIFO	5.000	12/18/02	10/01/03	153.27	147.48		5.79	
	FIFO	5.000	12/18/02	09/30/03	151.85	147.48		4.37	
	FIFO	1.000	12/18/02	07/01/03	29.34	29.50	-.16		
	FIFO	5.000	12/18/02	06/30/03	146.09	147.48	-1.39		
	FIFO	5.000	12/18/02	06/26/03	151.04	147.48		3.56	
SANYO ELEC LTD ADR NEW	FIFO	5.000	12/18/02	06/04/03	80.52	64.67		15.85	
JAPAN	FIFO	5.000	12/18/02	05/30/03	80.22	64.67		15.55	
	FIFO	10.000	12/18/02	05/28/03	161.16	129.34		31.82	
	FIFO	5.000	12/18/02	05/27/03	79.99	64.67		15.32	
SINGAPORE TELECOM LTD	FIFO	9.000	12/18/02	10/06/03	92.69	62.69		30.00	
SPON ADR	FIFO	25.000	12/18/02	10/03/03	247.73	174.15		73.58	
SONY CORP ADR NEW JAPAN	FIFO	41.000	12/18/02	06/11/03	1,210.80	1,700.86	-490.06		

2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
SUN HUNG KAI PROPS LTD	FIFO	18.000	12/18/02	09/26/03	144.11	109.95		34.16	
SPON ADR	FIFO	15.000	12/18/02	09/25/03	122.99	91.62		31.37	
	FIFO	6.000	12/18/02	09/22/03	43.49	36.65		6.84	
	FIFO	20.000	12/18/02	09/19/03	148.56	122.17		26.39	
SWISS REINS CO SPON ADR	FIFO	4.000	12/18/02	01/29/03	249.91	275.79	-25.88		
	FIFO	5.000	12/18/02	01/24/03	339.86	344.74	-4.88		
	FIFO	5.000	12/18/02	01/22/03	339.98	344.74	-4.76		
UPM KYMMENE CORP SPON ADR	FIFO	35.000	12/18/02	07/24/03	579.72	585.81	-6.09		
Total					$ 19,426.04	$ 18,501.11	$ -981.11	$ 1,906.04	$ 924.93

Long-term capital gains and losses:

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
AXA ADR	FIFO	50.000	03/13/00	09/24/03	$ 895.27	$ 1,517.78	$ -622.51		
CANON INC ADR	FIFO	5.000	03/06/00	07/01/03	231.20	195.63		35.57	
JAPAN	FIFO	5.000	03/06/00	06/27/03	229.68	195.63		34.05	
	FIFO	10.000	03/06/00	06/26/03	456.29	391.25		65.04	
	FIFO	5.000	03/06/00	06/26/03	230.34	195.63		34.71	
ENI SPA IT SPON ADR	FIFO	5.000	03/06/00	06/19/03	405.37	243.81		161.56	
HSBC HOLDINGS PLC NEW GB	FIFO	8.000	01/17/02	06/13/03	514.29	463.09		51.20	
SPON ADR	FIFO	10.000	03/02/00	04/25/03	515.20	573.75	-58.55		
NOVARTIS AG SPON ADR	FIFO	14.000	03/02/00	09/24/03	538.48	438.38		100.10	
TOTAL S.A. FRANCE SPON ADR	FIFO	3.000	03/02/00	08/13/03	233.19	199.50		33.69	
Total					$ 4,249.31	$ 4,414.45	$ -681.06	$ 515.92	$ -165.14
Net capital gains/losses:									$ 759.79

Gains/Losses not calculated:

Security description	Quantity/ Face value	Sale date	Sale amount	Loss	Gain
KESA ELECTRICALS PLC SHS	39.000	07/25/03	$ 134.97	---This information was unavailable---	
	.598	07/14/03	2.24	---This information was unavailable---	
Total			$ 137.21		

2003 Realized capital gain/loss summary

Short-term gains/losses:	$	924.93
Long-term gains/losses:	$	-165.14
Net year-to-date capital gains/losses excluding transactions for which gains/losses are not calculated:	$	759.79

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2003 Consolidated Form 1099

Account Number G01L032403-X1
Tax Identification Number
Your Financial Advisor or Contact

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA D ROGERS TRUSTEE
DTD 08/20/01
LAZARD FRERES GL-IV

/0003696

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Corrected 03/24/04

Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (Includes lines 1b and 5)	$ 2,167.11
1b	Qualified dividends	2,023.38
2a	Total capital gain distributions (Includes lines 2b, 2c, 2d, 2e, 2f)	0.00
2b	Post May 5th capital gain distributions	0.00
2c	Qualified 5 - year gain	0.00
2d	Unrecaptured section 1250 gain	0.00
2e	Section 1202 gain	0.00
2f	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	22.43
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	230.62
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions) $	23,842.77
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
Ordinary dividends (less lines 1b and 5)						
HONG KONG ELEC HLDGS LTD (HONG KONG) SPON ADR		05/02/03	05/29/03	2003		26.19
		09/12/03	10/10/03	2003		13.58
Sub Total: HONG KONG ELEC HLDGS LTD						$ 39.77

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UBS Financial Services Inc.

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Corrected 03/24/04

G01L032404-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
KINGFISHER PLC "REVERSE SPLIT EFF 7/03" SPON ADR			06/23/03	2003	$	19.94
RMA MONEY MKT. PORTFOLIO		01/24/03	01/24/03	2003		2.82
		02/24/03	02/24/03	2003		2.29
		03/24/03	03/24/03	2003		2.73
		04/23/03	04/23/03	2003		2.80
		05/22/03	05/22/03	2003		2.42
		06/23/03	06/23/03	2003		2.56
		07/24/03	07/24/03	2003		2.08
		08/22/03	08/22/03	2003		1.57
		09/23/03	09/23/03	2003		1.68
		10/24/03	10/24/03	2003		2.11
		11/20/03	11/20/03	2003		1.49
		12/23/03	12/23/03	2003		2.04
		12/31/03	12/31/03	2003		.49
Sub Total: RMA MONEY MKT. PORTFOLIO					$	27.08
SINGAPORE TELECOM LTD SPON ADR		09/02/03	10/08/03	2003	$	10.81
SUN HUNG KAI PROPS LTD SPON ADR		03/24/03	04/15/03	2003	$	4.53
SVENSKA CELLS AKTBLGT SPONSORED ADR ADR		04/04/03	04/21/03	2003	$	41.60
Total Line 1a Ordinary dividends (less lines 1b and 5)					$	143.73
Qualified dividends						
ABBEY NATL PLC-SPONS ADR ADR		03/25/03	05/16/03	2003		12.07
		08/20/03	10/16/03	2003		6.08
Sub Total: ABBEY NATL PLC-SPONS ADR					$	18.15
ABN AMRO HLDG NV SPONS NETHERLANDS ADR		04/28/03	06/04/03	2003		10.01
		08/13/03	09/10/03	2003		9.21
Sub Total: ABN AMRO HLDG NV SPONS					$	19.22
ADECCO SA SPON ADR		04/23/03	05/29/03	2003	$	12.36
ALLIED DOMECO PLC SPON ADR		01/08/03	02/14/03	2003		39.76
		06/25/03	08/01/03	2003		10.59
Sub Total: ALLIED DOMECQ PLC SPON					$	50.35
ASTRAZENECA PLC SPON ADR		02/19/03	04/07/03	2003		14.62
		08/20/03	10/06/03	2003		7.14
Sub Total: ASTRAZENECA PLC SPON ADR					$	21.76

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 03/24/04

G01L032405-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
AVENTIS SPON ADR		04/16/03	05/30/03	2003	$	26.86
AXA ADR		05/02/03	05/29/03	2003	$	19.28
BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR		01/07/03	01/21/03	2003		8.62
		04/07/03	04/21/03	2003		7.59
		07/07/03	07/21/03	2003		11.71
		10/07/03	10/20/03	2003		12.01
Sub Total: BANCO BILBAO VIZCAYA					$	39.93
BANCO SANTANDER CENTL HISPANO S.A SPON ADR			02/10/03	2003		9.64**
		04/28/03	05/09/03	2003		8.16
		07/29/03	08/08/03	2003		10.36
		10/29/03	11/10/03	2003		10.63
Sub Total: BANCO SANTANDER CENTL					$	38.79
BANK OF IRELAND SPON ADR		06/18/03	07/28/03	2003	$	21.28
BARCLAYS PLC ADR ADR		02/26/03	04/28/03	2003		33.68
		08/14/03	10/01/03	2003		18.70
Sub Total: BARCLAYS PLC ADR ADR					$	52.38
BCE INC CANADA		03/13/03	04/15/03	2003		5.35
		06/12/03	07/15/03	2003		5.59
		09/11/03	10/15/03	2003		2.93
Sub Total: BCE INC CANADA					$	13.87
BNP PARIBAS SA ADR		06/09/03	07/21/03	2003	$	60.30
BOC GROUP PLC SPON ADR		07/01/03	08/08/03	2003	$	12.04
BP PLC SPON ADR		02/26/03	03/24/03	2003		20.43
		05/14/03	06/09/03	2003		20.43
		08/13/03	09/08/03	2003		21.22
		11/12/03	12/08/03	2003		21.22
Sub Total: BP PLC SPON ADR					$	83.30
BT GROUP PLC SPON ADR		12/27/02	02/18/03	2003		4.48
		08/06/03	09/15/03	2003		16.83
Sub Total: BT GROUP PLC SPON ADR					$	21.31
CANON INC ADR JAPAN		12/26/02	04/08/03	2003		8.71
		06/25/03	09/08/03	2003		7.54
Sub Total: CANON INC ADR					$	16.25

Continued on page 4

**Indicates corrected item.

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 03/24/04

G01L032406-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM		02/26/03	04/14/03	2003	$	20.62
COMPASS GROUP PLC		03/05/03	04/14/03	2003	$	21.81
CRH PLC ADR IRELAND ADR		03/12/03	05/19/03	2003		11.74
		09/10/03	11/17/03	2003		5.32
Sub Total: CRH PLC ADR IRELAND ADR					$	17.06
DANSKE BAN A/S SPON ADR		03/26/03	04/15/03	2003	$	24.84
DIAGEO PLC NEW GB SPON ADR		09/17/03	10/31/03	2003	$	16.99
E ON AG SPON ADR		04/28/03	05/09/03	2003	$	35.27
ELECTRICIDADE DE PORTUGAL S.A. SPON ADR		06/11/03	06/27/03	2003	$	27.12
ENI SPA IT SPON ADR		06/23/03	07/03/03	2003	$	81.39
FUJI PHOTO FILM ADR NEW JAPAN		03/26/03	07/16/03	2003		4.16
		09/25/03	12/22/03	2003		5.54
Sub Total: FUJI PHOTO FILM ADR NEW					$	9.70
GALLAHER GROUP PLC ADR		03/19/03	05/30/03	2003		47.75
		09/17/03	11/28/03	2003		25.01
Sub Total: GALLAHER GROUP PLC ADR					$	72.76
GLAXO SMITHKLINE PLC ADR		10/30/02	01/03/03	2003		21.87
		02/18/03	04/17/03	2003		25.59
		05/07/03	07/03/03	2003		15.68
		07/30/03	10/02/03	2003		15.75
Sub Total: GLAXO SMITHKLINE PLC ADR					$	78.89
HANSON PLC NEW "SCHEME ARRANGEMENT EFF 10/03" SPON ADR			09/26/03	2003	$	10.26
HONDA MOTOR CO ADR JAPAN		03/26/03	07/02/03	2003		8.94
		09/25/03	12/03/03	2003		7.86
Sub Total: HONDA MOTOR CO ADR					$	16.80
HSBC HOLDINGS PLC NEW GB SPON ADR		03/19/03	05/06/03	2003		63.19
		08/20/03	10/07/03	2003		20.71
Sub Total: HSBC HOLDINGS PLC NEW GB					$	83.90
KAO CORP ADR JAPAN		03/28/03	07/16/03	2003		3.75
		09/25/03	12/16/03	2003		5.83
Sub Total: KAO CORP ADR					$	9.58

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 03/24/04

G01L032407-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
KINGFISHER PLC NEW SPON ADR		09/24/03	11/24/03	2003	$	10.15
KONINKLIJKE PHILIPS EL N V SPONSORED ADR NEW 2000		03/28/03	04/07/03	2003	$	13.65
MITSUBISHI CORP SPONS ADR ADR		03/26/03	07/10/03	2003		4.52
		09/25/03	12/22/03	2003		5.66
Sub Total: MITSUBISHI CORP SPONS					$	10.18
MITSUBISHI ESTATE LTD ADR ADR		03/26/03	07/16/03	2003		1.00
		09/25/03	12/26/03	2003		1.10
		09/25/03	12/26/03	2003		.74
Sub Total: MITSUBISHI ESTATE LTD					$	2.84
NATIONAL GRID TRANSCO PLC SPON ADR		05/28/03	08/20/03	2003	$	20.15
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR		03/28/03	05/07/03	2003	$	36.28
NINTENDO LTD ADR NEW JAPAN		03/26/03	07/16/03	2003		6.48
		09/25/03	12/30/03	2003		8.88
Sub Total: NINTENDO LTD ADR NEW					$	15.36
NIPPON TELEG & TEL CORP SPON ADR		09/25/03	12/10/03	2003	$	5.63
NOKIA CORP SPONS ADR FINLAND ADR		03/28/03	04/23/03	2003	$	28.85
NOMURA HOLDINGS INC NEW SPON ADR		03/26/03	07/07/03	2003		7.13
		09/25/03	12/26/03	2003		7.40
Sub Total: NOMURA HOLDINGS INC NEW					$	14.53
NOVARTIS AG SPON ADR		03/04/03	04/07/03	2003	$	19.75
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR		03/26/03	08/30/03	2003	$	1.14
REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR		04/11/03	05/09/03	2003		27.49
		08/13/03	09/12/03	2003		10.26
Sub Total: REED ELSEVIER NV REPSTG					$	37.75
RENTOKIL INITIAL PLC SPON ADR		05/07/03	06/16/03	2003		27.19
		10/01/03	11/10/03	2003		7.84
Sub Total: RENTOKIL INITIAL PLC					$	35.03
RIO TINTO PLC SPON ADR		03/05/03	04/07/03	2003		12.20
		08/13/03	09/15/03	2003		10.80
Sub Total: RIO TINTO PLC SPON ADR					$	23.00

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UBS Financial Services Inc.

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Corrected 03/24/04

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
ROCHE HOLDING LTD SPONS ADR SWITZERLAND		04/01/03	05/20/03	2003	$	28.29
ROLLS ROYCE GROUP PLC SPON ADR		04/23/03	07/17/03	2003	$	44.08
ROYAL BANK OF CANADA		01/23/03	02/24/03	2003		4.54
		04/21/03	05/23/03	2003		5.29
		07/21/03	08/22/03	2003		3.04
		10/23/03	11/24/03	2003		3.48
Sub Total: ROYAL BANK OF CANADA					$	16.35
ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV * UNITED KINGDOM			06/06/03	2003		37.21
			10/10/03	2003		17.53
Sub Total: ROYAL BK SCOTTLAND GROUP					$	54.74
SANOFI-SYNTHELABO SA SPON ADR		05/28/03	06/26/03	2003	$	24.00
SANYO ELEC LTD ADR NEW JAPAN		03/26/03	07/22/03	2003	$	3.15
SCOTTISH & STHN ENERGY PLC SPON ADR		03/05/03	04/03/03	2003		12.78
		09/03/03	10/06/03	2003		40.60
Sub Total: SCOTTISH & STHN ENERGY					$	53.38
SEKISUI HOUSE LTD SPON ADR		07/28/03	10/10/03	2003	$	7.23
SHELL TRANS&TRADING PLC NEW YORK SHS NEW ADR		04/02/03	05/12/03	2003		48.80
		08/13/03	09/23/03	2003		28.47
Sub Total: SHELL TRANS&TRADING PLC					$	77.27
SONY CORP ADR NEW JAPAN		03/26/03	06/30/03	2003	$	4.32
SWEDISH MATCH AB SPON ADR		04/28/03	05/16/03	2003	$	17.87
TELEFONICA S A SPON ADR			11/06/03	2003	$	8.39
TESCO PLC SPONS ADR UNITED KINGDOM		04/17/03	07/07/03	2003		21.39
		09/24/03	12/08/03	2003		12.14
Sub Total: TESCO PLC SPONS ADR					$	33.53
TORONTO DOMINION BK NEW CANADA		09/16/03	10/31/03	2003	$	7.27
TOTAL S.A. FRANCE SPON ADR		05/13/03	06/09/03	2003	$	81.97
TOYOTA MOTOR CORP NEW JAPAN SPON ADR		09/25/03	12/08/03	2003	$	11.67
TPG NV SPON ADR		08/08/03	08/20/03	2003	$	10.94

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
UPM KYMMENE CORP SPON ADR		03/20/03	04/08/03	2003		$ 59.72
VNU NV SPON ADR		04/14/03	05/14/03	2003		21.43
		08/11/03	09/04/03	2003		5.80
Sub Total: VNU NV SPON ADR						$ 27.23
VODAFONE GROUP PLC SPON ADR		06/04/03	08/08/03	2003		$ 12.93
WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR		08/09/03	07/14/03	2003		51.50
		11/21/03	12/29/03	2003		58.84
Sub Total: WESTPAC BANKING LTD						$ 110.34
Total Line 1b Qualified dividends						$ 2,023.38
Non-taxable distributions						
TELEFONICA S A SPON ADR		06/30/03	07/10/03	2003		11.60
		10/09/03	10/22/03	2003		10.83
Sub Total: TELEFONICA S A SPON ADR						$ 22.43
Total Line 3 Non-taxable distributions						$ 22.43
Foreign tax paid						
ABBEY NATL PLC-SPONS ADR ADR		03/25/03	05/16/03	2003		$ 1.21
ABN AMRO HLDG NV SPONS NETHERLANDS ADR		04/28/03	06/04/03	2003		1.50
		08/13/03	09/10/03	2003		1.38
Sub Total: ABN AMRO HLDG NV SPONS						$ 2.88
ADECCO SA SPON ADR		04/23/03	05/29/03	2003		$ 1.85
ALLIED DOMECQ PLC SPON ADR		01/08/03	02/14/03	2003		$ 3.88
ASTRAZENECA PLC SPON ADR		02/19/03	04/07/03	2003		$ 1.46
AVENTIS SPON ADR		04/16/03	05/30/03	2003		$ 6.72
AXA ADR		05/02/03	05/29/03	2003		$ 4.82
BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR		01/07/03	01/21/03	2003		1.29
		04/07/03	04/21/03	2003		1.14
		07/07/03	07/21/03	2003		1.76
		10/07/03	10/20/03	2003		1.81
Sub Total: BANCO BILBAO VIZCAYA						$ 6.00

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
BANCO SANTANDER CENTL HISPANO S.A SPON ADR			02/10/03	2003		1.44**
		04/28/03	05/09/03	2003		1.22
		07/29/03	08/08/03	2003		1.55
		10/29/03	11/10/03	2003		1.59
Sub Total: BANCO SANTANDER CENTL					$	5.80
BARCLAYS PLC ADR ADR		02/26/03	04/28/03	2003	$	3.37
BCE INC CANADA		03/13/03	04/15/03	2003		.80
		06/12/03	07/15/03	2003		.84
		09/11/03	10/15/03	2003		.44
Sub Total: BCE INC CANADA					$	2.08
BNP PARIBAS SA ADR		06/09/03	07/21/03	2003	$	15.07
BP PLC SPON ADR		02/28/03	03/24/03	2003		2.08
		05/14/03	06/09/03	2003		2.08
		08/13/03	09/08/03	2003		2.11
		11/12/03	12/08/03	2003		2.11
Sub Total: BP PLC SPON ADR					$	8.34
BT GROUP PLC SPON ADR		12/27/02	02/18/03	2003	$	.45
CANON INC ADR JAPAN		12/26/02	04/08/03	2003		1.31
		06/25/03	09/08/03	2003		.75
Sub Total: CANON INC ADR					$	2.06
CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM		02/26/03	04/14/03	2003	$	2.06
COMPASS GROUP PLC		03/05/03	04/14/03	2003	$	2.18
DANSKE BAN A/S SPON ADR		03/26/03	04/15/03	2003	$	6.85
E ON AG SPON ADR		04/28/03	05/09/03	2003	$	7.44
ELECTRICIDADE DE PORTUGAL S.A. SPON ADR		06/11/03	06/27/03	2003	$	4.75
ENI SPA IT SPON ADR		06/23/03	07/03/03	2003	$	21.98
FUJI PHOTO FILM ADR NEW JAPAN		03/26/03	07/16/03	2003		.42
		09/25/03	12/22/03	2003		.55
Sub Total: FUJI PHOTO FILM ADR NEW					$	0.97
GLAXO SMITHKLINE PLC ADR		10/30/02	01/03/03	2003		2.19
		02/19/03	04/17/03	2003		2.56
Sub Total: GLAXO SMITHKLINE PLC ADR					$	4.75

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**Indicates corrected item.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
HONDA MOTOR CO ADR JAPAN		03/26/03	07/02/03	2003		.8
		09/25/03	12/03/03	2003		.7
Sub Total: HONDA MOTOR CO ADR					$	1.6
HSBC HOLDINGS PLC NEW GB SPON ADR		03/19/03	05/06/03	2003		6.3
		08/20/03	10/07/03	2003		.3
Sub Total: HSBC HOLDINGS PLC NEW GB					$	6.6
KAO CORP ADR JAPAN		03/26/03	07/16/03	2003		.3
		09/25/03	12/16/03	2003		.5
Sub Total: KAO CORP ADR					$	0.9
KONINKLIJKE PHILIPS EL N V SPONSORED ADR NEW 2000		03/28/03	04/07/03	2003	$	2.0
MITSUBISHI CORP SPONS ADR ADR		03/26/03	07/10/03	2003		.4
		09/25/03	12/22/03	2003		.5
Sub Total: MITSUBISHI CORP SPONS					$	1.0
MITSUBISHI ESTATE LTD ADR ADR		03/26/03	07/16/03	2003		.1
		09/25/03	12/26/03	2003		.1
		09/25/03	12/26/03	2003		.0
Sub Total: MITSUBISHI ESTATE LTD					$	0.2
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR		03/28/03	05/07/03	2003	$	5.4
NINTENDO LTD ADR NEW JAPAN		03/26/03	07/16/03	2003		.8
		09/25/03	12/30/03	2003		.8
Sub Total: NINTENDO LTD ADR NEW					$	1.5
NIPPON TELEG & TEL CORP SPON ADR		09/25/03	12/10/03	2003	$	.5
NOKIA CORP SPONS ADR FINLAND ADR		03/28/03	04/23/03	2003	$	4.3
NOMURA HOLDINGS INC NEW SPON ADR		03/26/03	07/07/03	2003		.7
		09/25/03	12/26/03	2003		.7
Sub Total: NOMURA HOLDINGS INC NEW					$	1.4
NOVARTIS AG SPON ADR		03/04/03	04/07/03	2003	$	2.8

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR		03/26/03	06/30/03	2003	$	.1
REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR		04/11/03	05/09/03	2003		6.8
		08/13/03	09/12/03	2003		2.5
Sub Total: REED ELSEVIER NV REPSTG					$	9.4
RIO TINTO PLC SPON ADR		03/05/03	04/07/03	2003	$	1.2
ROCHE HOLDING LTD SPONS ADR SWITZERLAND		04/01/03	05/20/03	2003	$	4.2
ROYAL BANK OF CANADA		01/23/03	02/24/03	2003		.6
		04/21/03	05/23/03	2003		.7
		07/21/03	08/22/03	2003		.4
		10/23/03	11/24/03	2003		.5
Sub Total: ROYAL BANK OF CANADA					$	2.4
ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV " UNITED KINGDOM			06/06/03	2003		3.7
			10/10/03	2003		1.7
Sub Total: ROYAL BK SCOTTLAND GROUP					$	5.4
SANOFI-SYNTHELABO SA SPON ADR		05/28/03	06/26/03	2003	$	6.(
SANYO ELEC LTD ADR NEW JAPAN		03/26/03	07/22/03	2003	$	.:
SCOTTISH & STHN ENERGY PLC SPON ADR		03/05/03	04/03/03	2003	$	1.:
SEKISUI HOUSE LTD SPON ADR		07/28/03	10/10/03	2003	$	.:
SHELL TRANS&TRADING PLC NEW YORK SHS NEW ADR		04/02/03	05/12/03	2003	$	4.!
SINGAPORE TELECOM LTD SPON ADR		09/02/03	10/08/03	2003	$	2.:
SONY CORP ADR NEW JAPAN		03/26/03	06/30/03	2003	$	.:
SVENSKA CELLS AKTBLGT SPONSORED ADR ADR		04/04/03	04/21/03	2003	$	6.:
SWEDISH MATCH AB SPON ADR		04/28/03	05/16/03	2003	$	2.:
TORONTO DOMINION BK NEW CANADA		09/16/03	10/31/03	2003	$	1.:
TOTAL S.A. FRANCE SPON ADR		05/13/03	06/09/03	2003	$	20.
TOYOTA MOTOR CORP NEW JAPAN SPON ADR		09/25/03	12/08/03	2003	$	1.
TPG NV SPON ADR		08/08/03	08/20/03	2003	$	1.
UPM KYMMENE CORP SPON ADR		03/20/03	04/08/03	2003	$	8.
VNU NV SPON ADR		04/14/03	05/14/03	2003	$	3.
Total Line 6 Foreign tax paid					$	230.

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UBS Financial Services Inc.

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Corrected 03/24/04

G01L032413-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
06/12/03	Sell	24	ABBEY NATL PLC-SPONS ADR ADR	002920106	418.06	.00	.00
09/08/03	Sell	5	ABBEY NATL PLC-SPONS ADR ADR	002920106	84.70	.00	.00
09/09/03	Sell	5	ABBEY NATL PLC-SPONS ADR ADR	002920106	84.62	.00	.00
09/17/03	Sell	12	ABBEY NATL PLC-SPONS ADR ADR	002920106	208.37	.00	.00
05/07/03	Sell	17	ALLIED DOMECQ PLC SPON ADR	019121201	384.69	.00	.00
06/19/03	Sell	10	ALLIED DOMECQ PLC SPON ADR	019121201	240.99	.00	.00
06/20/03	Sell	5	ALLIED DOMECQ PLC SPON ADR	019121201	121.89	.00	.00
06/24/03	Sell	5	ALLIED DOMECQ PLC SPON ADR	019121201	116.29	.00	.00
06/30/03	Sell	4	ALLIED DOMECQ PLC SPON ADR	019121201	87.79	.00	.00
10/20/03	Sell	15	ALLIED DOMECQ PLC SPON ADR	019121201	407.48	.00	.00
10/21/03	Sell	5	ALLIED DOMECQ PLC SPON ADR	019121201	130.81	.00	.00
10/22/03	Sell	7	ALLIED DOMECQ PLC SPON ADR	019121201	182.78	.00	.00
09/24/03	Sell	50	AXA ADR	054536107	895.27	.00	.00
06/27/03	Sell	13	BCE INC CANADA	05534B109	295.85	.00	.00
08/13/03	Sell	5	BNP PARIBAS SA ADR	05565A202	132.49	.00	.00
08/14/03	Sell	5	BNP PARIBAS SA ADR	05565A202	136.04	.00	.00
08/19/03	Sell	14	BNP PARIBAS SA ADR	05565A202	378.27	.00	.00
06/26/03	Sell	5	CANON INC ADR JAPAN	138006309	230.34	.00	.00
06/26/03	Sell	10	CANON INC ADR JAPAN	138006309	456.29	.00	.00
06/27/03	Sell	5	CANON INC ADR JAPAN	138006309	229.68	.00	.00
07/01/03	Sell	5	CANON INC ADR JAPAN	138006309	231.20	.00	.00

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UBS Financial Services Inc.

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Corrected 03/24/04

G01L032414-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
06/12/03	Sell	24	CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM	142872209	254.62	.00	.00
06/26/03	Sell	5	CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM	142872209	57.99	.00	.00
06/26/03	Sell	10	CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM	142872209	114.36	.00	.00
06/27/03	Sell	5	CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM	142872209	59.64	.00	.00
06/30/03	Sell	4	CARLTON COMMUNICTNS PLC ADR UNITED KINGDOM	142872209	48.75	.00	.00
04/07/03	Sell	55	COMPASS GROUF PLC	20449X203	241.36	.00	.00
04/08/03	Sell	55	COMPASS GROUP PLC	20449X203	238.13	.00	.00
04/09/03	Sell	25	COMPASS GROUP PLC	20449X203	109.37	.00	.00
04/10/03	Sell	30	COMPASS GROUP PLC	20449X203	131.09	.00	.00
04/17/03	Sell	25	COMPASS GROUP PLC	20449X203	111.62	.00	.00
04/22/03	Sell	15	COMPASS GROUP PLC	20449X203	67.12	.00	.00
04/23/03	Sell	46	COMPASS GROUP PLC	20449X203	208.82	.00	.00
03/14/03	Sell	30	CREDIT SUISSE GROUP SPON ADR	225401108	547.18	.00	.00
06/11/03	Sell	37	DEUTSCHE TELEKOM AG DE SPON ADR	251566105	541.21	.00	.00
08/14/03	Sell	8	E ON AG SPON ADR	268780103	416.68	.00	.00
09/05/03	Sell	10	E ON AG SPON ADR	268780103	502.48	.00	.00
04/22/03	Sell	10	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	181.26	.00	.00
04/23/03	Sell	5	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	89.41	.00	.00
04/25/03	Sell	5	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	89.74	.00	.00
04/29/03	Sell	4	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	71.57	.00	.00

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UBS Financial Services Inc.

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Corrected 03/24/04

G01L032415-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/28/03	Sell	10	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	217.47	.00	.00
08/29/03	Sell	10	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	218.16	.00	.00
09/05/03	Sell	6	ELECTRICIDADE DE PORTUGAL S.A. SPON ADR	268353109	129.51	.00	.00
06/19/03	Sell	5	ENI SPA IT SPON ADR	26874R108	405.37	.00	.00
07/16/03	Sell	25	HONDA MOTOR CO ADR JAPAN	438128308	513.89	.00	.00
07/17/03	Sell	21	HONDA MOTOR CO ADR JAPAN	438128308	420.67	.00	.00
11/12/03	Sell	55	HONG KONG ELEC HLDGS LTD (HONG KONG) SPON ADR	438580300	215.86	.00	.00
11/13/03	Sell	55	HONG KONG ELEC HLDGS LTD (HONG KONG) SPON ADR	438580300	215.19	.00	.00
11/14/03	Sell	30	HONG KONG ELEC HLDGS LTD (HONG KONG) SPON ADR	438580300	116.99	.00	.00
11/17/03	Sell	41	HONG KONG ELEC HLDGS LTD (HONG KONG) SPON ADR	438580300	158.66	.00	.00
04/25/03	Sell	10	HSBC HOLDINGS PLC NEW GB SPON ADR	404280406	515.20	.00	.00
08/13/03	Sell	8	HSBC HOLDINGS PLC NEW GB SPON ADR	404280406	514.29	.00	.00
07/25/03	Sell	39	KESA ELECTRICALS PLC SHS	G5244H100	134.97	.00	.00
10/02/03	Cash In Lieu		KESA ELECTRICALS PLC SHS	G5244H100	2.24	.00	.00
07/10/03	Sell		KINGFISHER PLC NEW SPON ADR	495724403	5.47	.00	.00
01/22/03	Sell	5	KONINKLIJKE AHOLD NV NEW SPON ADR	500467303	62.56	.00	.00
01/23/03	Sell	25	KONINKLIJKE AHOLD NV NEW SPON ADR	500467303	311.41	.00	.00
01/30/03	Sell	10	KONINKLIJKE AHOLD NV NEW SPON ADR	500467303	124.52	.00	.00
01/31/03	Sell	15	KONINKLIJKE AHOLD NV NEW SPON ADR	500467303	188.96	.00	.00

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UBS Financial Services Inc.

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Corrected 03/24/04

G01L032416-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/14/03	Sell	12	KONINKLIJKE AHOLD NV NEW SPON ADR	500467303	135.33	.00	.00
11/12/03	Sell	15	MITSUBISHI CORP SPONS ADR ADR	606769305	280.48	.00	.00
11/13/03	Sell	10	MITSUBISHI CORP SPONS ADR ADR	606769305	198.02	.00	.00
11/14/03	Sell	1	MITSUBISHI CORP SPONS ADR ADR	606769305	19.59	.00	.00
04/25/03	Sell	20	NOKIA CORP SPONS ADR FINLAND ADR	654902204	318.20	.00	.00
11/12/03	Sell	10	NOMURA HOLDINGS INC NEW SPON ADR	65535H208	159.39	.00	.00
11/13/03	Sell	5	NOMURA HOLDINGS INC NEW SPON ADR	65535H208	79.46	.00	.00
11/14/03	Sell	5	NOMURA HOLDINGS INC NEW SPON ADR	65535H208	79.56	.00	.00
11/19/03	Sell	5	NOMURA HOLDINGS INC NEW SPON ADR	65535H208	75.41	.00	.00
11/20/03	Sell	4	NOMURA HOLDINGS INC NEW SPON ADR	65535H208	60.95	.00	.00
09/24/03	Sell	14	NOVARTIS AG SPON ADR	66987V109	538.48	.00	.00
06/26/03	Sell	10	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	62942M201	216.92	.00	.00
08/27/03	Sell	10	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	62942M201	215.78	.00	.00
07/01/03	Sell	5	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	62942M201	108.16	.00	.00
07/03/03	Sell	2	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	62942M201	48.22	.00	.00
07/16/03	Sell	10	RENTOKIL INITIAL PLC SPON ADR	760125104	149.56	.00	.00

Continued on page 15

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 03/24/04

G01L032417-X

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income t withheld (Line #4
07/17/03	Sell	10	RENTOKIL INITIAL PLC SPON ADR	760125104	146.16	.00	.0
07/18/03	Sell	10	RENTOKIL INITIAL PLC SPON ADR	760125104	145.59	.00	.0
08/13/03	Sell	5	ROCHE HOLDING LTD SPONS ADR SWITZERLAND	771195104	408.83	.00	.0
08/14/03	Sell	56	ROLLS ROYCE GROUP PLC SPON ADR	775781206	648.70	.00	.0
08/18/03	Sell	7	ROYAL BANK OF CANADA	780087102	311.23	.00	.0
02/14/03	Sell	5	SANDVIK AB SPON ADR	800212201	114.49	.00	.0
02/18/03	Sell	10	SANDVIK AB SPON ADR	800212201	228.49	.00	.0
02/19/03	Sell	8	SANDVIK AB SPON ADR	800212201	185.59	.00	.0
06/26/03	Sell	5	SANOFI-SYNTHELABO SA SPON ADR	80105N105	151.04	.00	.0
06/30/03	Sell	5	SANOFI-SYNTHELABO SA SPON ADR	80105N105	146.09	.00	.0
07/01/03	Sell	1	SANOFI-SYNTHELABO SA SPON ADR	80105N105	29.34	.00	.0
09/30/03	Sell	5	SANOFI-SYNTHELABO SA SPON ADR	80105N105	151.85	.00	.0
10/01/03	Sell	5	SANOFI-SYNTHELABO SA SPON ADR	80105N105	153.27	.00	.0
10/02/03	Sell	5	SANOFI-SYNTHELABO SA SPON ADR	80105N105	153.23	.00	.0
05/27/03	Sell	5	SANYO ELEC LTD ADR NEW JAPAN	803038306	79.99	.00	.0
05/28/03	Sell	10	SANYO ELEC LTD ADR NEW JAPAN	803038306	161.16	.00	.0
05/30/03	Sell	5	SANYO ELEC LTD ADR NEW JAPAN	803038306	80.22	.00	.0
06/04/03	Sell	5	SANYO ELEC LTD ADR NEW JAPAN	803038306	80.52	.00	.0
10/03/03	Sell	25	SINGAPORE TELECOM LTD SPON ADR	82929R108	247.73	.00	.0

Continued on page 16

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 03/24/04

G01L032418-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
10/06/03	Sell	9	SINGAPORE TELECOM LTD SPON ADR	82929R106	92.69	.00	.00
06/11/03	Sell	41	SONY CORP ADR NEW JAPAN	835699307	1,210.80	.00	.00
09/19/03	Sell	20	SUN HUNG KAI PROPS LTD SPON ADR	86676H302	148.56	.00	.00
09/22/03	Sell	6	SUN HUNG KAI PROPS LTD SPON ADR	86676H302	43.49	.00	.00
09/25/03	Sell	15	SUN HUNG KAI PROPS LTD SPON ADR	86676H302	122.99	.00	.00
09/26/03	Sell	18	SUN HUNG KAI PROPS LTD SPON ADR	86676H302	144.11	.00	.00
01/22/03	Sell	5	SWISS REINS CO SPON ADR	870887205	339.98	.00	.00
01/24/03	Sell	5	SWISS REINS CO SPON ADR	870887205	339.86	.00	.00
01/29/03	Sell	4	SWISS REINS CO SPON ADR	870887205	249.91	.00	.00
03/18/03	Cash in Lieu		TELEFONICA S A SPON ADR	879382208	11.30	.00	.00
05/28/03	Cash in Lieu		TELEFONICA S A SPON ADR	879382208	13.44	.00	.00
08/13/03	Sell	3	TOTAL S.A. FRANCE SPON ADR	89151E109	233.19	.00	.00
07/24/03	Sell	35	UPM KYMMENE CORP SPON ADR	915436109	579.72	.00	.0
			Total		$ 23,842.77	$ 0.00	$ 0.0

UBS Financial Services Inc.

Your Financial Advisor

Investment Account

PZ8D008823-X26
7068841 - 000034

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RITTENHOUSE EQ-IV

December 2003

This month at a glance

Value on November 28	$	68,660.01
Value on December 31	$	**70,894.41**
Money fund and other sweep option balance in 12/31 value	$	1,152.12

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	.57	$	9.67
Other dividends		56.67		732.51
Total taxable security earnings	$	57.24	$	742.18
Total current year security earnings	$	57.24	$	742.18
Prior year(s) adjustments		.00		.26
Net security earnings	$	57.24	$	742.44

Withholdings and tax summary

	Current period	Year-to-date
Foreign taxes paid	.00	-2.50

Account Instructions
Your ACCESS account is managed by RITTENHOUSE EQUITY MGMT.

Bulletin Board
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Equities	98.37		69,742.29
Money funds/sweep options	1.63		1,152.12
Net invested assets	100.00	$	70,894.41
Value on December 31		$	70,894.41

Investment Account

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation
Risk profile: Primary: Conservative Secondary: None selected

Activity highlights

	Current period	Year-to-date
Withholdings and taxes paid	$ .00	$ -2.50
Deposits	.00	30.34
Professional management fees and related services	.00	-1,742.05
Net change from activity listed above	$ .00	$ -1,714.21

Portfolio summary

	Current period	Year-to-date
Opening value	$ 68,660.01	$ 59,913.94
Net change from Activity Highlights	.00	-1,714.21
Net security earnings	57.24	742.44
Change in value of investments	2,177.16	11,952.24
Value on December 31	$ 70,894.41	$ 70,894.41

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Asset portfolio

*When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. * indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.*

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
30	AFLAC INC	36.180	1,085.40	10	07/18/02	10.000	27.938	279	82
					10/23/02	10.000	31.648	316	45
					05/05/03	10.000	32.819	328	33
47	AMER INTL GROUP INC	66.280	3,115.16	12	03/02/00	47.000	58.306	2,740	375
35	AMGEN INC	61.790	2,162.65		03/23/00	5.000	57.952	289	19
					05/22/00	15.000	56.774	851	75
					10/25/00	15.000	70.225	1,053	-126
20	ANHEUSER BUSCH COS INC	52.680	1,053.60	18	02/04/03	10.000	48.214	482	44
					10/07/03	10.000	50.912	509	17
25	BED BATH & BEYOND INC	43.350	1,083.75		02/12/02	10.000	34.062	340	93
					04/17/02	15.000	34.800	522	128
105	CISCO SYS INC	24.230	2,544.15		03/02/00	40.000	66.687	2,667	-1,697
					01/12/01	10.000	38.195	381	-138
					01/16/01	15.000	38.076	571	-207
					08/13/02	20.000	13.821	276	208
					08/19/03	20.000	18.541	370	114
60	CITIGROUP INC	48.540	2,912.40	84	01/23/02	15.000	46.060	690	38
					02/22/02	10.000	39.121	391	94
					04/17/02	15.000	44.512	667	61
					05/05/03	15.000	39.779	596	132
					09/08/03	5.000	44.768	223	19
20	COCA COLA CO COM	50.750	1,015.00	18	05/02/02	15.000	57.288	859	-97
					06/10/02	5.000	56.475	282	-28
25	COLGATE PALMOLIVE CO	50.050	1,251.25	24	03/02/00	25.000	52.917	1,322	-70
45	DELL INC	33.980	1,529.10		08/13/02	35.000	26.423	924	265

Continued on page 4

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					08/19/03	10.000	32.944	329	10
40	FIRST DATA CORP	41.090	1,643.60	3	11/06/02	20.000	36.884	737	84
					12/09/02	5.000	34.425	172	33
					05/29/03	15.000	41.300	619	-2
18	GANNETT CO	89.160	1,604.88	18	08/06/03	10.000	77.395	773	118
					08/19/03	3.000	77.676	233	34
					11/06/03	5.000	84.841	424	21
105	GENL ELECTRIC CO	30.980	3,252.90	84	03/02/00	105.000	44.956	4,720	-1,467
16	GOLDMAN SACHS GROUP INC	98.730	1,579.68	16	06/09/03	10.000	87.990	879	106
					07/02/03	6.000	85.743	514	78
75	INTEL CORP	32.050	2,403.75	6	05/05/03	15.000	19.212	288	192
					07/02/03	35.000	21.852	764	357
					08/19/03	10.000	26.246	262	58
					08/20/03	15.000	26.291	394	86
26	INTL BUSINESS MACH	92.680	2,409.68	17	03/02/00	20.000	103.625	2,072	-218
					05/05/03	6.000	87.562	525	31
35	JOHNSON & JOHNSON COM	51.660	1,808.10	34	08/06/01	5.000	53.795	268	-9
					08/09/01	5.000	53.917	269	-10
					10/03/01	10.000	53.829	539	-22
					03/22/02	5.000	64.800	324	-65
					05/02/02	5.000	63.812	319	-60
					12/09/02	5.000	55.871	279	-20
30	KOHLS CORP	44.940	1,348.20		05/02/03	10.000	55.344	553	-103
					05/16/03	10.000	53.252	532	-82
					06/19/03	5.000	52.150	260	-35
					11/06/03	5.000	52.952	264	-39
20	LOWES COMPANIES INC	55.390	1,107.80	2	10/25/02	5.000	43.621	218	58
					12/09/02	15.000	39.918	598	232
25	MARSH & MCLENNAN COS INC	47.890	1,197.25	31	07/17/02	10.000	42.437	424	54
					07/18/02	5.000	40.904	204	35
					12/09/02	10.000	47.177	471	7
60	MBNA CORP	24.850	1,491.00	24	08/22/00	7.000	17.524	122	51
					04/02/01	37.000	21.899	810	109
					10/12/01	16.000	18.996	303	94

Continued on page 5

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
40	MEDTRONIC INC	48.610	1,944.40	12	03/02/00	40.000	49.062	1,982	-17
100	MICROSOFT CORP	27.370	2,737.00	16	03/02/00	60.000	46.843	2,810	-1,187
					09/11/00	40.000	34.577	1,383	-288
20	MORGAN STANLEY	57.870	1,157.40	20	08/05/03	20.000	46.103	922	235
55	NOKIA CORP SPONS ADR FINLAND ADR	17.000	935.00	14	06/15/00	5.000	57.554	287	-202
					06/16/00	25.000	58.732	1,468	-1,043
					01/16/01	25.000	39.426	985	-550
80	ORACLE CORP	13.230	1,058.40		03/02/00	50.000	34.281	1,714	-1,052
					08/19/03	30.000	12.103	363	33
35	PEPSICO INC	46.620	1,631.70	22	09/28/01	20.000	48.202	964	-31
					03/22/02	15.000	50.910	763	-63
95	PFIZER INC	35.330	3,356.35	65	03/02/00	85.000	31.937	2,714	289
					11/19/03	10.000	34.460	344	9
18	PROCTER & GAMBLE CO	99.880	1,797.84	33	05/02/02	10.000	93.162	931	67
					08/13/02	5.000	91.427	457	42
					10/07/03	3.000	94.378	283	16
16	SLM CORP VTG	37.680	602.88	11	08/21/01	1.000	27.653	27	10
					03/22/02	15.000	32.050	480	85
30	STATE STREET CORP	52.080	1,562.40	18	03/02/00	30.000	36.187	1,085	477
15	STRYKER CORP	85.010	1,275.15	2	09/08/03	15.000	73.926	1,108	167
55	SYSCO CORP	37.230	2,047.65	29	08/24/01	30.000	28.400	852	264
					03/22/02	15.000	29.640	444	114
					04/18/02	10.000	28.704	287	85
40	TARGET CORP	38.400	1,536.00	11	05/11/01	20.000	39.698	793	-25
					05/29/03	15.000	35.398	530	46
					05/30/03	5.000	35.956	179	13
40	TEXAS INSTRUMENTS	29.380	1,175.20	3	08/04/00	15.000	55.016	825	-384
					11/13/00	20.000	42.022	840	-252
					11/14/00	5.000	44.897	224	-77
25	UNITED PARCEL SERVICE INC CL B	74.550	1,863.75	25	07/18/02	5.000	61.440	307	65
					08/13/02	5.000	64.386	321	51
					12/09/02	5.000	63.912	319	53
					05/19/03	5.000	61.906	309	63
					06/10/03	5.000	63.390	316	56

Continued on page 6

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
30	UNITEDHEALTH GROUP INC	58.180	1,745.40		10/23/02	6.000	49.505	297	52
					10/31/02	6.000	45.141	270	79
					11/15/02	18.000	46.211	831	216
35	WAL MART STORES INC	53.050	1,856.75	13	03/02/00	15.000	50.875	763	32
					04/02/01	15.000	50.254	753	42
					04/03/01	5.000	49.675	248	17
50	WALGREEN CO	36.380	1,819.00	9	03/02/00	10.000	24.750	247	116
					04/20/00	40.000	27.816	1,112	343
24	3M CO	85.030	2,040.72	32	05/02/02	14.000	63.397	887	303
					07/18/02	10.000	58.749	587	253
	Total		**$ 69,742.29**	**736**				**71,981**	**-2,261**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
RMA MONEY MKT. PORTFOLIO	1,094.88	1,152.12	1.00	0.50%	11/21 - 12/23	33
Total	**$ 1,094.88**	**$ 1,152.12**				

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	**$ .00**
12/01	DIVIDEND	AFLAC INC PAID ON 30	2.40
12/01	DIVIDEND	INTEL CORP PAID ON 75	1.50
12/04	DIVIDEND	PFIZER INC PAID ON 85	12.75
12/09	DIVIDEND	ANHEUSER BUSCH COS INC PAID ON 20	4.40
12/09	DIVIDEND	JOHNSON & JOHNSON COM PAID ON 35	8.40
12/10	DIVIDEND	INTL BUSINESS MACH PAID ON 26	4.16
12/10	DIVIDEND	TARGET CORP PAID ON 40	2.80
12/12	DIVIDEND	3M CO PAID ON 24	7.92
12/12	DIVIDEND	WALGREEN CO PAID ON 50	2.16
12/15	DIVIDEND	COCA COLA CO COM PAID ON 20	4.40
12/19	DIVIDEND	AMER INTL GROUP INC PAID ON 47	3.06

Continued on page 7

Cash flow - continued

Date	Activity	Description	Amount
12/19	DIVIDEND	SLM CORP VTG	2.72
		PAID ON 16	
12/24	DIVIDEND	RMA MONEY MKT. PORTFOLIO	.57
		AS OF 12/23/03	
		Funds used for money fund/sweep trans.	**$ -57.24**
12/31		**Closing balance**	**$ .00**

Money funds and other sweep options

Date	Activity	Money fund/sweep option	Amount
11/28		**Opening RMA Money Mkt. Portfolio**	**$ 1,094.88**
12/02	BOUGHT	RMA MONEY MKT. PORTFOLIO	3.90
12/05	BOUGHT	RMA MONEY MKT. PORTFOLIO	12.75
12/10	BOUGHT	RMA MONEY MKT. PORTFOLIO	12.80
12/11	BOUGHT	RMA MONEY MKT. PORTFOLIO	6.96
12/15	BOUGHT	RMA MONEY MKT. PORTFOLIO	10.08
12/16	BOUGHT	RMA MONEY MKT. PORTFOLIO	4.40
12/22	BOUGHT	RMA MONEY MKT. PORTFOLIO	6.78
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO	.57
		AS OF 12/23/03	
12/31		**Closing RMA Money Mkt. Portfolio**	**$ 1,152.12**
		Sweep option purchased/deposited	**$ 57.24**

UBS Financial Services Inc. Your Financial Advisor

PZ8D006820-X26 - 1203 - LC - 0

Investment Account

PZ8D006820-X26
7058841 - 000031

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RITTENHOUSE EQ-IV

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withholdings and taxes paid	$	-2.50
Deposits		30.34
Professional management fees and related services		-1,742.05
Net change from activity listed above	$	-1,714.21

Portfolio summary

		Year-to-date
Opening value	$	59,913.94
Net change from Activity Highlights		-1,714.21
Net security earnings		742.44
Change in value of investments		11,952.24
Value on December 31	$	70,894.41

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	9.67
Other dividends		732.51
Total taxable security earnings	$	742.18
Total current year security earnings	$	742.18
Prior year(s) adjustments		.26
Net security earnings	$	742.44

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
BANK OF AMER CORP	FIFO	10.000	02/04/03	11/06/03	$ 759.56	$ 687.77		$ 71.79	
	FIFO	5.000	07/02/03	11/06/03	379.78	401.51	-21.73		
LOWES COMPANIES INC	FIFO	10.000	10/24/02	09/08/03	536.55	438.77		97.78	
Total					$ 1,675.89	$ 1,528.05	$ -21.73	$ 169.57	$ 147.84
Long-term capital gains and losses:									
AMGEN INC	FIFO	5.000	03/23/00	05/02/03	$ 316.53	$ 289.76		$ 26.77	
AUTOMATIC DATA PROCESSNG	FIFO	15.000	03/02/00	05/23/03	499.50	651.56	-152.06		
	FIFO	15.000	03/02/00	05/22/03	498.64	651.56	-152.92		
	FIFO	15.000	03/02/00	05/05/03	506.93	651.56	-144.63		
CARDINAL HEALTH INC	FIFO	7.000	01/16/01	08/20/03	414.14	435.77	-21.63		
	FIFO	8.000	01/17/01	08/20/03	473.30	535.86	-62.56		
	FIFO	2.000	10/24/00	08/19/03	119.26	121.65	-2.39		
	FIFO	8.000	01/16/01	08/19/03	477.04	498.03	-20.99		
	FIFO	10.000	10/24/00	05/16/03	583.91	608.27	-24.36		
	FIFO	10.000	10/24/00	01/27/03	554.10	608.27	-54.17		
COLGATE PALMOLIVE CO	FIFO	10.000	03/02/00	08/09/03	590.19	529.18		61.01	
	FIFO	10.000	03/02/00	01/27/03	507.15	529.18	-22.03		
FANNIE MAE	FIFO	10.000	03/02/00	08/19/03	608.33	518.75		89.58	
	FIFO	10.000	03/02/00	08/05/03	634.41	518.75		115.66	
	FIFO	10.000	03/02/00	05/02/03	732.73	518.75		213.98	
JOHNSON & JOHNSON COM	FIFO	5.000	08/08/01	08/19/03	249.43	268.98	-19.55		
MBNA CORP	FIFO	15.000	06/22/00	07/02/03	315.62	262.87		52.75	
	FIFO	20.000	06/22/00	07/01/03	417.52	350.50		67.02	
MEDTRONIC INC	FIFO	10.000	03/02/00	08/05/03	526.09	490.63		35.46	
	FIFO	10.000	03/02/00	01/27/03	437.31	490.63	-53.32		
PEPSICO INC	FIFO	15.000	08/02/01	05/30/03	659.60	671.19	-11.59		

2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
PFIZER INC	FIFO	10.000	03/02/00	06/06/03	332.57	319.38		13.19	
SLM CORP VTG	FIFO	15.000	08/20/01	07/21/03	618.32	416.46		201.86	
	FIFO	5.000	08/21/01	07/21/03	206.11	138.27		67.84	
TEXAS INSTRUMENTS	FIFO	20.000	03/02/00	09/12/03	478.53	1,740.00	-1,261.47		
WAL MART STORES INC	FIFO	10.000	03/02/00	05/02/03	562.00	508.75		53.25	
WALGREEN CO	FIFO	15.000	03/02/00	02/05/03	437.37	371.25		66.12	
WELLS FARGO & CO NEW	FIFO	15.000	03/02/00	07/02/03	762.00	509.06		252.94	
	FIFO	15.000	03/02/00	07/01/03	760.27	509.06		251.21	
3M CO	FIFO	3.000	05/02/02	08/19/03	431.37	380.39		50.98	
Total					$ 14,710.27	$ 15,094.32	$ -2,003.67	$ 1,619.62	$ -384.05
Net capital gains/losses:									$ -236.21

2003 Realized capital gain/loss summary

Short-term gains/losses:	$ 147.84
Long-term gains/losses:	$ -384.05
Net year-to-date capital gains/losses:	$ -236.21

UBS Financial Services Inc.

2003 Consolidated Form 1099

Account Number G02L181275-X34
Tax Identification Number
Your Financial Advisor or Contact

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RITTENHOUSE EQ-IV

3027957

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

Corrected 02/09/04

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Form 1099-DIV Dividends and Distributions *(OMB No. 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 742.32
1b	Qualified dividends	732.51
2a	Total capital gain distributions (includes lines 2b, 2c, 2d, 2e, 2f)	0.00
2b	Post May 5th capital gain distributions	0.00
2c	Qualified 5 - year gain	0.00
2d	Unrecaptured section 1250 gain	0.00
2e	Section 1202 gain	0.00
2f	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	2.50
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions) $	16,386.16
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO		01/24/03	01/24/03	2003		.81
		02/24/03	02/24/03	2003		1.09
		03/24/03	03/24/03	2003		1.16
		04/23/03	04/23/03	2003		1.20

UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181278-X34

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amoun
RMA MONEY MKT. PORTFOLIO		05/22/03	05/22/03	2003		.83
		06/23/03	06/23/03	2003		.68
		07/24/03	07/24/03	2003		.58
		08/22/03	08/22/03	2003		.76
		09/23/03	09/23/03	2003		.85
		10/24/03	10/24/03	2003		.80
		11/20/03	11/20/03	2003		.34
		12/23/03	12/23/03	2003		.57
		12/31/03	12/31/03	2003		.14
Sub Total: RMA MONEY MKT. PORTFOLIO						$ 9.81
Total Line 1a Ordinary dividends (less lines 1b and 5)						$ 9.81
Qualified dividends						
AFLAC INC		02/12/03	03/03/03	2003		1.40
		05/13/03	06/02/03	2003		2.10
		08/12/03	09/02/03	2003		2.40
		11/10/03	12/01/03	2003		2.40
Sub Total: AFLAC INC						$ 8.30
AMER INTL GROUP INC		03/05/03	03/21/03	2003		2.21
		06/04/03	06/20/03	2003		2.21
		09/03/03	09/19/03	2003		3.06
		12/03/03	12/19/03	2003		3.06
Sub Total: AMER INTL GROUP INC						$ 10.54
ANHEUSER BUSCH COS INC		02/06/03	03/10/03	2003		1.95
		05/07/03	06/09/03	2003		1.95
		08/07/03	09/09/03	2003		2.20
		11/06/03	12/09/03	2003		4.40
Sub Total: ANHEUSER BUSCH COS INC						$ 10.50
AUTOMATIC DATA PROCESSNG		12/11/02	01/01/03	2003		5.40
		03/12/03	04/01/03	2003		5.40
Sub Total: AUTOMATIC DATA PROCESSNG						$ 10.80
BANK OF AMER CORP		03/05/03	03/28/03	2003		6.40
		06/04/03	06/27/03	2003		6.40
		09/03/03	09/26/03	2003		12.00
Sub Total: BANK OF AMER CORP						$ 24.80

Continued on page 3

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181277-X34

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
CARDINAL HEALTH INC		12/27/02	01/15/03	2003		1.13
		03/28/03	04/15/03	2003		.88
		06/27/03	07/15/03	2003		.75
Sub Total: CARDINAL HEALTH INC						$ 2.76
CITIGROUP INC		01/30/03	02/28/03	2003		8.00
		05/01/03	05/23/03	2003		8.00
		07/31/03	08/22/03	2003		19.25
		10/30/03	11/26/03	2003		21.00
Sub Total: CITIGROUP INC						$ 56.25
COCA COLA CO COM		03/12/03	04/01/03	2003		4.40
		06/11/03	07/01/03	2003		4.40
		09/11/03	10/01/03	2003		4.40
		11/26/03	12/15/03	2003		4.40
Sub Total: COCA COLA CO COM						$ 17.60
COLGATE PALMOLIVE CO		01/30/03	02/14/03	2003		6.30
		04/23/03	05/15/03	2003		8.40
		07/23/03	08/15/03	2003		6.00
		10/22/03	11/14/03	2003		6.00
Sub Total: COLGATE PALMOLIVE CO						$ 26.70
FANNIE MAE		01/29/03	02/25/03	2003		11.70**
		04/28/03	05/25/03	2003		11.70**
		07/29/03	08/25/03	2003		9.00**
Sub Total: FANNIE MAE						$ 32.40
FIRST DATA CORP		12/30/02	01/13/03	2003		.50
		03/28/03	04/11/03	2003		.50
		06/27/03	07/11/03	2003		.80
		09/29/03	10/10/03	2003		.80
Sub Total: FIRST DATA CORP						$ 2.60
GANNETT CO		09/10/03	10/01/03	2003		$ 3.25
GENL ELECTRIC CO		12/27/02	01/27/03	2003		19.95
		02/26/03	04/25/03	2003		19.95
		06/26/03	07/25/03	2003		19.95
		09/25/03	10/27/03	2003		19.95
Sub Total: GENL ELECTRIC CO						$ 79.80

Continued on page 4

**indicates corrected item.

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181278-X3

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
GOLDMAN SACHS GROUP INC		07/25/03	08/28/03	2003		4.0
		10/23/03	11/24/03	2003		4.0
Sub Total: GOLDMAN SACHS GROUP INC						$ 8.0
INTEL CORP		08/05/03	09/01/03	2003		1.0
		11/05/03	12/01/03	2003		1.5
Sub Total: INTEL CORP						$ 2.5
INTL BUSINESS MACH		02/06/03	03/10/03	2003		3.0
		05/07/03	06/10/03	2003		4.1
		08/06/03	09/10/03	2003		4.1
		11/06/03	12/10/03	2003		4.1
Sub Total: INTL BUSINESS MACH						$ 15.4
JOHNSON & JOHNSON COM		02/13/03	03/11/03	2003		8.2
		05/16/03	06/10/03	2003		9.60
		08/15/03	09/09/03	2003		9.60
		11/14/03	12/09/03	2003		8.40
Sub Total: JOHNSON & JOHNSON COM						$ 35.8
LOWES COMPANIES INC		01/15/03	01/31/03	2003		.75
		04/15/03	05/02/03	2003		.75
		07/16/03	08/01/03	2003		.75
		10/15/03	10/31/03	2003		.60
Sub Total: LOWES COMPANIES INC						$ 2.85
MARSH & MCLENNAN COS INC		01/02/03	02/14/03	2003		7.00
		04/07/03	05/15/03	2003		7.00
		07/07/03	08/15/03	2003		7.75
		10/10/03	11/14/03	2003		7.75
Sub Total: MARSH & MCLENNAN COS INC						$ 29.50
MBNA CORP		12/11/02	01/01/03	2003		6.65
		03/12/03	04/01/03	2003		7.60
		06/11/03	07/01/03	2003		7.60
		09/11/03	10/01/03	2003		6.00
Sub Total: MBNA CORP						$ 27.85
MEDTRONIC INC		12/31/02	01/24/03	2003		3.75
		04/02/03	04/25/03	2003		3.13

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181279-X34

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amoun
MEDTRONIC INC		07/01/03	07/25/03	2003		3.63
		10/01/03	10/24/03	2003		2.90
Sub Total: MEDTRONIC INC						$ 13.41
MICROSOFT CORP		02/19/03	03/07/03	2003		8.00
		10/15/03	11/07/03	2003		16.00
Sub Total: MICROSOFT CORP						$ 24.00
MORGAN STANLEY		10/08/03	10/31/03	2003		$ 4.60
NOKIA CORP SPONS ADR FINLAND ADR		03/28/03	04/23/03	2003		$ 16.70
PEPSICO INC		12/04/02	01/02/03	2003		7.50
		03/12/03	03/31/03	2003		7.50
		06/11/03	06/30/03	2003		5.60
		09/10/03	09/30/03	2003		5.60
Sub Total: PEPSICO INC						$ 26.20
PFIZER INC		01/15/03	02/14/03	2003		14.25
		05/14/03	06/05/03	2003		14.25
		08/13/03	09/04/03	2003		12.75
		11/12/03	12/04/03	2003		12.75
Sub Total: PFIZER INC						$ 54.00
PROCTER & GAMBLE CO		01/22/03	02/14/03	2003		6.15
		04/15/03	05/15/03	2003		6.15
		07/16/03	08/15/03	2003		6.83
		10/22/03	11/14/03	2003		8.19
Sub Total: PROCTER & GAMBLE CO						$ 27.32
SLM CORP VTG		03/05/03	03/21/03	2003		3.00
		06/04/03	06/20/03	2003		6.12
		09/03/03	09/19/03	2003		2.72
		12/03/03	12/19/03	2003		2.72
Sub Total: SLM CORP VTG						$ 14.56
STATE STREET CORP		12/30/02	01/15/03	2003		3.90
		03/28/03	04/15/03	2003		3.90
		06/27/03	07/15/03	2003		4.20
		09/29/03	10/15/03	2003		4.20
Sub Total: STATE STREET CORP						$ 16.20

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181280-X34

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
SYSCO CORP		12/31/02	01/24/03	2003		6.05
		04/02/03	04/25/03	2003		6.05
		07/01/03	07/25/03	2003		6.05
		10/01/03	10/24/03	2003		6.05
Sub Total: SYSCO CORP						$ 24.20
TARGET CORP		02/18/03	03/10/03	2003		1.20
		05/18/03	06/10/03	2003		1.20
		08/18/03	09/10/03	2003		2.80
		11/18/03	12/10/03	2003		2.80
Sub Total: TARGET CORP						$ 8.00
TEXAS INSTRUMENTS		01/28/03	02/10/03	2003		1.28
		04/28/03	05/19/03	2003		1.28
		07/29/03	08/18/03	2003		1.28
		10/29/03	11/17/03	2003		.85
Sub Total: TEXAS INSTRUMENTS						$ 4.69
UNITED PARCEL SERVICE INC CL B		11/21/02	01/06/03	2003		1.90
		02/20/03	03/11/03	2003		3.15
		05/15/03	06/03/03	2003		3.15
		08/21/03	09/09/03	2003		6.25
Sub Total: UNITED PARCEL SERVICE						$ 14.45
UNITEDHEALTH GROUP INC		03/28/03	04/17/03	2003		$ .45
WAL MART STORES INC		12/18/02	01/06/03	2003		3.38
		03/19/03	04/07/03	2003		4.05
		06/18/03	07/07/03	2003		3.15
		10/02/03	10/14/03	2003		3.15
Sub Total: WAL MART STORES INC						$ 13.73
WALGREEN CO		02/13/03	03/12/03	2003		1.88
		05/19/03	06/12/03	2003		1.88
		08/18/03	09/12/03	2003		2.16
		11/13/03	12/12/03	2003		2.16
Sub Total: WALGREEN CO						$ 8.08
WELLS FARGO & CO NEW		02/05/03	03/01/03	2003		9.00
		05/07/03	06/01/03	2003		9.00
Sub Total: WELLS FARGO & CO NEW						$ 18.00

Continued on page 7

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L191261-X34

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
3M CO		02/19/03	03/12/03	2003		9.90
		05/21/03	06/12/03	2003		9.90
		08/20/03	09/12/03	2003		7.92
		11/19/03	12/12/03	2003		7.92
Sub Total: 3M CO						$ 35.64
Total Line 1b Qualified dividends						$ 732.51

Foreign tax paid

NOKIA CORP SPONS ADR FINLAND ADR	03/28/03	04/23/03	2003		$ 2.50
Total Line 6 Foreign tax paid					$ 2.50

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
05/02/03	Sell	5	AMGEN INC	031162100	316.53	.00	.00
05/05/03	Sell	15	AUTOMATIC DATA PROCESSNG	053015103	506.93	.00	.00
05/22/03	Sell	15	AUTOMATIC DATA PROCESSNG	053015103	498.64	.00	.00
05/23/03	Sell	15	AUTOMATIC DATA PROCESSNG	053015103	499.50	.00	.00
11/06/03	Sell	15	BANK OF AMER CORP	060505104	1,139.34	.00	.00
01/27/03	Sell	10	CARDINAL HEALTH INC	14149Y108	554.10	.00	.00
05/16/03	Sell	10	CARDINAL HEALTH INC	14149Y108	583.91	.00	.00
08/19/03	Sell	10	CARDINAL HEALTH INC	14149Y108	596.30	.00	.00
08/20/03	Sell	15	CARDINAL HEALTH INC	14149Y108	887.44	.00	.00
01/27/03	Sell	10	COLGATE PALMOLIVE CO	194162103	507.15	.00	.00
06/09/03	Sell	10	COLGATE PALMOLIVE CO	194162103	590.18	.00	.00
05/02/03	Sell	10	FANNIE MAE	313586109	732.73	.00	.00
08/05/03	Sell	10	FANNIE MAE	313586109	634.41	.00	.00
08/19/03	Sell	10	FANNIE MAE	313586109	608.33	.00	.00
08/19/03	Sell	5	JOHNSON & JOHNSON COM	478160104	249.43	.00	.00
09/08/03	Sell	10	LOWES COMPANIES INC	548661107	536.55	.00	.00
07/01/03	Sell	20	MBNA CORP	55262L100	417.52	.00	.00
07/02/03	Sell	15	MBNA CORP	55262L100	315.62	.00	.00
01/27/03	Sell	10	MEDTRONIC INC	585055106	437.31	.00	.00

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02L181282-X3

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income t. withheld (Line #4
08/05/03	Sell	10	MEDTRONIC INC	585055106	526.09	.00	.0
05/30/03	Sell	15	PEPSICO INC	713448108	659.60	.00	.0
06/06/03	Sell	10	PFIZER INC	717081103	332.57	.00	.0
07/21/03	Sell	20	SLM CORP VTG	78442P106	824.43	.00	.0
09/12/03	Sell	20	TEXAS INSTRUMENTS	882508104	478.53	.00	.0
05/02/03	Sell	10	WAL MART STORES INC	931142103	562.00	.00	.0
02/05/03	Sell	15	WALGREEN CO	931422109	437.37	.00	.0
07/01/03	Sell	15	WELLS FARGO & CO NEW	949746101	760.27	.00	.0
07/02/03	Sell	15	WELLS FARGO & CO NEW	949746101	762.00	.00	.0
08/19/03	Sell	3	3M CO	88579Y101	431.37	.00	.0
			Total		$ 16,386.16	$ 0.00	$ 0.0

4203

UBS Financial Services Inc. Your Financial Advisor

Investment Account

PZBD006613-X26
7056841 - 000024

Account Number

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
REGENT FIXED INC

4204

December 2003

This month at a glance

Value on November 28	$	243,350.89
Value on December 31	$	245,470.31
Money fund and other sweep option balance in 12/31 value	$	14,310.84

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	5.92	$	98.82
Interest		275.00		8,869.53
Accrued interest received		39.78		2,463.00
Less accrued interest paid		.00		-921.39
Total taxable security earnings	$	320.70	$	10,507.96
Total current year security earnings	$	320.70	$	10,507.96
Prior year(s) adjustments		.00		3.39
Net security earnings	$	320.70	$	10,511.35

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation

Risk profile: Primary: Conservative Secondary: None selected

Account Instructions
Your ACCESS account is managed by ALLIANCEBERNSTEIN REGENT.

Bulletin Board
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Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Corporate bonds and notes	38.23		93,839.64
Money funds/sweep options	5.83		14,310.84
U.S. Government securities	55.94		137,319.83
Net invested assets	100.00	$	245,470.31
Value on December 31		$	245,470.31

Investment Account

Statement Period: December 2003

PZBD000814-X26
7056941 - 000025

Activity highlights

	Current period	Year-to-date
Deposits	$ .00	$ 12.08
Professional management fees and related services	.00	-3,053.92
Net change from activity listed above	**$ .00**	**$ -3,041.84**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 243,350.89	$ 240,366.79
Net change from Activity Highlights	.00	-3,041.84
Net security earnings	320.70	10,511.35
Change in value of investments	1,798.72	-2,365.99
Value on December 31	**$ 245,470.31**	**$ 245,470.31**

Asset portfolio

*When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. * indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.*

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected.

Coupon corporates

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
4,000	DOMINION RESOURCES INC MW+15BP NTS B RATE 07.625% MATURES 07/15/05	108.027	4,321.08	305	06/14/01	4,000	105.769	4,230	91
5,000	US BANK NA MINN NTS RATE 02.850% MATURES 11/15/06 INTEREST EARNED FROM 11/12/03 1ST INTEREST PAYMENT 05/15/04	100.484	5,024.20	143	11/05/03	5,000	99.825	4,991	33
5,000	BANK OF AMER CORP NTS RATE 03.875% MATURES 01/15/08	101.952	5,097.60	194	12/11/02	5,000	100.083	5,004	93

Continued on page 3

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Coupon corporates - continued

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/Loss
4,000	HOUSEHOLD FIN CORP	103.953	4,158.12	185	02/10/03	3,000	102.181	3,065	53
	NOTES				09/18/03	1,000	104.115	1,041	-1
	RATE 04.625% MATURES 01/15/08								
7,000	CITIGROUP INC NTS	100.375	7,026.25	245	01/28/03	7,000	99.009	6,930	96
	RATE 03.500% MATURES 02/01/08								
6,000	LEHMAN BROS HOLDINGS INC	99.375	5,962.50	210	07/23/03	5,000	99.557	4,977	-8
	NTS				09/10/03	1,000	98.881	988	5
	RATE 03.500% MATURES 08/07/08								
	INTEREST EARNED FROM 07/28/03								
	1ST INTEREST PAYMENT 02/07/04								
3,000	FORD MOTOR CREDIT CORP	102.659	3,079.77	169	09/18/03	3,000	99.630	2,988	91
	RATE 05.625% MATURES 10/01/08								
	INTEREST EARNED FROM 09/23/03								
	1ST INTEREST PAYMENT 04/01/04								
5,000	CIT GROUP INC NTS	99.713	4,985.65	194	10/27/03	5,000	99.439	4,971	14
	RATE 03.875% MATURES 11/03/08								
	INTEREST EARNED FROM 11/03/03								
	1ST INTEREST PAYMENT 05/03/04								
2,000	SPRINT CAP CORP MW+20BP	106.588	2,131.76	123	11/05/03	2,000	105.069	2,101	30
	MW+20BP								
	RATE 06.125% MATURES 11/15/08								
2,000	WASH MUTUAL INC NTS	100.174	2,003.48	80	10/27/03	2,000	99.604	1,992	11
	RATE 04.000% MATURES 01/15/09								
	INTEREST EARNED FROM 11/03/03								
	1ST INTEREST PAYMENT 07/15/04								
2,000	COMCAST CORP NEW NTS	106.771	2,135.42	117	01/08/03	2,000	100.517	2,010	125
	MW@+30BP								
	RATE 05.850% MATURES 01/15/10								
5,000	GOLDMAN SACHS GROUP INC	113.561	5,678.05	344	05/04/01	5,000	100.337	5,016	662
	RATE 06.875% MATURES 01/15/11								
4,000	KRAFT FOODS INC NTS	108.986	4,359.44	250	05/16/02	3,000	99.959	2,998	271
	RATE 06.250% MATURES 06/01/12				11/14/03	1,000	108.811	1,088	1

Continued on page 4

Coupon corporates - continued

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
5,000	GENL ELEC CAP CORP NTS RATE 06.000% MATURES 06/15/12	108.445	5,422.25	300	06/24/02	5,000	100.843	5,042	380
5,000	CONOCOPHILLIPS MW+15BP RATE 04.750% MATURES 10/15/12	100.421	5,021.05	238	03/19/03	2,000	100.004	2,000	8
					09/18/03	3,000	100.167	3,005	7
4,000	J P MORGAN CHASE & CO NTS RATE 05.750% MATURES 01/02/13	105.449	4,217.96	230	07/25/03	3,000	105.032	3,150	13
					11/14/03	1,000	106.176	1,061	-6
5,000	VERIZON VA INC MW+25BP NTS RATE 04.625% MATURES 03/15/13	96.378	4,818.90	231	03/11/03	3,000	99.833	2,994	-102
					03/26/03	2,000	97.944	1,958	-30
7,000	WAL MART STORES INC NTS RATE 04.550% MATURES 05/01/13	98.773	6,914.11	319	04/22/03	7,000	100.100	7,007	-92
5,000	GENL DYNAMICS CORP MW+25BP NTS RATE 04.250% MATURES 05/15/13	95.669	4,783.45	213	05/13/03	5,000	100.332	5,016	-232
2,000	TIME WARNER INC MW + 20P RATE 06.625% MATURES 05/15/29	102.768	2,055.36	133	03/27/03	2,000	92.897	1,857	198
4,000	GENL MOTORS GLOBAL NTS CALL @ MW+50BP RATE 08.375% MATURES 07/15/33 INTEREST EARNED FROM 07/03/03 1ST INTEREST PAYMENT 01/15/04	116.081	4,643.24	335	06/25/03	4,000	98.897	3,955	688
91,000	**Total**		**$ 93,839.64**	**4,558**				**91,435**	**2,399**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	12,839.83	14,310.84	1.00	0.50%	11/21 - 12/23	33
Total	**$ 12,839.83**	**$ 14,310.84**				

U.S. Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected.

Coupon governments

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
19,000	FHLMC	100.547	19,103.93	356	03/05/03	17,000	100.844	17,109	-16
	RATE 1.8750% MATURES 01/15/05				05/20/03	1,000	101.066	1,010	-4
					06/20/03	1,000	101.091	1,010	-4
5,000	U S TREASURY NOTE	106.907	5,345.35	325	04/12/01	1,000	106.578	1,065	4
	RATE 6.5000% MATURES 05/15/05				05/01/01	1,000	106.312	1,063	6
					02/01/02	2,000	108.312	2,166	-27
					08/20/03	1,000	110.093	1,100	-30
17,000	U S TREASURY NOTE	100.000	17,000.00	276	10/08/03	11,000	100.023	11,002	-2
	RATE 1.6250% MATURES 09/30/05				10/28/03	5,000	99.625	4,981	19
					11/14/03	1,000	99.812	998	2
13,000	U S TREASURY NOTE	100.063	13,008.19	260	07/02/03	13,000	101.156	13,150	-141
	RATE 2.0000% MATURES 05/15/06								
5,000	FNMA	105.110	5,255.50	219	11/27/02	5,000	103.824	5,191	64
	RATE 4.3750% MATURES 10/15/06								
12,000	U S TREASURY NOTE	103.407	12,408.84	420	10/08/03	11,000	103.781	11,415	-40
	RATE 3.5000% MATURES 11/15/06				11/14/03	1,000	103.375	1,033	1
6,000	FNMA	100.719	6,043.14	195	11/21/02	6,000	98.483	5,908	135
	RATE 3.2500% MATURES 11/15/07								
2,000	FNMA	99.313	1,986.26	65	07/23/03	1,000	99.854	998	-4
	RATE 3.2500% MATURES 08/15/08				09/10/03	1,000	98.968	989	4
6,000	FNMA - CALLABLE	100.047	6,002.82	225	09/11/03	6,000	99.719	5,983	19
	RATE 3.7500% MATURES 09/15/08								
	INTEREST EARNED FROM 09/15/03								
	1ST INTEREST PAYMENT 03/15/04								
2,000	U S TREASURY NOTE	102.032	2,040.64	88	11/05/03	2,000	101.074	2,021	19
	RATE 4.3750% MATURES 08/15/12								
12,000	U S TREASURY BOND	124.782	14,973.84	870	05/01/01	1,000	115.031	1,150	97
	RATE 7.2500% MATURES 05/15/16				10/03/01	5,000	121.390	6,069	170
					07/01/02	4,000	117.750	4,710	281
					06/20/03	1,000	134.750	1,347	-99
					11/14/03	1,000	125.093	1,250	-2

Continued on page 6

Coupon governments - continued

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
21,000	U S TREASURY BOND	124.844	26,217.24	1,486	12/14/00	5,000	119.281	5,964	278
	RATE 7.1250% MATURES 02/15/23				07/10/01	1,000	115.234	1,152	96
					08/01/01	2,000	118.125	2,362	134
					05/10/02	5,000	115.875	5,793	449
					11/27/02	4,000	123.343	4,933	60
					06/20/03	1,000	136.093	1,360	-111
					09/10/03	1,000	123.875	1,238	10
					11/14/03	2,000	125.343	2,506	-9
7,000	UNITED STATES T	113.344	7,934.08	429	06/10/03	6,000	127.375	7,642	-841
	RATE 6.1250% MATURES 08/15/29				09/10/03	1,000	112.156	1,121	12
127,000	**Total**		**$ 137,319.83**	**5,224**				**138,789**	**530**

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	**$ .00**
12/01	INTEREST	KRAFT FOODS INC NTS	125.00
		06.250% 060112 DTD052002	
		FC120102	
		PAID ON 4000	
12/15	INTEREST	GENL ELEC CAP CORP NTS	150.00
		06.000% 061512 DTD060702	
		FC121502	
		PAID ON 5000	
12/24	DIVIDEND	RMA MONEY MKT. PORTFOLIO	5.92
		AS OF 12/23/03	
		Proceeds from security transactions	**$ 1,190.09**
		Funds used for money fund/sweep trans.	**$ -1,471.01**
12/31		**Closing balance**	**$ .00**

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/24	SOLD	GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303	-1,000.000	115.0310000	$ 1,190.09
		FC011504 CALL@MW+50BP YTM = 7.146			
		ACCRUED INT RECD $39.78			
		Securities sold			**$ 1,190.09**

Investment Account

Statement Period: December 2003

Money funds and other sweep options

Date	Activity	Money fund/sweep option		Amount
11/28		**Opening RMA Money Mkt. Portfolio**	$	12,839.83
12/02	BOUGHT	RMA MONEY MKT. PORTFOLIO		125.00
12/16	BOUGHT	RMA MONEY MKT. PORTFOLIO		150.00
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO		5.92
		AS OF 12/23/03		
12/26	BOUGHT	RMA MONEY MKT. PORTFOLIO		1,190.09
12/31		**Closing RMA Money Mkt. Portfolio**	$	14,310.84
		Sweep option purchased/deposited	$	1,471.01

UBS Financial Services Inc.

Your Financial Advisor

Investment Account

PZ8D006808-X26 - 1203 - LC - 0

PZ8D006808-X26
7056841 - 000019

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
REGENT FIXED INC

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	12.08
Professional management fees and related services		-3,053.92
Net change from activity listed above	$	**-3,041.84**

Portfolio summary

		Year-to-date
Opening value	$	240,368.79
Net change from Activity Highlights		-3,041.84
Net security earnings		10,511.35
Change in value of investments		-2,365.99
Value on December 31	$	**245,470.31**

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	98.82
Interest		8,869.53
Accrued interest received		2,463.00
Less accrued interest paid		-921.39
Total taxable security earnings	$	**10,507.96**
Total current year security earnings	$	**10,507.96**
Prior year(s) adjustments		3.39
Net security earnings	$	**10,511.35**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
AOL TIME WARNER INC 06.125% 041506 DTD041901 FC101501 MW+25BP NTS	FIFO	2,000.000	02/11/03	03/27/03	$ 2,091.88	$ 2,058.20		$ 33.68	
GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP	FIFO	1,000.000	06/25/03	12/19/03	1,150.31	988.97		161.34	
KRAFT FOODS INC NTS 06.250% 060112 DTD062002 FC120102	FIFO	1,000.000	05/16/02	05/13/03	1,099.93	999.60		100.33	
MORGAN STANLEY DEAN WITT 05.800% 040107 DTD040302 FC100102 & CO MW+15BP NT	FIFO	5,000.000	03/27/02	03/05/03	5,471.65	4,996.00		475.65	
MORGAN STANLEY DEAN WITT 03.625% 040108 DTD030603 FC100103 & CO NTS	FIFO	5,000.000	03/05/03	07/23/03	5,016.50	4,994.80		21.70	
SPRINT CAPITAL CORP 05.875% 050104 DTD050699 FC110199 M-W +15 BP	FIFO	3,000.000	12/18/02	11/05/03	3,053.64	2,988.75		64.89	
VIACOM INC MW+20BP 05.500% 051533 DTD051403 FC111503	FIFO	2,000.000	05/12/03	07/25/03	1,848.76	1,984.02	-135.26		
FHLMC 06.750 % DUE 091529 DTD 112299 FC 03152000	FIFO	2,000.000	01/09/03	08/10/03	2,578.50	2,291.40		287.10	
FNMA 03.250 % DUE 081508 DTD 072503 FC 08152003	FIFO	8,000.000	07/23/03	10/28/03	7,896.48	7,988.32	-91.84		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
FNMA - CALLABLE 03.500 % DUE 012808 DTD 012803 FC 07282003	FIFO	6,000.000	01/23/03	09/11/03	5,984.03	5,987.94	-3.91		
US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03	FIFO	5,000.000	07/02/03	11/05/03	4,975.00	5,057.81	-82.81		
US TSY NOTE 02.125 % DUE 08/31/04 DTD 08/31/02 FC 02/28/03	FIFO	10,000.000	03/03/03	10/08/03	10,090.63	10,117.97	-27.34		
US TSY NOTE 04.250 % DUE 11/15/03 DTD 11/15/98 FC 05/15/99	FIFO	5,000.000	04/02/03	10/08/03	5,045.31	5,054.30	-8.99		
US TSY NOTE 05.625 % DUE 05/15/08 DTD 05/15/98 FC 11/15/98	FIFO	1,000.000	06/20/03	10/08/03	1,009.06	1,014.38	-5.32		
US TSY NOTE 06.125 % DUE 08/15/07 DTD 08/15/97 FC 02/15/98	FIFO	5,000.000	07/25/02	07/02/03	5,060.55	5,158.20	-97.65		
	FIFO	1,000.000	05/20/03	07/02/03	1,012.11	1,016.25	-4.14		
	FIFO	1,000.000	06/20/03	07/02/03	1,012.11	1,013.75	-1.64		
	FIFO	2,000.000	07/11/02	02/11/03	2,243.91	2,164.69		79.22	
	FIFO	3,000.000	07/11/02	01/28/03	3,372.19	3,247.03		125.16	
	FIFO	5,000.000	07/01/02	01/23/03	5,707.03	5,450.00		257.03	
Total					$ 75,719.58	$ 74,572.38	$ -468.90	$ 1,606.10	$ 1,147.20

Long-term capital gains and losses:

CIT GROUP HOLDINGS INC 05.625% 051704 DTD051601 FC111701 GLOBAL NOTES	FIFO	5,000.000	02/11/02	10/27/03	$ 5,112.45	$ 4,925.00		$ 187.45	
DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B	FIFO	1,000.000	06/14/01	05/13/03	1,105.23	1,057.69		47.54	
FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E	FIFO	3,000.000	12/06/01	09/16/03	3,153.78	2,971.26		182.52	
	FIFO	1,000.000	12/06/01	03/19/03	955.00	990.42	-35.42		
	FIFO	1,000.000	12/06/01	03/19/03	954.00	990.42	-36.42		
	FIFO	1,000.000	12/06/01	03/18/03	954.00	990.02	-36.02		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
GENERAL MTRS ACCEP CORP	FIFO	4,000.000	10/26/01	06/25/03	3,962.56	3,956.08		6.48	
08.000% 110131 DTD110201	FIFO	1,000.000	10/26/01	05/13/03	1,043.04	989.02		54.02	
FC050102									
HOUSEHOLD FIN CORP NTS	FIFO	3,000.000	05/10/00	02/10/03	3,414.96	2,931.18		483.78	
07.875% 030107 DTD030100									
FC090100 GLOBAL									
KRAFT FOODS INC NTS	FIFO	1,000.000	05/16/02	07/25/03	1,077.73	999.60		78.13	
06.250% 060112 DTD052002									
FC120102									
PHILIP MORRIS COS INC	FIFO	5,000.000	05/11/00	04/01/03	5,000.00	4,611.45		388.55	
07.000% 071505 DTD071797									
FC011596									
FHLMC	FIFO	2,000.000	11/15/01	06/10/03	2,578.50	2,228.42		350.08	
06.750 % DUE 091529	FIFO	2,000.000	12/05/01	06/10/03	2,578.50	2,156.06		422.44	
DTD 112299 FC 03152000									
FHLMC	FIFO	3,000.000	01/03/02	03/12/03	3,637.50	3,223.23		414.27	
06.875 % DUE 091510	FIFO	2,000.000	01/03/02	01/06/03	2,858.86	2,148.82		210.04	
DTD 092200 FC 03152001									
FHLMC	FIFO	3,000.000	07/10/01	03/05/03	3,026.40	3,004.20		22.20	
04.500 % DUE 061503	FIFO	5,000.000	08/01/01	03/05/03	5,044.00	5,036.50		7.50	
DTD 061501 FC 12152001	FIFO	4,000.000	12/05/01	03/05/03	4,035.20	4,093.08	-57.88		
	FIFO	3,000.000	12/06/01	03/05/03	3,026.40	3,065.79	-39.39		
	FIFO	1,000.000	02/01/02	03/05/03	1,008.80	1,024.82	-16.02		
FNMA	FIFO	3,000.000	11/15/01	10/08/03	3,010.56	3,092.55	-81.99		
04.750 % DUE 111403	FIFO	4,000.000	12/05/01	10/08/03	4,014.08	4,109.28	-95.20		
DTD 110298 FC 05151999	FIFO	1,000.000	02/01/02	10/08/03	1,003.52	1,028.75	-25.23		
	FIFO	6,000.000	05/29/01	07/23/03	6,066.48	5,982.30		84.18	
	FIFO	4,000.000	11/15/01	07/23/03	4,044.32	4,123.40	-79.08		
US TSY BOND 7.1250%	FIFO	1,000.000	06/06/00	11/05/03	1,230.31	1,100.31		130.00	
DUE 02/15/23	FIFO	2,000.000	06/09/00	11/05/03	2,460.63	2,220.00		240.63	
DTD 02/15/93 FC 08/15/93	FIFO	1,000.000	03/01/00	05/13/03	1,329.69	1,084.38		245.31	
	FIFO	2,000.000	06/06/00	05/13/03	2,659.37	2,200.63		458.74	

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
US TSY BOND 7.2500%	FIFO	1,000.000	03/01/00	09/18/03	1,251.10	1,075.00		176.10	
DUE 05/15/16	FIFO	1,000.000	06/01/01	09/18/03	1,251.10	1,150.31		100.79	
DTD 05/15/86 FC 11/15/86	FIFO	2,000.000	03/01/00	05/13/03	2,641.25	2,150.00		491.25	
	FIFO	7,000.000	03/01/00	04/22/03	8,896.56	7,525.00		1,371.56	
US TSY NOTE 6.5000%	FIFO	3,000.000	04/12/01	09/18/03	3,247.27	3,197.34		49.93	
DUE 05/15/05									
DTD 05/15/95 FC 11/15/95									
US TSY NOTE 04.250 %	FIFO	11,000.000	06/19/01	07/02/03	11,133.20	11,012.03		121.17	
DUE 11/15/03	FIFO	1,000.000	02/01/02	07/02/03	1,012.11	1,025.00	-12.89		
DTD 11/15/96 FC 05/15/99									
US TSY NOTE 05.500 %	FIFO	10,000.000	03/01/00	02/28/03	10,000.00	9,721.88		278.12	
DUE 02/28/03	FIFO	2,000.000	06/01/01	02/28/03	2,000.00	2,043.44	-43.44		
DTD 02/28/98 FC 08/31/98	FIFO	1,000.000	02/01/02	02/28/03	1,000.00	1,034.69	-34.69		
Total					$ 122,278.46	$ 116,269.35	$ -593.67	$ 6,602.78	$ 6,009.11
Net capital gains/losses:									$ 7,156.31

2003 Realized capital gain/loss summary

Short-term gains/losses:	$ 1,147.20
Long-term gains/losses:	$ 6,009.11
Net year-to-date capital gains/losses:	$ 7,156.31

UBS Financial Services Inc.

2003 Consolidated Form 1099

Account Number G12L188511-X12
Tax Identification Number
Your Financial Advisor or Contact
855-269-6900

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
REGENT FIXED INC

00027519

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Corrected 02/20/04

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-MISC.

Form 1099-DIV Dividends and Distributions *(OMB No. 1545-0110)*

Line#	Category		Amount
1a	Ordinary dividends (includes lines 1b and 5)	$	98.32
1b	Qualified dividends		0.00
2a	Total capital gain distributions (includes lines 2b, 2c, 2d, 2e, 2f)		0.00
2b	Post May 5th capital gain distributions		0.00
2c	Qualified 5 - year gain		0.00
2d	Unrecaptured section 1250 gain		0.00
2e	Section 1202 gain		0.00
2f	28% rate gain (Collectibles)		0.00
3	Nontaxable distributions		0.00
4	**Federal income tax withheld**		**0.00**
5	Investment expenses		0.00
6	Foreign tax paid		0.00
7	Foreign country or U.S. possession		
8	Liquidation distributions - cash		0.00
9	Liquidation distributions - non-cash (fair market value)		0.00

Form 1099-INT Interest Income *(OMB No. 1545-0112)*

Line#	Category		Amount
1	Interest income (not included on line 3)	$	6,232.45
2	Early withdrawal penalty		0.00
3	Interest on U.S. Savings Bonds and Treasury obligations		5,100.08
4	**Federal income tax withheld**		**0.00**
5	Investment expenses (included on line 1)		0.00
6	Foreign tax paid		0.00
7	Foreign country or U.S. possession		

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category		Amount
1a	Date of sale		Various
1b	CUSIP number		Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$	197,998.03
3	Bartering		0.00
4	**Federal income tax withheld**		**0.00**
5	Description		See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO		01/24/03	01/24/03	2003		9.94
		02/24/03	02/24/03	2003		7.78
		03/24/03	03/24/03	2003		9.56
		04/23/03	04/23/03	2003		10.07

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L168512-X12

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
RMA MONEY MKT. PORTFOLIO		05/22/03	05/22/03	2003		10.48
		06/23/03	06/23/03	2003		12.00
		07/24/03	07/24/03	2003		6.11
		08/22/03	08/22/03	2003		5.99
		09/23/03	09/23/03	2003		6.22
		10/24/03	10/24/03	2003		6.88
		11/20/03	11/20/03	2003		5.87
		12/23/03	12/23/03	2003		5.92
		12/31/03	12/31/03	2003		1.50
Sub Total: RMA MONEY MKT. PORTFOLIO					$	98.32
Total Line 1a Ordinary dividends (less lines 1b and 5)					$	98.32

Interest Income Details Reported on Form 1099-INT

Description	Additional Information	Payment Date	Tax year	Alternative Minimum Tax	Amount
AOL TIME WARNER INC 08.125% 041506 DTD041901 FC101501 MW+25BP NTS	Accrued interest	03/27/03	2003	$	56.49
BANK OF AMER CORP NTS 03.875% 011508 DTD112602 FC071503		07/15/03	2003	$	123.25
CIT GROUP HOLDINGS INC 05.625% 051704 DTD051601 FC111701 GLOBAL NOTES		05/19/03	2003		140.63
	Accrued interest	10/27/03	2003		129.69
Sub Total: CIT GROUP HOLDINGS INC				$	270.32
CITIGROUP INC NTS 03.500% 020108 DTD013103 FC080103		08/01/03	2003	$	123.20
COMCAST CORP NEW NTS 05.850% 011510 DTD011003 FC071503 MW@+30BP		07/15/03	2003	$	60.13
CONOCOPHILLIPS MW+15BP 04.750% 101512 DTD100902 FC041503		04/15/03	2003		49.08
		10/15/03	2003		118.75
Sub Total: CONOCOPHILLIPS MW+15BP				$	167.83
DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B		01/15/03	2003		190.63
	Accrued interest	05/13/03	2003		25.63
		07/15/03	2003		152.50
Sub Total: DOMINION RESOURCES INC				$	368.71

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

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Interest Income Details Reported on Form 1099-INT - continued

Description	Additional Information	Payment Date	Tax year	Alternative Minimum Tax	Amount
FHLMC 06.750 % DUE 091529 DTD 112289 FC 03152000		03/15/03	2003		202.50
	Accrued interest	06/10/03	2003		96.75
Sub Total: FHLMC				$	299.25
FHLMC 06.875 % DUE 091510 DTD 092200 FC 03152001	Accrued interest	01/08/03	2003		43.54
	Accrued interest	03/12/03	2003		102.55
Sub Total: FHLMC				$	146.09
FHLMC 04.500 % DUE 061503 DTD 061501 FC 12152001	Accrued interest	03/05/03	2003	$	162.00
FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003		07/15/03	2003	$	177.14
FNMA 04.750 % DUE 111403 DTD 110298 FC 05151999		05/15/03	2003		427.50
	Accrued interest	07/23/03	2003		91.04
	Accrued interest	10/08/03	2003		152.00
Sub Total: FNMA				$	670.54
FNMA 04.375 % DUE 101506 DTD 102501 FC 04152002		04/15/03	2003		109.38
		10/15/03	2003		109.38
Sub Total: FNMA				$	218.76
FNMA 03.250 % DUE 111507 DTD 111802 FC 05152003		05/15/03	2003		95.88
		11/15/02	2003		97.50
Sub Total: FNMA				$	193.38
FNMA 03.250 % DUE 081508 DTD 072503 FC 08152003		08/15/03	2003		16.25
	Accrued interest	10/28/03	2003		53.44
Sub Total: FNMA				$	69.69
FNMA - CALLABLE 03.500 % DUE 012808 DTD 012803 FC 07282003		07/28/03	2003		105.00
	Accrued interest	09/11/03	2003		27.45
Sub Total: FNMA - CALLABLE				$	132.45

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

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Interest Income Details Reported on Form 1099-INT - continued

Description	Additional Information	Payment Date	Tax year	Alternative Minimum Tax	Amoun
FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E		01/25/03	2003		195.00
	Accrued interest	03/18/03	2003		10.11
	Accrued interest	03/18/03	2003		10.65
	Accrued interest	03/19/03	2003		10.65
		07/25/03	2003		97.50
	Accrued interest	09/16/03	2003		29.25
Sub Total: FORD MOTOR CREDIT CO NTS				$	353.16
GENERAL MTRS ACCEP CORP 08.000% 110131 DTD110201 FC050102		05/01/03	2003		200.00
	Accrued interest	05/13/03	2003		2.89
	Accrued interest	06/25/03	2003		55.11
Sub Total: GENERAL MTRS ACCEP CORP				$	258.00
GENL DYNAMICS CORP 04.250% 051513 DTD051503 FC111503 MW+25BP NTS		11/15/03	2003	$	106.25
GENL ELEC CAP CORP NTS 06.000% 061512 DTD060702 FC121502		06/16/03	2003		150.00
		12/15/03	2003		150.00
Sub Total: GENL ELEC CAP CORP NTS				$	300.00
GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP	Accrued interest	12/19/03	2003	$	39.78
GOLDMAN SACHS GROUP INC 06.875% 011511 DTD011601 FC071501		01/15/03	2003		171.88
		07/15/03	2003		171.88
Sub Total: GOLDMAN SACHS GROUP INC				$	343.76
HOUSEHOLD FIN CORP NTS 07.875% 030107 DTD030100 FC090100 GLOBAL	Accrued interest	02/10/03	2003	$	106.31
HOUSEHOLD FIN CORP 04.625% 011508 DTD011403 FC071503 NOTES		07/15/03	2003	$	69.76
KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102	Accrued interest	05/13/03	2003		28.65
		06/01/03	2003		125.00
	Accrued interest	07/25/03	2003		10.24
		12/01/03	2003		125.00
Sub Total: KRAFT FOODS INC NTS				$	288.89

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

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Interest Income Details Reported on Form 1099-INT - continued

Description	Additional Information	Payment Date	Tax year	Alternative Minimum Tax	Amount
MORGAN STANLEY DEAN WITT 05.800% 040107 DTD040302 FC100102 & CO MW+15BP NT	Accrued interest	03/05/03	2003	$	128.08
MORGAN STANLEY DEAN WITT 03.625% 040108 DTD030603 FC100103 & CO NTS	Accrued interest	07/23/03	2003	$	71.49
PHILIP MORRIS COS INC 07.000% 071505 DTD071797 FC011598		01/15/03	2003		175.00
	Accrued interest	04/01/03	2003		76.81
Sub Total: PHILIP MORRIS COS INC				$	251.81
SPRINT CAP CORP MW+20BP 06.125% 111508 DTD111698 FC051599 MW+20BP		11/15/03	2003	$	61.25
SPRINT CAPITAL CORP 05.875% 050104 DTD050699 FC110199 M-W + 15 BP		05/01/03	2003		88.13
		11/01/03	2003		88.13
	Accrued interest	11/05/03	2003		5.39
Sub Total: SPRINT CAPITAL CORP				$	181.65
TIME WARNER INC 06.625% 051529 DTD112398 FC051599 MW + 20P		05/15/03	2003		66.25
		11/15/03	2003		66.25
Sub Total: TIME WARNER INC				$	132.50
VERIZON VA INC MW+25BP 04.625% 031513 DTD031403 FC091503 NTS		09/15/03	2003	$	116.27
VIACOM INC MW+20BP 05.500% 051533 DTD051403 - FC111503	Accrued interest	07/25/03	2003	$	23.22
WAL MART STORES INC NTS 04.550% 050113 DTD042903 FC110103		11/01/03	2003	$	161.02
Total Line 1 Interest income (less line 3)				$	6,232.45
UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00		08/15/03	2003	$	183.75
US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93		02/15/03	2003		819.31
	Accrued interest	05/13/03	2003		51.96
		08/15/03	2003		748.13
	Accrued interest	11/05/03	2003		50.53
Sub Total: US TSY BOND 7.1250%				$	1,670.0

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L188518-X12

Interest Income Details Reported on Form 1099-INT - continued

Description	Additional Information	Payment Date	Tax year	Alternative Minimum Tax	Amount
US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86	Accrued interest	04/22/03	2003		231.32
	Accrued interest	05/13/03	2003		72.10
		05/15/03	2003		435.00
	Accrued interest	09/18/03	2003		50.04
		11/15/03	2003		398.75
Sub Total: US TSY BOND 7.2500%					$ 1,187.21
US TSY NOTE 02.125 % DUE 08/31/04 DTD 08/31/02 FC 02/28/03		08/31/03	2003		170.00
	Accrued interest	10/08/03	2003		36.43
Sub Total: US TSY NOTE 02.125 %					$ 206.43
US TSY NOTE 6.5000% DUE 05/15/05 DTD 05/15/95 FC 11/15/95		05/15/03	2003		227.50
	Accrued interest	09/18/03	2003		67.30
		11/15/03	2003		162.50
Sub Total: US TSY NOTE 6.5000%					$ 457.30
US TSY NOTE 06.125 % DUE 08/15/07 DTD 08/15/97 FC 02/15/98	Accrued interest	01/23/03	2003		$ 134.8
US TSY NOTE 05.500 % DUE 02/28/03 DTD 02/28/98 FC 08/31/98		02/28/03	2003		$ 357.5
US TSY NOTE 05.625 % DUE 05/15/08 DTD 05/15/98 FC 11/15/98	Accrued interest	01/28/03	2003		34.9
	Accrued interest	02/11/03	2003		27.6
Sub Total: US TSY NOTE 05.625 %					$ 62.6
US TSY NOTE 04.250 % DUE 11/15/03 DTD 11/15/98 FC 05/15/99		05/15/03	2003		361.2
	Accrued interest	07/02/03	2003		107.5
Sub Total: US TSY NOTE 04.250 %					$ 468.7
US TSY NOTE 03.500 % DUE 11/15/06 DTD 11/15/01 FC 05/15/02		11/15/03	2003		$ 192.5
US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03	Accrued interest	11/05/03	2003		49.
		11/15/03	2003		130.
Sub Total: US TSY NOTE 02.000 %					$ 179.
Total Line 3 Interest on U.S. Savings Bonds and Treasury obligations					$ 5,100

**Indicates corrected item.

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L188517-X12

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/27/03	Sell	2,000	AOL TIME WARNER INC 06.125% 041506 DTD041901 FC101501 MW+25BP NTS	00184AAA3	2,091.88	56.49	.00
10/27/03	Sell	5,000	CIT GROUP HOLDINGS INC 05.625% 051704 DTD051601 FC111701 GLOBAL NOTES	12560PCC3	5,112.45	129.69	.00
05/13/03	Sell	1,000	DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B	25746UAB5	1,105.23	25.63	.00
06/10/03	Sell	6,000	FHLMC 06.750 % DUE 091529 DTD 112299 FC 03152000	3134A3U46	7,735.50	96.75	.00
01/08/03	Sell	2,000	FHLMC 06.875 % DUE 091510 DTD 092200 FC 03152001	3134A35H5	2,358.88	43.54	.00
03/12/03	Sell	3,000	FHLMC 06.875 % DUE 091510 DTD 092200 FC 03152001	3134A35H5	3,637.50	102.55	.00
03/05/03	Sell	16,000	FHLMC 04.500 % DUE 061503 DTD 061501 FC 12152001	3134A4FF8	16,140.80	162.00	.0(
07/23/03	Sell	10,000	FNMA 04.750 % DUE 111403 DTD 110298 FC 05151999	31359MEG4	10,110.80	91.04	.0
10/08/03	Sell	8,000	FNMA 04.750 % DUE 111403 DTD 110298 FC 05151999	31359MEG4	8,028.16	152.00	.0
10/28/03	Sell	8,000	FNMA 03.250 % DUE 081508 DTD 072503 FC 08152003	31359MSQ7	7,896.48	53.44	.0
09/11/03	Sell	6,000	FNMA - CALLABLE 03.500 % DUE 012808 DTD 012803 FC 07282003	31359MQP1	5,984.03	27.42	.(
03/18/03	Sell	1,000	FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E	345397TX1	954.00	10.11	.(

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L168518-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
03/19/03	Sell	1,000	FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E	345397TX1	954.00	10.65	.00
03/19/03	Sell	1,000	FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E	345397TX1	955.00	10.65	.00
09/16/03	Sell	3,000	FORD MOTOR CREDIT CO NTS 06.500% 012507 DTD102501 FC012502 B/E	345397TX1	3,153.78	29.25	.00
05/13/03	Sell	1,000	GENERAL MTRS ACCEP CORP 08.000% 110131 DTD110201 FC050102	370425RZ5	1,043.04	2.89	.00
06/25/03	Sell	4,000	GENERAL MTRS ACCEP CORP 08.000% 110131 DTD110201 FC050102	370425RZ5	3,962.56	55.11	.00
12/19/03	Sell	1,000	GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP	370442BT1	1,150.31	39.78	.00
02/10/03	Sell	3,000	HOUSEHOLD FIN CORP NTS 07.875% 030107 DTD030100 FC090100 GLOBAL	441812GK4	3,414.96	106.31	.00
05/13/03	Sell	1,000	KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102	50075NAH7	1,099.93	28.65	.00
07/25/03	Sell	1,000	KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102	50075NAH7	1,077.73	10.24	.00
03/05/03	Sell	5,000	MORGAN STANLEY DEAN WITT 05.800% 040107 DTD040302 FC100102 & CO MW+15BP NT	617446HB8	5,471.65	128.08	.00
07/23/03	Sell	5,000	MORGAN STANLEY DEAN WITT 03.625% 040108 DTD030603 FC100103 & CO NTS	617446HW2	5,016.50	71.49	.00
04/01/03	Sell	5,000	PHILIP MORRIS COS INC 07.000% 071505 DTD071797 FC011598	718154CJ4	5,000.00	76.81	.00

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L168518-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
11/05/03	Sell	3,000	SPRINT CAPITAL CORP 05.875% 050104 DTD050899 FC110199 M-W + 15 BP	852060AE2	3,053.64	5.39	.00
05/13/03	Sell	3,000	US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93	912810EP9	3,889.06	51.96	.00
11/05/03	Sell	3,000	US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93	912810EP9	3,690.94	50.53	.00
04/22/03	Sell	7,000	US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86	912810DW5	8,896.56	231.32	.00
05/13/03	Sell	2,000	US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86	912810DW5	2,641.25	72.10	.00
09/18/03	Sell	2,000	US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86	912810DW5	2,502.19	50.04	.00
10/08/03	Sell	16,000	US TSY NOTE 02.125 % DUE 08/31/04 DTD 08/31/02 FC 02/28/03	912828AK6	16,145.00	36.43	.00
09/18/03	Sell	3,000	US TSY NOTE 6.5000% DUE 05/15/05 DTD 05/15/95 FC 11/15/95	912827T85	3,247.27	67.30	.00
01/23/03	Sell	5,000	US TSY NOTE 06.125 % DUE 08/15/07 DTD 08/15/97 FC 02/15/98	9128273E0	5,707.03	134.82	.00
02/28/03	Redemption	13,000	US TSY NOTE 05.500 % DUE 02/28/03 DTD 02/28/98 FC 08/31/98	9128273Z3	13,000.00	.00	.00
01/28/03	Sell	3,000	US TSY NOTE 05.625 % DUE 05/15/08 DTD 05/15/98 FC 11/15/98	9128274F6	3,372.19	34.96	.00
02/11/03	Sell	2,000	US TSY NOTE 05.625 % DUE 05/15/08 DTD 05/15/98 FC 11/15/98	9128274F8	2,243.91	27.66	.00

Continued on page 10

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/20/04

G02L168520-X1:

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
07/02/03	Sell	19,000	US TSY NOTE 04.250 % DUE 11/15/03 DTD 11/15/98 FC 05/15/99	9128274U3	19,230.08	107.52	.0(
11/05/03	Sell	5,000	US TSY NOTE 02.000 % DUE 05/15/08 DTD 05/15/03 FC 11/15/03	912828AY6	4,975.00	49.18	.0(
07/25/03	Sell	2,000	VIACOM INC MW+20BP 05.500% 051533 DTD051403 FC111503	925524AV2	1,848.76	23.22	.0(
			Total		$ 197,998.03	$ 2,463.00	$ 0.0

4225

Resource Management Account®

PZ8D006851-X26
7056841 - 000062

Account Number:

CYNTHIA D ROGERS

December 2003

This month at a glance

Value on November 28	$	52,202.20
Value on December 31	$	**35,722.81**
Debit balance in 12/31 value	$	-4,389.49

Activity highlights

		Current period		Year-to-date
Withdrawals	$	-16,500.00	$	-103,053.86
Loan/Late payment interest charged		-5.56		-8.91
Professional management fees and related services		56.07		-324.46
Resource Management Account fee		.00		-75.00
Miscellaneous charges and adjustments		-75.00		-350.00
Securities transferred in (valued at time of transfer)		.00		46,500.00
Securities transferred out (valued at time of transfer)		.00		-23,454.60
Net change from activity listed above	$	-16,524.49	$	-80,766.83

Portfolio summary

		Current period		Year-to-date
Opening value	$	52,202.20	$	129,984.98
Net change from Activity Highlights		-16,524.49		-80,766.83
Net security earnings		1.57		5,131.11
Change in value of investments		43.53		-18,626.45
Value on December 31	$	35,722.81	$	35,722.81

Account Instructions
Statement copies are sent to 1 interested party.

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #:
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

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Resource Management Account®

Statement Period: December 2003

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	.00	$	37.60
Other dividends		.00		2,733.55
Interest		1.57		1,792.87
Total taxable security earnings	$	1.57	$	4,564.02
Non-taxable				
Dividends/interest	$	.00	$	565.64
Total non-taxable security earnings	$	.00	$	565.64
Total current year security earnings	$	1.57	$	5,129.66
Prior year(s) adjustments		.00		1.45
Net security earnings	$	1.57	$	5,131.11

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Corporate bonds and notes	56.97		22,850.00
Municipal bonds and notes	38.64		15,499.00
Preferred securities	4.39		1,763.30
Net invested assets	100.00	$	40,112.30
Less debit balance			-4,389.49
Value on December 31		$	35,722.81

Loan summary

Loan balance November 22	$	0
Loan balance December 31	$	4,389
Average loan balance	$	3,508
Closing account balance Dec 31	$	-4,389
Number of loan (debit) days		8
Loan interest period		11/22 - 12/31
Average loan interest rate		7.125 %
Loan interest charged this period	$	5.56
Year-to-date loan interest	$	8.91

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Account Number:
Your Financial Advisor

Resource Management Account®

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Statement Period: December 2003

PZ8D006653-X26
7056841 - 000064

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. ° indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected.

Coupon corporates

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
20,000	COCA COLA FEMSA SA DE CV 6 FC 05011997 RATE 08.950% MATURES 11/01/06	114.250	22,850.00		06/05/98	20,000	104.750	20,950	1,900 ∞
20,000	Total	$	22,850.00					20,950	1,900

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
UBS BANK USA DEP ACCT	2,572.72	.00	N/A	0.50%	11/21 - 12/23	33
Total	$ 2,572.72	$ .00				

Municipal bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may be significantly different and thus gains/losses may not be accurate.

Coupon municipals

Cost basis for tax-exempt and AMT eligible Coupon Municipal securities has been automatically adjusted for estimated bond premium amortization to date.

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
5,000	POWELL CNTY KY S/D FIN BQ REV B/E /R/ RATE 03.750% MATURES 08/01/14	100.957	5,047.85	188	12/03/02	5,000	96.779	4,838	209
5,000	FAYETTE CNTY KY SD FIN A AMBAC OID98.9366 BE/R/ RATE 05.125% MATURES 04/01/18 CALLABLE 04/01/11 @ 101.00	107.522	5,376.10	256	12/18/02	5,000	106.604	5,335	41

Continued on page 4

December 03/ LC 61815 25

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Coupon municipals - continued

Cost basis for tax-exempt and AMT eligible Coupon Municipal securities has been automatically adjusted for estimated bond premium amortization to date.

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
5,000	MUHLENBERG CO KY JUSTICE CTR CORP REV BQ B/E /R/ RATE 04.500% MATURES 09/01/20 CALLABLE 09/01/12 @ 100.00	101.501	5,075.05	225	12/24/02	5,000	99.994	5,004	71
15,000	**Total**		**$ 15,499.00**	**669**				**15,177**	**321**

Preferred securities

Total shares	Description	Price	Current value	Est. annual income/ Current yield	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
70	CORRECTIONS CORP OF AMER CUML SER A 8.00% PREFERRED	25.190	1,763.30	140	07/27/98	70.000	23.000	1,610	153 ce
	Total		**$ 1,763.30**	**140**				**1,610**	**153**

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	**$ .00**
12/05	WITHDRAWAL	FEDERAL FUNDS	-6,000.00
12/05	FEE CHARGE	FED FUND WIRE TRANSFER FEE	-25.00
12/09	FEE REFUND	PACE Termination Fee refund	30.90
12/09	FEE REFUND	PACE Withdrawal Refund Withdrawal Amount $19,105	25.17
12/17	WITHDRAWAL	FEDERAL FUNDS	-4,000.00
12/17	FEE CHARGE	FED FUND WIRE TRANSFER FEE	-25.00
12/23	WITHDRAWAL	FEDERAL FUNDS	-3,000.00
12/23	FEE CHARGE	FED FUND WIRE TRANSFER FEE	-25.00
12/24	INTEREST	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/23/03	1.57
12/26	WITHDRAWAL	CHECK 0297746 PERIODIC DISBURSEMENT	-3,500.00
12/31	LOAN CHARGE	LOAN INTEREST CHARGED	-5.56
		Proceeds from security transactions	**$ 9,560.71**
		Proceeds from money fund/sweep trans.	**$ 6,166.07**
		Funds used for money fund/sweep trans.	**$ -3,593.35**
12/31		**Closing balance**	**$ -4,389.49**

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Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/06	SOLD	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-90.278	12.450	$ 1,123.96
12/05	SOLD	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-141.282	13.020	1,839.49
12/05	SOLD	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-178.448	11.580	2,066.43
12/05	SOLD	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-86.577	13.870	1,200.82
12/05	SOLD	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-21.201	10.750	227.91
12/05	SOLD	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-74.249	16.800	1,247.38
12/05	SOLD	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-75.506	12.270	926.46
12/05	SOLD	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-36.109	14.780	533.69
12/05	SOLD	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-19.364	18.790	363.85
12/05	SOLD	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P LIQUIDATION BATCH # LC-003678	-30.720	1.000	30.72
		Securities sold			$ 9,560.71

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Money funds and other sweep options

The UBS Bank USA Deposit Account is your primary sweep option.

Date	Activity	Money fund/sweep option	Amount
11/28		**Opening UBS Bank USA Deposit Account $**	**2,572.72**
12/08	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	3,535.71
12/10	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	56.07
12/18	WITHDRAW FM	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/17/03	-4,025.00
12/24	WITHDRAW FM	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/23/03	-2,139.50
12/24	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/23/03	1.57
12/26	WITHDRAW FM	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/24/03	-1.57
12/31		**Closing UBS Bank USA Deposit Account $**	**.00**
		Sweep option purchased/deposited $	**3,593.35**
		Sweep option sold/withdrawn $	**-6,166.07**

UBS Financial Services Inc.

Your Financial Advisor

PZBD006639-X26 · 1203 - LC - 0

Resource Management Account®

PZBD006639-X26
7056841 - 000050

Account Number:

CYNTHIA D ROGERS

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withdrawals	$	-103,053.86
Loan/Late payment interest charged		-8.91
Professional management fees and related services		-324.46
Resource Management Account fee		-75.00
Miscellaneous charges and adjustments		-350.00
Securities transferred in (valued at time of transfer)		46,500.00
Securities transferred out (valued at time of transfer)		-23,454.60
Net change from activity listed above	$	**-80,766.83**

Portfolio summary

		Year-to-date
Opening value	$	129,984.98
Net change from Activity Highlights		-80,766.83
Net security earnings		5,131.11
Change in value of investments		-18,626.45
Value on December 31	$	**35,722.81**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	37.80
Other dividends		2,733.55
Interest		1,792.67
Total taxable security earnings	$	4,564.02
Non-taxable		
Dividends/interest	$	565.64
Total non-taxable security earnings	$	565.64
Total current year security earnings	$	5,129.66
Prior year(s) adjustments		1.45
Net security earnings	$	5,131.11

2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
UBS PACE GLOBAL FIXED	FIFO	89.051	09/05/03	12/04/03	$ 1,108.68	$ 1,049.02		$ 59.66	
INCOME INVESTMENT	FIFO	1.227	Earnings	12/04/03	15.28	15.10		.18	
FUND CLASS P	FIFO	28.409	09/05/03	11/25/03	350.00	334.66		15.34	
	FIFO	20.508	06/19/03	11/13/03	252.66	255.32	-2.66		
	FIFO	26.852	09/05/03	11/13/03	330.82	316.32		14.50	
	FIFO	1.341	Earnings	11/13/03	16.52	16.24		.28	
	FIFO	28.386	06/19/03	10/29/03	350.00	353.41	-3.41		
	FIFO	48.622	06/19/03	10/14/03	600.00	605.34	-5.34		
	FIFO	28.548	06/19/03	09/26/03	350.00	355.42	-5.42		
	FIFO	30.069	06/19/03	08/27/03	350.00	374.36	-24.36		
	FIFO	29.215	06/19/03	07/29/03	350.00	363.73	-13.73		
	FIFO	1.965	07/23/02	07/14/03	23.96	21.67		2.29	

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	1.872	08/23/02	07/14/03	$ 22.83	$ 20.48		2.35	
	FIFO	1.584	09/20/02	07/14/03	19.32	17.53		1.79	
	FIFO	1.506	10/23/02	07/14/03	18.37	16.34		2.03	
	FIFO	1.132	11/22/02	07/14/03	13.80	12.51		1.29	
	FIFO	.981	12/23/02	07/14/03	11.96	11.09		.87	
	FIFO	7.423	06/19/03	07/14/03	88.67	92.41	-3.74		
	FIFO	2.936	Earnings	07/14/03	35.80	34.38		1.42	
	FIFO	56.911	05/22/02	05/12/03	700.00	592.44		107.56	
	FIFO	29.661	05/22/02	04/28/03	350.00	308.77		41.23	
	FIFO	34.188	05/22/02	04/10/03	400.00	355.72		44.28	
	FIFO	2.092	04/23/02	03/27/03	24.41	21.15		3.26	
	FIFO	8.173	05/22/02	03/27/03	93.35	84.72		8.63	
UBS PACE GOVT SEC FIXED	FIFO	138.622	09/05/03	12/04/03	1,804.86	1,800.70		4.16	
INCOME INVESTMENT	FIFO	2.660	Earnings	12/04/03	34.63	34.57		.06	
FUND CLASS P	FIFO	65.709	09/05/03	11/25/03	857.50	853.56		3.94	
	FIFO	112.730	09/05/03	11/13/03	1,470.00	1,464.36		5.64	
	FIFO	59.573	06/19/03	10/29/03	774.44	781.00	-6.56		
	FIFO	3.570	09/05/03	10/29/03	46.41	46.37		.04	
	FIFO	2.819	Earnings	10/29/03	36.65	36.64		.01	
	FIFO	113.184	06/19/03	10/14/03	1,470.00	1,483.58	-13.58		
	FIFO	65.658	06/19/03	09/26/03	857.50	860.78	-3.28		
	FIFO	66.732	06/19/03	08/27/03	857.50	874.86	-17.36		
	FIFO	66.524	06/19/03	07/29/03	857.50	872.13	-14.63		
	FIFO	1.277	09/20/02	07/14/03	16.82	16.77		.05	
	FIFO	3.180	10/23/02	07/14/03	41.89	41.44		.45	
	FIFO	3.536	11/22/02	07/14/03	46.56	45.96		.60	
	FIFO	2.479	12/23/02	07/14/03	32.65	32.25		.40	
	FIFO	76.862	06/19/03	07/14/03	1,004.75	1,007.66	-2.91		
	FIFO	6.250	Earnings	07/14/03	82.31	81.46		.85	
	FIFO	6.018	07/23/02	06/26/03	79.39	78.72		.67	
	FIFO	5.133	08/23/02	06/26/03	67.72	67.29		.43	
	FIFO	3.707	09/20/02	06/26/03	41.54	48.68	-7.14		
	FIFO	130.617	05/22/02	05/12/03	1,715.00	1,683.65		31.35	

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	65.508	05/22/02	04/28/03	$ 857.50	$ 844.40		13.10	
	FIFO	74.924	05/22/02	04/10/03	980.00	965.08		14.92	
	FIFO	5.977	04/23/02	03/27/03	78.85	76.68		2.17	
	FIFO	26.542	05/22/02	03/27/03	340.80	341.69	-.89		
UBS PACE INTERMEDIATE	FIFO	175.247	09/05/03	12/04/03	2,029.36	2,027.61		1.75	
FIXED INCOME INVESTMENT	FIFO	3.201	Earnings	12/04/03	37.07	37.21	-.14		
FUND CLASS P	FIFO	73.732	09/05/03	11/25/03	857.50	853.08		4.42	
	FIFO	12.333	06/19/03	11/13/03	143.31	147.01	-3.70		
	FIFO	111.004	09/05/03	11/13/03	1,289.87	1,284.32		5.55	
	FIFO	3.169	Earnings	11/13/03	36.82	37.06	-.24		
	FIFO	73.795	06/19/03	10/29/03	857.50	879.64	-22.14		
	FIFO	126.506	06/19/03	10/14/03	1,470.00	1,507.95	-37.95		
	FIFO	73.291	06/19/03	09/26/03	857.50	873.63	-16.13		
	FIFO	74.891	06/19/03	08/27/03	857.50	892.70	-35.20		
	FIFO	74.307	06/19/03	07/29/03	857.50	885.74	-28.24		
	FIFO	9.064	07/23/02	07/14/03	107.80	102.06		5.74	
	FIFO	5.194	08/23/02	07/14/03	61.77	57.97		3.80	
	FIFO	4.523	09/20/02	07/14/03	53.79	51.16		2.63	
	FIFO	3.716	10/23/02	07/14/03	44.20	41.02		3.18	
	FIFO	3.109	11/22/02	07/14/03	36.98	35.26		1.72	
	FIFO	2.489	12/23/02	07/14/03	29.60	28.50		1.10	
	FIFO	58.166	06/19/03	07/14/03	682.10	693.34	-11.24		
	FIFO	6.614	Earnings	07/14/03	78.67	76.31		2.36	
	FIFO	145.586	05/22/02	05/12/03	1,715.00	1,731.02	-16.02		
	FIFO	73.668	05/22/02	04/28/03	857.50	875.91	-18.41		
	FIFO	7.064	04/23/02	04/10/03	82.41	84.56	-2.15		
	FIFO	76.784	05/22/02	04/10/03	890.18	912.45	-22.27		
UBS PACE INTERNATIONAL	FIFO	75.506	09/05/03	12/04/03	926.46	823.77		102.69	
EQUITY INVESTMENT FUND	FIFO	21.575	09/05/03	11/25/03	252.00	235.38		16.62	
CLASS P	FIFO	21.438	06/19/03	11/13/03	253.40	222.74		30.66	
	FIFO	15.110	09/05/03	11/13/03	178.60	164.85		13.75	
	FIFO	21.612	06/19/03	10/29/03	252.00	224.55		27.45	
	FIFO	36.955	06/19/03	10/14/03	432.00	383.96		48.04	

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	23.119	06/19/03	08/26/03	$ 252.00	$ 240.21		11.79	
	FIFO	24.000	06/19/03	08/27/03	252.00	249.36		2.64	
	FIFO	24.092	06/19/03	07/29/03	252.00	250.32		1.68	
	FIFO	1.126	12/18/02	07/14/03	11.92	10.27		1.65	
	FIFO	18.292	09/23/02	07/14/03	193.68	157.68		36.00	
	FIFO	15.098	06/19/03	07/14/03	154.40	156.87	-2.47		
	FIFO	24.950	09/23/02	06/26/03	252.00	215.07		36.93	
	FIFO	25.688	09/23/02	05/28/03	252.00	221.43		30.57	
	FIFO	52.555	09/23/02	05/12/03	504.00	453.02		50.98	
	FIFO	27.511	09/23/02	04/28/03	252.00	237.14		14.86	
	FIFO	3.871	05/22/02	04/10/03	34.84	45.45	-10.61		
	FIFO	28.884	09/23/02	04/10/03	253.16	249.01		4.15	
	FIFO	29.302	05/22/02	03/27/03	252.00	344.00	-92.00		
	FIFO	24.856	05/22/02	03/26/03	216.00	291.73	-75.73		
	FIFO	29.543	05/22/02	02/28/03	252.00	346.72	-94.72		
	FIFO	32.727	05/22/02	02/13/03	288.00	384.17	-96.17		
	FIFO	9.718	05/22/02	01/29/03	83.19	114.09	-30.90		
UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	FIFO	21.201	09/05/03	12/04/03	227.91	208.62		19.29	
	FIFO	6.117	09/05/03	11/25/03	63.00	60.19		2.81	
	FIFO	6.361	06/19/03	11/13/03	67.74	53.75		13.99	
	FIFO	3.780	09/05/03	11/13/03	40.26	37.20		3.06	
	FIFO	6.017	06/19/03	10/29/03	63.00	50.84		12.16	
	FIFO	10.325	06/19/03	10/14/03	108.00	87.25		20.75	
	FIFO	6.569	06/19/03	09/26/03	63.00	55.51		7.49	
	FIFO	6.667	06/19/03	08/27/03	63.00	56.34		6.66	
	FIFO	7.047	06/19/03	07/29/03	63.00	59.55		3.45	
	FIFO	1.929	09/23/02	07/14/03	17.36	13.77		3.59	
	FIFO	8.138	06/19/03	07/14/03	72.64	68.77		3.87	
	FIFO	7.518	09/23/02	06/26/03	63.00	53.68		9.32	
	FIFO	7.925	09/23/02	05/28/03	63.00	56.58		6.42	
	FIFO	2.848	05/22/02	05/12/03	22.43	26.86	-4.43		
	FIFO	13.410	09/23/02	05/12/03	103.57	95.75		7.82	
	FIFO	8.726	05/22/02	04/28/03	63.00	82.29	-19.29		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	10.169	05/22/02	04/10/03	$ 72.00	$ 95.87	-23.87		
	FIFO	9.117	05/22/02	03/27/03	63.00	85.94	-22.94		
	FIFO	7.781	05/22/02	03/26/03	54.00	73.31	-19.31		
	FIFO	1.826	05/22/02	02/26/03	6.91	17.17	-10.26		
UBS PACE LARGE COMPANY	FIFO	86.577	09/05/03	12/04/03	1,200.82	1,153.21		47.61	
GROWTH EQUITY INVESTMENT	FIFO	27.052	09/05/03	11/25/03	371.70	360.33		11.37	
FUND CLASS P	FIFO	24.095	06/19/03	11/13/03	333.72	306.97		26.75	
	FIFO	21.912	09/05/03	11/13/03	303.48	291.87		11.61	
	FIFO	25.974	06/19/03	10/29/03	371.70	343.65		28.05	
	FIFO	46.174	06/19/03	10/14/03	637.20	588.26		48.94	
	FIFO	26.592	06/19/03	09/26/03	371.70	364.26		7.44	
	FIFO	28.703	06/19/03	08/27/03	371.70	365.68		6.02	
	FIFO	28.836	06/19/03	07/29/03	371.70	367.37		4.33	
	FIFO	23.989	09/23/02	07/14/03	318.19	259.10		59.09	
	FIFO	16.689	06/19/03	07/14/03	212.81	212.61		.20	
	FIFO	29.523	09/23/02	06/26/03	371.70	319.14		52.56	
	FIFO	30.417	09/23/02	06/26/03	371.70	328.81		42.89	
	FIFO	61.540	09/23/02	05/12/03	743.40	665.25		78.15	
	FIFO	7.871	05/22/02	04/28/03	94.38	108.38	-14.00		
	FIFO	24.007	09/23/02	04/28/03	277.32	259.51		17.81	
	FIFO	38.099	05/22/02	04/10/03	424.80	524.80	-99.80		
	FIFO	33.306	05/22/02	03/27/03	371.70	458.53	-86.83		
	FIFO	28.497	05/22/02	03/26/03	318.60	392.36	-73.76		
	FIFO	35.299	05/22/02	02/26/03	371.70	485.90	-114.20		
	FIFO	20.431	05/22/02	02/13/03	207.08	281.04	-73.96		
UBS PACE LARGE COMPANY	FIFO	74.249	09/05/03	12/04/03	1,247.38	1,174.62		72.76	
VALUE EQUITY INVESTMENT	FIFO	26.558	09/05/03	11/25/03	437.15	420.15		17.00	
FUND CLASS P	FIFO	12.009	06/19/03	11/13/03	198.15	187.10		11.05	
	FIFO	33.409	09/05/03	11/13/03	551.25	528.53		22.72	
	FIFO	26.737	06/19/03	10/29/03	437.15	416.56		20.59	
	FIFO	45.751	06/19/03	10/14/03	749.40	712.80		36.60	
	FIFO	26.203	06/19/03	09/26/03	437.15	439.40	-2.25		
	FIFO	26.350	06/19/03	08/27/03	437.15	441.69	-4.54		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	28.331	06/19/03	07/29/03	$ 437.15	$ 441.40	-4.25		
	FIFO	4.972	12/19/02	07/14/03	79.62	68.86		10.76	
	FIFO	11.785	09/23/02	07/14/03	188.71	160.39		28.32	
	FIFO	23.020	06/19/03	07/14/03	356.17	358.66	-2.49		
	FIFO	22.956	09/23/02	06/26/03	354.09	312.43		41.66	
	FIFO	59.679	05/22/02	05/12/03	874.30	1,018.72	-144.42		
	FIFO	30.656	05/22/02	04/28/03	437.15	523.30	-86.15		
	FIFO	30.282	05/22/02	04/10/03	410.80	516.78	-105.98		
UBS PACE MONEY MARKET	FIFO	30.200	09/05/03	12/04/03	30.20	30.20			
INVESTMENT FUND CLASS P	FIFO	.520	Earnings	12/04/03	0.52	0.52			
	FIFO	70.000	09/05/03	11/25/03	70.00	70.00			
	FIFO	120.000	09/05/03	11/13/03	120.00	120.00			
	FIFO	55.200	06/19/03	10/29/03	55.20	55.20			
	FIFO	119.800	09/05/03	10/29/03	119.80	119.80			
	FIFO	.310	Earnings	10/29/03	0.31	0.31			
	FIFO	120.000	06/19/03	10/14/03	120.00	120.00			
	FIFO	70.000	06/19/03	09/26/03	70.00	70.00			
	FIFO	70.000	06/19/03	08/27/03	70.00	70.00			
	FIFO	70.000	06/19/03	07/29/03	70.00	70.00			
	FIFO	1.130	10/15/02	07/14/03	1.13	1.13			
	FIFO	1.160	11/14/02	07/14/03	1.16	1.16			
	FIFO	1.160	12/31/02	07/14/03	1.16	1.16			
	FIFO	94.800	06/19/03	07/14/03	94.80	94.80			
	FIFO	1.750	Earnings	07/14/03	1.75	1.75			
	FIFO	1.660	07/15/02	06/26/03	1.66	1.66			
	FIFO	1.690	08/15/02	06/26/03	1.69	1.69			
	FIFO	1.590	09/16/02	06/26/03	1.59	1.59			
	FIFO	.140	10/15/02	06/26/03	0.14	0.14			
	FIFO	140.000	05/22/02	05/12/03	140.00	140.00			
	FIFO	70.000	05/22/02	04/28/03	70.00	70.00			
	FIFO	80.000	05/22/02	04/10/03	80.00	80.00			
	FIFO	1.970	04/15/02	03/27/03	1.97	1.97			
	FIFO	1.660	05/15/02	03/27/03	1.66	1.66			

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	1.100	05/22/02	03/27/03	$ 1.10	$ 1.10			
UBS PACE SMALL/MEDIUM CO	FIFO	36.109	09/05/03	12/04/03	533.69	498.67		35.02	
GROWTH EQUITY INVESTMENT	FIFO	4.604	06/19/03	11/25/03	67.95	58.75		9.20	
FUND CLASS P	FIFO	2.913	09/05/03	11/25/03	43.00	40.23		2.77	
	FIFO	12.834	06/19/03	11/13/03	190.20	163.76		26.44	
	FIFO	7.646	06/19/03	10/29/03	110.95	97.56		13.39	
	FIFO	13.264	06/19/03	10/14/03	190.20	169.25		20.95	
	FIFO	6.393	06/19/03	09/26/03	110.95	107.09		3.86	
	FIFO	8.298	06/19/03	08/27/03	110.96	105.88		5.07	
	FIFO	4.586	06/19/03	07/29/03	57.35	58.50	-1.15		
	FIFO	17.445	05/22/02	05/12/03	209.94	230.80	-20.86		
UBS PACE SMALL/MEDIUM CO	FIFO	19.364	09/05/03	12/04/03	363.85	337.71		26.14	
VALUE EQUITY INVESTMENT	FIFO	7.046	09/05/03	11/25/03	130.20	122.86		7.34	
FUND CLASS P	FIFO	2.272	06/19/03	11/13/03	41.83	36.65		5.18	
	FIFO	9.852	09/05/03	11/13/03	181.37	171.82		9.55	
	FIFO	7.221	06/19/03	10/29/03	130.20	116.47		13.73	
	FIFO	12.379	06/19/03	10/14/03	223.20	199.67		23.53	
	FIFO	7.732	06/19/03	09/26/03	130.20	124.72		5.48	
	FIFO	7.645	06/19/03	08/27/03	130.20	123.31		6.89	
	FIFO	7.886	06/19/03	07/29/03	130.20	127.20		3.00	
	FIFO	1.065	12/19/02	07/14/03	18.01	14.76		3.25	
	FIFO	10.215	06/19/03	07/14/03	167.99	164.77		3.22	
	FIFO	7.633	12/19/02	06/26/03	122.16	105.79		16.37	
	FIFO	17.383	05/22/02	05/12/03	260.40	346.10	-85.70		
	FIFO	9.137	05/22/02	04/28/03	130.20	181.92	-51.72		
	FIFO	4.047	05/22/02	04/10/03	54.00	80.48	-26.48		
Total					$ 62,887.50	$ 62,613.73	$ -1,840.08	$ 1,913.85	$ 73.77

Long-term capital gains and losses:

UBS PACE GLOBAL FIXED	FIFO	1.836	05/22/02	07/14/03	$ 22.39	$ 19.11		$ 3.28	
INCOME INVESTMENT	FIFO	2.000	06/21/02	07/14/03	24.39	21.56		2.83	
FUND CLASS P	FIFO	17.917	05/22/02	07/14/03	218.50	186.52		31.98	
	FIFO	28.642	05/22/02	06/26/03	350.00	298.16		51.84	

2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	28.158	05/22/02	05/28/03	$ 350.00	$ 293.12		56.88	
	FIFO	12.821	Earnings	03/27/03	149.58	133.70		15.88	
	FIFO	2.348	01/23/02	03/27/03	27.37	23.76		3.61	
	FIFO	2.347	02/21/02	03/27/03	27.38	23.56		3.82	
	FIFO	2.393	03/22/02	03/27/03	27.92	23.83		4.09	
	FIFO	25.952	Earnings	03/26/03	300.00	270.61		29.39	
	FIFO	15.175	Earnings	02/26/03	176.38	158.17		18.21	
	FIFO	14.386	12/28/00	02/26/03	173.62	153.64		19.98	
	FIFO	33.985	12/26/00	02/13/03	400.00	362.93		37.07	
	FIFO	29.737	12/28/00	01/29/03	350.00	317.59		32.41	
UBS PACE GOVT SEC FIXED	FIFO	5.196	05/22/02	06/26/03	68.55	66.98		1.57	
INCOME INVESTMENT	FIFO	5.705	06/21/02	06/26/03	75.26	74.05		1.21	
FUND CLASS P	FIFO	39.799	05/22/02	06/26/03	525.04	513.01		12.03	
	FIFO	65.558	06/22/02	05/28/03	857.50	845.04		12.46	
	FIFO	6.225	01/23/02	03/27/03	82.12	79.74		2.38	
	FIFO	5.800	02/21/02	03/27/03	76.52	74.70		1.82	
	FIFO	6.642	03/22/02	03/27/03	87.62	84.35		3.27	
	FIFO	14.523	Earnings	03/27/03	191.59	184.89		6.70	
	FIFO	56.322	Earnings	03/26/03	735.00	716.99		18.01	
	FIFO	65.709	Earnings	02/26/03	857.50	836.55		20.95	
	FIFO	33.223	Earnings	02/13/03	427.74	422.82		4.92	
	FIFO	41.701	12/28/00	02/13/03	552.26	519.59		32.67	
	FIFO	65.860	12/28/00	01/29/03	857.50	820.62		36.88	
UBS PACE INTERMEDIATE	FIFO	3.044	05/22/02	07/14/03	36.20	36.19		.01	
FIXED INCOME INVESTMENT	FIFO	7.895	06/21/02	07/14/03	93.90	94.58	-.68		
FUND CLASS P	FIFO	4.171	05/22/02	06/26/03	43.16	49.59	-6.43		
	FIFO	68.376	05/22/02	06/26/03	814.34	812.99		1.35	
	FIFO	72.424	05/22/02	05/28/03	857.50	861.12	-3.62		
	FIFO	.635	03/22/02	04/10/03	7.41	7.61	-.20		
	FIFO	49.013	Earnings	03/27/03	567.95	597.81	-29.86		
	FIFO	8.553	01/23/02	03/27/03	99.11	103.92	-4.81		
	FIFO	7.711	02/21/02	03/27/03	89.35	93.38	-4.03		
	FIFO	8.901	03/22/02	03/27/03	101.08	106.62	-5.54		

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	63.692	Earnings	03/26/03	$ 735.00	$ 776.72	-41.72		
	FIFO	50.711	Earnings	02/26/03	586.31	618.46	-32.15		
	FIFO	23.403	12/28/00	02/26/03	271.19	281.30	-10.11		
	FIFO	84.775	12/28/00	02/13/03	980.00	1,018.85	-38.85		
	FIFO	74.825	12/28/00	01/29/03	857.50	899.40	-41.90		
UBS PACE INTERNATIONAL	FIFO	5.631	Earnings	01/29/03	50.68	63.52	-12.84		
EQUITY INVESTMENT FUND CLASS P	FIFO	13.126	03/23/01	01/29/03	118.13	168.01	-49.88		
UBS PACE INTL EMERGING	FIFO	7.123	03/23/01	02/26/03	56.09	60.26	-4.17		
MKTS EQUITY INVESTMENT	FIFO	10.256	03/23/01	02/13/03	72.00	86.77	-14.77		
FUND CLASS P	FIFO	8.750	03/23/01	01/29/03	63.00	74.03	-11.03		
UBS PACE LARGE COMPANY	FIFO	21.013	03/23/01	02/13/03	217.72	328.01	-110.29		
GROWTH EQUITY INVESTMENT FUND CLASS P	FIFO	34.070	03/23/01	01/29/03	371.70	531.83	-160.13		
UBS PACE LARGE COMPANY	FIFO	5.320	05/22/02	06/26/03	83.06	90.81	-7.75		
VALUE EQUITY INVESTMENT	FIFO	29.182	05/22/02	05/28/03	437.15	498.14	-60.99		
FUND CLASS P	FIFO	5.659	Earnings	04/10/03	78.53	92.52	-13.99		
	FIFO	.740	12/28/00	04/10/03	10.27	13.14	-2.87		
	FIFO	32.238	12/28/00	03/27/03	437.15	572.19	-135.04		
	FIFO	27.592	12/28/00	03/26/03	374.70	489.67	-114.97		
	FIFO	33.269	12/28/00	02/26/03	437.15	590.38	-153.23		
	FIFO	38.431	12/28/00	02/13/03	499.80	681.80	-182.20		
	FIFO	32.096	12/28/00	01/29/03	437.15	569.70	-132.55		
UBS PACE MONEY MARKET	FIFO	2.020	06/17/02	06/26/03	2.02	2.02			
INVESTMENT FUND CLASS P	FIFO	62.900	05/22/02	06/26/03	62.90	62.90			
	FIFO	70.000	05/22/02	05/28/03	70.00	70.00			
	FIFO	60.020	Earnings	03/27/03	60.02	60.02			
	FIFO	1.210	01/15/02	03/27/03	1.21	1.21			
	FIFO	2.220	02/14/02	03/27/03	2.22	2.22			
	FIFO	1.820	03/14/02	03/27/03	1.82	1.82			
	FIFO	8.220	Earnings	03/26/03	8.22	8.22			
	FIFO	51.780	Earnings	03/26/03	51.78	51.78			
	FIFO	70.000	Earnings	02/26/03	70.00	70.00			

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2003 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss	
	FIFO	78.120	Earnings	02/13/03	$ 78.12	$ 78.12				
	FIFO	1.880	12/28/00	02/13/03	1.88	1.88				
	FIFO	70.000	12/28/00	01/29/03	70.00	70.00				
UBS PACE SMALL/MEDIUM CO	FIFO	3.865	05/22/02	07/29/03	53.60	51.13		2.47		
GROWTH EQUITY INVESTMENT	FIFO	11.784	05/22/02	07/14/03	158.50	155.90		2.60		
FUND CLASS P	FIFO	8.675	05/22/02	06/26/03	110.95	114.77	-3.82			
	FIFO	9.028	05/22/02	05/28/03	110.95	119.44	-8.49			
	FIFO	.970	03/23/01	05/12/03	11.96	11.48		.48		
	FIFO	9.614	03/23/01	04/28/03	110.95	113.73	-2.78			
	FIFO	11.687	03/23/01	04/10/03	126.80	138.25	-11.45			
	FIFO	10.302	03/23/01	03/27/03	110.95	121.84	-10.89			
	FIFO	8.863	03/23/01	03/26/03	95.10	104.81	-9.71			
	FIFO	10.761	03/23/01	02/26/03	110.95	127.22	-16.27			
	FIFO	12.604	03/23/01	02/13/03	126.80	149.02	-22.22			
	FIFO	10.311	03/23/01	01/29/03	110.95	121.96	-11.03			
UBS PACE SMALL/MEDIUM CO	FIFO	.494	05/22/02	06/26/03	8.04	9.84	-1.80			
VALUE EQUITY INVESTMENT	FIFO	8.549	05/22/02	05/28/03	130.20	170.21	-40.01			
FUND CLASS P	FIFO	.889	Earnings	04/10/03	12.03	15.57	-3.54			
	FIFO	.304	12/17/01	04/10/03	4.11	5.32	-1.21			
	FIFO	5.815	12/28/00	04/10/03	78.66	86.99	-8.33			
	FIFO	9.709	12/28/00	03/27/03	130.20	145.22	-15.02			
	FIFO	8.328	12/28/00	03/26/03	111.60	124.57	-12.97			
	FIFO	10.164	12/28/00	02/26/03	130.20	151.99	-21.79			
	FIFO	11.744	12/28/00	02/13/03	148.80	175.62	-26.82			
	FIFO	9.782	12/28/00	01/29/03	130.20	146.34	-16.14			
AMER EXPRESS CO CAPITAL TRUST I INC SEC (CALLED 7/16/03 @25.00) CUML	FIFO	1,000.000	07/16/98	07/16/03	25,000.00	25,000.00				8
PARTIAL CALL CORRECTIONS CORP OF AMER CUML SER A 8.00% PREFERRED	Adjustment	930.000	07/27/98	08/11/03	23,250.00	21,390.00		1,860.00		8
Total					$ 68,728.50	$ 68,026.34	$ -1,630.89	$ 2,333.05	$ 702.16	
Net capital gains/losses:									$ 775.93	

2003 Realized capital gain/loss summary

Short-term gains/losses:	$	73.77
Long-term gains/losses:	$	702.16
Net year-to-date capital gains/losses:	$	775.93

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Account Number 6151-X34
Tax Identification Number
Your Financial Advisor or Contact

CYNTHIA D ROGERS

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Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-MISC.

Form 1099-DIV Dividends and Distributions *(OMB No. 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 1,823.24
1b	Qualified dividends	1,414.10
2a	Total capital gain distributions (includes lines 2b, 2c, 2d, 2e, 2f)	0.00
2b	Post May 5th capital gain distributions	0.00
2c	Qualified 5 - year gain	0.00
2d	Unrecaptured section 1250 gain	0.00
2e	Section 1202 gain	0.00
2f	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-INT Interest Income *(OMB No. 1545-0112)*

Line#	Category	Amount
1	Interest income (not included on line 3)	$ 2,740.78
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treasury obligations	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses (included on line 1)	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 131,416.02
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amou
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO		01/24/03	01/24/03	2003		2.7
		02/24/03	02/24/03	2003		2.0
		03/24/03	03/24/03	2003		2.6
		04/23/03	04/23/03	2003		2.4

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UBS Financial Services Inc.

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Corrected 02/09/04

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
RMA MONEY MKT. PORTFOLIO		05/22/03	05/22/03	2003		3.23
		06/23/03	06/23/03	2003		6.48
		07/24/03	07/24/03	2003		3.19
		08/22/03	08/22/03	2003		10.14
		09/23/03	09/23/03	2003		4.59
		10/28/03	10/28/03	2003		.02
Sub Total: RMA MONEY MKT. PORTFOLIO						$ 37.60
UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		01/23/03	01/23/03	2003		10.09
		02/21/03	02/21/03	2003		8.91
		03/21/03	03/21/03	2003		7.40
		04/23/03	04/23/03	2003		5.58
		05/22/03	05/22/03	2003		2.42
		06/20/03	06/20/03	2003		7.48
		07/23/03	07/23/03	2003		4.78
		08/22/03	08/22/03	2003		3.98
		09/23/03	09/23/03	2003		6.63
		10/23/03	10/23/03	2003		5.52
		11/21/03	11/21/03	2003		2.9[illegible]
Sub Total: UBS PACE GLOBAL FIXED						$ 65.7[illegible]
UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		01/23/03	01/23/03	2003		31.8[illegible]
		02/21/03	02/21/03	2003		24.7
		03/21/03	03/21/03	2003		11.3
		04/23/03	04/23/03	2003		9.1
		05/22/03	05/22/03	2003		4.5
		06/20/03	06/20/03	2003		17.[illegible]
		07/23/03	07/23/03	2003		8.[illegible]
		08/22/03	08/22/03	2003		11.[illegible]
		09/23/03	09/23/03	2003		18.[illegible]
		10/23/03	10/23/03	2003		10.[illegible]
		11/21/03	11/21/03	2003		5.[illegible]
Sub Total: UBS PACE GOVT SEC FIXED						$ 152.
UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		01/23/03	01/23/03	2003		21.
		02/21/03	02/21/03	2003		20
		03/21/03	03/21/03	2003		17

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UBS Financial Services Inc.

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Corrected 02/09/04

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Alternative Minimum Tax	Amount
UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		04/23/03	04/23/03	2003		11.48
		05/22/03	05/22/03	2003		5.57
		06/20/03	06/20/03	2003		16.27
		07/23/03	07/23/03	2003		11.09
		08/22/03	08/22/03	2003		9.70
		09/23/03	09/23/03	2003		18.24
		10/23/03	10/23/03	2003		12.08
		11/21/03	11/21/03	2003		6.89
Sub Total: UBS PACE INTERMEDIATE						$ 150.57
UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		01/15/03	01/15/03	2003		.29
		02/14/03	02/14/03	2003		.60
		03/14/03	03/14/03	2003		.30
		04/15/03	04/15/03	2003		.30
		05/15/03	05/15/03	2003		.24
		06/17/03	06/17/03	2003		.02
		07/15/03	07/15/03	2003		.21
		08/15/03	08/15/03	2003		.10
		09/16/03	09/16/03	2003		.10
		10/15/03	10/15/03	2003		.25
		11/14/03	11/14/03	2003		.17
Sub Total: UBS PACE MONEY MARKET						$ 2.58
Total Line 1a Ordinary dividends (less lines 1b and 5)						$ 409.14
Qualified dividends						
CORRECTIONS CORP OF AMER CUML SER A 8.00% PREFERRED		12/27/02	01/15/03	2003		500.00
		03/27/03	04/15/03	2003		500.00
		06/26/03	07/15/03	2003		35.00
		09/26/03	10/15/03	2003		35.00
Sub Total: CORRECTIONS CORP OF AMER						$ 1,070.00
PARTIAL CALL CORRECTIONS CORP OF AMER CUML SER A 8.00% PREFERRED			06/10/03	2003		$ 344.10
Total Line 1b Qualified dividends						$ 1,414.10

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

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Interest Income Details Reported on Form 1099-INT

Description	Additional information	Payment Date	Tax year	Alternative Minimum Tax	Amount
AMER EXPRESS CO CAPITAL TRUST I INC SEC (CALLED 7/16/03 @25.00) CUML		03/31/03	2003		437.50
		06/30/03	2003		437.50
		07/16/03	2003		72.91
Sub Total: AMER EXPRESS CO CAPITAL					$ 947.91
COCA COLA FEMSA SA DE CV 08.950 % DUE 110106 DTD 110196 FC 05011997		05/01/03	2003		895.00
		11/01/03	2003		895.00
Sub Total: COCA COLA FEMSA SA DE CV					$ 1,790.00
UBS BANK USA DEPOSIT ACCOUNT		10/24/03	2003		.54
		11/20/03	2003		.76
		12/23/03	2003		1.57
Sub Total: UBS BANK USA DEPOSIT					$ 2.87
Total Line 1 Interest income (less line 3)					$ 2,740.78

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/19/03	Redemption	1,000	AMER EXPRESS CO CAPITAL TRUST I INC SEC (CALLED 7/16/03 @25.00) CUML	02583Y205	25,000.00	.00	.00
06/11/03	Redemption	930	PARTIAL CALL CORRECTIONS CORP OF AMER CUML SER A 8.00% PREFERRED	22025Y928	23,250.00	.00	.00
01/29/03	Sell	29	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
02/13/03	Sell	33	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	400.00	.00	.00
02/26/03	Sell	29	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/26/03	Sell	25	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	300.00	.00	.00
03/27/03	Sell	30	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
04/10/03	Sell	34	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	400.00	.00	.00
04/28/03	Sell	29	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
05/12/03	Sell	56	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	700.00	.00	.00
05/28/03	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
06/26/03	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
07/14/03	Sell	41	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	500.00	.00	.00
07/29/03	Sell	29	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
08/27/03	Sell	30	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
09/26/03	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
10/14/03	Sell	48	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	600.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/29/03	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
11/13/03	Sell	48	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	600.00	.00	.00
11/25/03	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	350.00	.00	.00
12/04/03	Sell	90	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	90262L503	1,123.96	.00	.00
01/29/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
02/13/03	Sell	74	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	980.00	.00	.00
02/26/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
03/26/03	Sell	56	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	735.00	.00	.00
03/27/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
04/10/03	Sell	74	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	980.00	.00	.00
04/28/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
05/12/03	Sell	130	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	1,715.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026157-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
05/28/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
06/26/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
07/14/03	Sell	93	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	1,225.00	.00	.00
07/29/03	Sell	66	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
08/27/03	Sell	66	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
09/26/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
10/14/03	Sell	113	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	1,470.00	.00	.00
10/29/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
11/13/03	Sell	112	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	1,470.00	.00	.00
11/25/03	Sell	65	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	857.50	.00	.00
12/04/03	Sell	141	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P	90262L362	1,839.49	.00	.00
01/29/03	Sell	74	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026158-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/13/03	Sell	84	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	980.00	.00	.00
02/26/03	Sell	74	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
03/26/03	Sell	63	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	735.00	.00	.00
03/27/03	Sell	74	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
04/10/03	Sell	84	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	980.00	.00	.00
04/28/03	Sell	73	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
05/12/03	Sell	145	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	1,715.00	.00	.00
05/28/03	Sell	72	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
06/26/03	Sell	72	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
07/14/03	Sell	103	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	1,225.00	.00	.00
07/29/03	Sell	74	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
08/27/03	Sell	74	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/26/03	Sell	73	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
10/14/03	Sell	126	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	1,470.00	.00	.00
10/29/03	Sell	73	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
11/13/03	Sell	126	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	1,470.00	.00	.00
11/25/03	Sell	73	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	857.50	.00	.00
12/04/03	Sell	178	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P	90262L834	2,066.43	.00	.00
01/29/03	Sell	34	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
02/13/03	Sell	41	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	424.80	.00	.00
02/26/03	Sell	35	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
03/26/03	Sell	28	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	318.60	.00	.00
03/27/03	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
04/10/03	Sell	38	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	424.80	.00	.00

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/28/03	Sell	31	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
05/12/03	Sell	61	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	743.40	.00	.00
05/28/03	Sell	30	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
06/26/03	Sell	29	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
07/14/03	Sell	40	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	531.00	.00	.00
07/29/03	Sell	28	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
08/27/03	Sell	28	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.00
09/26/03	Sell	28	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.0
10/14/03	Sell	46	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	637.20	.00	.0
10/29/03	Sell	28	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	.
11/13/03	Sell	46	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	637.20	.00	
11/25/03	Sell	27	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	371.70	.00	

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02G026161-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/04/03	Sell	86	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	90262L669	1,200.82	.00	.00
01/29/03	Sell	8	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
02/13/03	Sell	10	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	72.00	.00	.00
02/26/03	Sell	8	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
03/26/03	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	54.00	.00	.00
03/27/03	Sell	9	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
04/10/03	Sell	10	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	72.00	.00	.00
04/28/03	Sell	8	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
05/12/03	Sell	16	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	126.00	.00	.00
05/28/03	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
06/26/03	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
07/14/03	Sell	10	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	90.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026162-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
07/29/03	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
08/27/03	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
09/26/03	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
10/14/03	Sell	10	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	108.00	.00	.00
10/29/03	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
11/13/03	Sell	10	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	108.00	.00	.00
11/25/03	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	63.00	.00	.00
12/04/03	Sell	21	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	90262L776	227.91	.00	.00
01/29/03	Sell	32	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
02/13/03	Sell	38	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	499.60	.00	.00
02/26/03	Sell	33	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
03/26/03	Sell	27	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	374.70	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026163-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/27/03	Sell	32	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
04/10/03	Sell	36	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	499.60	.00	.00
04/28/03	Sell	30	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
05/12/03	Sell	59	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	874.30	.00	.00
05/28/03	Sell	29	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
06/26/03	Sell	28	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
07/14/03	Sell	39	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	624.50	.00	.00
07/29/03	Sell	28	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
08/27/03	Sell	28	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
09/26/03	Sell	28	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
10/14/03	Sell	45	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	749.40	.00	.00
10/29/03	Sell	26	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026164-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
11/13/03	Sell	45	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	749.40	.00	.00
11/25/03	Sell	26	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	437.15	.00	.00
12/04/03	Sell	74	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	90262L610	1,247.38	.00	.00
01/29/03	Sell	28	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
02/13/03	Sell	32	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	288.00	.00	.00
02/26/03	Sell	29	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
03/26/03	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	216.00	.00	.00
03/27/03	Sell	29	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
04/10/03	Sell	32	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	288.00	.00	.00
04/28/03	Sell	27	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
05/12/03	Sell	52	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	504.00	.00	.00
05/28/03	Sell	25	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026165-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
06/26/03	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
07/14/03	Sell	34	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	360.00	.00	.00
07/29/03	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
08/27/03	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
09/26/03	Sell	23	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
10/14/03	Sell	36	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	432.00	.00	.00
10/29/03	Sell	21	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
11/13/03	Sell	36	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	432.00	.00	.00
11/25/03	Sell	21	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	252.00	.00	.00
12/04/03	Sell	75	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	90262L727	926.46	.00	.00
01/29/03	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
02/13/03	Sell	12	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	126.80	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026188-X3

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
02/26/03	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
03/26/03	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	95.10	.00	.00
03/27/03	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
04/10/03	Sell	11	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	126.80	.00	.00
04/28/03	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
05/12/03	Sell	18	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	221.90	.00	.00
05/28/03	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
06/26/03	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
07/14/03	Sell	11	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	158.50	.00	.00
07/29/03	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
08/27/03	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
09/26/03	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00

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UBS Financial Services Inc.

2003 Consolidated Form 1099

Corrected 02/09/04

G02G026167-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/14/03	Sell	13	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	190.20	.00	.00
10/29/03	Sell	7	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
11/13/03	Sell	12	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	190.20	.00	.00
11/25/03	Sell	7	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	110.95	.00	.00
12/04/03	Sell	36	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	90262L495	533.69	.00	.00
01/29/03	Sell	9	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.00
02/13/03	Sell	11	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	148.80	.00	.00
02/26/03	Sell	10	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.00
03/26/03	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	111.60	.00	.00
03/27/03	Sell	9	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.00
04/10/03	Sell	11	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	148.80	.00	.00
04/28/03	Sell	9	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026168-X3

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income ta withheld (Line #4)
05/12/03	Sell	17	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	260.40	.00	.0
05/28/03	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
06/26/03	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
07/14/03	Sell	11	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	186.00	.00	.0
07/29/03	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
08/27/03	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
09/26/03	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
10/14/03	Sell	12	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	223.20	.00	.0
10/29/03	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
11/13/03	Sell	12	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	223.20	.00	.0
11/25/03	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	130.20	.00	.0
12/04/03	Sell	19	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	90262L446	363.85	.00	.0

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026169-X34

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
01/29/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
02/13/03	Sell	80	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	80.00	.00	.00
02/26/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
03/26/03	Sell	60	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	60.00	.00	.00
03/27/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
04/10/03	Sell	80	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	80.00	.00	.00
04/28/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
05/12/03	Sell	140	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	140.00	.00	.00
05/28/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
06/26/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
07/14/03	Sell	100	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	100.00	.00	.00
07/29/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
08/27/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
09/26/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
10/14/03	Sell	120	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	120.00	.00	.00
10/29/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00
10/29/03	Sell	105	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	105.31	.00	.00
11/13/03	Sell	120	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	120.00	.00	.00
11/25/03	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	70.00	.00	.00

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UBS Financial Services Inc.

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Corrected 02/09/04

G02G026170-X

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale of activity (Line #1a)	Activity	Quantity/ Face value	Description (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income t withheld (Line #4
12/04/03	Sell	30	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	90262L370	30.72	.00	.0
			Total		$ 131,416.02	$ 0.00	$ 0.0

Other Income Details Not Reported to the Internal Revenue Service

** Applicable to Tax-exempt Original Issue Discount information only*

Activity	Description	*Days held	*Quantity/ Face amount	Payment Date	Tax year	*Amortized acquisition premium	Alternate Minimum Tax	Amou
Tax-exempt interest	FAYETTE CNTY KY SD FIN A AMBAC OID98.9368 BE/R/ 5.125 040118 DTD 041501			04/01/03	2003			128.1
				10/01/03	2003			128.1
	Sub Total: FAYETTE CNTY KY SD FIN							$ 256.2
	MUHLENBERG CO KY JUSTICE CTR CORP REV BQ B/E /R/ 4.500 090120 DTD 120102			03/01/03	2003			56.2
				09/01/03	2003			112.5
	Sub Total: MUHLENBERG CO KY JUSTICE							$ 168.7
	POWELL CNTY KY S/D FIN BQ REV B/E /R/ 3.750 080114 DTD 110102			02/01/03	2003			46.8
				08/01/03	2003			93.7
	Sub Total: POWELL CNTY KY S/D FIN							$ 140.6
	Sub Total (State) KENTUCKY							$ 565.6
	Total Tax-exempt interest							$ 565.6

**Indicates corrected item.

Corrected 2003 1099 / LC 61815 25

4263

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 APR 29 AM 10: 33
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Michael Dennis Rogers (Full Name)
51 Pawnee Drive, Anniston, AL 36206 (Mailing Address) (256) 820-5312 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: AL District: 3	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael D. Rogers	4-29-04

4264

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Avery Auto Sales, Inc. (Standards Committee approved)	Consulting Fee	$21,600
	Oxford Auto Finance	Spouse Salary	$36,000

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael Dennis Rogers | Page 2 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X																		P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X																
	Venture Properties, LLC							X												Partner Income							X					
	Avery Auto Sales, Inc.							X						X		X												X				
	Initial Investments, LLC							X												Partner Income							X					
Sp.	Oxford Auto Finance							X						X		X													X			
	Retirement Systems of NA					X														N/A	X											
	Rogers, Young, Wollstein, Jackson & Whittington, LLC						X													Buy-out Agreement									X			

...ts and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Michael Dennis Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Centennial Money Mkt. Tr.		X																		X											
	Coca Cola			X																	X											
	Enron		X																		X											
	Lucent Tech., Inc.			X																	X											
	Microsoft			X																	X											
	Pfizer			X																	X											
	Putnam Europe Growth Fund			X																	X											
	Putnam Fund for Growth			X																	X											
	Putnam Inv. Funds New Value			X																	X											
	Putnam New Opportunities			X																	X											
	Putnam Vista Fund			X																	X											
	Putnam Voyager Fund			X																	X											
	Walt Disney Co.		X																		X											
	General Electric			X																	X											
	SUP Microsystems			X																	X											
	Cheetah Financial Group			X																			X									
	U.S. Govt. Securities Fund			X																	X											
	Bond Fund of America			X																	X											
	Franklin Dynatech Corp.			X																	X											
	Franklin Biotech Discovery F.			X																	X											
	New Perspective Fund			X																	X											
	Small Cap World Fund			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Dennis Rogers

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Washington Mutual Inc. Fd			X																	X											
	Compass Link Inv. Acct.			X																	X											
	Putnam College Adv. Plan				X																X											
	Expedition Money Mkt. Inc. Fd			X																	X											
	Note Receivable: Orford Mtg					X										X										X						
	Note Receivable: Avery Auto						X																			X						
Sp.	UBS Paine Webber (Retirement Acct)																						X									
	AIM Midcap Cor. Equity Fd.		X																		X											
	Calvert Income Fd. A		X																		X											
	Dreyfus Appreciation Fund		X																		X											
	Franklin Mut. Discov. Fd.		X																		X											
	Gartmore Money Mkt. Fd.			X																	X											
	Invesco Financial Ser. Fd.		X																		X											
	Invesco Health Science Fd		X																		X											
	One Group SmCap Growth		X																		X											
	Opp. Cap. App Fund Class A		X																		X											
	Oppenheimer Capital Inc. Fund		X																		X											
	Oppenheimer Global Opp. Fund		X																		X											
	Van Kampen Equity + Inc.		X																		X											
	Van Kampen Emerging Grw		X																		X											
	Southern Company			X																	X											

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SCHEDULE IV — TRANSACTIONS

Name Michael Dennis Rogers | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock				10–12–03		X								
	None															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NONE							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Manager – Member	Venture Properties, LLC
Manager – Member	Initial Investments, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-31-02	Rogers, Young, Wolkstein, Jackson + Whittington LLC	Compensation from Standards approved buy-out agreement from former law firm for services rendered before entering Congress. Payments are being paid-out over a 84 month period, and the unearned income is being recognized on schedule III.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 12: 33
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(9)
MC
(Office Use Only)

Michael John Rogers
(Full Name)

6899 Corrigan Dr Brighton MI 202 225 4872
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MI District: 8	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael J Rogers	5/14/04

4274

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

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Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Yazaki North America, INC	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
Examples: XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

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BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

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BLOCK D — Amount of Income

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BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		
DC	Simon & Schuster			Indefinite																Royalties									X			P
JT	1st Bank of Paducah, KY accounts						X									X																
	BOZ Meadowlark Howell Mi					X									X											X						
	Interactual Software San Jose Ca			X																	X											
	Houston al Co			X																	X											
	Owensboro, Ky Condominium							X																								P
	Washington DC																															
	Michigan National Brighton, Mi accts				X											X							X									

* Additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael J Rogers

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: T, S, P, E
	Fedelity Mutual Fund			X										X							X											
	Fidelity Mutual Fund			X										X							X											
SP	Interactual Software San Jose, Ca			X										X							X											
DC	Interactual Software San Jose, Ca			X										X							X											
DC	Interactual Software San Jose, Ca			X										X							X											

...copied if more space is required

SCHEDULE IV — TRANSACTIONS

Name Michael J Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	Property Washington D.C.	X			12/03					X					

This page may be copied if more space is required.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Brighton Commerce Bank, MI	line of Credit			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Detroit Chamber of Commerce	May 30-31	Brighton, Mi. - Mackinaw Island - Brighton, Mi.	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
(4) LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 10: 50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DANA ROHRABACHER
(Full Name)

2338 RAYBURN BUILDING WASHINGTON DC 21515 (202)225-2415
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: Ca District: 46th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dana Rohrabacher	May 17, 2004

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STEEPLE PRODUCTIONS	MOVIE OPTION	$23,000
	COMMITTEE TO REELECT DANA ROHRBACHER	SPOUSE SALARY	$36,055.92

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DANA ROHRABACHER

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E P, S, E
SP	Examples: Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties												
	1st Bank of Paducah, KY accounts						X									X									X				X			
	MOBIUS CORPORATION				XX												XX				XX											
	MORGAN STANLEY RETIREMENT ACCOUNT				XX															IRA			NA									

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Chinese International Econ Cooperation Assoc.	Jan12-19	Wash DC - Tokyo - Taipai Hawaii - Wash DC	Y	Y	Yes	2 Days
FREEDOM ALLIANCE	Feb28-March 3	Wash DC - Puerto Rico Cruise-Wash DC	Y	Y	Yes	No
Islamic Free Market Institute	Apr 11-28	Wash DC Munich-Doha Vienna - Wash DC	Y	Y	Yes	6 days

MC ✓ INSIDE MAIL

Jeff Trandahl
Clerk, US House of Representatives
B 106 Cannon H.O.B.

May 26, 2004

LEGISLATIVE RESOURCE CENTER
2004 MAY 28 AM 11: 25
U.S. HOUSE OF REPRESENTATIVES

As per your suggestion, I am sending a copy of Rep. Dana Rohrabacher's retirement account summary to be attached to page 3 of 4 on his ethics report.

Don Ernsberger
Deputy Chief of Staff
Rep. Dana Rohrabacher (46-CA)

Don Ernsberger

Morgan Stanley

INSIDE MAIL

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2003

Your Financial Advisor
MORRIS/ROBERTSON
MORGAN STANLEY
27720 JEFFERSON AVE SUITE 100C
TEMECULA, CA 92590
(909) 695-0018

For Account Of:
DANA ROHRABACHER

LEGISLATIVE RESOURCE CENTER
DUPLICATE
2004 MAY 28 AM 11: 25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DANA ROHRABACHER 002583
2338 RAYBURN HOB
WASHINGTON DC 20515-0001 240

Account Number
240 050940 006

IRA Standard
Dated 10/18/82
Household Anniv. Date:
02/03/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$9,504.25	13.2%
Stocks	56,552.66	78.9
Municipal Bonds	0.00	0.0
Corporate Fixed Income	5,700.00	7.9
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$71,756.91	100.0%
Cash	0.00	
Total Asset Value	$71,756.91	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$4.51	$29.22
Stocks	79.11	1,458.85
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$83.62	$1,488.07

Activity Summary

Total Asset Value November 30 2003		$67,025.28
Cash/Money Market Activity for December		
Closing Balance 11/30	$9,464.96	
Credits To Your Account		
Dividends and Interest	83.62	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	109.34	
Total Credits	192.96	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	-153.67	
Total Debits	-153.67	
Closing Balance 12/31	9,504.25	
Net Change Cash/Money Market Activity		39.29
Changes in Asset Value for December		
Value of Priced Assets 11/30	$57,560.32	
Securities Bought	0.00	
Securities Received	4,050.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	642.34	
Value of Priced Assets 12/31	62,252.66	
Net Change in Asset Value		4,692.34
Total Asset Value as of December 31 2003		$71,756.91

Please see page 5 for your Account's Retirement Recap.

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number
240 050940 006

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
LIQUID ASSET FUND	9,504.25	13.2%	51
Total Cash and Money Market Funds	$9,504.25	13.2%	$51
Net Change Since Last Statement	$89.29		

Annualized 30 Day Yields	
Liquid Asset Fund	0.54%
U.S. Gov't Money Market Tr	0.40%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
75	ANHEUSER BUSCH COS INC	52.68	3,951.00	5.6%	66	1.67%	BUD	Next Dividend Payable 03/04
200	DELL INC	33.98	6,796.00	9.5%	0	0.00%	DELL	
100	ERICSSON LM TEL ADR CL B NEW	17.70	1,770.00	2.5%	0	0.00%	ERICY	
150	LYONDELL CHEMICAL COMPANY	16.95	2,542.50	3.5%	135	5.30%	LYO	Next Dividend Payable 03/04
159	PETE AND RES CP MARYLAND	23.74	3,774.66	5.3%	50	1.34%	PED	Next Dividend Payable 03/04
100	PFIZER INC	35.33	3,533.00	4.9%	68	1.92%	PFE	Next Dividend Payable 03/04
300	SBC COMMUNICATIONS	26.07	7,821.00	10.9%	375	4.79%	SBC	Next Dividend Payable 02/04
600	TEMECULA VALLEY BANCORP	13.50	8,100.00	11.3%	0	0.00%	THCV	
200	TIME WARNER INC NEW	17.99	3,598.00	5.0%	0	0.00%	THX	
500	VODAFONE GP PLC ADS	25.04	12,520.00	17.4%	153	1.22%	VOD	Next Dividend Payable 02/17/04
	Total Common Stocks		54,406.16	75.9%	847	1.55%		
Preferred Stocks								
150	DUKE ENERGY CP 8XCP UTS CV PFD	14.31	2,146.50	3.0%	300	13.97%	DUR	CONVERTIBLE PREFERRED Next Dividend Payable 02/04
Total Stocks			$56,552.66	78.9%	$1,147	2.02%		
Net Change Since Last Statement			$4,454.80					

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DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number
240 050940 006

Corporate Fixed Income	Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Corporate Bonds							
1 GENL MOTORS ACCEPT CORP DEBENTURES ZERO COUPON CALLABLE DUE 12/01/12	570.000	5,700.00	7.9%	0	0.00%	0	MOODY A3 S&P BBB ISSUE 12/09/82
Total Corporate Fixed Income		$5,700.00	7.9%	0	0.00%	0	
Net Change Since Last Statement		$257.50					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$71,756.91	$1,199
Total Net Change in Priced Assets Since Last Statement	$6,692.34	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
12-04	Dividend	PFIZER INC.	15.00	Stocks
12-09	Dividend	ANHEUSER BUSCH COS INC	16.50	Stocks
12-15	Dividend	LYONDELL CHEMICAL COMPANY	53.75	Stocks
12-27	Dividend	PETE AND RES CP MARYLAND	13.86	Stocks
12-31	Dividend	LIQUID ASSET FUND	4.51	Money Market Funds
		Total Dividends and Interest	63.62	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

4 LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 2:15
(Office Use Only)

ILEANA ROS-LEHTINEN
(Full Name)
2160 RAYBURN BUILDING
(Mailing Address)
WASHINGTON, D.C. 20515
Daytime Telephone: 202-225-3931

Filer Status: [X] Member of the U.S. House of Representatives — State: FL District: 18 — [ ] Officer or Employee — Employing Office.

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ileana Ros-Lehtinen	5-14-04

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Name	Page 2 of 4
Ileana Ros-Lehtinen	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Dexter Lehtinen, Attorney at Law - Various law firm clients	Spouse's law practice	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Ileana Ros-Lehtinen

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples:* SP Mega Corp. Stock			X		P
Simon & Schuster		Indefinite		Royalties	
1st Bank of Paducah, KY accounts		X	X	X	
JT Bank Atlantic, FtLauderdale FL		X	X	X	
SP Commercial Bank of FL, Miami		X	X	X	
FL State Retirement Plan		X		N/A X	
SP FL State Retirement Plan		X		N/A X	

— ... and unearned income, use next page

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Ileana Ros-Lehtinen

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Mega Bank Stock, Miami, FL		X											X							X											
	(2 IRA's) Community Bank Homestead, FL			X												X						X										
	Community Bank of Homestead			X												X						X										
DC	Commercial Bank of Florida			X												X							X									
DC	Commercial BAnk of Florida			X												X							X									
DC	Alliance Premier Growth Fund				X												X							X								
JT	Nokia Corp. Stock			X										X								X										
JT	Royal West Properties Loan				X											X						X										

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(7) LEGISLATIVE RESOURCE CENTER
2004 APR 22 AM 8:59
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Michael Avery Ross
(Full Name)

P.O. Box 374, Prescott, AR 71857 (202) 225-3772
(Mailing Address) Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: AR District: 04	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☐

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Avery Ross	4/23/04

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Name	Page
Michael Avery Ross	2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Ross Pharmacy, Inc., Prescott, AR	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael Avery Ross | Page 3 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		None (A); $1 – $1,000 (B); $1,001 – $15,000 (C); $15,001 – $50,000 (D); $50,001 – $100,000 (E); $100,001 – $250,000 (F); $250,001 – $500,000 (G); $500,001 – $1,000,000 (H); $1,000,001 – $5,000,000 (I); $5,000,001 – $25,000,000 (J); $25,000,001 – $50,000,000 (K); Over $50,000,000 (L)	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None (I); $1 – $200 (II); $201 – $1,000 (III); $1,001 – $2,500 (IV); $2,501 – $5,000 (V); $5,001 – $15,000 (VI); $15,001 – $50,000 (VII); $50,001 – $100,000 (VIII); $100,001 – $1,000,000 (IX); $1,000,001 – $5,000,000 (X); Over $5,000,000 (XI)	S, E, P
SP, DC, JT	*Examples:* SP Mega Corp. Stock	X	X		P
	Simon & Schuster	Indefinite		Royalties	
	1st Bank of Paducah, KY accounts	X	X		
JT	Commercial Building 1430 W. 1st N, Prescott, AR	X	X	X	
JT	Commercial Building 114 E. Elm, Prescott, AR	X	X	X	
JT	Ross Pharmacy Inc. 1430 W. 1st N, Prescott, AR	X	Ownership	X	
JT	Bank of Prescott Prescott, AR	X	X	X	
SP	Simple IRA Fidelity	X	X	X	
SP	McKesson Stock	X	X	X	
SP	Simple IRA Fidelity Fidelity Growth & Income	X	X	X	

For additional assets and unearned income, use next page

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Avery Ross

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Rollover IRA, Fidelity			X										X			X					X										
	McKesson Stock																															
	Rollover IRA, Fidelity				X									X			X															
	Magellan																						X									
	Rollover IRA, Fidelity				X																											
	Growth & Income													X			X						X									
	Simple IRA, Fidelity																															
	Blanton			X										X			X					X										
	Simple IRA, Fidelity																															
	Growth & Income			X										X			X					X										
	Simple IRA, Fidelity																															
	Veller			X										X			X					X										
	Simple IRA, Fidelity																					X										
	Diversified International			X										X			X					X										
	Simple IRA, Fidelity																															
	Growth Company			X										X			X															
JT	1/2 Interest Commercial Lot,			X																Ownership	X											
	Hwy 67N, Prescott, AR																															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B [illegible]	C $15,001 – $50,000	D [illegible]	E $100,001 – $250,000	F [illegible]	G $500,001 – $1,000,000	H [illegible]	I $5,000,001 – $25,000,000	J [illegible]	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Bank of Prescott, AR	1435 W 1st N, Prescott, AR Commercial Building Mortgage				X						
JT	Bank of Prescott, AR	Co-signed/Guaranteed Campaign Loan (Paid off in May 2010)			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NASDAQ	Mar. 13	DC - NY - DC	N	N	N	N
	U.S. AFMC Congressional Study Group	Apr. 12-17	DC - Berlin - Heidelberg - DC	Y	Y	Y	N
	Community Financial Services Association	Jun. 27-29	DC - Jackson Hole, Wyoming - DC	Y	Y	N	N
	New York Stock Exchange	Jun. 2-3	DC - NY - DC	Y	Y	Y	N
	CODEL - Goodlatte Cmte. on Agriculture	Sept. 11-15	DC - Cancun - DC	Y	Y	N	N
	Chicago Mercantile Exchange	Oct. 6-7	Little Rock - Chicago - DC	Y	Y	N	N
	American Association for Homecare	Oct 8-9	DC - Atlanta - Little Rock	Y	N	N	N
	I-49 International Coalition	Oct. 23-24	Texarkana, AR - Kansas City, MO - Joplin, MO - Ft. Smith, AR - Shreveport, LA - Doddridge, AR - Lafayette, LA - New Orleans, LA - Texarkana, AR	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Ross Pharmacy, Inc., Prescott, AR

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERE

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:41
(Office Use Only)

Steven R. Rothman
(Full Name)

P.O. Box 650 Fair Lawn, NJ 07410
(Mailing Address)

202/225-5061
Daytime Telephone:

Filer Status [x] Member of the U.S. House of Representatives — State: NJ District: 09 [ ] Officer Or Employee — Employing Office:

Report Type [x] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [x] No [ ]	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [x]	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [x] No [ ]	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [x] No [ ]	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ] No [x]	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [x]	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes [ ] No [x]	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [x] No [ ]	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [x]	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [x]
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steven R. Rothman	5/14/04

4302

SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Twinks Company, LLC, Fair Lawn, NJ	Manager's Fee	$20,000
Philip and Muriel Rothman, Fort Lee, NJ	Manager's Fee	$3,205

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Steven R. Rothman

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount

* * * N O N E * * *

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I	Fed US G'tscFnd 2-5 YsTs	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	E
IRA	M.L. Bank USA RASP	None	INTEREST	$201 - $1,000	P/S
IRA	N.M. Gen. Motors.Accept B	$1,001 - $15,000	INTEREST	$201 - $1,000	P
IRA	N.M. Fed Natl. Mtg. Assoc.	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I	40 Ruta Court, So. Hackensack, NJ (33 1/3 %)	$100,001 - $250,000	RENT	$15,001 - $50,000	Family Gift
I	600 Hollister Road Teterboro, NJ (33 1/3 %)	$50,001 - $100,000	RENT	$15,001 - $50,000	Family Gift

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

	DC Karen	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	DC Karen	NM Gen Motors Accept B	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	I	State of Israel Bond	None	INTEREST	$1 - $200	S
	I	GMAC Smartnote	$50,001 - $100,000	INTEREST	$2,501 - $5,000	P
	I	Ford Motor Credit	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	I	GMAC Smartnote	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	I	Ford Motor Credit Company NTS	$15,001 — $50,000	INTEREST	$201 - $1,000	P
	I	Israel St. 7th Dev. Curr	$1,001 - $15,000	INTEREST	$1 - $200	P
*	I	Clinton Twp NJ Bk Entry	None	INTEREST	$1,001 - $2,500	S
**	DC John	Salomon Smith Barney	None	INTEREST	$201 - $1,000	S
	DC John	N.M. Ford Motor Credit Co.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	DC John	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	DC John	Ford Motor Credit	None	INTEREST	$1,001 - $2,500	S
	DC John	NM Gen Motors Accpt B	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P

* Inadvertently omitted from 2002 Financial Disclosure Form Schedule III, but appeared in Schedule IV Purchase Date 6/14/02

** Inadvertently omitted from 2000 Financial Disclosure Form -- Purchase Date: 8/28/00

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	CPI Indexed Housing Bonds IBH	$15,001 - $50,000	INTEREST	$2,501 - $5,000	P
I	American Cent. 20th Century Ultra (Public Employee Benefits Co)	None	DIVIDENDS	NONE	E
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	Turbochef	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I	State of Israel Bond	None	INTEREST	$1 - $200	S
I	State of Israel Bond	None	INTEREST	$1 - $200	S
I	250 North Street, Englewood, NJ 33% owner	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	4 E. Forest Avenue, Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street, South Hackensack, NJ (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court, South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street, South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	425 Victoria Terrace, Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	Dreyfus, NJ Money Mkt fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Fidelity Mun. Money Mkt Fund (1/3 owner)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Roan Mun. Money Mkt Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Wouthwick Way, Boca Raton, FL (1/3 owner)	$100,001 - $250,000	N/A	NONE	N/A
I	Puerto Rico Gen Obliga Public Imp	None	INTEREST	NONE	S
I	Partner - Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
DC Karen	125 Louis Street, S. Hackensack, NJ (5.5% onwer)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC Karen	Heller Financial	None	DIVIDENDS	$1,001 - $2,500	S
DC Karen	Saloman Smith Barney	None	DIVIDENDS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

DC John	125 Louis Street, S. Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	Bear Stearns	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC John	45 Ruta Court, S. Hackensack, NJ (6% owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
DC John	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
I	New Jersey Health Care Facilities	None	INTEREST	NONE	S
I	Year End USA Port Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
* I	New Jersey Tran Corp. COP	None	INTEREST	$201 - $1,000	S
I	NJ Transit Corp. Cap Gains	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	NJ HSG Mtg. Fin. Agy. HME	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey St. Gen Obl.Ser.D	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	Mercury Int'l Fd Cl. C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	Greenwich NJ Twnshp Cnty Glocester G.O. RF	None	DIVIDENDS	$1,001 - $2,500	S
I	Englewood NJ, B/E, UT, B/Q	None	DIVIDENDS	$1,001 - $2,500	S

* Inadvertently omitted from 2000 Financial Disclosure Form -- Purchased 11/28/2000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	New Jersey ST Ed/FACs Ser C Rev	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
I	Passaic Cnty NJ Utils A Rv FSA OID	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	Middletown Twp NJ Sew Rv FGIC OID	None	DIVIDENDS	NONE	S
I	Sussex Cnty NJ Rfdg OID	None	DIVIDENDS	NONE	S
IRA	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
DC Karen	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
DC John	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
I	Passaic Cnty, NJ	None	INTEREST	NONE	S
I	Essex Cty., Genl. Impt.	None	INTEREST	NONE	S
* I	New Jersey St. Ed/FACS - Princeton	None	INTEREST	$201 - $1,000	N/A
I	Essex Cty, NJ Util Auth	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	Essex Cty, NJ SR A Ambac	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey Envir Infras.	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC Karen	NJ State Transp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A

* Inadvertently omitted from 2002 Financial Disclosure Form -- Purchase: 9/5/02

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

DC Karen	NJ State Transp. Tr	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC Karen	NJ HCFF at RVS	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC John	NJ State Transp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
DC John	NJ EDA Mkt FAC	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	NJ St. Trnsp. Tr	None	INTEREST	NONE	S
IRA	Conseco Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
IRA	CD MBNA America Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	New Jersey High Ed Asstn Auth	None	INTEREST	NONE	S
* I	New Jersey High Ed Asstn Auth	None	INTEREST	NONE	S
* I	New Jersey High Ed Asstn Auth	None	INTEREST	NONE	S
I	NJ St Trnsp Tr	None	INTEREST	NONE	S
I	Trenton NJ FGIC	$15,001 - $50,000	INTEREST	$201 - $1,000	P

* Originally set forth in 1998 Financial Disclosure Form: Purchase $50,000 -- 2/3/98 but inadvertently omitted from 2001 and 2002 reports

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	Fed US G'tscFnd 2-5 YsTs	E	2/14/03	$15,001 - $50,000
IRA	M.L. Bank USA RASP	PS	6/19/03	$1,001 - $15,000
IRA	N.M. Gen. Motors.Accept B	P	6/20/03	$1,001 - $15,000
IRA	N.M. Fed Natl. Mtg. Assoc.	P	6/19/03	$1,001 - $15,000
I	40 Ruta Court, So. Hackensack, NJ (33 1/3 %)	Family Gift	6/1/03	$250,001 - $500,000
I	600 Hollister Road Teterboro, NJ (33 1/3 %)	Family Gift	6/1/03	$100,001 - $250,000
DC Karen	NM Fedl. Natl. Mtg. Assoc.	P	6/19/03	$15,001 - $50,000
DC Karen	NM Gen Motors Accept B	P	6/20/03	$15,001 - $50,000
I	GMAC Smartnote	P	8/13/03	$50,001 - $100,000
I	Ford Motor Credit	P	10/01/03	$15,001 - $50,000
I	GMAC Smartnote	P	5/27/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	Ford Motor Credit Company NTS	P	10/29/03	$15,001 - $50,000
I	Israel St. 7th Dev. Curr	P	10/29/03	$1,001 - $15,000
I	Clinton Twp NJ Bk Entry	S	8/11/03	$15,001 - $50,000
DC Karen	Salomon Smith Barney	S	5/15/03	$1,001 - $15,000
DC Karen	N.M. Ford Motor Credit Co.	P	5/16/03	$15,001 - $50,000
DC Karen	Ford Motor Credit Co.	S	6/16/03	$15,001 - $50,000
DC John	NM Gen Motors Accpt	P	6/20/03	$15,001 - $50,000
I	CPI Indexed Housing Bonds IBH	P	5/21/03 & 5/22/03	$15,001 - $50,000
* I	American Cent. 20th Century Ultra (Public Employee Benefits Co)	E	2/14/03	
I	State of Israel Bond	S	7/1/03	$1,001 - $15,000
I	Puerto Rico Gen Obliga Public Imp	S	12/1/03	$1,001 - $15,000
DC Karen	Heller Financial	S	5/15/03	$15,001 - $50,000

* Exchanged to Fed US Cites Bnd 2-5 Yrs (see p. 11 of 19)

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	P	2/27/03	$1,001 - $15,000
DC John	Steven R. Rothman Irrev. Life Ins. Trust	P	2/27/03	$1,001 - $15,000
I	New Jersey Health Care Facilities	S	7/1/03	$15,001 - $50,000
I	New Jersey Tran Corp. COP	S	4/21/03	$1,001 - $15,000
I	Greenwich NJ Twnshp Cnty Glocester G.O. RF	S	1/15/03	$15,001 - $50,000
I	Englewood NJ, B/E, UT, B/Q	S	7/1/03	$15,001 - $50,000
I	New Jersey ST Ed/FACs Ser C Rev	S	2/18/03	$1,001 - $15,000
I	Middletown Twp NJ Sew Rv FGIC OID	S	01/02/03	$1,001 - $15,000
I	Sussex Cnty NJ Rfdg OID	S	08/01/03	$15,001 - $50,000
DC John	Ford Motor Credit Co	S	06/16/03	$15,001 - $50,000
I	Passaic Cnty NJ	S	04/01/03	$1,001 - $15,000
I	Essex Cty., Genl. Impt.	S	10/01/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	New Jersey St. Ed/FACS	S	10/24/03	$1,001 - $15,000
IRA	Ford Motor Credit Co	S	6/15/03	$1,001 - $15,000
DC John	N.M. Ford Motor Credit Co.	P	5/16/03	$15,001 - $50,000
DC John	NM Fedl. Natl. Mtg. Assoc.	P	6/19/03	$15,001 - $50,000
DC John	Salomon Smith Barney	S	5/15/03	$1,001 - $15,000
I	New Jersey High Ed Asstn Auth	S	10/24/03	$1,001 - $15,000
* I	New Jersey High Ed Assnt Auth	S	4/25/02	$1,001 - $15,000
* I	New Jersey High Ed Asstn Auth	S	10/25/02	$1,001 - $15,000
I	NJ St Trnsp	S	4/21/03	$15,001 - $50,000
I	Trenton NJ FGIC	P	7/8/03	$15,001 - $50,000
I	New Jersey St Tran COP	P	9/15/03	$15,001 - $50,000

* Inadvertently omitted from 2002 Financial Disclosure Form

SCHEDULE V - LIABILITIES

Name Steven R. Rothman

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

* * * N O N E * * *

SCHEDULE VI - GIFTS

Name Steven R. Rothman

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

* * * N O N E * * *

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Steven R. Rothman

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
			Y	Y	Y	

* * * N O N E * * *

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, L.L.C.
Partner	Roan Partnership, L.P.
Twinks Company, L.L.C. is a tenant in common in these two organizations	Folman Company; Rofol Company
Partner	Sustar, L.L.C.
Partner	RNN, L.L.C.

SCHEDULE IX - AGREEMENTS

Name Steven R. Rothman

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

* * * N O N E * * *

STEVEN R. ROTHMAN
9TH DISTRICT, NEW JERSEY

1607 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5061
FAX (202) 225-5851

DISTRICT OFFICES:

25 MAIN STREET
COURT PLAZA
HACKENSACK, NJ 07601-7089
(201) 646-0808
FAX (201) 646-1544

130 CENTRAL AVENUE
JERSEY CITY, NJ 07306-2118
(201) 798-1366
FAX (201) 798-1725

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON APPROPRIATIONS

SUBCOMMITTEE ON THE DEPARTMENTS OF TRANSPORTATION AND TREASURY, AND INDEPENDENT AGENCIES

SUBCOMMITTEE ON FOREIGN OPERATIONS, EXPORT FINANCING AND RELATED PROGRAMS

WEBSITE AND E-MAIL ACCESS:
http://www.house.gov/rothman

(9)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -2 PM 4:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

May 27, 2004

Honorable Jeff Trandahl
Clerk of the House
H-154 Capitol
Washington, DC 20515

Dear Mr. Trandahl:

Due to an administrative error, 8 checking accounts/money market funds of mine were omitted from the 2003 Financial Disclosure I filed on May 10, 2003. Several of these were partnerships, several were for dependent children, and one was an individual account.

I am enclosing an amended Schedule III for your review, reflecting this oversight.

Please contact me at 202/225-5061 if you need additional information.

Thank you for your kind consideration.

Sincerely,

STEVEN R. ROTHMAN, M.C.

SRR:mkf
Enclosure

PRINTED ON RECYCLED PAPER

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I	Fed US G'tscFnd 2-5 YsTs	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	E
IRA	M.L. Bank USA RASP	None	INTEREST	$201 - $1,000	P/S
IRA	N.M. Gen. Motors.Accept B	$1,001 - $15,000	INTEREST	$201 - $1,000	P
IRA	N.M. Fed Natl. Mtg. Assoc.	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I	40 Ruta Court, So. Hackensack, NJ (33 1/3 %)	$100,001 - $250,000	RENT	$15,001 - $50,000	Family Gift
I	600 Hollister Road Teterboro, NJ (33 1/3 %)	$50,001 - $100,000	RENT	$15,001 - $50,000	Family Gift

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

	DC Karen	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	DC Karen	NM Gen Motors Accept B	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	I	State of Israel Bond	None	INTEREST	$1 - $200	S
	I	GMAC Smartnote	$50,001 - $100,000	INTEREST	$2,501 - $5,000	P
	I	Ford Motor Credit	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	I	GMAC Smartnote	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	I	Ford Motor Credit Company NTS	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	I	Israel St. 7th Dev. Curr	$1,001 - $15,000	INTEREST	$1 - $200	P
*	I	Clinton Twp NJ Bk Entry	None	INTEREST	$1,001 - $2,500	S
**	DC John	Salomon Smith Barney	None	INTEREST	$201 - $1,000	S
	DC John	N.M. Ford Motor Credit Co.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	DC John	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	DC John	Ford Motor Credit	None	INTEREST	$1,001 - $2,500	S
	DC John	NM Gen Motors Accpt B	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P

* Inadvertently omitted from 2002 Financial Disclosure Form Schedule III, but appeared in Schedule IV Purchase date, 6/14/02

** Inadvertently omitted from 2000 Financial Disclosure Form -- Purchase date 8/28/00

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	CPI Indexed Housing Bonds IBH	$15,001 - $50,000	INTEREST	$2,501 - $5,000	P
I	American Cent. 20th Century Ultra (Public Employee Benefits Co)	None	DIVIDENDS	NONE	E
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	Turbochef	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I	State of Israel Bond	None	INTEREST	$1 - $200	S
I	State of Israel Bond	None	INTEREST	$1 - $200	S
I	250 North Street, Englewood, NJ 33% owner	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	4 E. Forest Avenue, Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street, South Hackensack, NJ (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court, South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street, South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	425 Victoria Terrace, Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	Dreyfus, NJ Money Mkt fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Fidelity Mun. Money Mkt Fund (1/3 owner)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Roan Mun. Money Mkt Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Southwick Way, Boca Raton, FL (1/3 owner)	$100,001 - $250,000	N/A	NONE	N/A
I	Puerto Rico Gen Obliga Public Imp	None	INTEREST	NONE	S
I	Partner - Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
DC Karen	125 Louis Street, S. Hackensack, NJ (5.5% onwer)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC Karen	Heller Financial	None	DIVIDENDS	$1,001 - $2,500	S
DC Karen	Saloman Smith Barney	None	DIVIDENDS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

DC John	125 Louis Street, S. Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	Bear Stearns	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC John	45 Ruta Court, S. Hackensack, NJ (6% owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
DC John	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
I	New Jersey Health Care Facilities	None	INTEREST	$1,001 - $2,500	S
I	Year End USA Port Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
* I	New Jersey Tran Corp. COP	None	INTEREST	$201 - $1,000	S
I	NJ Transit Corp. Cap Gains	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	NJ HSG Mtg. Fin. Agy. HME	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey St. Gen Obl.Ser.D	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	Mercury Int'l Fd Cl. C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	Greenwich NJ Twnshp Cnty Glocester G.O. RF	None	DIVIDENDS	$1,001 - $2,500	S
I	Englewood NJ, B/E, UT, B/Q	None	DIVIDENDS	$1,001 - $2,500	S

* Inadvertently omitted from 2000 Financial Disclosure Form --- Purchased 11/28/00

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	New Jersey ST Ed/FACs Ser C Rev	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
I	Passaic Cnty NJ Utils A Rv FSA OID	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	Middletown Twp NJ Sew Rv FGIC OID	None	DIVIDENDS	NONE	S
I	Sussex Cnty NJ Rfdg OID	None	DIVIDENDS	NONE	S
IRA	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
DC Karen	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
DC John	Ford Motor Credit Co	None	DIVIDENDS	NONE	S
I	Passaic Cnty, NJ	None	INTEREST	NONE	S
I	Essex Cty., Genl. Impt.	None	INTEREST	NONE	S
* I	New Jersey St. Ed/FACS - Princeton	None	INTEREST	$201 - $1,000	N/A
I	Essex Cty, NJ Util Auth	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	Essex Cty, NJ SR A Ambac	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey Envir Infras.	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC Karen	NJ State Transp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A

* Inadvertently omitted from 2002 Financial Disclosure Form -- Purchased 9/5/02

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

	DC Karen	NJ State Transp. Tr	$1,001 - $15,000	INTEREST	$1 - $200	N/A	
	DC Karen	NJ HCFF at RVS	$1,001 - $15,000	INTEREST	$1 - $200	N/A	
	DC John	NJ State Transp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A	
	DC John	NJ EDA Mkt FAC	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A	
	I	NJ St. Trnsp. Tr	None	INTEREST	NONE	S	
	IRA	Conseco Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A	
	IRA	CD MBNA America Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A	
*	I	New Jersey High Ed Asstn	None	INTEREST	NONE	S	
*	I	New Jersey High Ed Asstn	None	INTEREST	NONE	S	
	I	New Jersey High Ed Assnt	None	INTEREST	NONE	S	
	I	NJ St Trnsp	None	INTEREST	NONE	S	
	I	Trenton NJ FGIC	$15,001 - $50,000	INTEREST	$201 - $1,000	P	
	I	Columbia Bank	$1,001 - $15,000	NONE	NONE	N/A	NEW
	DC Karen	Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A	NEW

* Originally set forth in 1998 Financial Disclosure Form: Purchase $50,000 -- 2/3/98 but inadvertently omitted from 2001 and 2002 Reports

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

DC John	Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A	NEW
Partner	Twinks Checking Account - Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A	NEW
Partner	Dreyfus NJ Money Mkt	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A	NEW
DC Karen	Dreyfus NJ Money Mkt Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A	NEW
DC John	Dreyfus NJ Money Mkt Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	NA	NEW
Partner	Twinks LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A	NEW
Partner	RNN LLC Columbia Bank	$1,001 - $15,000	NONE	NONE	N/A	NEW
Partner	Sustar LLC Columbia Bank	$1,001 - $15,000	NONE	NONE	N/A	NEW

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 AM 11:03
CLERK OF THE HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Lucille Roybal-Allard
(Full Name)

2330 Rayburn House Office Building Washington, DC 20515 202/225-1766
(Mailing Address) Daytime Telephone:

Filer Status	[x] Member of the U.S. House of Representatives	State: CA District: 34	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lucille Roybal-Allard	5/13/04

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Roybal Foundation	Spouse Salary	N/A
	Tomas Rivera Policy Institute	Spouse Consulting Fee	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Lucille Roybal-Allard	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Lucille Roybal-Allard

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify. For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp Stock	X	X	X	P
	Simon & Schuster	Indefinite	Royalties		
	1st Bank of Paducah, KY accounts	X	X	X	
JT	Congressional Federal Credit Union (Checking)	X	X	X	
SP	Bank of America (SEP)	X	N/A	N/A	
SP	Navy Federal Credit Union (SEP)	X	N/A	N/A	
SP	Navy Federal Credit Union (Savings)	X	X	X	
SP	Wells Fargo Banking (Checking)	X	X	X	
SP	United Way Credit Union	X	X	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lucille Roybal-Allard

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: None	$1,001 – $15,000	$50,001 – $100,000	$250,001 – $500,000	$1,000,001 – $5,000,000	$25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: None	$201 – $1,000	$2,501 – $5,000	$15,001 – $50,000	$100,001 – $1,000,000	Over $5,000,000	BLOCK E Transaction: P, S, E
SP	United Way of America Retirement Fund			X					N/A				N/A						
SP	United Way of Los Angeles Retirement Fund (LA,CA)		X						N/A				N/A						
SP	Pentagon Fed. Credit Union		X						X					X					

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SCHEDULE IV — TRANSACTIONS

Name Lucille Roybal-Allard | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10 12-03		X								
	N/A														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,000–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	United States Marine Corp CODEL REP. David Dreier	Mar. 21-22	DC (AFB) - March, CA (AFB)-DC (AFB)	Y	Y	Y	N
	Committee on Appropriations CODEL REP. Jerry Lewis	July 26-Aug. 5	DC (AFB) - Poland - Portugal - England - DC (AFB)	Y	Y	Y	N
	Sony Entertainment Inc./ Cong. Black Caucus Foundation	Oct. 24-26	DC - San Juan, PR - DC	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	Please see attached.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

Congresswoman Lucille Roybal-Allard

BOARDS AND ADVISORY COUNCILS
2003

-Angelus Plaza Activity Center Advisory Council

-Art Share Los Angeles Advisory Board

-Arthritis Foundation Southern California Chapter's Spanish Language Arthritis Empowerment Program Advisory Committee

-Azusa Street Memorial Committee Advisory Board

-Belmont Community Adult School Advisory Council

-California State University, Los Angeles
Edward R. Roybal Center for Applied Gerontology Advisory Board

-Congressional Hispanic Caucus Institute Board

-Downtown Arts Development Association Advisory Board

-East LA Occupational Center Advisory Council

-Gage Middle Healthy Start Collaborative Executive Advisory Committee

-HIV/AIDS Community Advisory Council

-Human Services Association Board of Directors

-Huntington Park-Bell-Gage Community Adult School Advisory Council

-Korean American Coalition Honorary Board Member

-Las Madrinas of Ramona High School

-L.A. County /USC Medical Center Advisory Council

-NALEO Educational Fund Board

-Neighborhood Music Settlement Advisory Council

-Pacific Asian Consortium for Employment (PACE) Youth Build Advisory Committee

-Para Los Ninos Advisory Board

-Rio Hondo Boys & Girls Club Advisory Council

-Roosevelt Community Adult School Advisory Council

-Padres Contra Cancer Advisory Council

-USC Military Advisory Council/Department of Military Science

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Page [illegible]

HAND DELIVERED
6

2004 MAY 17 PM 4:10

(Office Use Only)

MC

EDWARD RANDALL ROYCE
(Full Name)

2202 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-4111
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 40	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward R Royce	5/14/04

4341

SCHEDULE I - EARNED INCOME

Name EDWARD RANDALL ROYCE

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
STATE OF CALIFORNIA - Educator, Cal Poly Pomona	SPOUSE SALARY	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name EDWARD RANDALL ROYCE

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	AOL (Spouse IRA)	$1,001 - $15,000		NONE	
SP	CISCO (Spouse IRA)	$1,001 - $15,000		NONE	
SP	EMC (Spouse IRA)	$1,001 - $15,000		NONE	
SP	GENERAL ELECTRIC (Spouse IRA)	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	PROCTOR GAMBLE (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	CASH/MONEY FUND (Spouse IRA)	$15,001 - $50,000	INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name EDWARD RANDALL ROYCE

SP	NOVELLUS (Spouse IRA)	$1,001 - $15,000		NONE	P
SP	CANCERVAX CORP. (Spouse IRA)	$1,001 - $15,000		NONE	P
SP	SEMI CONDUCTOR HOLDERS TRUST DEPOSITORY RECEIPT (Spouse IRA)	$1,001 - $15,000		NONE	P
SP	T. ROWE PRICE NEW HORIZONS MUTUAL FUND	None	DIVIDENDS	$15,001 - $50,000	S

SCHEDULE IV - TRANSACTIONS

Name EDWARD RANDALL ROYCE

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	NOVELLUS (Spouse IRA)	P	12-01-03	$1,001 - $15,000
SP	CANCERVAX CORP. (Spouse IRA)	P	12-04-03	$1,001 - $15,000
SP	SEMI CONDUCTOR HOLDERS TRUST DEPOSITORY RECEIPT (Spouse IRA)	P	12-04-03	$1,001 - $15,000
SP	T. ROWE PRICE NEW HORIZONS MUTUAL FUND	S	04-15-03	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name EDWARD RANDALL ROYCE

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
PUBLIC GOVERNANCE INSTITUTE	DEC. 5-7	ORANGE COUNTY, CA - PALM SPRINGS, CA - ORANGE COUNTY, CA	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 PM 2:30

(Office Use Only)

Charles Albert Dutch Ruppersberger
(Full Name)

10 Highfield Court
Cockeysville, MD. 21030
(Mailing Address)

202-225-3061
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: MD District: 02	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C.A. Dutch Ruppersberger	5/14/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Summer Beach 608 Ocean City, MD	$250,001 - $500,000	RENT	NONE	
	Rupp & Assoc. Inc Timonium, MD (TRUST)	$250,001 - $500,000	(TRUST)	$100,001 - $1,000,000	
JT	Bank of America	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	
JT	First Mariner	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Solomon Smith Barney	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
JT	Legg Mason	$500,001 - $1,000,000	IRA	NA	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

	Baltimore Co. Retirement	$100,001 - $250,000	PENSION	$50,001 - $100,000	

SCHEDULE IV - TRANSACTIONS

Name Charles Albert Dutch Ruppersberger

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Anteus Group Inc. Timonium, MD	Other Bankruptcy	3-7-03	LOSS
JT	Deutsche Bank	Other Closed account	8-9-02	
JT	Provident Bank	Other Closed account	8-9-02	
JT	First Union	Other Closed account	1-1-02	

SCHEDULE V - LIABILITIES

Name Charles Albert Dutch Ruppersberger

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo Home Mortgage	Mortgage Refinance on 10 Highfield Ct. Cockeysville, MD 21030	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Charles Albert Dutch Ruppersberger

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of Maryland Medical Systems Shock Trauma
Board Member	Maryland State Fair

SCHEDULE IX - AGREEMENTS

Name Charles Albert Dutch Ruppersberger

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8/24/75	Balimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan (Effective 8/24/75)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(6) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 15 PM 5:05
MC
U.S. HOUSE OF REPRESENTATIVES

Bobby Lee Rush
(Full Name)

3534 So. Calumet Avenue
Chicago, Ill 60653
(Mailing Address)

202-225-4372
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: IL District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bobby L Rush	6-14-04

4354

Name Bobby L. Rush | Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	TREASURER's Office, State of Ill	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

4355

Name Bobby Lee Rush | Page 3 of 4

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Cable Television Assn	6/6/2003 6/10/2003	WASH, D.C. – Chicago ~~Wa~~ CHICO, – WASH, DC.	N	N	N	N

This page may be copied if more space is required.

Name Bobby L. Rush | Page 4 of 4

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Bd of Directors	Rebirth of Englewood Local Development Corp

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERI
FOIA/LEGISLATIVE RESOURCE CENTER
2004 JUN -3 PM 4:54 mc
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

PAUL D. RYAN
(Full Name)

P.O. BOX 2194 JANESVILLE, WI 53547-2194
(Mailing Address)

202.225.3031
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: WI District: 1	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul D. Ryan	6/1/04

4359

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
RYAN LIMITED PARTNERSHIP (RLP), INVESTMENT, MADISON, WI (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$100,001 - $250,000	Other: PARTNERSHIP INCOME	NONE	
RLP-FIRST AMERICAN SMALL CAP SELECT FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
RLP-FIRST AMERICAN SMALL CAP GROWTH FUND Y	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
RLP-FIRST AMERICAN MID CAP GROWTH FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
RLP-ACCENTURE, LTD. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

RLP-DELL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
RLP-FEDEX CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-MARSHALL & ILLSLEY CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-MCGRAW-HILL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-MERCK & CO., INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-WAL-MART STORES, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
RLP-FIRST AMERICAN INTERMEDIATE TERM BOND FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
RLP-VANGUARD GNMA FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
RLP-US TREASURY NOTE (11/15/04)	$1,001 - $15,000	INTEREST	$201 - $1,000	
RLP-US TREASURY I BOND (1/15/07)	$1,001 - $15,000	INTEREST	$1 - $200	
RLP-FIRST AMERICAN PRIME OBLIGATION FUND Y	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-SCHWAB ONE MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-ALTRIA GROUP, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-SECTOR SPDR ENERGY SELECT FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
JT	JOHNSON BANK - CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	NONE	
JT	JOHNSON BANK - MONEY MARKET ACCOUNT	$15,001 - $50,000	INTEREST	$1 - $200	
	RYAN-HUTTER INVESTMENT PARTNERSHIP (RHIP), INVESTMENT, FT. LAUDERDALE, FL (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$250,001 - $500,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500	
	RHIP-H&R BLOCK FINANCIAL ADVISORS MONEY MARKET	$50,001 - $100,000	INTEREST	$1 - $200	
	RHIP-BANK OF NEW YORK CO., INC. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-BANK OF AMERICA CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	RHIP-BRISTOL MYERS SQUIBB CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-FANNIE MAE VOTING STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	RHIP-GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RHIP-HOME DEPOT, INC. STOCK	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	RHIP-IBM CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RHIP-PROCTER & GAMBLE CO. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RHIP-WELLS FARGO & CO. STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	RHIP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE
	SCHWAB MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200
	GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200
	JANUS WORLDWIDE FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	HARTFORD CAPITAL APPRECIATION FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	HARTFORD DISCIPLINED EQUITY FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	HARTFORD GLOBAL HEALTH FUND B	$1,001 - $15,000	CAPITAL GAINS	$1 - $200
SP	HARTFORD GLOBAL LEADERS FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	HARTFORD GLOBAL TECHNOLOGY FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	HARTOFRD MIDCAP FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	HARTFORD STOCK FUND B	$1,001 - $15,000	DIVIDENDS	NONE
SP	EDWARD JONES MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	AMERICAN INTERNATIONAL GROUP STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	BANK ONE CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	BAXTER INTERNATIONAL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	DUKE REALTY CORP. STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	EDWARDS LIFESCIENCES CORP. STOCK	$1,001 - $15,000	DIVIDENDS	NONE
SP	INTEL CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	MELLON FINANCIAL CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	SOUTHERN CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	TENET HEALTHCARE STOCK	$1,001 - $15,000	DIVIDENDS	NONE
SP	TXU CORP. STOCK	$1,001 - $15,000	CAPITAL GAINS	$1 - $200
SP	VERIZON COMMUNICATIONS STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	FIDELITY CONTRAFUND FUND	$15,001 - $50,000	DIVIDENDS	$1 - $200
SP	JANUS WORLDWIDE FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	LANDMARK BANK SAVINGS ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200
SP	T. ROWE PRICE EQUITY INCOME FUND	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	AVA O LIMITED CO., MINING, MADILL, OK (7.69% INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500
SP	RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK (7.41 % INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$15,001 - $50,000
SP	LAND OIL CO., LTD. (LOCL), INVESTMENT, MADILL, OK (0.80843% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$2,501 - $5,000
SP	LOCL-LANDMARK BANK CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200
SP	LOCL-ALLIANCE BERNSTEIN CAPITAL RESERVES MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	LOCL-GRAND RIVER DAM AUTHORITY (OK) BONDS	$1,001 - $15,000	INTEREST	$201 - $1,000
SP	LOCL-OK STATE MUNICIPAL POWER AUTHORITY POWER SUPPLY SYSTEM BONDS	$1,001 - $15,000	INTEREST	$1 - $200
SP	LOCL-NOTE RECEIVABLE FROM J.B. COLEMAN, KINGSTON, OK	$1,001 - $15,000	INTEREST	NONE
SP	LOCL-INTEREST IN COURAGE SPECIAL SITUATION FUND, LP, INVESTMENT, NASHVILLE, TN	$1,001 - $15,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500
SP	LOCL-REAL ESTATE IN ADA, OK	$1,001 - $15,000	RENT	$1 - $200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	LOCL-INTEREST IN RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK	$1,001 - $15,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	RLP-ALTRIA GROUP, INC. STOCK	P	12/26/03	$1,001 - $15,000
	RLP-BAXTER INTERNATIONAL, INC. STOCK	S	3/13/03	$1,001 - $15,000
	RLP-IBM CORP. STOCK	S	6/3/03	$1,001 - $15,000
	RLP-SPDR TRUST SERIES 1 FUND	S	1/10/03	$1,001 - $15,000
	RLP-ECOLAB, INC. STOCK	P	6/4/03	$1,001 - $15,000
	RLP-FEDEX CORP. STOCK	P	6/4/03	$1,001 - $15,000
	RLP-FIRST AMERICAN INTERMEDIATE TERM BOND FUND Y	P	VARIOUS	$1,001 - $15,000
	RLP-FIRST AMERICAN SMALL CAP SELECT FUND Y	P	VARIOUS	$1,001 - $15,000
	RLP-FIRST AMERICAN MID-CAP GROWTH FUND Y	P	VARIOUS	$1,001 - $15,000
	RLP-LEGG MASON, INC. STOCK	P	6/4/03	$1,001 - $15,000
	RLP-MCGRAW-HILL, INC. STOCK	P	3/17/03	$1,001 - $15,000
SP	LOCL-STATE OF OKLAHOMA BOND	MATUR	7/15/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	LOCL-TULSA, OK PUBLIC FACILITIES BOND	MATUR	11/3/03	$1,001 - $15,000
SP	LOCL-UNIVERSITY OF OKLAHOMA BOND	MATUR	12/1/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name PAUL D. RYAN

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	JOHNSON BANK	MORTGAGE ON 221 E. HOLMES, JANESVILLE, WI	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name PAUL D. RYAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
THE FAITH & POLITICS INSTITUTE	MAR. 7-9	DC-MONTGOMERY, AL-DC	Y	Y	Y	NO

SCHEDULE VIII - POSITIONS

Name PAUL D. RYAN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
LIMITED PARTNER	RYAN LIMITED PARNERSHIP
GENERAL PARTNER	RYAN-HUTTER INVESTMENT PARTNERSHIP

May 10, 2004

Chairman of the Committee on Standards of Official Conduct
Washington, DC

Dear Chairman:

I would like to request a ninety (90) day extension for the filing of my 2003 Financial Disclosure Statement to August 15, 2004. I am requesting this extension to enable me to file a complete and accurate statement.

Sincerely,

Rep. Paul D. Ryan
Wisconsin, 1st District

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 18 PM 5:14

MC

Tim John Ryan (Timothy J. Ryan)
(Full Name)

1504 Taft Avenue, Niles, OH, 44446
(Mailing Address)

330-544-6097
Daytime Telephone

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: OH District: 17	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Ryan Tim & Julie Ryan	5/17/04

Tim Ryan OH-17

Name Tim + Julie Ryan Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	United States Government	Salary	158,100
	Mathews Media Group		NA
	Tim Ryan for Congress		NA

For payments to charity in lieu of honoraria, use Schedule II.

Tim RYAN - OH·17

Name Tim + Julie Ryan

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		None; $1 - $1,000; $1,001 - $15,000; $15,001 - $50,000; $50,001 - $100,000; $100,001 - $250,000; $250,001 - $500,000; $500,001 - $1,000,000; $1,000,001 - $5,000,000; $5,000,001 - $25,000,000; $25,000,001 - $50,000,000; Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None; $1 - $200; $201 - $1,000; $1,001 - $2,500; $2,501 - $5,000; $5,001 - $15,000; $15,001 - $50,000; $50,001 - $100,000; $100,001 - $1,000,000; $1,000,001 - $5,000,000; Over $5,000,000	P, S, E
SP, DC, JT — *Examples:* SP — Mega Corp. Stock		X	DIVIDENDS	X	P
DC — Simon & Schuster		Indefinite	Royalties	X	
JT — 1st Bank of Paducah, KY accounts		X	INTEREST	X	
JT CISCO (Stock)		X			
JT Panera (Stock)		X			
JT Morgan Stanley Money Market		X			
JT Wright Patman	X				
JT 2nd Nat. Bank	X				
JT Thrift Savings		X			

For additional assets and unearned income, use next page.

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Tim Ryan OH-17

SCHEDULE IV — TRANSACTIONS

Name Tim + Julie Ryan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	CISCO	X			12-10-03	X									
JT	Panera Bread	X			12-10-03	X									

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Tim Ryan

Name Tim + Julie Ryan | Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Faith + Politics (TR)	9/12+13	Wash D.C. → Potomac MD	Y	Y	Y	N
	~~Faith + Politics (TR)~~	~~[illegible]~~	~~[illegible]~~	~~Y~~	~~Y~~	~~Y~~	~~N~~

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4377

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Tim Ryan (Full Name)

1504 Taft Ave (Mailing Address)

Niles, OH

(202) 225-5261 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: OH District: 17	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Ryan	6/14/04

4378

Name Tim Ryan | Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	MATTHEWS Media GROUP, ROCKVILLE, MD	Spouse Salary	NA
	Tim RYAN FOR CONGRESS	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Tim RyAN

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples.* Mega Corp Stock					X								X										X								P
SP, DC, JT	*Examples.* Simon & Schuster			Indefinite																Royalties									X			
SP, DC, JT	*Examples.* 1st Bank of Paducah, KY accounts						X									X									X							
JT	CISCO (Stock)			X										X								X										P
JT	PANERA (Stock)			X										X								X										P
[redacted]																																
	OHIO Public Employees Retirement System			X																					X							S

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Tim Ryan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–03		X								
JT	CISCO STOCK	X			12-10-03	X									
JT	PANERA BREAD STOCK	X			12-10-03	X									

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Name TIM RYAN | Page 5 of 5

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	FAITH & POLITICS SEMINAR	9-12-03 - 9-13-03	WASH, DC — POTOMAC, MD — WASH, DC	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(6)

2004 MAY 14 PM 2:34

(Office Use Only) MC

Jim Ryun
(Full Name)

16718 13th Street
(Mailing Address)

202/225-6601
Daytime Telephone

Lawrence, KS 66044

Filer Status	[X] Member of the U.S. House of Representatives	State: KS District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Ryun	5/13/04

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Name	Page
Jim Ryun	2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Foot Locker	Approved Autograph Signing	$2500
	Second Baptist Church, Springfield, MO	Approved Autograph Signing	$1500
	Christian Center, Bentonville, AR	Spouse Speech	$500
	Jim Ryun Ministries	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Jim Ryun

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp Stock			X				X										
	Simon & Schuster		Indefinite								Royalties					X		P
	1st Bank of Paducah, KY accounts								X									
	Congressional Federal CU Money Market								X				X					
	Bank of America Money Market								X									
	Ron Blue IRA										N/A							
	Destiny Image Publishing		I	GF	ITG						ROYALTIES							
	Estate of Wilma Ryun		I	GR	NC						ESTATE DISTRIBUTION				X			
	132 D Street, Wash DC				X													

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Name Jim Ryun | Page 4 of 6

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[redacted]	C $15,001–$50,000	[redacted]	E $100,001–$250,000	[redacted]	G $500,001–$1,000,000	[redacted]	I $5,000,001–$25,000,000	[redacted]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Mortgage	Mortgage on 132 D St. Wash, DC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NASDAQ	Mar 28-31	Wash, DC - Key Biscayne, FL - Wash DC	Y	Y	Y	N
	Global Connections International	Nov 9-12	Wash, DC - Managua, Nicaragua - Wash, DC	Y	Y	Y	N
	Harding University	Nov 14-16	Wash, DC - Little Rock, AR - Wash, DC	Y	Y	N	N
	Second Baptist Church	Dec 5-6	Pitt, KS - Springfield, MO - Kansas City	Y	Y	Y	N
	Foot Locker	Dec 11-14	Wash, DC - San Diego, CA - Wash, DC	Y	Y	Y	N

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Name Jim Ryun | Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Jim Ryun Sports Camps, Inc

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jim Ryun

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Fidelity Cash Reserves (IRA)			X																N/A	X											P
	Fidelity Spartan US Equity Index (IRA)				X												X								X							P
	Harbor Capital Appreciation (IRA)			X													X							X								P
	Managers Special Equity (IRA)				X												X									X						P
	T Rowe Price Equity Income (IRA)			X													X								X							P
	Cohen + Steers Realty (IRA)			X													X							X								P
	Eaton Pacific Growth F (IRA)				X												X									X						P
	PIMCO Low Duration Bond D (IRA)			X																N/A	X											P
	PIMCO Total Return Bond D (IRA)			X																N/A	X											P
	Western Asset Core Plus (IRA)			X																N/A	X											P

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SCHEDULE IV — TRANSACTIONS

Name Jim Ryun | Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ~~$1,001–$15,000~~	C $15,001–$50,000	D ~~$50,001–$100,000~~	E $100,001–$250,000	F ~~$250,001–$500,000~~	G $500,001–$1,000,000	H ~~$1,000,001–$5,000,000~~	I $5,000,001–$25,000,000	J ~~$25,000,001–$50,000,000~~	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X		X	10–12–03		X								
	Russle Life Pts Aggressive S Fund (Mutual Fund IRA)		X		4-14-03				X						
	Managers Special Equity (Mutual Fund IRA)	X			4-14-03	X									
	T Rowe Price Equity Income (Mutual Fund IRA)	X			4-14-03	X									
	Cohen + Steers Realty (Mutual Fund IRA)	X			4-14-03	X									
	Euro Pacific Growth F (Mutual Fund IRA)	X			4-14-03		X								
	PIMCO Total Return Bond D (Mutual Fund IRA)	X			4-14-03	X									
	Western Asset Core Plus (Mutual Fund IRA)	X			5-7-03	X									
	PIMCO Low Duration Bond D (Mutual Fund IRA)	X			6-13-03	X									
	Fidelity Spartan US Equity Index (Mutual Fund IRA)	X			6-26-03		X								
	Harbor Capital Appreciation (Mutual Fund IRA)	X			6-27-03	X									

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JIM RYUN
2D DISTRICT, KANSAS

COMMITTEES
ARMED SERVICES
FINANCIAL SERVICES
BUDGET

WASHINGTON OFFICE
2433 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-1602
(202) 225-6601
FAX: (202) 225-7986
www.ryun.house.gov

DISTRICT OFFICES:
600 SW JACKSON STREET
SUITE 100
TOPEKA, KS 66612
(785) 232-4500
FAX: (785) 232-4512

THE STILWELL HOTEL, SUITE A
701 NORTH BROADWAY
PITTSBURG, KS 66762
(620) 232-6100
FAX: (620) 232-6105

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

HAND DELIVERED

June 3, 2004

✓
MC

The Honorable Jeff Trandahl
Clerk of the House of Representatives
B106 Cannon HOB
Washington, DC 20515

Dear Clerk Trandahl,

Enclosed please find an amended schedule III and a new schedule IV to my 2003 Financial Disclosure Report.

Sincerely,

Jim Ryun

2004 JUN -4 PM 1:46

PRINTED ON RECYCLED PAPER

Name JIM RYUN | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None (A)	$1 - $1,000 (B)	$1,001 - $15,000 (C)	$15,001 - $50,000 (D)	$50,001 - $100,000 (E)	$100,001 - $250,000 (F)	$250,001 - $500,000 (G)	$500,001 - $1,000,000 (H)	$1,000,001 - $5,000,000 (I)	$5,000,001 - $25,000,000 (J)	$25,000,001 - $50,000,000 (K)	Over $50,000,000 (L)	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None (I)	$1 - $200 (II)	$201 - $1,000 (III)	$1,001 - $2,500 (IV)	$2,501 - $5,000 (V)	$5,001 - $15,000 (VI)	$15,001 - $50,000 (VII)	$50,001 - $100,000 (VIII)	$100,001 - $1,000,000 (IX)	$1,000,001 - $5,000,000 (X)	Over $5,000,000 (XI)	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts						X								X																
	Congressional Federal Cu Money Market					X											X							X									
	Bank of America Money Market					X											X						X										
	Destiny Image Publishing				INDEFINITE																Royalties						X						
	ESTATE OF WILMA RYUN		X		(INTER-TRACC)																Estate Distribution							X					
	132 D Street, WASH, DC								X							X									X								
	Russell Life Pts Aggressive S Fund (IRA)		X															X									X						S

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 4:37
(Office Use Only)

Martin Olav Sabo
(Full Name)

2336 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-4755
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: MN, District: 05	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Martin Olav Sabo	5-13-2004

4393

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Martin Olav Sabo

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
State of MN Retirement Plan	None	Annuity	$5,001 - $15,000	NA
Lutheran Brotherhood IRA	$1,001 - $15,000	Dividens	NONE	NA
Farm Land - North Dakota	$50,001 - $100,000	Rent & Crops	$5,001 - $15,000	NA
Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	NA
A&P Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
MOSAIC Money Fund	$1,001 - $15,000	INTEREST	$1 - $200	NA

4394

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Martin Olav Sabo

SP	Northern States Power (XCEL Stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	NA
SP	Mass Mutual	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
SP	Paine Weber IRA	$50,001 - $100,000	INTEREST	NONE	NA
SP	Intel	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	CISCO	$1,001 - $15,000	None	NONE	NA
SP	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	Congressional Federal Credit Union Certificate	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Congressional Federal Credit Union Certificate	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Statoil Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	NA

MARTIN OLAV SABO
5th District, Minnesota

2336 Rayburn House Office Building
Washington, D.C. 20515
(202) 225-4755

Commerce at the Crossings #286
250 Second Avenue South
Minneapolis, Minnesota 55401
(612) 664-8000

http://www.house.gov/sabo/

Congress of the United States
House of Representatives
Washington, D.C. 20515

COMMITTEE ON APPROPRIATIONS

Subcommittees:

Homeland Security (Ranking Member)

Defense

Commerce, Justice, State, and Judiciary

3

MC

June 2, 2004

The Honorable Jeff Trandahl
The Clerk, U.S. House of Representatives
B106 Cannon House Office Building
Washington, D.C. 20515-6612

Dear Mr. Trandahl:

Enclosed please find a revised Schedule III to my Financial Disclosure Statement for 2003.

Sincerely,

Martin Olav Sabo
Member of Congress

Enclosure

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -3 AM 10: 31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

THIS PAPER MADE WITH RECYCLED FIBERS — PLEASE RECYCLE

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LEGISLATIVE RESOURCE CENTER
2004 JUN -3 AM 10: 31
U.S. HOUSE OF REPRESENTATIVES

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Martin Olav Sabo

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
State of MN Retirement Plan	None	Annuity	$5,001 - $15,000	NA
Lutheran Brotherhood IRA (LB GROWTH FUND)	$1,001 - $15,000	DIVIDENDS	NONE	NA
Farm Land - North Dakota	$50,001 - $100,000	Rent & Crops	$5,001 - $15,000	NA
Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	NA
A&P Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
MOSAIC Money Fund	$1,001 - $15,000	INTEREST	$1 - $200	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Martin Olav Sabo

SP	Northern States Power (XCEL Stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	NA
SP	Mass Mutual	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
SP	Intel	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	CISCO	$1,001 - $15,000	None	NONE	NA
SP	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
SP	Congressional Federal Credit Union Certificate	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Congressional Federal Credit Union Certificate	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Statoil Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	NA
SP	UBS Pace Large Cap Growth Fund IRA	$15,001 - $50,000	INTEREST	NONE	NA
SP	UBS Large Cap Value Fund IRA	$15,001 - $50,000	INTEREST	NONE	NA
SP	UBS Pace International Equity Fund IRA	$1,001 - $15,000	INTEREST	NONE	NA
SP	UBS Small Medium Growth Fund IRA	$1,001 - $15,000	INTEREST	NONE	NA
SP	UBS Small Medium Value Fund IRA	$1,001 - $15,000	INTEREST	NONE	NA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(8)

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 6:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)
MC

LINDA T. SANCHEZ
(Full Name)

20619 WARDHAM AVE.
LAKEWOOD, CA 90715
(Mailing Address)

(202) 225-6676
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: CALIF District: 39	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Linda T. Sanchez	5-17-03

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DEADLINE DESIGNS	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
MOUNT ST. MARY'S COLLEGE, LA, CA	SPEECH	5-10-03	$ 500.00

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X																			P
	Simon & Schuster			Indefinite																Royalties									X				
	1st Bank of Paducah, KY accounts						X									X									X								
JT	CAPSTONE TURBINE			X										X							X												P
JT	EXTREME NETWORKS			X										X							X												P
JT	CONEXANT SYSTEMS			X										X							X												P
JT	ORCHID BIOSCIENCE	X																							X								S

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name LINDA T. SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	EXTREME NETWORKS, INC	X			2-12-03	X									
JT	EXTREME NETWORKS, INC.	X			4-14-03	X									
JT	EXTREME NETWORKS	X			6-16-03	X									
JT	CAPSTONE TURBINE	X			8-15-03	X									
JT	ORCHID BIOSCIENCE	X			8-18-03	X									
JT	CONEXANT SYSTEMS, INC.	X			11-3-03	X									
JT	ORCHID BIOSCIENCE		X		9-17-03	X									

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Name LINDA T. SANCHEZ | Page 6 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NELNET, COLORADO	STUDENT LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
PLANNED PARENTHOOD KEYNOTE SPEECH	3-28-03	WASH., D.C. – PORTLAND, OR	Y	N	N	N
HISPANIC WOMENS NETWORK OF TEXAS – KEYNOTE SPEECH	July 13-14	LOS ANGELES, CA – DALLAS, TX	Y	Y	N	N
AMERICAN-ISRAEL EDUCATION FOUNDATION – FACT-FINDING TOUR	Aug. 2-10	LOS ANGELES, CA – N.Y., N.Y. – ISRAEL	Y	Y	N	N
CONGRESSIONAL TRI-CAUCUS LEGISLATIVE CONFERENCE	Oct. 24-26	MEXICO CITY, MEX. – SAN JUAN PR.	Y	Y	N	N
PUBLIC GOVERNANCE INSTIT. CA MEMBER BI-PARTISAN RETREAT	Dec. 5-7	LAKEWOOD, CA – PALM SPRINGS, CA	Y	Y	Y	N

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Name LINDA T. SANCHEZ | Page 8 of 8

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	IBEW PENSION	VESTED PENSION TO BE PAID UPON RETIREMENT.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

21 HAND DELIVERED

2004 MAY 17 PM 4:15

(Office Use Only) MC

LORETTA SANCHEZ
(Full Name)

1230 LONGWORTH
(Mailing Address)

(202) 225-2965
Daytime Telephone

WASHINGTON DC 20515

Filer Status	☒ Member of the U.S. House of Representatives — State: CA District: 47	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Loretta Sanchez	05-15-04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
PACIFIC AMERICAN SECURITIES, INC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
Examples: XYZ Magazine	Article	Aug. 13, 2003	$500
All American Girl - TV Reality Series	Appearance	Feb 2003	$500 -

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name LORETTA SANCHEZ

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	*Examples:*	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Indefinite	DIVIDENDS: X; INTEREST: X; Royalties		P
SP HOUSE-1624 VIA ARRIBA	X	RENT: X	VIII	
JT OC TEACHERS FED CREDIT	X	INTEREST: X	III	
-SAVINGS & CHECKING	X	INTEREST: X	III	
SP AMERICAN LIFE ANNUITY	X	INTEREST: X	VI	
JT CROWELL, WEEDON-SAVINGS	X	INTEREST: X	IV	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LORETTA SANCHEZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	CROWELL, WEEDON - (IRA)		X						X				X						
	- ATT CORP		X						X				X						S
	- ATT WIRELESS SERV		X						X				X						S
	- COMCAST CORP CLASS A		X						X			X							
JT	CROWELL, WEEDON																		
	- INTEL CORP		X					X	X			X							
	- SIGNAL HILL BOND								X				X						
	- CISCO SYSTEMS, INC.		X					X	X			X							
	- CITIGROUP INC.		X					X	X			X							
	- AMERICAN INDEPENCE CORP		X						X						X				S
JT	CROWELL, WEEDON - SAVINGS			X					X					X					
JT	PACIFIC AMERICAN SECURITIES																		
	- LACTES BOND		X						X				X						
	- CORNING INC.		X					X	X			X							
	- WORLD SALES & MERCH	X							X			X							
	- CERADYNE INC			X					X			X							P
	- MICROSOFT CORP COM		X						X			X							P
	- SAVINGS			X					X				X						
JT	CONGRESSIONAL CREDIT UNION	X							X				X						
SP	CROWELL, WEEDON (IRA)																		
	- ORACLE CORP		X						X			X							
	- CASH			X					X				X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name LORETTA SANCHEZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	-ATT WIRELESS SERV.		X						X						X				S
	-BANK OF AMERICA CORP		X					X				X							
	-COMCAST CORP CLASS A		X						X			X							
SP	CROWELL, WEEDON																		
	CLOSED - ASSETS TRANS REL TO PACIFIC AMERICAN ACCT BELOW																		
SP	PACIFIC AMERICAN																		
	- CASH	X							X										
	- DISNEY WALT CO		X							X			X						
	- RESERVE PETROLEUM		X							X				X					S
	- BEI TECHNOLOGIES INC			X						X		X							S
	- CERADYNE INC			X						X		X							P
	- TAIWAN SEMICONDUCTOR		X							X		X							P
	~~[illegible] FLUIDS IRA~~																		
JT	MORGAN STANLEY (IRA)																		
	- CASH			X					X				X						
SP	TRISTAR CAPITAL COMMON			X						X		X							
JT	TRISTAR CAPITAL COMMON			X						X		X							
SP	PACIFIC AMERICAN COMMON			X					X			X							
SP	FRANCESCA'S RESTAURANT	X	BANKRUPT, TOTAL LOSS RECORDED																

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
JT	ATT CORP		X		01-25-03	X									
↑	ATT WIRELESS SERVICE		X		02-05-03	X									
	AMERICAN INDEPENDENCE CORP		X		05-20-03	X									
↓	CERADYNE INC	X			12-03-03		X								
JT	MICROSOFT INC	X			07-29-03	X									
SP	ATT WIRELESS SERVICE		X		02-05-03	X									
↑	DISNEY WALT CO		X		04-17-03	X									
	RESERVE PETROLEUM		X		04-17-03	X									
	BEI TECHNOLOGIES INC	X			12-08-03		X								
↓	CERADYNE INC	X			12-23-03		X								
SP	TAIWAN SEMICONDUCTOR	X			11-12-03	X									
SP	Accredited Home Lenders	X			04-30-03	X									
SP	Accredited Home Lenders		X		05-15-03	X									
SP	Accredited Home Lenders	X			05-23-03		X								
SP	Accredited Home Lenders		X		05-29-03		X								

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10 12-03		X								
SP	Accredited Home Lenders	X			06-09-03		X								
	Accredited Home Lenders		X		06-20-03		X								
	Accredited Home Lenders	X			06-23-03	X									
	Accredited Home Lenders		X		06-25-03	X									
	Accredited Home Lenders	X			07-16-03		X								
	Accredited Home Lenders		X		07-21-03		X								
	Accredited Home Lenders	X			07-21-03		X								
	Accredited Home Lenders		X		07-25-03		X								
	Accredited Home Lenders	X			07-25-03	X									
	Accredited Home Lenders		X		07-29-03	X									
	Accredited Home Lenders	X			07-30-03		X								
	Accredited Home Lenders		X		08-01-03		X								
	Accredited Home Lenders	X			07-25-03		X								
	Accredited Home Lenders		X		08-04-03		X								
	Accredited Home Lenders	X			07-31-03			X							
SP	Accredited Home Lenders		X		11-12-03			X							

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4414

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
SP	AIRBORNE INC.	X			03-18-03	X									
SP	AIRBORNE INC.		X		03-24-03	X									
	AIRBORNE INC.	X			03-21-03	X									
	AIRBORNE INC.		X		03-25-03	X									
	AMIS HOLDINGS	X			09-24-03		X								
	AMIS HOLDINGS		X		09-24-03		X								
	BRIGHAM EXPL CO	X			09-19-03		X								
	BRIGHAM EXPL CO		X		11-05-03		X								
	BRIO SOFTWARE	X			07-25-03		X								
	BRIO SOFTWARE		X		07-25-03	X									
	CPI AEROSYSTEMS	X			07-23-03	X									
	CPI AEROSYSTEMS		X		08-06-03	X									
	CERADYNE	X			08-07-03	X									
	CERADYNE		X		09-03-03	X									
	CERADYNE	X			09-03-03		X								
SP	CERADYNE		X		10-07-03		X								

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 10 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
SP	CERADYNE				10-15-03		X								
	CERADYNE		X		10-27-03		X								
	CERADYNE				10-22-03		X								
	CERADYNE		X		10-29-03	X									
	CERADYNE				11-07-03		X								
	CERADYNE		X		11-07-03		X								
	CERADYNE				10-30-03		X								
	CERADYNE		X		11-04-03	X									
	CERADYNE				11-12-03		X								
	CERADYNE		X		11-12-03		X								
	CERADYNE				11-11-03		X								
	CERADYNE		X		11-13-03		X								
	CERADYNE				11-14-03		X								
	CERADYNE		X		11-24-03		X								
	CERADYNE				12-03-03		X								
SP	CERADYNE		X		12-03-03		X								

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
SP	CERADYNE	X			12-03-03		X								
	CERADYNE		X		12-04-03		X								
	CERADYNE	X			12-03-03		X								
	CERADYNE		X		12-30-03		X								
	CONCORD EFS	X			04-01-03		X								
	CONCORD EFS		X		04-02-03		X								
	CORVIS CORP	X			04-06-03	X									
	CORVIS CORP		X		12-18-03	X									
	ESPERION THERAPEUTICS	X			04-17-03		X								
	ESPERION THERAPEUTICS		X		04-17-03		X								
	FOOTSTAR	X			02-05-03		X								
	FOOTSTAR		X		02-05-03		X								
	GATEWAY	X			03-12-03	X									
	GATEWAY		X		03-14-03	X									
	HANCOCK	X			09-25-03		X								
	HANCOCK		X		09-29-03		X								

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 12 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the a nount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
SP	INTELLI-CHECK	X			02-13-03		X								
	INTELLI-CHECK		X		02-14-03		X								
	INTERNET CAP GROUP	X			07-15-03	X									
	INTERNET CAP GROUP		X		07-16-03	X									
	ISB CAPRI CASINOS	X			06-11-03	X									
	ISB CAPRI CASINOS		X		06-18-03	X									
	LEGATO SYSTEMS	X			04-17-03	X									
	LEGATO SYSTEMS		X		04-22-03	X									
	LEGATO SYSTEMS	X			04-17-03	X									
	LEGATO SYSTEMS		X		05-15-03	X									
	MEDIMMUNE	X			12-04-03			X							
	MEDIMMUNE		X		12-05-03			X							
	MICROTUNE INC	X			04-17-03	X									
	MICROTUNE INC		X		04-22-03	X									
	MICRO TUNE INC	X			04-17-03	X									
SP	MICROTUNE INC.		X		04-23-03	X									

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 13 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock			10–12–03		X								
SP	MICROTUNE INC			04-17-03	X									
	MICROTUNE INC	X		05-02-03	X									
	NEUROCLEAN			07-18-03		X								
	NEUROCLEAN	X		09-19-03		X								
	NOVEN PHARM			04-25-03	X									
	NOVEN PHARM	X		05-29-03		X								
	ON TECHNOLOGY			10-23-03		X								
	ON TECHNOLOGY	X		10-27-03		X								
	OVERTURE			03-26-03		X								
	OVERTURE	X		04-02-03		X								
	OVERTURE			04-02-03		X								
	OVERTURE	X		04-02-03		X								
	OVERTURE			04-02-03		X								
	OVERTURE	X		04-03-03		X								
	OVERTURE			04-04-03		X								
SP	OVERTURE	X		04-08-03		X								

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SCHEDULE IV -- TRANSACTIONS

Name LORETTA SANCHEZ | Page 14 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
SP	OVERTURE				04-09-03		X								
	OVERTURE		X		04-10-03		X								
	OVERTURE				04-21-03	X									
	OVERTURE		X		04-21-03	X									
	OVERTURE				04-21-03		X								
	OVERTURE		X		04-24-03		X								
	OVERTURE				05-29-03		X								
	OVERTURE		X		06-02-03		X								
	OVERTURE				06-03-03		X								
	OVERTURE		X		06-03-03		X								
	OVERTURE				06-05-03		X								
	OVERTURE		X		06-06-03		X								
	OVERTURE				06-05-03	X									
	OVERTURE		X		06-09-03	X									
	OVERTURE				06-05-03	X									
SP	OVERTURE		X		06-16-03	X									

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03		X								
SP	OVERTURE		06-06-03	X									
	OVERTURE	X	06-17-03	X									
	OVERTURE		06-20-03	X									
	OVERTURE	X	06-20-03	X									
	OVERTURE		06-20-03		X								
	OVERTURE	X	06-26-03	X									
	OVERTURE		06-20-03		X								
	OVERTURE	X	06-30-03		X								
	OVERTURE		07-10-03	X									
	OVERTURE	X	07-14-03	X									
	PEVILL NATL GAMING		06-06-03		X								
	PEVILL NATL GAMING	X	06-10-03		X								
	PEVILL NATL GAMING		06-11-03	X									
	PEVILL NATL GAMING	X	06-18-03	X									
	REAL NETWORKS		11-07-03		X								
SP	REAL NETWORKS	X	11-10-03		X								

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

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SP	*Example:* Mega Corporation Common Stock			10-12-03		X								
SP	REPLIGEN			08-07-03		X								
	REPLIGEN	X		09-16-03		X								
	REPLIGEN			09-16-03	X									
	REPLIGEN	X		09-25-03	X									
	SAPIENT			07-27-03		X								
SP	SAPIENT	X		07-28-03		X								
JT	CERADYNE			07-29-03	X									
	CERADYNE	X		10-14-03	X									
	CERADYNE			10-15-03		X								
	CERADYNE	X		10-27-03		X								
	CERADYNE			10-22-03	X									
	CERADYNE	X		10-29-03	X									
	CERADYNE			10-30-03	X									
	CERADYNE	X		11-04-03	X									
	CERADYNE			11-11-03		X								
JT	CERADYNE	X		11-13-03		X								

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock				10–12–03		X								
JT	CERADYNE				10-14-03		X								
	CERADYNE		X		11-21-03		X								
	CERADYNE	X			11-14-03										
	CERADYNE		X		11-24-03										
	CERADYNE				12-03-03		X								
	CERADYNE		X		12-03-03		X								
	CERADYNE				12-03-03		X								
	CERADYNE		X		12-04-03		X								
	CONCORD	X			04-01-03										
	CONCORD		X		04-02-03	X									
	ON TECHNOLOGY	X			12-23-03										
	ON TECHNOLOGY		X		12-27-03										
	QUERTURE	X			03-27-03										
	OVERTURE		X		04-02-03		X								
	OVERTURE	X			04-02-03										
JT	OVERTURE		X		04-02-03	X									

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 18 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X								
JT	OVERTURE		04-02-03									
JT	OVERTURE	X	04-03-03									
JT	REALNETWORKS		11-07-03									
JT	REALNETWORKS	X	11-10-03									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
SP	GMAC MORTGAGE	MORTGAGE - 1624 VIA ARRIBA, PVE CA		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
- NONE -		

Use additional sheets if more space is required.

Name LORETTA SANCHEZ | Page 20 of 21

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	PLANNED PARENTHOOD FEDERA	03/28	WASH DC - PORTLAND, OR - LAX	Y	N	N	N
	NAT'L COMM FOR COMM & JUSTICE	05/29-30	SAN FRANCISCO CA - LITTLE ROCK, AK - LAX	Y	Y	N	N
	HISPANIC WOMEN'S NETWORK-TX	07/13-14	LAX- DALLAS, TX - WASH DC	Y	N	N	N
	NEW DEM NETWORK - CA	07/18	LOS ANGELES	N	Y	N	N
	MICROSOFT - CAMPUS TOUR	07/27-29	LAX- SEATTLE, WA - San Fran	Y	Y	Y	N
	HISPANIC WOMEN'S CORP - DC	10/24	DALLAS, TX - PHOENIX, AZ - ORANGE COUNTY, CA	N	N	N	N
	CA DELEGATION BI-PARTISAN RETREAT	12/5-7	RANCHO MIRAGE, CA	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARDMEMBER	KINDERCAMINATA
TRUSTEE	CHAPMAN UNIVERSITY

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Bernard Sanders
(Full Name)

2233 Rayburn H. O. B.
Washington, D. C. 20515
(Mailing Address)

(202) 225-4115
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: Vermont District: 00	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bernard Sanders	5-17-04

4428

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sanders & Driscoll, L.L.C (Consulting) (Leadership Strategies), 72 Killarney Dr., Burlington, VT	Spouse	N/a

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Bernard Sanders

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock		X		
	Gimon & Schuster	Indefinite			P
	1st Bank of Paducah, KY accounts	X		Royalties	
SP	North Country Federal Credit Union, Burlington, VT 05401	X	X	X	
SP	TIAA-CREF Retirement Account	X			
SP	North Country Federal - IRA Credit Union, Burlington, VT	X			
JT	Condominium - 131 Main St. Burlington, VT 05401 (Spouse's mother lives there PPT; rented other months)	X	X	X	(Rent [redacted]) $6,650.00 Gross

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.			X						
JT	Wright patman CFCU, Washington, D.C.	Line of credit	X								
JT	Wright patman CFCU. Washington, D.C.	Mortgage on - 131 Main St. Burlington, VT 05401		X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
New England Booksellers Assoc. Spoke in Rhode Island - flew into Boston	October 25, 2003.	Burlington, VT - Boston, MA (No return flight)	Y	Y	N	N
Free press - National Conference on media Reform, Madison, WI	Nov 8-9, 2003	Chicago - O'Hare - Madison, WI - Burlington, VT	Y	Y	N	N
Mexico, Miami, Florida, El paso, TX - Teamsters Union	Nov 13-18, 2003	Burlington, VT - Wash. DC - El paso, TX - Juarez, mexico - Mexico City - Wash. D.C.	Y	Y	(Spouse) Y	N
Los Angeles, Ca - LA Bar Association - speaker.	December 2-5, 2003	Burlington, VT - Los Angeles, CA and return. Burl - VT.	Y	Y	(Spouse) Y	Y
Booksellers, Los Angeles, Ca - speaker.	May 30-June 1, 2003	San Francisco, CA - Los Angeles, CA - Los Angeles - Burlington, VT	Y	Y	N	N
American Library Association - Toronto, Canada - speaker	June 21, 2003	Burlington, VT - Toronto, Canada - Burlington, VT	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 JUN 14 AM 10: 44

(Office Use Only) MC

MAX A. SANDIN
(Full Name)

324 Cannon HOB Wash DC 20515 202-225-3035
(Mailing Address) Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: ____ District: ____ | [ ] Officer or Employee — Employing Office: ____

Report Type: [ ] Annual (May 15) | [ ] Amendment | [ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	6-10-04

4433

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name MAX SANDLIN MC

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp. Stock				P, S, E
DC	Simon & Schuster	Indefinite	DIVIDENDS: X		P
JT	1st Bank of Paducah, KY accounts		INTEREST: X		
	TX COUNTY + DISTRICT Retirement (pension)	B: X	Other Type of Income (Specify: For Example, Partnership Income or Farm Income): pension		
	Wright + Patman Credit	C: X	DIVIDENDS: X	D: X	

Block B: Value of Asset	Code
None	A
$1 – $1,000	B
$1,001 – $15,000	C
$15,001 – $50,000	D
$50,001 – $100,000	E
$100,001 – $250,000	F
$250,001 – $500,000	G
$500,001 – $1,000,000	H
$1,000,001 – $5,000,000	I
$5,000,001 – $25,000,000	J
$25,000,001 – $50,000,000	K
Over $50,000,000	L

Block C: Type of Income	Code
DIVIDENDS	
RENT	
INTEREST	
CAPITAL GAINS	
EXCEPTED TRUST	
QUALIFIED BLIND TRUST	
Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	

Block D: Amount of Income	Code
None	I
$1 – $200	II
$201 – $1,000	III
$1,001 – $2,500	IV
$2,501 – $5,000	V
$5,001 – $15,000	VI
$15,001 – $50,000	VII
$50,001 – $100,000	VIII
$100,001 – $1,000,000	IX
$1,000,001 – $5,000,000	X
Over $5,000,000	XI

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name MAX SANDLIN, MC | Page 3 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Edward P Jones Acct		X		7-16-03	X									

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SCHEDULE V — LIABILITIES

Name MAX SANDLIN, MC | Page 4 of 6

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wright Patman Credit Union	Personal Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
MA		

Use additional sheets if more space is required.

Name MAX SANDLIN, MC | Page 5 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Inter-Am. Economic Council	1/15-19/03	DC-Antigua-DC	Y	Y	N	N
NASDAQ	3/26-31/03	DC-Miami-DC	Y	Y	N	N
I-49 Intl. Coalition	10/23-24/03	Texarkana tx - Shreveport LA - New Orleans	Y	Y	N	N

This page may be copied if more space is required.

Name MAX SANDLIN | Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1986-1996	TX County-District Retirement System MAX A. SANDLIN	Former County Employee - Interest in retirement plan

GPO 2004 91-810 (mac)

Use additional sheets if more space is required.

MAY 17 2004

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

(8)

2004 MAY 19 PM 2:44

MC

H. James Saxton
(Full Name)

207 High Street Mount Holly, NJ 08060
(Mailing Address)

(609) 261-5800
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. Saxton	5/14/04

4439

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Wachovia Corp/First Union	$1,001 - $15,000	Dividends	$201 - $1,000	
Eaton Vance CLASSIO SR FLTG	$15,001 - $50,000	Dividends	$201 - $1,000	
Eaton Vance Prime Rate Resvs	None	Dividends	$1 - $200	S
Fidelity Advisor SRII	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Fidelity Advisor SRIII	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Seligman New Tech Fund	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	Sun America Style Focus multi	$1,001 - $15,000		NONE	P
	Sun America Style Select-Large Cap	$15,001 - $50,000		NONE	
	Federal Credit Union	$1 - $1,000	Dividends	$1 - $200	
	Vishay International Tech	$1,001 - $15,000		NONE	
	Worldcom Inc(IRA)	None		NONE	S
	Texas Instruments(IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Alliance Capital Reserves (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Alliance Capital Reserves MM	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Avaya(spinoff Lucent)	$1 - $1,000		NONE	
	Dell	$15,001 - $50,000		NONE	
	Commerce Bank Checking	$1 - $1,000	Interest	$1 - $200	
	Sun Micro Systems	$1,001 - $15,000		NONE	
	207 High Street, Mt. Holly	$100,001 - $250,000	Rent	$15,001 - $50,000	
	2nd Mortgage Loan, John Winzinger & Donna Reichert	$15,001 - $50,000	Interest	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	Alliance Select Investor Series Tech	$1,001 - $15,000		NONE	
	JP Morgan Chase	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	First USA Bank(IRA)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Kulicke & Soffa Ind	$1,001 - $15,000		NONE	
	Merck & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Putnum Voyager	$1,001 - $15,000		NONE	
	General Electric Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Exxon Mobile Corp	$1 - $1,000	DIVIDENDS	$201 - $1,000	
	Bristol Meyer Squib	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Honeywell Intl	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Corning	$1,001 - $15,000		NONE	
	Cisco	$1,001 - $15,000		NONE	
	Emc Corp Mass	$1,001 - $15,000		NONE	
	Verizon Communications	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	MEDCO Health Solutions(Merk spinoff)	$1 - $1,000		NONE	
	Hartford Mutual Midcap Fund	$15,001 - $50,000		NONE	P
	Oppenheimer Rochester Nat Muni	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Oppenheimer MULTST NJ Muni	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	North Jersey Dist WTR Suppy Commn NJ	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Corautus Genetics (IRA)formerly Genstar	$1 - $1,000		NONE	
	Globix (IRA)	None		NONE	S
	Wachovia(IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name H. James Saxton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Oppenheimer Rochester Nat Muni	P	6/9/03	$1,001 - $15,000
	Oppenheimer MULTST NJ Muni	P	6/9/03	$1,001 - $15,000
	North Jersey Dist WTR Suppy Commn NJ	P	6/9/03	$1,001 - $15,000
	Bristol Meyer Squibb Co	P	6/9/03	$1,001 - $15,000
	Hartford Mutual Midcap Fund	P	7/9/03	$1,001 - $15,000
	Hartford Mutual Midcap Fund	P	8/11/03	$1,001 - $15,000
	Hartford Mutual Midcap Fund	P	10/15/03	$1,001 - $15,000
	Eaton Vance Prime Rate Resvs	S	5/22/03	$15,001 - $50,000
	Sun America Style Focus multi	P	9/09/03	$1,001 - $15,000
	Sun America Style Focus multi	P	11/10/03	$1,001 - $15,000
	GLOBIX (Ira)	S	06/09/03	$1,001 - $15,000
	Worldcom (Ira)	S	06/09/03	$1.00 - $1000

SCHEDULE IV - TRANSACTIONS

Name H. James Saxton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hartford Mutual Midcap Fund	P	12/10/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name H. James Saxton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Aurora Mortgage	Mortgage 207 High St, Mount Holly,NJ	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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2004 MAY 17 PM 1:27
MC
(Office Use Only)

Janice D. Schakowsky
(Full Name)

1101 Ridge
(Mailing Address)

Evanston, IL 60202

Daytime Telephone: (202) 225-2111

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Janice D. Schakowsky	5/17/04

4447

Name Janice D. Schakowsky | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Strategic Consulting Group, NA Inc.	Spouse's Salary	NA
State of Illinois	Legislative Pension	$18,439

For payments to charity in lieu of honoraria, use Schedule II.

Name Janice D. Schakowsky | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source		A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP	Mega Corp. Stock						X							X																	
DC	Simon & Schuster				Indefinite															Royalties								X			P
JT	1st Bank of Paducah, KY accounts							X								X															
	Amcap Fund Inc. Class A				X									X								X									
	Fundamental Investors Inc. Class A				X									X								X									
	Growth Fund American Inc. Class A				X									X								X									
	Washington Mutual Investors Fund Inc Class A				X									X								X									
	Euro Pacific Growth Fund Class A				X									X								X									
	Small Cap World Fund Class A				X									X								X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Janice D. Schakowsky

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	American High Interest Trust Class A			X									X								X										
	Intermediate Bond Fund America Class A			X									X								X										
	Morgan Stanley Tax Exempt Securities Trust Class D		X										X								X										
	CIBC Worlds Market Primary Reserve Fund			X									X								X										
	La Salle Bank - checking			X											X						X										
	La Salle Bank - "			X											X						X										
	Oppenheimer & Co. Primary Liquidity Fund (Cash Account)			X									X								X										
JT	Putnam College Advantage 1998			X									X							X											
JT	" " " 2000			X									X							X											
JT	" " " 2001			X									X							X											
JT	" " " 2002			X									X							X											

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Citi Bank A Advantage	Credit Card	X									
	MBNA	Credit Card	X									
	MBNA	Credit Card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Robert F. Kennedy Memorial Foundation	Jan. 22-25	Chicago - Haiti - Chicago	Y	Y	Y	
	The Aspen Institute	May 26-June 1	Chicago - Rome - Chicago	Y	Y	Y	
	Jewish Federation of Metropolitan Chicago	Aug. 11-18	Chicago - Israel - Chicago	Y	Y	N	
	American Jewish Congress Southwest Region - Dallas TX	Oct. 28-29	Chicago - Ft Worth, TX - Chicago	Y	N	N	
	International Association of Machinists & Aerospace Workers	Nov. 12-13	Chicago - Las Vegas	Y	N	N	
	International Brotherhood of Teamsters	Nov. 13-15	Las Vegas - El Paso, TX - Chicago	Y	Y	Y	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Governing Council	American Jewish Congress - Midwest Region
Policy Council	Citizen Action of Illinois
Advisory Coucil of the Board of Directors	Palliative Care Center of the North Shore

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 12: 20
MC
(Office Use Only)

Adam Bennett Schiff
(Full Name)

35 S. Raymond, Suite 205 (626) 304-2727
(Mailing Address) Daytime Telephone:

Pasadena, CA 91105

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 29	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Adam B. Schiff | Page 3 of 11

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B [redacted]	C $1,001 – $15,000	D [redacted]	E $50,001 – $100,000	F [redacted]	G $250,001 – $500,000	H [redacted]	I $1,000,001 – $5,000,000	J [redacted]	K $25,000,001 – $50,000,000	L [redacted]	Block C: DIVIDENDS	[redacted]	INTEREST	[redacted]	EXCEPTED TRUST	[redacted]	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II [redacted]	III $201 – $1,000	IV [redacted]	V $2,501 – $5,000	VI [redacted]	VII $15,001 – $50,000	VIII [redacted]	IX $100,001 – $1,000,000	X [redacted]	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp Stock					X								X										X								P
DC, JT	Simon & Schuster (Indefinite)																			Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X																
	Munihlds Cal. Ins. FD II			X										X									X									
	Amex S+P 500 Index				X									X								X										P
	Dimonds Trust SI			X										X								X										
	Nasdaq-100 Trust SI			X										X								X										P
	Morgan Stanley Hyol Asset			X										X								X										
	US Gov + Money Market	X																		NA	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam B. Schiff

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [redacted]	C $1,001 – $15,000	D [redacted]	E $50,001 – $100,000	F [redacted]	G $250,001 – $500,000	H [redacted]	I $1,000,001 – $5,000,000	J [redacted]	K $25,000,001 – $50,000,000	L [redacted]	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II [redacted]	III $201 – $1,000	IV [redacted]	V $2,501 – $5,000	VI [redacted]	VII $15,001 – $50,000	VIII [redacted]	IX $100,001 – $1,000,000	X [redacted]	XI Over $5,000,000	BLOCK E Transaction P, S, E
	BofA Janus Twenty (IRA)				X									X			X								X							
	Nationwide Retirement T Rowe Price				X									X			X									X						
	Nationwide Retirement Hartford Stock Fds	X												X			X					X										
	Nationwide Retirement Vanguard Index Funds			X										X			X							X								
	Fidelity Pritan IRA					X								X			X									X						P
	Morgan Stanley - Wyeth Stock GRUT Trust																			1/3 interest	X											
	I Shares Dow Jones US Financial Sector			X										X								X										P
	I Shares Dow Jones US Healthcare Sector			X										X								X										P
	I Shares DJ US Indl Sector			X										X								X										P
	Mutual Fund Insl Fd Inc			X										X								X										P
	Cisco Sys Inc			X																	X											P
	Consolidation Brands Inc Cl A			X																	X											P
	Cox Communications Class A			X																	X											P
	Dow Chemical CO			X																	X											P
	Interactive Corp			X																	X											P
	Amer DJ US Tech Index Fund			X																	X											P
	Wyeth Stock								X					X			X										X					S
	Fortune Brands			X										X								X										
	General Electric			X										X								X										
	Hewlett Packard			X										X								X										
	PepsiCo			X										X								X										
	Well Point			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam B. Schiff

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	ISmurs Russell 2000 Index fo				X								X									X									P

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SCHEDULE IV — TRANSACTIONS

Name Adam B. Schiff

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Wyeth Stock		X		10/29/03			X							
	IShares DOW JNS US FINCL SECTR	X			10/30/03	X									
	IShares DJ US HLTHCARE SCTR	X			10/30/03	X									
	IShares DJ US INDL SCTR	X			10/30/03	X									
	MUNIYIELD INSD FD INC	X			10/29/03	X									
	CISCO SYS INC	X			10/29/03	X									
	CONSTELLATION BRANDS INC CL A	X			10/29/03	X									
	COX COMMUNICATIONS CLASS A	X			10/29/03	X									
	DOW CHEMICAL CO	X			10/29/03	X									
	INTERACTIVECORP	X			10/29/03	X									
	AMEX S+P 500 INDEX FUND	X			10/30/03	X									
	AMEX DJ US TECH INDEX FUND	X			10/30/03	X									
	NASDAQ-100 TRUST SERIES I	X			10/30/03	X									
	IShares RUSSELL 2000 INDEX FUND	X			11/06/03		X								
	AMEX S+P 500 INDEX FUND	X			11/06/03		X								
	Wyeth Stock		X		11/06/03		X								

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SCHEDULE IV — TRANSACTIONS

Name Adam B Schiff

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: [redacted]	SALE	[redacted]	Date (MO/DAY/YR)	Amount of Transaction: [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–02		X								
	Fidelity Puritan	X			3/21/03	X									

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Name Adam B. Schiff | Page 2 of 11

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001 – $50,000		E $100,001 – $250,000		G $500,001 – $1,000,000		I $5,000,001 – $25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Morgan Stanley	Margin Account				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Public Governance Institute	2/28/03 – 3/02/03	Wash DC – White Sulphur Springs WV – Wash DC	Y	Y	Y	N
Faith and Politics Institute	3/07/03 – 3/09/03	Wash DC – Montgomery Alabama – Wash DC	Y	Y	N	N
New York Stock Exchange	6/02/03 – 6/03/03	Wash DC – NY – Wash DC	Y	Y	N	N
Community Financial Services Association of America	6/27/03 – 6/29/03	Wash DC – Jackson Hole Wyoming – Wash DC	Y	Y	N	N
Democratic Leadership Council	9/12/03 – 9/14/03	Wash DC – Mackinac Island, MI – Wash DC	Y	Y	Y	N
Public Governance Institute	12/05/03 – 12/07/03	Burbank CA – Rancho Mirage, CA – Burbank	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Community Child Education Center
Member, Board of Directors	Big Brothers Big Sisters of America

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 3:55
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

EDWARD LEE SCHRECK
(Full Name)

4213 DOUGHERTY CT.
VIRGINIA BEACH VA 23455
(Mailing Address)

Daytime Telephone: (757) 497-6859

Filer Status	[X] Member of the U.S. House of Representatives — State: VA District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward L. Schreck	05.13.04

4465

Name EDWARD L. SCHROCK

Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block B — Value of Asset:

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
Examples: SP	Mega Corp. Stock					X							
	Simon & Schuster		Indefinite										
	1st Bank of Paducah, KY accounts						X						
JT	PaineWebber					X							
SP	PaineWebber IRA [illegible]				X								
	PaineWebber IRA				X								
JT	Navy Fed. Credit Union				X								
JT	Mortgage Loan Services								X				

Block C — Type of Income, and Block E — Transaction:

SP, DC, JT	Asset	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block E: P, S, E
Examples: SP	Mega Corp. Stock	X							P
	Simon & Schuster							Royalties	
	1st Bank of Paducah, KY accounts			X					
JT	PaineWebber	X		X	X				
SP	PaineWebber IRA [illegible]								
	PaineWebber IRA								
JT	Navy Fed. Credit Union			X					
JT	Mortgage Loan Services								

Block D — Amount of Income:

SP, DC, JT	Asset	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
Examples: SP	Mega Corp. Stock											
	Simon & Schuster									X		
	1st Bank of Paducah, KY accounts						X					
JT	PaineWebber						X					
SP	PaineWebber IRA [illegible]											
	PaineWebber IRA											
JT	Navy Fed. Credit Union				X							
JT	Mortgage Loan Services							X				

For additional assets and unearned income, use next page.

Name EDWARD L. SCHROCK | Page 6 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	VIRGINIA RETIREMENT SYSTEM	SPOUSE RETIREMENT	
	U.S. NAVY	RETIREMENT	49,500
	U.S. CONGRESS	SALARY	151,595

For payments to charity in lieu of honoraria, use Schedule II.

UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

PZCB193083-X26 · 1203 - VN - 0

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Resource Management Account®

PZCB193083-X26
1481882 - 000002

Account Number: VN 16084 44

Account Type: Personal Trust Account

EDWARD L & JUDITH A SCHROCK
TTEES SCHROCK FAMILY LIVING
TRUST UTD 8/26/75
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	1,360.00
Professional management fees and related services		-633.12
Resource Management Account fee		-125.00
Net change from activity listed above	$	601.88

Portfolio summary

		Year-to-date
Opening value	$	87,881.48
Net change from Activity Highlights		601.88
Net security earnings		1,976.93
Change in value of investments		21,738.48
Value on December 31	$	112,198.77

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

4468

Account Number: VN 16084 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Resource Management Account®

B

Statement Period: 2003 Year-End Summary

PZCB193084-X26
1481632 - 000003

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	7.41
Other dividends		1,544.84
Other		375.21
Total taxable security earnings	$	1,927.16
Non-taxable		
Dividends/interest	$	48.38
Total non-taxable security earnings	$	48.38
Total current year security earnings	$	1,975.54
Prior year(s) adjustments		1.39
Net security earnings	$	1,976.93

05/13/2004 10:41 NO.481 D018
UBS FINANCIAL SERVICES INC. → 12022254218

UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5762

Resource Management Account®

B

P2CB193085-X26
1481632 - 000004

Account Number: VN 16084 44

Account Type: Personal Trust Account

EDWARD L & JUDITH A SCHROCK
TTEES SCHROCK FAMILY LIVING
TRUST UTD 8/26/75
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.
RESOURCELINE 1-800-RMA-1000; ACCOUNT #: 351016084
VISIT OUR WEB SITE AT WWW.UBS.COM.

December 2003

This month at a glance

Value on November 28	$	106,030.01
Value on December 31	$	**112,198.77**
Money fund and other sweep option balance in 12/31 value	$	1,700.92

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the Issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	.66	$	7.41
Other dividends		544.68		1,544.54
Other		376.21		376.21
Total taxable security earnings	$	920.55	$	1,927.16
Non-taxable				
Dividends/interest	$	3.80	$	48.38
Total non-taxable security earnings	$	3.80	$	48.38
Total current year security earnings	$	924.35	$	1,975.54
Prior year(s) adjustments		.00		1.39
Net security earnings	$	924.35	$	1,976.93

Investment objectives

Investment objectives describe the overall goals you have for this account. UBS Financial Services Inc. has new investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

1. Income 2. Growth 3. 4.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Equities	.00		.76
Money funds/sweep options	1.52		1,700.92
Mutual funds	98.48		110,497.09
Net invested assets	**100.00**	$	**112,198.77**
Value on December 31		$	**112,198.77**

4470

Account Number: VN 16084 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5762

Resource Management Account®

Statement Period: December 2003

PZCB193086-X26
1481832 - 000005

Activity highlights

	Current period	Year-to-date
Deposits	$ 340.00	$ 1,360.00
Professional management fees and related services	.00	-633.12
Resource Management Account fee	.00	-125.00
Net change from activity listed above	**$ 340.00**	**$ 601.88**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 106,030.01	$ 87,681.48
Net change from ActMity Highlights	340.00	601.88
Net security earnings	924.35	1,976.93
Change in value of investments	4,904.41	21,738.48
Value on December 31	**$ 112,198.77**	**$ 112,198.77**

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. ' indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
38	FIRSTCITY LIQUIDATING TR CL C	.020	.76			38.000	---This information was unavailable---		
	CBI								
	Total		$ .76						

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	1,360.26	1,700.92	1.00	0.50%	11/21 - 12/23	33
Total	$ 1,360.26	$ 1,700.92				

Account Number: VN 16084 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-6782

Resource Management Account®

Statement Period: December 2003

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1481692 - 000006

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
2,051.210	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CL A	28.780	59,033.82	1,108	Earnings	993.663	27.653	27,478	1,119
						1,057.547	---This information was unavailable---		
	Total	$	59,033.82	1,108				27,478	1,119

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
136.853	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P	12.130	1,659.02	138	06/02/97	2.668	11.900	31	1
					06/19/98	16.127	12.330	198	-2
					09/17/99	25.647	11.760	303	10
	Total client investment:	$	303		01/14/00	22.247	11.210	249	20
	Investment return:	$	777		03/17/00	9.285	11.060	102	10
					Earnings	60.699	11.708	710	26
742.847	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P	14.260	10,585.56		06/02/97	272.922	16.620	4,535	-646
					Earnings	100.391	21.499	2,158	-727
					01/12/01	56.298	20.700	1,165	-362
	Total client investment:	$	10,171		03/23/01	77.978	15.610	1,217	-105
	Investment return:	$	414		01/22/02	113.131	15.350	1,736	-123
					06/24/02	122.127	12.430	1,518	222
313.670	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P	11.330	3,552.74		06/02/97	42.732	14.730	629	-144
					06/19/98	140.925	10.190	1,436	160
					Earnings	5.726	11.231	64	
	Total client investment:	$	3,373		03/17/00	20.471	14.380	294	-62
	Investment return:	$	179		01/12/01	99.617	9.840	980	148
					03/23/01	4.100	8.460	34	12
582.731	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P	17.360	10,116.21		06/02/97	98.365	17.760	1,711	-38
					01/05/99	100.945	20.050	2,023	-270
					09/17/99	31.370	19.990	627	-82
	Total client investment:	$	8,633		01/14/00	59.584	17.580	1,047	-12
	Investment return:	$	1,483		03/17/00	55.418	16.110	892	70

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Account Number: VN 16084 44
Your Financial Advisor
SUSAN SPEERS HUME
767-622-1321/800-522-5782

Resource Management Account®

Statement Period: December 2003

PZCB193063-X26
1481632 - 000007

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					01/22/02	140.999	16.550	2,333	114
					Earnings	98.052	17.435	1,709	-6
742.634	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P	12.840	9,535.42	62	06/02/97	261.534	14.640	3,828	-459
					06/19/98	16.606	15.830	262	-48
					01/05/99	54.815	16.550	907	-203
	Total client investment:		$ 8,818		09/17/99	3.640	17.540	63	-16
	Investment return:		$ 717		01/12/01	71.411	14.930	1,066	-149
					03/23/01	16.707	12.800	213	1
					01/22/02	225.623	10.990	2,479	417
					Earnings	92.299	15.643	1,443	-257
316.071	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P	15.200	4,804.27		Earnings	125.763	16.079	2,022	-110
					01/12/01	104.922	15.770	1,654	-59
					03/23/01	85.386	11.830	1,010	267
	Total client investment:		$ 2,664						
	Investment return:		$ 2,140						
227.992	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P	19.370	4,416.20		09/17/99	28.394	14.890	422	127
					01/14/00	78.713	13.280	1,045	479
					03/17/00	45.066	12.520	564	308
	Total client investment:		$ 2,031		Earnings	75.819	13.785	1,045	423
	Investment return:		$ 2,385						
320.050	UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P	13.940	4,461.49	203	06/02/97	98.529	12.640	1,245	128
					06/19/98	14.857	13.310	197	10
					09/17/99	38.859	12.380	456	57
	Total client investment:		$ 2,466		01/14/00	43.469	11.950	519	86
	Investment return:		$ 1,995		03/17/00	4.086	12.170	49	7
					Earnings	122.251	12.860	1,572	132
899.420	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	1.000	899.42		06/02/97	424.460	1.000	424	
					06/19/98	88.340	1.000	88	
	Total client investment:		$ 671		09/17/99	66.400	1.000	66	
	Investment return:		$ 228		01/14/00	93.790	1.000	93	
					Earnings	226.430	1.000	226	

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Account Number: VN 16084 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Resource Management Account®

Statement Period: December 2003

PZCB193089-X26
1481832 - 000003

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/Loss
111.783	UBS PACE MUNICIPAL FIXED INCOME INVESTMENT FUND CLASS P	12.810	1,431.94	55	06/02/97	42.382	12.400	525	17
					06/19/98	9.053	12.700	115	1
					09/17/99	10.774	12.290	132	6
	Total client investment:		$ 932		01/14/00	15.211	11.900	181	13
	Investment return:		$ 449		03/17/00	2.452	12.000	29	2
					Earnings	31.901	12.479	398	10
	Total		$ 51,463.27	458				52,039	-585

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		Balance forward	$ .00
12/18	DEPOSIT	NON-LOCAL CHECK	340.00
12/23	DIVIDEND	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CL A AS OF 12/22/03	273.80
12/23	LT CAP GAIN	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CL A 20% RATE GAIN AS OF 12/22/03	375.21
12/24	DIVIDEND	RMA MONEY MKT. PORTFOLIO AS OF 12/23/03	.56
12/26	DIVIDEND	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P AS OF 12/19/03	106.93
12/26	DIVIDEND	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P AS OF 12/19/03	60.93
12/30	DIVIDEND	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/23/03	91.20
12/30	DIVIDEND	UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/23/03	11.33
12/30	DIVIDEND	UBS PACE MUNICIPAL FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/23/03	3.80
12/31	DIVIDEND	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P AS OF 12/30/03	.49
		Funds used for security transactions	$ -923.69
		Funds used for money fund/sweep trans.	$ -340.65
12/31		Closing balance	$ .00

Account Number: VN 16084 44
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Statement Period: December 2003

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1481632 - 000009

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/23	REINVESTMENT	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CL A DIVIDEND REINVESTED AT 28.14 NAV ON 12/22/03 AS OF 12/22/03	9.730		$ 273.80
12/23	REINVESTMENT	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CL A 20% RATE GAIN REINVESTED AT 28.14 NAV ON 12/22/03 AS OF 12/22/03	13.334		375.21
12/26	REINVESTMENT	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 17.01 NAV ON 12/19/03 AS OF 12/19/03	6.288		106.93
12/26	REINVESTMENT	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.37 NAV ON 12/19/03 AS OF 12/19/03	4.926		60.93
12/30	REINVESTMENT	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.03 NAV ON 12/23/03 AS OF 12/23/03	7.581		91.20
12/30	REINVESTMENT	1000TH UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 13.88 NAV ON 12/23/03 AS OF 12/23/03	816.000		11.33
12/30	REINVESTMENT	1000TH UBS PACE MUNICIPAL FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.80 NAV ON 12/23/03 AS OF 12/23/03	297.000		3.80
12/31	REINVESTMENT	1000TH UBS PACE MONEY MARKET INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/30/03 AS OF 12/30/03	490.000		.49
		Securities purchased			$ 823.69

Money funds and other sweep options

The RMA Money Mkt. Portfolio is your primary sweep fund.

Date	Activity	Money fund/sweep option	Amount
11/28		**Opening RMA Money Mkt. Portfolio**	$ 1,360.26
12/22	BOUGHT	RMA MONEY MKT. PORTFOLIO	340.00
12/24	BOUGHT	RMA MONEY MKT. PORTFOLIO AS OF 12/23/03	.66
12/31		**Closing RMA Money Mkt. Portfolio**	$ 1,700.92
		Sweep option purchased/deposited	$ 340.66

UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

PZCB193091-X26 - 1203- VN - 0

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

PZCB193091-X26
1491632 - 000010

Account Number: VN 16560 44

Account Type: Individual Retirement Account

EDWARD L SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Professional management fees and related services	$	-567.97
Miscellaneous charges and adjustments		-40.00
Net change from activity listed above	$	-607.97

Portfolio summary

		Year-to-date
Opening value	$	35,352.93
Net change from Activity Highlights		-607.97
Net security earnings		546.10
Change in value of investments		10,656.72
Value on December 31	$	45,847.78

Earnings summary

		Paid in 2003
Dividends	$	545.33
Prior year(s) entries and adjustments		.77
Net security earnings	$	546.10

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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Account Number: VN 16560 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

Statement Period: 2003 Year-End Summary

PZC9193092-X26
1481652 - 000011

2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
UBS PACE MONEY MARKET	FIFO	129.250	06/24/02	04/24/03	$ 129.25	$ 129.25			
INVESTMENT FUND CLASS P	FIFO	130.910	08/24/02	01/27/03	130.91	130.91			
Total					$ 260.16	$ 260.16			$ 0.00
Long-term capital gains and losses:									
AMERICAN FUNDS BOND FUND OF AMERICA CL A	FIFO	11.790	01/30/01	10/28/03	$ 157.04	$ 153.86	$	3.18	
UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	FIFO	150.770	08/24/02	07/28/03	150.77	150.77			
Total					$ 307.81	$ 304.63	$	3.18	$ 3.18
Net capital gains/losses:									$ 3.18

2003 Realized capital gain/loss summary

Long-term gains/losses:	$ 3.18

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UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5762

Retirement Account

PZCB183099-X26
1481632 - 000012

Account Number: VN 16560 44

Account Type: Individual Retirement Account

EDWARD L SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

December 2003

This month at a glance

Value on November 28	$	44,109.30
Value on December 31	$	45,847.78
Debit balance in 12/31 value	$	-80.00

Earnings summary

Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
Dividends	$	128.99	$	545.33
Prior year(s) entries and adjustments		.00		.77
Net security earnings	$	128.99	$	546.10

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Mutual funds	100.00		45,927.78
Less debit balance			-80.00
Value on December 31		$	45,847.78

Account Instructions
UBS Financial Services Inc. is your IRA custodian.

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.
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4478

Account Number: VN 16560 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5762

Retirement Account

Statement Period: December 2003

PZCB193094-X28
1481632 - 000013

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective, one primary risk profile, and, if applicable, a secondary risk profile. For accounts holding an investment in the PACE Program, the primary objective and risk profile summary indicated on your PACE Investor Profile Questionnaire are also displayed below. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Overall account

Return objective: Capital appreciation

Risk profile: Primary: Moderate Secondary: None selected

PACE Investments

Return objective: Capital appreciation

Risk profile: Aggressive

Activity highlights

	Current period	Year-to-date
Professional management fees and related services	$ .00	$ -567.97
Miscellaneous charges and adjustments	.00	-40.00
Net change from activity listed above	**$ .00**	**$ -607.97**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 44,109.30	$ 35,352.93
Net change from Activity Highlights	.00	-607.97
Net security earnings	128.99	546.10
Change in value of investments	1,609.49	10,556.72
Value on December 31	**$ 45,847.78**	**$ 45,847.78**

Account Number: VN 16560 44
Your Financial Advisor
SUSAN SPEERS HUME
757 622-1321/800-523-5782

Retirement Account

Statement Period: December 2003

PZCB109095-X28
1481632 - 000014

Asset portfolio

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Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income/ Current yield	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
2,814.010	ALLIANCE BERNSTEIN GROWTH & INCOME FUND A	3.380	9,511.35	113 / 1.19 %	01/30/01	2,093.948	4.000	8,375	-1,297
					03/19/01	394.149	3.700	1,458	-125
	Total client investment:		$ 10,204		09/23/02	161.465	2.450	371	140
	Investment return:		$ -692		Earnings	174.448	3.245	566	23
524.268	AMERICAN FUNDS BOND FUND OF AMERICA CL A	13.610	7,082.85	387 / 5.16 %	01/30/01	313.807	13.050	4,095	144
					03/19/01	100.808	13.020	1,312	49
	Total client investment:		$ 5,407		Earnings	109.653	12.834	1,407	74
	Investment return:		$ 1,675						
387.774	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A	24.540	9,515.97	2 / .02 %	01/30/01	313.709	28.670	8,962	-1,263
					03/19/01	60.841	23.970	1,458	33
	Total client investment:		$ 10,700		09/23/01	11.081	23.430	260	14
	Investment return:		$ -1,184		Earnings	1.243	21.954	27	3
1,264.520	MFS MID CAP GROWTH FUND A	7.810	9,875.90		01/30/01	608.299	14.940	9,087	-4,336
					03/19/01	133.304	10.940	1,458	-416
	Total client investment:		$ 14,260		09/23/01	110.376	10.730	1,181	-321
	Investment return:		$ -4,384		Earnings	13.784	9.780	134	-28
					06/24/02	307.094	6.670	2,048	350
					09/23/02	91.663	5.260	483	232
190.140	OPPENHEIMER GLOBAL FUND CLASS A	51.500	9,792.21	64 / .65 %	01/30/01	149.040	53.530	7,978	-302
					03/19/01	31.860	45.730	1,458	184
	Total client investment:		$ 9,763		03/23/01	5.044	44.700	225	34
	Investment return:		$ 29		09/23/02	2.882	35.550	102	46

Continued on page 4

Account Number: VN 16560 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5762

Retirement Account

Statement Period: December 2003

FZCB192096-X08
1481632 - 000015

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income/ Current yield	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					Earnings	1.284	49.291	63	3
149.490	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	1.000	149.49		08/24/02	100.990	1.000	100	
					09/23/02	41.910	1.000	41	
	Total client investment:		$ 141		Earnings	6.590	1.000	6	
	Investment return:		$ 6						
	Total		$ 45,927.78	546				52,678	-6,755

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		Balance forward	$ -80.00
12/05	DIVIDEND	OPPENHEIMER GLOBAL FUND CLASS A AS OF 12/04/03	63.30
12/16	DIVIDEND	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A AS OF 12/15/03	2.36
12/26	DIVIDEND	ALLIANCE BERNSTEIN GROWTH & INCOME FUND A	28.06
12/29	DIVIDEND	AMERICAN FUNDS BOND FUND OF AMERICA CL A AS OF 12/26/03	95.21
12/31	DIVIDEND	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P AS OF 12/30/03	.06
		Funds used for security transactions	$ -128.99
12/31		Closing balance	$ -80.00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/05	REINVESTMENT	OPPENHEIMER GLOBAL FUND CLASS A DIVIDEND REINVESTED AT 49.29 NAV ON 12/04/03 AS OF 12/04/03	1.284		$ 63.30
12/16	REINVESTMENT	1000TH AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A DIVIDEND REINVESTED AT 23.75 NAV ON 12/15/03 AS OF 12/16/03	99.000		2.36
12/26	REINVESTMENT	ALLIANCE BERNSTEIN GROWTH & INCOME FUND A DIVIDEND REINVESTED AT 3.33 NAV ON 12/26/03	8.426		28.06

Continued on page 5

Account Number: VN 16560 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

Statement Period: December 2003

PZCB103097-X26
1481632 - 000016

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
12/29	REINVESTMENT	AMERICAN FUNDS BOND FUND OF AMERICA CL A DIVIDEND REINVESTED AT 13.50 NAV ON 12/26/03 AS OF 12/26/03	2.608		$ 35.21
12/31	REINVESTMENT	1000TH UBS PACE MONEY MARKET INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/30/03 AS OF 12/30/03	60.000		.06
		Securities purchased			$ 128.99

IRA beneficiary information

To ensure your IRA assets are distributed as you wish, it is crucial that your beneficiary designation is up-to-date. Contact your Financial Advisor to ensure that UBS Financial Services Inc. has the most current beneficiary information for your account and to have your beneficiary information appear on your statement.

2003 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes Insurance products, Private Investments, IRA special products or Other assets or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2004. This 2003 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value	$	45,847.78

UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

PZCB193098-X26 - 1203 - VN - 0

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

H

PZCB193098-X26
1481632 - 000017

Account Number: VN 16559 44

Account Type: Individual Retirement Account

JUDITH A SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Professional management fees and related services	$	-97.75
Miscellaneous charges and adjustments		-40.00
Net change from activity listed above	**$**	**-137.75**

Portfolio summary

		Year-to-date
Opening value	$	14,617.39
Net change from Activity Highlights		-137.75
Net security earnings		80.64
Change in value of investments		4,642.64
Value on December 31	**$**	**19,202.92**

Earnings summary

		Paid in 2003
Dividends	$	80.55
Total current year security earnings	**$**	**80.55**
Prior year(s) entries and adjustments		.09
Net security earnings	**$**	**80.64**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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Account Number: VN 16559 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

Statement Period: 2003 Year-End Summary

P2CB193089-X26
1481632 - 000018

2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Long-term capital gains and losses:									
UBS PACE MONEY MARKET	FIFO	24.900	Earnings	10/29/03	$ 24.90	$ 24.90			
INVESTMENT FUND CLASS P	FIFO	.120	01/15/02	10/29/03	0.12	0.12			
	FIFO	.260	02/14/02	10/29/03	0.26	0.26			
	FIFO	.230	03/14/02	10/29/03	0.23	0.23			
	FIFO	.260	04/15/02	10/29/03	0.26	0.26			
	FIFO	.260	05/15/02	10/29/03	0.26	0.26			
	FIFO	.270	06/17/02	10/29/03	0.27	0.27			
	FIFO	.230	07/15/02	10/29/03	0.23	0.23			
	FIFO	.040	08/15/02	10/29/03	0.04	0.04			
	FIFO	4.690	Earnings	07/25/03	4.02	4.69	-.67		
	FIFO	21.100	10/05/01	07/25/03	21.77	21.10		.67	
	FIFO	12.040	Earnings	04/24/03	12.04	12.04			
	FIFO	10.100	10/05/01	04/24/03	10.10	10.10			
	FIFO	23.250	Earnings	01/27/03	23.25	23.25			
Total					$ 97.75	$ 97.75	$ -.67	$ .67	$ 0.00

4484

UBS Financial Services Inc.
ONE COMMERCIAL PLACE
15TH FLOOR
NORFOLK, VA 23510

Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

K

PZCB193100-X28
1481632 - 000019

Account Number: VN 16559 44

Account Type: Individual Retirement Account

JUDITH A SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

December 2003

This month at a glance

Value on November 28	$	18,431.60
Value on December 31	$	**19,202.92**
Debit balance in 12/31 value	$	-80.00

Earnings summary

Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

		Current period		*Year-to-date*
Dividends	$	41.89	$	80.66
Prior month(s) adjustments		.25		.00
Total current year security earnings	$	42.14	$	80.66
Prior year(s) entries and adjustments		.00		.09
Net security earnings	$	42.14	$	80.64

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		*Value*
Mutual funds	100.00		19,282.92
Less debit balance			-80.00
Value on December 31		$	**19,202.92**

Investment objectives

Investment objectives describe the overall goals you have for this account. UBS Financial Services Inc. has new investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

1. Income 2. Growth 3. 4.

Account Instructions
UBS Financial Services Inc. is your IRA custodian.

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS.

VISIT OUR WEB SITE AT WWW.UBS.COM.

4485

Account Number: VN 16559 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-622-5782

Retirement Account

Statement Period: December 2003

P2CB183101-X26
1461632 - 000020

Activity highlights

	Current period	Year-to-date
Professional management fees and related services	$ .00	$ -97.75
Miscellaneous charges and adjustments	.00	-40.00
Net change from activity listed above	$ .00	$ -137.75

Portfolio summary

	Current period	Year-to-date
Opening value	$ 18,431.56	$ 14,617.39
Net change from Activity Highlights	.00	-137.75
Net security earnings	42.14	80.84
Change in value of investments	729.22	4,642.64
Value on December 31	$ 19,202.92	$ 19,202.92

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. " indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ' indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
446.261	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A	24.640	10,951.24	3	Earnings	308.663	25.783	7,881	-380
						140.598	---This information was unavailable---		
4.056	OPPENHEIMER HIGH YIELD FUND INC	9.600	38.93	3	Earnings	4.056	12.413	50	-11
	Total		$ 10,990.17	6				7,931	-391

4486

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
30.846	UBS PACE GLOBAL FIXED	12.130	374.16	31	10/05/01	4.880	10.670	52	7
	INCOME INVESTMENT				04/05/02	5.149	10.080	51	11
	FUND CLASS P				Earnings	20.817	10.705	222	30
	Total client investment:		$ 103						
	Investment return:		$ 271						
92.180	UBS PACE LARGE COMPANY	14.250	1,313.56		03/25/01	60.898	15.610	950	-82
	GROWTH EQUITY INVESTMENT				10/05/01	15.614	14.380	224	-1
	FUND CLASS P				04/05/02	5.301	14.610	77	-1
					09/23/02	10.367	10.810	112	35
71.067	UBS PACE INTL EMERGING	11.330	805.18		03/23/01	5.526	8.460	46	16
	MKTS EQUITY INVESTMENT				10/05/01	61.943	6.810	421	280
	FUND CLASS P				09/23/02	3.598	7.140	25	15
48.852	UBS PACE LARGE COMPANY	17.360	848.07		01/14/00	2.863	17.580	50	
	VALUE EQUITY INVESTMENT				03/17/00	39.331	16.110	633	49
	FUND CLASS P				04/05/02	1.657	17.090	28	
	Total client investment:		$ 711		Earnings	5.001	16.408	82	4
	Investment return:		$ 137						
88.296	UBS PACE INTERNATIONAL	12.840	1,133.72	7	01/12/01	30.099	14.930	449	-62
	EQUITY INVESTMENT FUND				03/23/01	16.313	12.800	208	1
	CLASS P				10/05/01	28.630	11.290	322	44
	Total client investment:		$ 1,096		04/05/02	6.373	11.520	73	8
	Investment return:		$ 37		09/23/02	5.175	8.620	44	22
					Earnings	1.606	11.301	20	3
95.743	UBS PACE SMALL/MEDIUM CO	15.200	1,455.29		03/23/01	90.956	11.830	1,076	306
	GROWTH EQUITY INVESTMENT				09/23/02	4.787	9.900	47	25
	FUND CLASS P								
78.530	UBS PACE SMALL/MEDIUM CO	19.370	1,521.12		03/17/00	57.859	12.520	724	396
	VALUE EQUITY INVESTMENT				09/23/02	5.494	14.670	80	26
	FUND CLASS P				Earnings	15.177	13.957	211	82
	Total client investment:		$ 804						
	Investment return:		$ 717						

Continued on page 4

Account Number: VN 16559 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-5782

Retirement Account

Statement Period: December 2003

PZCB193103-X28
1481632 - 000022

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
60.348	UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P	13.940	841.25	38	10/05/01	11.941	13.330	159	7
					04/05/02	7.325	13.010	95	7
					Earnings	41.082	12.624	518	54
	Total client investment:		$ 254						
	Investment return:		$ 587						
.400	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	1.000	.40		Earnings	.400	1.000	0	
	Total		$ 8,292.75	76				5,999	1,282

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/28		**Balance forward**	$ -80.00
12/01	DIVIDEND	OPPENHEIMER HIGH YIELD FUND INC AS OF 11/28/03	.25
12/16	DIVIDEND	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A AS OF 12/15/03	2.72
12/26	DIVIDEND	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P AS OF 12/19/03	8.96
12/26	DIVIDEND	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P AS OF 12/19/03	7.24
12/30	DIVIDEND	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/23/03	20.56
12/30	DIVIDEND	UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/23/03	2.14
12/31	DIVIDEND	OPPENHEIMER HIGH YIELD FUND INC AS OF 12/30/03	.27
		Funds used for security transactions	$ -42.14
12/31		**Closing balance**	$ -80.00

Account Number: VN 16559 44
Your Financial Advisor
SUSAN SPEERS HUME
757-622-1321/800-522-6782

Retirement Account

Statement Period: December 2003

PZCD193104-X28
1481632 - 000023E

Security transactions
Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/01	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 9.41 NAV ON 11/28/03 AS OF 11/28/03	27.000		$ .25
12/16	REINVESTMENT	1000TH AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS A DIVIDEND REINVESTED AT 23.75 NAV ON 12/15/03 AS OF 12/15/03	115.000		2.72
12/26	REINVESTMENT	1000TH UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 17.01 NAV ON 12/19/03 AS OF 12/19/03	527.000		8.96
12/26	REINVESTMENT	1000TH UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.37 NAV ON 12/19/03 AS OF 12/19/03	585.000		7.24
12/30	REINVESTMENT	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.03 NAV ON 12/23/03 AS OF 12/23/03	1.709		20.56
12/30	REINVESTMENT	1000TH UBS PACE STRATEGIC FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 13.88 NAV ON 12/23/03 AS OF 12/23/03	154.000		2.14
12/31	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 9.60 NAV ON 12/30/03 AS OF 12/30/03	28.000		.27
		Securities purchased			$ 42.14

IRA beneficiary information

To ensure your IRA assets are distributed as you wish, it is crucial that your beneficiary designation is up to-date. Contact your Financial Advisor to ensure that UBS Financial Services Inc. has the most current beneficiary information for your account and to have your beneficiary information appear on your statement.

2003 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes Insurance products, Private Investments, IRA special products or Other assets or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2004. This 2003 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value $ 15,202.92

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER (12)
2004 JUN 14 PM 5:32
U.S. HOUSE OF REPRESENTATIVES

U.S. Rep. David Scott
(Full Name)

417 Cannon House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-2939
Daytime Telephone:

(Office Use Only) MC

Filer Status	☑ Member of the U.S. House of Representatives — State: GA District: 13	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☐
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David Scott	6/14/04

4490

SCHEDULE I - EARNED INCOME

Name U.S. Rep. David Scott

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Dayn Mark Advertising	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. David Scott

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Please see attached 2003 Year-End Summary Financial Statement				

SCHEDULE IV - TRANSACTIONS

Name U.S. Rep. David Scott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Please see attached 2003 Year-End Summary Financial Statement			

SCHEDULE V - LIABILITIES

Name U.S. Rep. David Scott

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America	Mortgage	$250,001 - $500,000
JT	SunTrust Company	Equity Loan	$100,001 - $250,000

UBS

UBS Financial Services Inc.
3455 PEACHTREE RD, NE
SUITE 1700
ATLANTA, GA 30326
404-760-3000

Fax

6/7/2004 10:13 AM

Strictly private and confidential

to: DAVID A SCOTT

from: H TIMOTHY MCKANE

pages: 8 (including this page)

notes: Please do not hesitate to contact us if you have any questions regarding the attached document(s). If multiple requests were made, please be advised that you may receive additional faxes.

Statement

This document, together with any attachments, is intended only for the use of the individual or entity to which it is addressed and may contain information that is legally privileged, confidential and exempt from disclosure. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this message, or any attachment, is strictly prohibited. If you have received this message in error, please notify the original sender immediately by telephone and destroy this document, along with any attachments. Thank you.

UBS Financial Services Inc.
3455 PEACHTREE RD, NE
SUITE 1700
ATLANTA, GA 30326

PZAB054258-X26 · 1203 · AX · 0

Your Financial Advisor
H TIMOTHY MCKANE
404-760-3000/800-234-9928

Resource Management Account®

H

PZAB054258-X26
6609372 000002

Account Number: AX 39555 77

DAVID A SCOTT &
ALFREDIA A SCOTT JT TEN
162 HURT STREET NE
ATLANTA GA 30307-2538

2003 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	66,993.00
Loan/Late payment interest charged		-109.94
Resource Management Account fee		-125.00
Net change from activity listed above	$	**66,758.06**

Portfolio summary

		Year-to-date
Opening value	$	535.84
Net change from Activity Highlights		66,758.06
Net security earnings		171.74
Change in value of investments		2,411.36
Value on December 31	$	**69,877.00**

Earnings summary

		Paid in 2003
Taxable		
UBS Money fund dividends	$	2.46
Other dividends		169.18
Total taxable security earnings	$	**171.64**
Total current year security earnings	$	**171.64**
Prior year(s) adjustments		.10
Net security earnings	$	**171.74**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary

2003 Realized gains and losses - continued

Estimated 2003 gains and losses for transactions with trade dates through 12/31/03 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
(RISPY KREME DOUGHNUTS	FIFO	200.000	09/04/03	12/30/03	$ 7,230.49	$ 8,787.12	$ -1,536.63		
NC	FIFO	100.000	09/05/03	12/30/03	3,615.24	4,371.06	-755.82		
Total					$ 10,845.73	$ 13,158.18	$ -2,292.45		$ -2,292.45
Net capital gains/losses:									$ -2,292.45

2003 Realized capital gain/loss summary

Short-term gains/losses	$ -2,292.45

UBS Financial Services Inc.
3455 PEACHTREE RD, NE
SUITE 1700
ATLANTA, GA 30326

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Resource Management Account®

PZAB054260-X26
6609372 000004

Account Number: AX 39555 77

DAVID A SCOTT &
ALFREDIA A SCOTT JT TEN
162 HURT STREET NE
ATLANTA GA 30307-2538

December 2003

This month at a glance

Value on November 28	$	68,428.50
Value on December 31	$	69,877.00
Money fund and other sweep option balance in 12/31 value	$	81.94

Activity highlights

	Current period	Year-to-date
Deposits	$ .00	$ 66,993.00
Loan/Late payment interest charged	.00	-109.94
Resource Management Account fee	.00	-125.00
Net change from activity listed above	$ .00	$ 66,758.06

Portfolio summary

	Current period	Year-to-date
Opening value	$ 68,428.50	$ 535.84
Net change from Activity Highlights	.00	66,758.06
Net security earnings	101.84	171.74
Change in value of investments	1,346.66	2,411.36
Value on December 31	$ 69,877.00	$ 69,877.00

Loan summary

Year-to-date loan interest	$	109.94

Investment objectives

Investment objectives describe the overall goals you have for this account. UBS Financial Services Inc. has new investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

Growth	2. Income	3.	4.

Bulletin Board
PLEASE READ THE ENCLOSED ISSUE
OF INVESTMENT INTELLIGENCE
FOR INFORMATION ABOUT YOUR ACCOUNTS
RESOURCELINE 1-800-RMA-1000. ACCOUNT #: 020039555
VISIT OUR WEB SITE AT WWW.UBS.COM

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio	Value
Equities	99.88	69,795.06
Money funds/sweep options	12	81.94
Net invested assets	100.00	$ 69,877.00
Value on December 31		$ 69,877.00

4498

Account Number: AX 39555 77
Your Financial Advisor
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Resource Management Account®

H

Statement Period: December 2003

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Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
Taxable		
UBS Money fund dividends	$ .00	$ 2.46
Other dividends	101.84	169.18
Total taxable security earnings	**$ 101.84**	**$ 171.64**
Total current year security earnings	**$ 101.84**	**$ 171.64**
Prior year(s) adjustments	.00	.10
Net security earnings	**$ 101.84**	**$ 171.74**

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
150	AFLAC INC	36.180	5,427.00	48	10/21/03	150.000	35.540	5,462	-35
200	CISCO SYS INC	24.230	4,846.00		10/21/03	200.000	21.090	4,350	496
150	COCA COLA CO COM	50.750	7,612.50	132	10/21/03	150.000	45.610	7,034	578
130	GENL ELECTRIC CO	30.980	4,027.40	104	08/21/03	130.000	29.810	3,983	44
120	HOME DEPOT INC	35.490	4,258.80	34	08/21/03	120.000	32.610	4,021	237
145	INTEL CORP	32.050	4,647.25	12	08/21/03	145.000	26.099	3,893	754
96	JOHNSON & JOHNSON COM	51.660	4,959.36	92	08/21/03	96.000	49.690	4,886	73
300	KRISPY KREME DOUGHNUTS INC	36.600	10,980.00		09/04/03	200.000	42.850	8,767	-1,447
					09/05/03	100.000	42.580	4,371	-711
125	MEDTRONIC INC	48.610	6,076.25	36	08/21/03	75.000	51.700	3,985	-339
					10/21/03	50.000	48.470	2,409	21
180	MICROSOFT CORP	27.370	4,926.60	29	08/21/03	180.000	26.300	4,855	71

Continued on page 3

Common stock - continued

Total shares	Description	Price	Current value	Est. annual Income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
240	NEXTEL COMMUNICATIONS INC CL A	28.060	6,734.40		08/21/03	240.000	18.299	4,527	2,207
150	PFIZER INC	35.330	5,299.50	102	10/21/03	150.000	31.430	4,835	464
	Total	$	**69,795.06**	**569**				**67,378**	**2,413**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
UBS BANK USA DEP ACCT	.00	81.94	N/A	0.50%	11/21 - 12/23	33
Total	$ .00	$ 81.94				

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/26		**Balance forward**	$ -19.90
2/01	DIVIDEND	AFLAC INC	12.00
		PAID ON 160	
2/01	DIVIDEND	INTEL CORP	2.90
		PAID ON 145	
2/04	DIVIDEND	PFIZER INC	22.50
		PAID ON 150	
2/09	DIVIDEND	JOHNSON & JOHNSON COM	23.04
		PAID ON 96	
12/15	DIVIDEND	COCA COLA CO COM	33.00
		PAID ON 150	
12/18	DIVIDEND	HOME DEPOT INC	8.40
		PAID ON 120	
		Funds used for money fund/sweep trans.	**$ -81.94**
12/31		**Closing balance**	**$ .00**

Money funds and other sweep options

The UBS Bank USA Deposit Account is your primary sweep option

Date	Activity	Money fund/sweep option	Amount
11/28		**Opening UBS Bank USA Deposit Account $**	**.00**
2/05	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	17.50
2/10	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	23.04
2/16	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	33.00
12/19	DEPOSIT TO	UBS BANK USA DEPOSIT ACCOUNT	8.40
12/31		**Closing UBS Bank USA Deposit Account**	**$ 81.94**
		Sweep option purchased/deposited	**$ 81.94**

4500

Account Number: AX 39555 77
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Resource Management Account®

H

Statement Period: December 2003

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Unsettled transaction activity

The following transactions are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value	Amount
2/30	01/05	BOUGHT	GENL ELECTRIC CO UNSOLICITED	100.000	30.68	-3,166.23
2/30	01/05	SOLD	KRISPY KREME DOUGHNUTS INC UNSOLICITED	-300.000	36.98	10,845.73
2/30	01/05	BOUGHT	MICROSOFT CORP UNSOLICITED	100.000	27.489000	-2,841.01
2/30	01/05	BOUGHT	NEXTEL COMMUNICATIONS INC CL A UNSOLICITED	100.000	27.779000	-2,870.57
2/30	01/05	BOUGHT	PFIZER INC UNSOLICITED	100.000	34.94	-3,600.41
			Total pending securities purchased			**$ -12,478.22**
			Total pending securities sold			**$ 10,845.73**

[illegible]

Account protection, etc.

[illegible]

Dividend Reinvestment Program

[illegible]

Cash-in-lieu

[illegible]

Investment objectives

[illegible]

Statement "householding"

[illegible]

Account overview

[illegible]

Asset summary

[illegible]

Cash summary

[illegible]

Asset portfolio

[illegible]

Activity

[illegible]

Open orders

[illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A

For use by Members, officers, and employees

ROBERT C. SCOTT
(Full Name)

7501 RIVER ROAD, SUITE 2-G NEWPORT NEWS, VA 23607-1767 (757)380-1000
(Mailing Address) Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: VA District: 3RD	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	[signature]	5/17/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	ABN AMRO GROWTH FUND CL N	$1,001 - $15,000		NONE	P
	ABN AMRO MONTAG & CALDWELL GROWTH FD N	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ABN AMRO VEREDUS AGGRESSIVE GROWTH FD N	$1,001 - $15,000		NONE	P
	ABN AMRO VEREDUS SCITECH FD CLASS N	$1,001 - $15,000		NONE	P
	AFLAC STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	AMAZON.COM STOCK	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	AMCAP FUND INC CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AMERICAN EXPRESS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AMERICAN INT'L GROUP STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	AMERISTOCK MUTUAL FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	APPLEBEES INTL STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	ARIEL APPRECIATION FUND	$1,001 - $15,000		NONE	P
	ARIEL GROWTH FUND	$1,001 - $15,000		NONE	P
	AUDIBLE.COM STOCK	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	PS
	AUTOMATIC DATA PROCESSING STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
	BIOMET STOCK	$1,001 - $15,000		NONE	P
	BRIDGWY BLUE CHIP 35 INDX FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	BROWN CAP MGMT EQUITY FUND	$1,001 - $15,000		NONE	P
	BROWN CAPITAL MGMT SMALL CO FUND	$1,001 - $15,000		NONE	P
	CARDINAL HEALTH STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	CARNIVAL STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	CISCO SYSTEMS STOCK	$1,001 - $15,000		NONE	
	COCA-COLA STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	COLGATE-PALMOLIVE STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	CONNECTICUT MUTUAL CONTRAFUND FUND	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL GROWTH FUND	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL INCOME & GROWTH FUND	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL INCOME FUND	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL INT'L GROWTH FUND	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL MAIN STREET FUND/VA	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL MID-CAP	$1,001 - $15,000		NONE	P
	CONNECTICUT MUTUAL TOTAL RETURN FUND	$1,001 - $15,000		NONE	P
	DIONEX STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	DISNEY,(WALT) STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	DODGE & COX STOCK FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	DOLLAR GENERAL STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	DOLLAR TREE STORES STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	DOMINI SOCIAL EQUITY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	FEDERAL SIGNAL STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	FIDELITY DIVIDEND GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	FIDELITY LOW PRICED FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	FIRSTHAND TECHNOLOGY VALUE FUND	$1,001 - $15,000		NONE	
	GENERAL ELECTRIC STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	GROWTH FUND AMER INC CL C	$1,001 - $15,000		NONE	
	HARBOR CAPITAL APPRECIATION FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	HERSHEY FOODS STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	HOME DEPOT STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	INT'L BUSINESS MACHINES STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	JANUS WORLDWIDE FUND	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	JOHNSON & JOHNSON STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	LEGG MASON EMERGING MARKETS TRUST FUND	$1,001 - $15,000		NONE	P
	LEGG MASON FOCUS TRUST FUND	$1,001 - $15,000		NONE	P
	LEGG MASON OPPORTUNITY TRUST FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	LEGG MASON SPECIAL INVESTMENT TRUST FUND	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	LEGG MASON VALUE TRUST FUND	$1,001 - $15,000		NONE	P
	MAIRS & POWER BALANCE FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	MAIRS & POWER GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	MARSICO FOCUS FUND	$1,001 - $15,000		NONE	P
	MCDONALDS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	NORTHEAST INVESTORS GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	NORTHERN TECHNOLOGY FUND	$1,001 - $15,000		NONE	
	OAKMARK SELECT FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	PAYCHEX STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PENINSULA PROFIT PROS INVESTMENT CLUB (NO INDIVIDUAL STOCK REPORTABLE TRANSACTIONS OR ASSETS)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	PRAXAIR STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PROFESSIONAL INVESTMENT ASSOCIATES INVESTMENT CLUB (REPORTABLE TRANSACTIONS LISTED UNDER INDIVIDUAL STOCKS)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	PROFIT VALUE FUND	$1,001 - $15,000		NONE	P
	RPM STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	SELECTED AMERICAN SHARES FUND	$1,001 - $15,000		NONE	P
	SIGMA ALDRICH STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SUNTRUST BANK STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	SYNOVUS FINANCIAL STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	THIRD AVENUE INT'L VALUE FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	TIAA-CREF GROWTH & INCOME FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT

	TIAA-CREF GROWTH EQUITY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	TIAA-CREF SOCIAL CHOICE EQUITY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	TORRAY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WAL-MART STORES STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	WASHINGTON MUTUAL INVESTORS FUND CL C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	WEITZ VALUE FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WENDY'S STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WHITE OAK GROWTH STOCK FUND	$1,001 - $15,000		NONE	P
	WILLIAM BLAIR INT'L GROWTH CLASS N FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name ROBERT C SCOTT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	WENDYS STOCK	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	MCDONALDS STOCK	S	02-03-03	$1,001 - $15,000
	DODGE & COX STOCK FUND	P	05-14-03	$1,001 - $15,000
	DODGE & COX STOCK FUND	P	06-11-03	$1,001 - $15,000
	DODGE & COX STOCK FUND	P	08-26-03	$1,001 - $15,000
	DODGE & COX STOCK FUND	P	09-10-03	$1,001 - $15,000
	AUDIBLE.COM STOCK	S	12-26-03	$1,001 - $15,000
	AUDIBLE.COM STOCK	S	VARIOUS TRANSACTIONS LESS THAN $1000	$15,001 - $50,000
	AUDIBLE.COM STOCK	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AMAZON.COM STOCK	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	BIOMET STOCK	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	CARDINAL HEALTH STOCK	PS	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	COLGATE-PALMOLIVE STOCK	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	ARIEL APPRECIATION FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	FIDELITY DIVIDEND GROWTH FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	OAKMARK SELECT FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JANUS WORLDWIDE FUND	S	06/11/03	$1,001 - $15,000
	WILLIAM BLAIR INT'L GROWTH FUND CL N	P	06/11/03	$1,001 - $15,000
	THIRD AVENUE INT'L VALUE FUND	P	10/15/03	$1,001 - $15,000
	SELECTED AMERICAN SHARES FUND	P	12/24/03	$1,001 - $15,000
	TORRAY FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	NORTHEAST INVESTORS GROWTH FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	BROWN CAP MGMT SMALL CO FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	BROWN CAP MGMT EQUITY FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residance, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	WHITE OAK GROWTH STOCK FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	WEITZ VALUE PORTFOLIO FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	AMERISTOCK MUTUAL FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	FIRSTHAND TECHNOLOGY VALUE FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	MARSICO FOCUS FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	HARBOR CAP APPRECIATION FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	MAIRS & POWERS GROWTH FUND	P	06/25/03	$1,001 - $15,000
	BRIDGWY BLUE CHIP 35 INDX FUND	P	12/19/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PROFESSIONAL INVESTMENT ASSOCIATES INVESTMENT CLUB	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	PENINSULA PROFIT PROS	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name ROBERT C. SCOTT

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	AMERICAN EXPRESS	REVOLVING CHARGE ACCOUNT	$15,001 - $50,000
	BANKONE	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	CONGRESS FEDERAL CREDIT UNION	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	FIRST USA-CHEVY CHASE	REVOLVING CHARGE ACCOUNT	$15,001 - $50,000
	FIRST USA YAHOO-VISA	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	FLEET VISA	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	MBNA VISA GOLD	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	BROWN & CO.	MARGIN ACCOUNT	$15,001 - $50,000
	FIDELITY INVESTMENTS	MARGIN ACCOUNT	$15,001 - $50,000
	LEGG MASON	MARGIN ACCOUNT	$10,001 - $15,000
	T. D. WATERHOUSE	MARGIN ACCOUNT	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name ROBERT C. SCOTT

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	WACHOVIA SECURITIES	MARGIN ACCOUNT	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT C. SCOTT

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be seperately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NAACP RELIGIOUS AFFAIRS COMMITTEE	NOV. 10-12	NEWPORT NEWS, VA--ATLANTA, GA--NEWPORT NEWS, VA	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name ROBERT C. SCOTT

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER (VOLUNTARY)	HAMPTON ROADS CHAPTER OF THE MARCH OF DIMES
PARTNER	PROFESSIONAL INVESTMENT ASSOCIATES (INVESTMENT CLUB)
PARTNER	PENINSULA PROFIT PROS (INVESTMENT CLUB)

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

The Honorable F. James Sensenbrenner, Jr.
(Full Name)

2449 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202/225-5101
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: WI District: 5	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/04

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SCHEDULE I - EARNED INCOME

Name The Honorable F. James Sensenbrenner, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sensenbrenner Family Trust	Trustee Fees	$25,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Abbott Laboratories	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
Agere Systems Class A	$1 - $1,000			S
Agere Systems Class B	$1 - $1,000		NONE	
Allstate Insurance stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
AT&T stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
AAT&T Wireless	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

	Avaya	$1 - $1,000		NONE	S
DC	Bank One	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
DC	Bank One	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
DC	Bank One (in trust)	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	
DC	Bank One (in trust)	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	
	Bank One stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	Bank One, Milwaukee	$50,001 - $100,000	INTEREST	$1,001 - $2,500	S
	Bank One, Milwaukee	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Bell South stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	BP PLC	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Centerpoint Energy	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Comcast	$1,001 - $15,000		NONE	
	Darden Restaurants	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Delphi Automotive	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

	Dunn & Bradstreet	$100,001 - $250,000		NONE
	DuPont Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500
	Eastman Chemical stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Eastman Kodak stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	El Paso Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200
	Exxon Mobil stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000
	Firststar FSB, IRA	$50,001 - $100,000	N/A	N/A
	Gartner Group	$1,001 - $15,000		NONE
	General Electric	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000
	General Mills stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000
	General Motors	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Halliburton stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000
	Imation	$1,001 - $15,000	DIVIDENDS	$1 - $200
	IMS Health	$100,001 - $250,000	DIVIDENDS	$201 - $1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

	Kellogg Corp.	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
DC	Kimberly Clark (in trust)	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
DC	Kimberly Clark (in trust)	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
	Kimberly Clark stock	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	
DC	Lord Abbett Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Lord Abbett Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Lucent Technologies	$1,001 - $15,000		NONE	
	Merck & Co. stock	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	
	3M Co. stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	split
	Medco Health (spinoff)	$100,001 - $250,000			Other
	Monsanto Corp.	$250,001 - $500,000	DIVIDENDS	$201 - $1,000	
	Moody's Corp.	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	Morgan Stanley/Dean Whitter	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	NCR Corp.	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

	Newell Rubbermaid	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pactive Corp. stock	$1,001 - $15,000		NONE	
	Pfizer	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
	PG&E	$1,001 - $15,000		NONE	
	Piper Jaffrey (spinoff)	$1,001 - $15,000		NONE	
	Pharmacia	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
SP	Altria Group (Phillip Morris)	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
	Qwest	$1,001 - $15,000		NONE	
	Reliant Energy	$1,001 - $15,000		NONE	
	RH Donnelly	$15,001 - $50,000		NONE	
	Sandusky Voting Trust	$1,001 - $15,000		NONE	
	SBC Communications	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
DC	Schweitzer Maudit (in trust)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Schweitzer Maudit (in trust)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner, Jr.

	Sears Roebuck	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Sensient Technology	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Solutia	$1 - $1,000		NONE	
	Synavant	$1 - $1,000			S
	Tenneco Automotive	$1,001 - $15,000		NONE	
	Texas Genco	$1 - $1,000	DIVIDENDS	$1 - $200	
	Unisys stock	$1,001 - $15,000		NONE	
	US Bank Corp	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Verizon	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Vodaphone Airtouch	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Weenergies stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Wisconsin State Retirement	$250,001 - $500,000	N/A	N/A	
	See Attachments				

SCHEDULE IV - TRANSACTIONS

Name The Honorable F. James Sensenbrenner, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Agere Systems Class A	S	5-23-03	less than $1000
	AT&T stock	P	various/drip	less than $1000
	Bell South stock	P	various/drip	$1,001 - $15,000
	SBC Communications	P	various/drip	$1,001 - $15,000
	Synavant	S	6-17-03	less than $1000.
	Verizon	P	various/drip	$1,001 - $15,000
	See attachments for transactions			
	Avaya	S	5/23/03	less than $1000
SP	Bank One, Milwaukee	S	7/21/03 12/18/03	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name The Honorable F. James Sensenbrenner, Jr.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Natl. Assoc. of Broadcasters ((NAB)	Apr 4-7	Washington, DC-Las Vegas, NV-Washington, DC	Y	Y	Y	None
Congressional Study Group on Germany/Former Members of Congress	Aug. 7-14	Washington, D.C. - Strasbourg, France-Heidelberg, Germany-Washington, D.C.	Y	Y	Y	4 days
US-Japan Legis. Exchange Program - GW University	Nov. 30-Dec.5	Washington, D.C.-Tokyo-Washington, D.C.	Y	Y	N	None
Islamic Free Market Inst. Foundation/University of Qatar	Apr 11-16	Washington, D.C. - Doha, Qatar-Washington, D.C.	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name The Honorable F. James Sensenbrenner, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Sensenbrenner Family Trust
Co-Trustee	Separate trusts established for benefit of Frank J. Sensenbrenner, III and Robert Alan Sensenbrenner

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT -- FINANCIAL DISCLOSURE STATEMENT FOR 2003

FORM A For Use By Members, Officers, and Employees

RETURN COMPLETED STATEMENT TO:

The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

Members must file a signed original and two copies thereof. Employees must file a signed original and one copy thereof.

F. JAMES SENSENBRENNER, JR.
FIFTH DISTRICT, WISCONSIN
COMMITTEE ON THE JUDICIARY,
CHAIRMAN

WASHINGTON OFFICE
ROOM 2449
RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4905
202-225-5101

DISTRICT OFFICE
120 BISHOPS WAY, ROOM 154
BROOKFIELD, WI 53005-6294
262-784-1111

OUTSIDE MILWAUKEE METRO
CALLING AREA
1-800-242-1119

Congress of the United States
House of Representatives
Washington, DC 20515–4905

MR. SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 2004, a matter of public record. I have filed similar statements for each of the twenty-five preceding years I have served in the Congress.

ASSETS

REAL PROPERTY

Single family residence at 609 Ft. Williams Parkway, City of Alexandria, Virginia, at assessed valuation. (Assessed at $1,134,600). Ratio of assessed to market value: 100% (Unencumbered)	$1,134,600
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered)	$117,400
Undivided 25/44ths interest in single family residence at N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 25/44ths of assessor's estimated market value of $1,072,000.	$609,090.90
TOTAL REAL PROPERTY	$1,861,090.90

2004 disclosure

Common & Preferred Stock	# of shares	$ per share	Value
Abbott Laboratories, Inc.	12200	41.10	501,420.00
Agere Systems Class B	184	3.12	574.08
Allstate Corporation	370	45.46	16,820.20
American Telephone & Telegraph	275.973	19.57	5,400.79
AT&T Wireless	414	13.61	5,634.54
Bank One Corp.	3439	54.52	187,494.28
Bell South Corp.	1367.1389	27.69	37,856.08
Benton County Mining Company	333	0.00	0.00
BP PLC	3604	51.20	184,524.80
Centerpoint Energy	300	11.43	3,429.00
Chenequa Country Club Realty Co.	1	0.00	0.00
Comcast	423	28.76	12,165.48
Covanta Engery	910	0.00	0.00
Darden Restaurants, Inc.	1440	24.79	35,697.60
Delphi Automotive	212	9.96	2,111.52
Dunn & Bradstreet, Inc.	2500	53.50	133,750.00
E.I. DuPont de Nemours Corp.	1200	42.22	50,664.00
Eastman Chemical Co.	270	42.68	11,523.60
Eastman Kodak	1080	26.17	28,263.60
El Paso Energy	150	7.11	1,066.50
Exxon Mobil Corp.	9728	41.59	404,587.52
Gartner Group	651	11.65	7,584.15
General Electric Co.	15600	30.52	476,112.00
General Mills, Inc.	2280	46.68	106,430.40
General Motors Corp.	304	47.10	14,318.40
Halliburton Company	2000	30.39	60,780.00
Highlands Insurance Group, Inc.	100	0.00	0.00
Hospira	1220	38.44	46,899.24
Imation Corp.	99	37.62	3,724.38
IMS Health	5000	23.26	116,300.00
Kellogg Corp.	3200	39.24	125,568.00
Kimberly-Clark Corp.	17678	63.10	1,115,481.80
Lucent Technologies	696	4.11	2,860.56
Merck & Co., Inc.	34078	44.19	1,505,906.82
IBM Company	2000	81.87	163,740.00
Medco Health	4109	34.00	139,706.00
Monsanto Corporation	8360	36.67	306,561.20
Moody's	2500	70.80	177,000.00
Morgan Stanley/Dean Whitter	312	57.30	17,877.60
NCR Corp.	34	44.06	1,498.04
Newell Rubbermaid	1676	23.20	38,883.20
One Group Prime Money Market Fund			76,453.23
Pactiv Corp.	200	22.25	4,450.00
PG&E Corp.	175	28.97	5,069.75
Pfizer	22211	35.05	778,495.55
Piper Jaffrey	30	54.15	1,624.50
Qwest	571	4.21	2,403.91
Reliant Energy	300	8.21	2,463.00

2004 disclosure

RH Donnelly Corp.	500	30.25	15,125.00
Sandusky Voting Trust	26	85.00	2,210.00
SBC Communications	2476.783	24.54	60,780.25
Sears Roebuck & Co.	200	42.96	8,592.00
Solutia	1672	0.39	652.08
Tenneco Automotive	182	12.69	2,309.58
Texas Genco	15	35.75	536.25
Unisys, Inc.	167	14.28	2,384.76
US Bank Corp.	3081	24.54	75,607.74
Verizon (Bell Atlantic)	1201.8641	36.54	43,916.11
Vodaphone Airtouch	370	23.90	8,843.00
Veenergies (Wisconsin Energy)	1022	32.15	32,857.30

TOTAL COMMON & PREFERRED STOCKS AND BONDS $7,174,989.40

2004 disclosure

Life Insurance Policies	Face $	Surrender $
Northwestern Mutual #4378000	12,000.00	60,909.81
Northwestern Mutual #4574061	30,000.00	146,261.80
Massachusetts Mutual #4116575	10,000.00	9,915.21
Massachusetts Mutual #4228344	100,000.00	238,456.61
Old Line Life Ins. #5-1607059L	175,000.00	37,495.60
TOTAL LIFE INSURANCE POLICIES		$493,039.03

2004 disclosure

BANK & SAVINGS & LOAN ACCOUNTS	BALANCE
Bank One, Milwaukee, N.A., checking account	52,566.71
Bank One, Milwaukee, N.A., preferred savings	4,986.23
M&I Lake Country Bank, Hartland, WI, checking account	14,099.41
M&I Lake Country Bank, Hartland, WI, savings	362.83
Burke & Herbert Bank, Alexandria, VA, checking account	2,844.90
Firstar, FSB, Butler, WI, IRA accounts	91,813.72
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$166,673.80

2004 disclosure

MISCELLANEOUS	VALUE
1994 Cadillac Deville - retail value	$5,423.00
1989 Cadillar Fleetwood - retail value	$2,980.00
1996 Buick Regal - retail value	$4,660.00
1991 Buick Century automobile - retail value	$2,243.00
Office furniture & equipment (estimated)	$1,000.00
Furniture, clothing & personal property (estimated)	$170,000.00
Stamp collection (estimated)	$75,000.00
Interest in Wisconsin retirement fund	$276,690.49
Deposits in Congressional Retirement Fund	$153,052.77
Deposits in Federal Thrift Savings Plan	$186,207.69
Traveller's checks	$7,418.96
17 ft. Boston Whaler boat & 70 hp Johnson outboard motor (estimated)	$7,500.00
TOTAL MISCELLANEOUS	$892,175.91
TOTAL ASSETS	$10,587,969.04

2004 disclosure

LIABILITIES

None

TOTAL LIABILITIES	$0.00
NET WORTH	$10,587,969.04

2004 disclosure

STATEMENT OF 2003 TAXES PAID

Federal income tax	$79,221.00
Wisconsin income tax	$26,049.00
Menomonee Falls, WI property tax	$2,097.00
Chenequa, WI property tax	$20,175.00
Alexandria, VA property tax	$9,977.00

I further declare that I am trustee of a trust established under the will of my later father, Frank James Sensenbrenner, Sr., for the benefit of my sister, Margaret A. Sensenbrenner, and of my two sons, F. James Sensenbrenner, III, and Robert Alan Sensenbrenner. I am further the direct beneficiary of six trusts, but have no control over the assets of either trust. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established for the benefit of each son.
Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, JR.,
Member of Congress

Annual Account Statement

M L SMITH 1976 TR FBO F JAMES JR
Account number 9500089700
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 02077
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$18,953.26		
Equities	776,266.58		
Fixed income	312,402.28		
Other	0.00		
Total holdings	**$1,107,622.12**	$1,001,517.33	**$106,104.79**
Accruals (income earned but not yet received)	$2,682.70		
Total holdings with accruals	**$1,110,304.82**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$27,149.93	$27,149.93
Interest (taxable/non-taxable)	120.58	120.58
Other income	0.00	0.00
Total income	**$27,270.51**	$27,270.51
Realized gain/(loss)		
	$33,243.69	$33,243.69

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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BANK ONE.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$1,001,517.33	$1,001,517.33
Receipts	61,892.86	61,892.86
Disbursements	(89,538.23)	(89,538.23)
Income	27,270.51	27,270.51
Capital gains distributions	59.34	59.34
Change in asset market value	106,420.31	106,420.31
Ending market value	$1,107,622.12	$1,107,622.12

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	$(280.84)	$280.84
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$27,149.93	$0.00
Interest (taxable/non-taxable)	120.58	0.00
Other cash receipts	0.00	407.34
Total cash receipts	$27,270.51	$407.34
Cash disbursements		
Cash disbursements	$(20,902.92)	$0.00
Administrative expenses	(6,378.89)	(5,888.92)
Total cash disbursements	$(27,281.81)	$(5,888.92)
Investment transactions		
Purchases	$0.00	$(127,453.87)
Sales and maturities	0.00	132,946.75
Total investment transactions	$0.00	$5,492.88
Ending cash balance 12/31/03	$(292.14)	$292.14

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Tax-Free Growth and Income

This strategy seeks to provide long-term capital appreciation and tax-exempt current income by investing primarily in equity securities (60% - 80%) and secondarily in tax-exempt fixed income securities (20% - 40%). Principal volatility is expected to be moderate.

Asset allocation

1.7% - **Cash equivalents**

70.1% - **Equities**
- 30.7% - *Health care*
- 10.3% - *Mutual funds*
- 10.1% - *Capital equipment*
- 10.1% - *Energy*
- 3.6% - *Technology*
- 5.3% - *Other equities*

28.2% - **Fixed income**
- 28.2% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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BANK ONE.

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	18,953.260	1.000	$18,953.26	$18,953.26	$0.00	0.66%	$125.09
INCOME CASH	(292.140)	1.000	(292.14)	(292.14)	0.00	0.00%	0.00
PRINCIPAL CASH	292.140	1.000	292.14	292.14	0.00	0.00%	0.00
Total cash equivalents			**$18,953.26**	**$18,953.26**	**$0.00**		**$125.09**

Equities

Banks Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
NORTH FORK BANCORPORATION INC N Y	200.000	40.470	$8,094.00	$6,447.98	$1,646.02	2.96%	$240.00
WASHINGTON MUT INC	125.000	40.120	5,015.00	5,633.75	(618.75)	4.09%	205.00
Total banks			$13,109.00	$12,081.73	$1,027.27		$445.00
Capital equipment							
GENERAL ELEC CO	3,600.000	30.980	111,528.00	11,362.50	100,165.50	2.58%	2,880.00
Consumer cyclical							
VIACOM INC CL B	150.000	44.380	6,657.00	6,615.00	42.00	0.54%	36.00
Energy							
BP P L C SPONSORED ADR	352.000	49.350	17,371.20	3,960.83	13,410.37	3.10%	538.56
EXXON MOBIL CORP	2,300.000	41.000	94,300.00	15,905.94	78,394.06	2.44%	2,300.00
Total energy			$111,671.20	$19,866.77	$91,804.43		$2,838.56

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BANK ONE

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Finance							
CITIGROUP INC	150.000	48.540	$7,281.00	$5,832.00	$1,449.00	2.88%	$210.00
Health care							
MERCK & CO INC	1,700.000	46.200	78,540.00	7,346.88	71,193.12	3.20%	2,516.00
PFIZER INC	7,389.000	35.330	261,053.37	14,704.60	246,348.77	1.92%	5,024.52
Total health care			$339,593.37	$22,051.48	$317,541.89		$7,540.52
Industrial commodities							
DU PONT E I DE NEMOURS & CO	600.000	45.890	27,534.00	7,325.00	20,209.00	3.05%	840.00
Mutual funds							
ONE GROUP EQUITY INCOME FUND	114.152	16.220	1,851.55	1,526.58	324.97	1.60%	29.71
ONE GROUP INTERNATIONAL EQTY INDX FD	883.117	16.750	14,792.21	10,200.00	4,592.21	1.11%	164.87
ONE GROUP LARGE CAP GROWTH FUND	256.191	14.230	3,645.60	2,999.99	645.61	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	395.747	13.960	5,524.63	4,554.35	970.28	0.99%	54.70
ONE GROUP MID CAP GROWTH FUND	1,551.831	21.300	33,054.00	25,000.00	8,054.00	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	2,214.651	16.340	36,187.40	26,000.00	10,187.40	0.50%	180.01
ONE GROUP SMALL CAP GROWTH FUND	862.069	10.810	9,318.97	7,000.00	2,318.97	0.00%	0.00
ONE GROUP SMALL CAP VALUE FUND	419.664	23.070	9,681.65	7,000.00	2,681.65	0.29%	27.95
Total mutual funds			$114,056.01	$84,280.92	$29,775.09		$457.24

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Holdings detail continued

Retail Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
KOHLS CORP	100.000	44.940	$4,494.00	$4,902.00	$(408.00)	0.00%	$0.00
Technology							
CISCO SYS INC	900.000	24.230	21,807.00	8,393.75	13,413.25	0.00%	0.00
INTERNATIONAL BUSINESS MACHS CORP	200.000	92.680	18,536.00	10,063.75	8,472.25	0.69%	128.00
Total technology			$40,343.00	$18,457.50	$21,885.50		$128.00
Total equities			**$776,266.58**	**$192,774.90**	**$583,491.68**		**$15,375.32**

Fixed income

Mutual funds Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP INTERMEDIATE TAX-FREE BOND FUND	17,650.075	11.340	$200,151.85	$180,500.00	$19,651.85	4.02%		$8,048.43
ONE GROUP MUNICIPAL INCOME FUND	11,180.322	10.040	112,250.43	108,499.99	3,750.44	4.18%		4,695.74
Total fixed income			**$312,402.28**	**$288,999.99**	**$23,402.29**			**$12,744.17**
Total holdings			**$1,107,622.12**	**$500,728.15**	**$606,893.97**			**$28,244.58**
Accruals (Income earned but not yet received)			$2,682.70					
Total holdings with accruals			**$1,110,304.82**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$(280.84)	$280.84
Cash receipts				
Foreign dividends				
Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
3/24/03	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.416667/SHARE ON 352 SHARES DUE 3/24/03 FOREIGN CASH DIVIDEND		$146.67	
6/9/03	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.416667/SHARE ON 352 SHARES DUE 6/9/03 FOREIGN CASH DIVIDEND		146.67	
9/8/03	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.433333/SHARE ON 352 SHARES DUE 9/8/03 PROCEEDS FROM FOREIGN CASH DIVIDEND		152.53	
12/8/03	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.433333/SHARE ON 352 SHARES DUE 12/8/03 PROCEEDS FROM FOREIGN CASH DIVIDEND		152.53	
Total foreign dividends			$598.40	$0.00

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Transaction detail continued

Domestic dividends

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.36/SHARE ON 1,900 SHARES DUE 1/2/03		$684.00	
1/27/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 3,900 SHARES DUE 1/27/03		741.00	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON PHARMACIA CORP $0.135/SHARE ON 1,250 SHARES DUE 2/1/03		168.75	
2/14/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 6,039 SHARES DUE 2/14/03		905.85	
3/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.23/SHARE ON 2,400 SHARES DUE 3/10/03		552.00	
3/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.15/SHARE ON 200 SHARES DUE 3/10/03		30.00	
3/14/03	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.35/SHARE ON 600 SHARES DUE 3/14/03		210.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.36/SHARE ON 1,900 SHARES DUE 4/1/03		$684.00	
4/25/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 3,900 SHARES DUE 4/25/03		741.00	
6/5/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 7,789 SHARES DUE 6/5/03		1,168.35	
6/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 2,400 SHARES DUE 6/10/03		600.00	
6/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 200 SHARES DUE 6/10/03		32.00	
6/12/03	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.35/SHARE ON 600 SHARES DUE 6/12/03		210.00	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.36/SHARE ON 1,800 SHARES DUE 7/1/03		648.00	
7/25/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 3,700 SHARES DUE 7/25/03		703.00	

4553

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/15/03	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.27/SHARE ON 200 SHARES DUE 8/15/03		$54.00	
8/22/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 150 SHARES DUE 8/22/03		52.50	
9/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 7,589 SHARES DUE 9/4/03		1,138.35	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 2,400 SHARES DUE 9/10/03		600.00	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 200 SHARES DUE 9/10/03		32.00	
9/12/03	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.35/SHARE ON 600 SHARES DUE 9/12/03		210.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.37/SHARE ON 1,800 SHARES DUE 10/1/03		666.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VIACOM INC CL B $0.06/SHARE ON 150 SHARES DUE 10/1/03		9.00	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/27/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 3,700 SHARES DUE 10/27/03		$703.00	
11/17/03	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.27/SHARE ON 200 SHARES DUE 11/17/03		54.00	
11/26/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 150 SHARES DUE 11/26/03		52.50	
12/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 7,589 SHARES DUE 12/4/03		1,138.35	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 2,400 SHARES DUE 12/10/03		600.00	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 200 SHARES DUE 12/10/03		32.00	
12/15/03	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.35/SHARE ON 600 SHARES DUE 12/14/03		210.00	
Total domestic dividends			$13,629.65	$0.00

4555

Transaction detail continued

Mutual fund income

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 1,007.984 SHARES DUE 1/2/03		$33.90	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED MID CAP FUND $0.010519/SHARE ON 3,655.914 SHARES DUE 1/2/03		38.46	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.023174/SHARE ON 114.152 SHARES DUE 1/2/03		2.65	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 12/1/02 TO 12/31/02		688.35	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 395.747 SHARES DUE 1/2/03		13.87	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 12/1/02 TO 12/31/02		402.49	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 419.664 SHARES DUE 1/2/03		19.43	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.01841/SHARE ON 114.152 SHARES DUE 2/3/03		$2.10	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 1/1/03 TO 1/31/03		670.70	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 1/1/03 TO 1/31/03		402.49	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.024595/SHARE ON 114.152 SHARES DUE 3/3/03		2.81	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 2/1/03 TO 2/28/03		653.05	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 2/1/03 TO 2/28/03		391.31	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.015381/SHARE ON 114.152 SHARES DUE 4/1/03		1.76	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 3/1/03 TO 3/31/03		635.40	

4557

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 395.747 SHARES DUE 4/1/03		$11.25	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 2,214.651 SHARES DUE 4/1/03		37.61	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 3/1/03 TO 3/31/03		391.31	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 419.664 SHARES DUE 4/1/03		3.70	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.014095/SHARE ON 114.152 SHARES DUE 5/1/03		1.61	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 4/1/03 TO 4/30/03		617.75	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 4/1/03 TO 4/30/03		391.31	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.029807/SHARE ON 114.152 SHARES DUE 6/2/03		3.40	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 5/1/03 TO 5/31/03		$635.40	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 5/1/03 TO 5/31/03		391.31	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.016611/SHARE ON 114.152 SHARES DUE 7/1/03		1.90	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 6/1/03 TO 6/30/03		653.05	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 395.747 SHARES DUE 7/1/03		11.59	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 2,214.651 SHARES DUE 7/1/03		43.74	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 6/1/03 TO 6/30/03		380.13	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 419.664 SHARES DUE 7/1/03		7.37	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.01753/SHARE ON 114.152 SHARES DUE 8/1/03		$2.00	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 7/1/03 TO 7/31/03		635.40	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 7/1/03 TO 7/31/03		391.31	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.034607/SHARE ON 114.152 SHARES DUE 9/2/03		3.95	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 8/1/03 TO 8/31/03		653.05	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 8/1/03 TO 8/31/03		391.31	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.017/SHARE ON 114.152 SHARES DUE 10/1/03		1.94	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 9/1/03 TO 9/30/03		653.05	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 395.747 SHARES DUE 10/1/03		$13.94	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 2,214.651 SHARES DUE 10/1/03		41.36	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 9/1/03 TO 9/30/03		391.31	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 419.664 SHARES DUE 10/1/03		8.09	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.020883/SHARE ON 114.152 SHARES DUE 11/3/03		2.38	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 10/1/03 TO 10/31/03		688.35	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 10/1/03 TO 10/31/03		391.31	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.030144/SHARE ON 114.152 SHARES DUE 12/1/03		3.44	

4561

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 11/1/03 TO 11/30/03		$706.00	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 11/1/03 TO 11/30/03		402.49	
Total mutual fund income			$12,921.88	$0.00
Taxable interest				
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		20.78	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		15.47	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		12.46	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		11.05	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		9.88	

4562

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		$10.11	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		6.95	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		5.81	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		5.75	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		6.26	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		7.89	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		8.17	
Total taxable interest			$120.58	$0.00

4563

Transaction detail continued

Other cash receipts

Date	Description	Realized gain/(loss)	Income	Principal
5/15/03	CASH RECEIPT FEDERAL FIDUCIARY TAX REFUND 2002 1041 REFUND			$301.00
6/18/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			47.00
12/11/03	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP EQUITY INCOME FUND $0.5198/SHARE ON 114.152 SHARES DUE 12/11/03 LT CAPITAL GAIN OF $59.34 ON FEDERAL COST LT CAPITAL GAIN OF $59.34 ON STATE COST	$59.34		59.34
Total other cash receipts			$0.00	$407.34
Total cash receipts			**$27,270.51**	**$407.34**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
3/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	$(5,217.88)	

4564

Transaction detail continued

Date	Description	Income	Principal
3/24/03	CASH DISBURSEMENT PAID TO 10% TAX ON FOREIGN CASH DIVIDEND FOREIGN TAXES BP P L C SPONSORED ADR C# 055622104 TAX ON FOREIGN CASH DIVIDEND	$(14.67)	
6/9/03	CASH DISBURSEMENT PAID TO 10% TAX ON FOREIGN CASH DIVIDEND FOREIGN TAXES BP P L C SPONSORED ADR C#055622104 TAX ON FOREIGN CASH DIVIDEND	(14.67)	
6/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(5,408.36)	
9/8/03	CASH DISBURSEMENT PAID TO 10% TAX ON FOREIGN CASH DIVIDEND FOREIGN TAXES BP P L C SPONSORED ADR C#055622104 TAX ON FOREIGN CASH DIVIDEND	(15.25)	
9/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(4,774.34)	

4565

Transaction detail continued

Date	Description	Income	Principal
12/8/03	CASH DISBURSEMENT PAID TO 10% TAX ON FOREIGN CASH DIVIDEND FOREIGN TAXES BP P L C SPONSORED ADR 055622104 TAX ON FOREIGN CASH DIVIDEND	$(15.25)	
12/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(5,442.50)	
Total other cash disbursements		$(20,902.92)	$0.00
Administrative expenses			
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(479.76)	$(479.77)
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(473.44)	(473.44)
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(472.20)	(472.20)
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(479.25)	(479.25)
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(492.39)	(492.40)
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(497.17)	(497.18)

4566

Transaction detail continued

Date	Description	Income	Principal
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/2	$(490.00)	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(507.42)	$(507.42)
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(499.51)	(499.51)
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(493.76)	(493.76)
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(495.22)	(495.22)
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(496.63)	(496.63)
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(502.14)	(502.14)
Total administrative expenses		$(6,378.89)	$(5,888.92)
Total cash disbursements		**$(27,281.81)**	**$(5,888.92)**

4567

Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $36,823.14			$(36,823.14)
1/28/03	PURCHASED 2,214.651 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 1/27/03 2,214.651 SHARES AT $11.74 INVESTMENTS AT TAX COST: $26,000.00			(26,000.00)
1/28/03	PURCHASED 1,551.831 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 1/27/03 1,551.831 SHARES AT $16.11 INVESTMENTS AT TAX COST: $25,000.00			(25,000.00)
1/28/03	PURCHASED 883.117 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 1/27/03 883.117 SHARES AT $11.55 INVESTMENTS AT TAX COST: $10,200.00			(10,200.00)
5/23/03	PURCHASED 200 SHARES OF NORTH FORK BANCORPORATION INC N Y TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE 200 SHARES AT $32.13989 INVESTMENTS AT TAX COST: $6,447.98			(6,447.98)

4568

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	PURCHASED 150 SHARES OF CITIGROUP INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $9.00 BROKERAGE 150 SHARES AT $38.82 INVESTMENTS AT TAX COST: $5,832.00			$(5,832.00)
5/23/03	PURCHASED 150 SHARES OF VIACOM INC CL B TRADE DATE 5/20/03 PURCHASED THROUGH UBS WARBURG LLC., NEW YORK PAID $15.00 BROKERAGE 150 SHARES AT $44.00 INVESTMENTS AT TAX COST: $6,615.00			(6,615.00)
12/1/03	PURCHASED 125 SHARES OF WASHINGTON MUT INC TRADE DATE 11/25/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $12.50 BROKERAGE 125 SHARES AT $44.97 INVESTMENTS AT TAX COST: $5,633.75			(5,633.75)

4569

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	PURCHASED 100 SHARES OF KOHLS CORP TRADE DATE 11/25/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $48.92 INVESTMENTS AT TAX COST: $4,902.00			$(4,902.00)
Total purchases			$0.00	$(127,453.87)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-34,215.75			34,215.75
1/28/03	SOLD 3,655.914 SHARES OF ONE GROUP DIVERSIFIED MID CAP FUND TRADE DATE 1/27/03 3,655.914 SHARES AT $13.42 INVESTMENTS AT TAX COST: $-51,000.00	$(1,937.63)		49,062.37
1/28/03	SOLD 1,007.984 SHARES OF ONE GROUP DIVERSIFIED INTERNAT'L FD TRADE DATE 1/27/03 1,007.984 SHARES AT $9.63 INVESTMENTS AT TAX COST: $-10,100.00	(393.11)		9,706.89

4570

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 200 SHARES OF GENERAL ELEC CO TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.26 SEC FEE 200 SHARES AT $27.80 INVESTMENTS AT TAX COST: $-631.25	$4,908.49		$5,539.74
5/23/03	SOLD 200 SHARES OF PFIZER INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.29 SEC FEE 200 SHARES AT $30.86 INVESTMENTS AT TAX COST: $-739.88	5,411.83		6,151.71
5/23/03	SOLD 100 SHARES OF MERCK & CO INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.26 SEC FEE 100 SHARES AT $54.50333 INVESTMENTS AT TAX COST: $-456.60	4,983.47		5,440.07

4571

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/29/03	SOLD 0.08 SHARES OF MEDCO HEALTH SOLUTIONS INC TRADE DATE 8/29/03 SOLD THROUGH CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE INVESTMENTS AT TAX COST: $-0.16	$1.91		$2.07
9/15/03	SOLD 217 SHARES OF MEDCO HEALTH SOLUTIONS INC TRADE DATE 9/10/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $13.02 BROKERAGE PAID $0.26 SEC FEE 217 SHARES AT $25.72 INVESTMENTS AT TAX COST: $-439.54	5,128.42		5,567.96
12/1/03	SOLD 200 SHARES OF PFIZER INC TRADE DATE 11/25/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.31 SEC FEE 200 SHARES AT $33.52 INVESTMENTS AT TAX COST: $-739.87	5,943.82		6,683.69

4572

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	SOLD 100 SHARES OF MERCK & CO INC TRADE DATE 11/25/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.19 SEC FEE 100 SHARES AT $41.23 INVESTMENTS AT TAX COST: $-432.17	$3,680.64		$4,112.81
12/1/03	SOLD 100 SHARES OF EXXON MOBIL CORP TRADE DATE 11/25/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.17 SEC FEE 100 SHARES AT $35.85 INVESTMENTS AT TAX COST: $-691.56	2,883.27		3,574.83
12/1/03	SOLD 100 SHARES OF GENERAL ELEC CO TRADE DATE 11/25/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.14 SEC FEE 100 SHARES AT $28.99 INVESTMENTS AT TAX COST: $-315.62	2,573.24		2,888.86
Total sales and maturities			$0.00	$132,946.75
Total investment transactions			**$0.00**	**$5,492.88**

4573

Transaction detail continued

Other investment transactions (non-cash)

Date	Description	Income	Principal
4/16/03	MANDATORY STOCK MERGER DELIVERED 1,250 SHARES OF PHARMACIA CORP EFFECTIVE DATE 04/16/03 MARKET VALUE OF $56,367.50 MANDATORY STOCK MERGER TO PFIZER INC CUSIP: 717081103 INVESTMENTS AT TAX COST: $-6,473.91		
4/16/03	MANDATORY STOCK MERGER RECEIVED 1,750 SHARES OF PFIZER INC EFFECTIVE DATE 04/16/03 DISTRIBUTION 04/16/03 1.4 SHARES FOR EACH SHARE HELD OF PHARMACIA CORP MARKET VALUE OF $56,367.50 MANDATORY STOCK MERGER FROM PHARMACIA CORP CUSIP: 71713U102 INVESTMENTS AT TAX COST: $6,473.91		

4574

Transaction detail continued

Date	Description	Income	Principal
8/21/03	SPIN OFF RECEIVED 217.08 SHARES DISTRIBUTION AT 0.1206 SHARES OF MEDCO HEALTH SOLUTIONS INC FOR EACH SHARE OF MERCK & CO INC DUE 08/19/03 MARKET VALUE OF $5,177.36 SPIN-OFF FROM MERCK & CO INC CUSIP: 589331107 INVESTMENTS AT TAX COST: $439.70		
8/21/03	SPIN OFF FEDERAL COST BASIS OF MERCK & CO INC ADJUSTED BY $-439.70 OLD: $8,218.75 /NEW $7,779.05 SPIN-OFF TO MEDCO HEALTH SOLUTIONS INC /58405U102 INVESTMENTS AT TAX COST: $-439.70		

4575

Transaction detail continued

Date	Description	Income	Principal
8/21/03	SPIN OFF STATE COST BASIS OF MERCK & CO INC ADJUSTED BY $-439.70 OLD: $8,218.75 /NEW $7,779.05 SPIN-OFF TO MEDCO HEALTH SOLUTIONS INC /58405U102		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/03		**$(292.14)**	**$292.14**

No pending trades.

4576

4577

Annual Account Statement

F JAMES SENSENBRENNER JR CUSTODY
Account number 9500094700
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
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Your Client Advisor is:
BILL MARGETIS
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05030 00572
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

4578

Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$76,453.23		
Equities	1,018,593.42		
Fixed income	0.00		
Other	0.00		
Total holdings	**$1,095,046.65**	$308,468.07	**$786,578.58**
Accruals (Income earned but not yet received)	$6,068.94		
Total holdings with accruals	**$1,101,115.59**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$6,010.52	$6,010.52
Interest (taxable/non-taxable)	1,974.64	1,974.64
Other income	0.00	0.00
Total income	**$7,985.16**	$7,985.16
Realized gain/(loss)	**$0.00**	$0.00

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

4580

BANK ONE

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$308,468.07	$308,468.07
Receipts	1,022,334.89	1,022,334.89
Disbursements	(398,673.49)	(398,673.49)
Income	7,985.16	7,985.16
Capital gains distributions	0.00	0.00
Change in asset market value	154,932.02	154,932.02
Ending market value	$1,095,046.65	$1,095,046.65

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

4581

Transaction summary

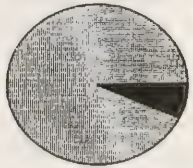
	Income	Principal
Beginning cash balance 1/1/03	$(133,131.49)	$133,131.49
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$6,010.52	$0.00
Interest (taxable/non-taxable)	1,974.64	0.00
Other cash receipts	133,131.49	0.00
Total cash receipts	$141,116.65	$0.00
Cash disbursements		
Cash disbursements	$(6,010.52)	$(367,120.97)
Administrative expenses	0.00	0.00
Total cash disbursements	$(6,010.52)	$(367,120.97)
Investment transactions		
Purchases	$0.00	$(57,985.16)
Sales and maturities	0.00	290,000.00
Total investment transactions	$0.00	$232,014.84
Ending cash balance 12/31/03	$1,974.64	$(1,974.64)

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Limited to Cash Management Only

This strategy seeks to provide a high level of current income, liquidity and principal stability by investing in high-quality money market securities. Principal volatility is not expected to be an issue.

Asset allocation



7.0% - **Cash equivalents**

93.0% - **Equities**
93.0% - *Consumer non-durable*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

4584

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	76,453.230	1.000	$76,453.23	$76,453.23	$0.00	0.66%	$504.59
INCOME CASH	1,974.640	1.000	1,974.64	1,974.64	0.00	0.00%	0.00
PRINCIPAL CASH	(1,974.640)	1.000	(1,974.64)	(1,974.64)	0.00	0.00%	0.00
Total cash equivalents			**$76,453.23**	**$76,453.23**	**$0.00**		**$504.59**

Equities

Consumer non-durable Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
KIMBERLY CLARK CORP	17,238.000	59.090	$1,018,593.42	$0.00	$1,018,593.42	2.30%	$23,443.68
Total equities			**$1,018,593.42**	**$0.00**	**$1,018,593.42**		**$23,443.68**
Total holdings			**$1,095,046.65**	**$76,453.23**	**$1,018,593.42**		**$23,948.27**
Accruals (income earned but not yet received)			$6,068.94				
Total holdings with accruals			**$1,101,115.59**				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

4586

Transaction detail

		Income	Principal
Beginning cash balance 1/1/03		$(133,131.49)	$133,131.49
Cash receipts			
Domestic dividends			
Date	*Description*	*Income*	*Principal*
10/2/03	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.34/SHARE ON 17,678 SHARES DUE 10/2/03	$6,010.52	
Total domestic dividends		$6,010.52	$0.00
Taxable interest			
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02	318.82	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03	269.29	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03	194.04	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03	199.75	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03	165.66	

4587

Transaction detail continued

Date	Description	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	$166.87	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	151.45	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	131.25	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	124.14	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	89.58	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON BANK ONE TRUST MONEY MKT DEPT ACCT INTEREST FROM 10/1/03 TO 10/31/03	1.05	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	90.30	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	72.44	
Total taxable interest		$1,974.64	$0.00

Transaction detail continued

Other cash receipts

Date	Description	Income	Principal
1/3/03	CASH RECEIPT TRANSFER FROM PRINCIPAL	$133,131.49	
Total other cash receipts		$133,131.49	$0.00
Total cash receipts		**$141,116.65**	**$0.00**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/03	CASH DISBURSEMENT TRANSFER TO INCOME		$(133,131.49)
1/28/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(50,000.00)
3/26/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(25,000.00)

4589

Transaction detail continued

Date	Description	Income	Principal
9/2/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		$(60,000.00)
10/23/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070		(18,989.48)
10/23/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070	$(6,010.52)	
11/20/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(50,000.00)
12/30/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(30,000.00)
Total other cash disbursements		$(6,010.52)	$(367,120.97)
Total cash disbursements		**$(6,010.52)**	**$(367,120.97)**

4590

Transaction detail continued

Investment transactions

Purchases

Date	Description	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $32,985.16		$(32,985.16)
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF BANK ONE TRUST MONEY MKT DEPT ACCT INVESTMENTS AT TAX COST: $25,000.00		(25,000.00)
Total purchases		$0.00	$(57,985.16)

Sales and maturities

	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-265,000.00		265,000.00
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF BANK ONE TRUST MONEY MKT DEPT ACCT INVESTMENTS AT TAX COST: $-25,000.00		25,000.00
Total sales and maturities		$0.00	$290,000.00
Total investment transactions		**$0.00**	**$232,014.84**

Other investment transactions (non-cash)

Date	Description	Income	Principal
7/24/03	RECEIVED 17,678 SHARES OF KIMBERLY CLARK CORP MARKET VALUE OF $889,203.40		

4591

Transaction detail continued

Date	Description	Income	Principal
12/26/03	DISTRIBUTED 220 SHARES OF KIMBERLY CLARK CORP GIFT TO ROBERT ALAN SENSENBRENNER TRUST MARKET VALUE OF $12,771.00		
12/26/03	DISTRIBUTED 220 SHARES OF KIMBERLY CLARK CORP GIFT TO FRANK JAMES SENSENBRENNER III TRUST MARKET VALUE OF $12,771.00		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/03		**$1,974.64**	**$(1,974.64)**

No pending trades.

4592

Annual Account Statement

F JAMES SENSENBRENNER JR IRR CRT
Account number 9500091900
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

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Your Trust Advisor is:
LYNN E PAULL
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05030 01208
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$2,924.57		
Equities	321,291.71		
Fixed income	120,169.37		
Other	0.00		
Total holdings	**$444,385.65**	$387,380.85	**$57,004.80**
Accruals (Income earned but not yet received)	$1,229.87		
Total holdings with accruals	**$445,615.52**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$7,864.77	$7,864.77
Interest (taxable/non-taxable)	38.91	38.91
Other income	0.00	0.00
Total income	**$7,903.68**	$7,903.68
Realized gain/(loss)		
	$(1,186.57)	$(1,186.57)

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$387,380.85	$387,380.85
Receipts	15,010.04	15,010.04
Disbursements	(38,770.38)	(38,770.38)
Income	7,903.68	7,903.68
Capital gains distributions	0.00	0.00
Change in asset market value	72,861.46	72,861.46
Ending market value	$444,385.65	$444,385.65

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

4597

Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	$(5,941.32)	$5,941.32
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$7,864.77	$0.00
Interest (taxable/non-taxable)	38.91	0.00
Other cash receipts	15,010.04	0.00
Total cash receipts	$22,913.72	$0.00
Cash disbursements		
Cash disbursements	$(19,369.04)	$(15,010.04)
Administrative expenses	(2,445.62)	(1,945.68)
Total cash disbursements	$(21,814.66)	$(16,955.72)
Investment transactions		
Purchases	$0.00	$(19,950.17)
Sales and maturities	0.00	35,806.83
Total investment transactions	$0.00	$15,856.66
Ending cash balance 12/31/03	$(4,842.26)	$4,842.26

4598

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth and Income

This strategy seeks to provide long-term capital appreciation and current income by investing primarily in equity securities (60% - 80%) and secondarily in fixed income securities (20% - 40%). Principal volatility is expected to be moderate.

Asset allocation

0.7% - **Cash equivalents**

72.3% - **Equities**
72.3% - *Mutual funds*

27.0% - **Fixed income**
27.0% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

4600

Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	2,924.570	1.000	$2,924.57	$2,924.57	$0.00	0.66%	$19.30
INCOME CASH	(4,842.260)	1.000	(4,842.26)	(4,842.26)	0.00	0.00%	0.00
PRINCIPAL CASH	4,842.260	1 000	4,842.26	4,842.26	0.00	0.00%	0.00
Total cash equivalents			**$2,924.57**	**$2,924.57**	**$0.00**		**$19.30**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	4,492.154	11.560	$51,929.30	$56,196.85	$(4,267.55)	0.69%	$360.05
ONE GROUP DIVERSIFIED MID CAP FUND	2,138.588	18.130	38,772.60	36,334.61	2,437.99	0.16%	63.37
ONE GROUP INTERNATIONAL EQTY INDX FD	1,879.317	16.750	31,478.56	29,636.83	1,841.73	1.11%	350.85
ONE GROUP LARGE CAP GROWTH FUND	4,044.534	14.230	57,553.72	64,591.22	(7,037.50)	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	4,245.245	13.960	59,263.82	64,527.72	(5,264.10)	0.99%	586.74
ONE GROUP MID CAP GROWTH FUND	1,659.690	21.300	35,351.40	34,040.23	1,311.17	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	2,176.137	16.340	35,558.08	33,338.42	2,219.66	0.50%	176.88
ONE GROUP SMALL CAP GROWTH FUND	495.050	10.810	5,351.49	5,000.00	351.49	0.00%	0.00

4602

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	261.506	23.070	$6,032.94	$5,000.00	$1,032.94	0.29%	$17.41
Total equities			**$321,291.71**	**$328,665.88**	**$(7,374.17)**		**$1,555.30**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	3,826.917	10.990	$42,057.82	$41,407.24	$650.58	4.80%		$2,020.61
ONE GROUP GOVERNMENT BOND FUND	1,976.285	10.360	20,474.31	20,000.00	474.31	4.98%		1,019.76
ONE GROUP INCOME BOND FUND	5,353.004	7.970	42,663.44	42,395.79	267.65	4.97%		2,119.79
ONE GROUP INTERMEDIATE BOND FUND	1,381.347	10.840	14,973.80	14,780.41	193.39	4.76%		712.78
Total fixed income			**$120,169.37**	**$118,583.44**	**$1,585.93**			**$5,872.94**
Total holdings			**$444,385.65**	**$450,173.89**	**$(5,788.24)**			**$7,447.54**
Accruals (income earned but not yet received)			$1,229.87					
Total holdings with accruals			**$445,615.52**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

4603

Transaction detail

	Income	Principal
Beginning cash balance 1/1/03	$(5,941.32)	$5,941.32

Cash receipts

Mutual fund income

Date	Description	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02	$241.76	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 5,163.939 SHARES DUE 1/2/03	104.68	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED MID CAP FUND $0.010519/SHARE ON 2,138.588 SHARES DUE 1/2/03	22.50	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02	98.81	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02	262.49	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02	74.59	

Transaction detail continued

Date	Description	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.041921/SHARE ON 1,879.317 SHARES DUE 1/2/03	$78.78	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 4,245.245 SHARES DUE 1/2/03	148.81	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 2,176.137 SHARES DUE 1/2/03	53.07	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 261.506 SHARES DUE 1/2/03	12.11	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03	237.67	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03	96.84	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03	262.49	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03	73.21	

Transaction detail continued

Date	Description	Income	Principal
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03	$229.47	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03	90.91	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03	250.56	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03	69.07	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03	225.37	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 5,163.939 SHARES DUE 4/1/03	98.54	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED MID CAP FUND $0.011547/SHARE ON 2,138.588 SHARES DUE 4/1/03	24.69	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03	84.98	

4606

Transaction detail continued

Date	Description	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03	$232.66	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03	67.69	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 4,245.245 SHARES DUE 4/1/03	120.71	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 2,176.137 SHARES DUE 4/1/03	36.96	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 261.506 SHARES DUE 4/1/03	2.31	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03	208.98	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03	83.00	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03	220.73	

Transaction detail continued

Date	Description	Income	Principal
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03	$67.69	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03	196.69	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03	81.03	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03	182.00	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03	64.92	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03	188.49	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 5,163.939 SHARES DUE 7/1/03	90.93	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED MID CAP FUND $0.006452/SHARE ON 2,138.588 SHARES DUE 7/1/03	13.80	

4608

Transaction detail continued

Date	Description	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03	$79.05	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03	171.30	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03	62.16	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 4,245.245 SHARES DUE 7/1/03	124.33	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 2,176.137 SHARES DUE 7/1/03	42.98	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 261.506 SHARES DUE 7/1/03	4.59	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03	180.30	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03	77.08	

Transaction detail continued

Date	Description	Income	Principal
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03	$165.94	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03	59.40	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03	168.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03	77.08	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03	165.94	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03	56.64	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03	172.10	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 5,163.939 SHARES DUE 10/1/03	102.07	

4610

Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03	$77.08	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03	171.30	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03	56.64	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 4,245.245 SHARES DUE 10/1/03	149.51	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 2,176.137 SHARES DUE 10/1/03	40.64	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 261.506 SHARES DUE 10/1/03	5.04	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	164.56	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	81.03	

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Transaction detail continued

Date	Description	Income	Principal
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	$171.30	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	58.02	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	168.38	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	83.00	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	171.30	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	58.02	
Total mutual fund income		$7,864.77	$0.00
Taxable interest			
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02	9.10	

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Transaction detail continued

Date	Description	Income	Principal
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03	$4.12	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03	3.74	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03	4.06	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03	0.79	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	2.22	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	3.94	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	0.95	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	0.96	

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Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	$0.97	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	4.01	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	4.05	
Total taxable interest		$38.91	$0.00
Other cash receipts			
1/3/03	CASH RECEIPT TRANSFER FROM PRINCIPAL	5,941.32	
12/31/03	CASH RECEIPT TRANSFER FROM PRINCIPAL	9,068.72	
Total other cash receipts		$15,010.04	$0.00
Total cash receipts		**$22,913.72**	**$0.00**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/03	CASH DISBURSEMENT TRANSFER TO INCOME		$(5,941.32)

Transaction detail continued

Date	Description	Income	Principal
3/31/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	$(4,842.26)	
6/30/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(4,842.26)	
9/30/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(4,842.26)	
12/31/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(4,842.26)	
12/31/03	CASH DISBURSEMENT TRANSFER TO INCOME		$(9,068.72)
Total other cash disbursements		$(19,369.04)	$(15,010.04)
Administrative expenses			
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(156.25)	(156.25)

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Transaction detail continued

Date	Description	Income	Principal
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	$(156.25)	$(156.25)
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(156.25)	(156.25)
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(156.25)	(156.25)
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(156.25)	(156.25)
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(162.96)	(162.97)
6/12/03	CHARITABLE TAX RETURN PREP FEE COLLECTED 2002 FORMS 5227/1041A	(500.00)	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(162.08)	(162.09)
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(163.35)	(163.36)
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(166.65)	(166.66)
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(165.76)	(165.77)
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(170.78)	(170.79)

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Transaction detail continued

Date	Description	Income	Principal
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	$(172.79)	$(172.79)
Total administrative expenses		$(2,445.62)	$(1,945.68)
Total cash disbursements		**$(21,814.66)**	**$(16,955.72)**

Investment transactions

Date	Description	Realized gain/(loss)	Income	Principal
Purchases				
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $19,950.17			$(19,950.17)
Total purchases			$0.00	$(19,950.17)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-20,806.83			20,806.83
5/21/03	SOLD 612.745 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 5/20/03 612.745 SHARES AT $8.16 INVESTMENTS AT TAX COST: $-4,852.94	$147.06		5,000.00

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/1/03	SOLD 671.785 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 9/30/03 671.785 SHARES AT $10.42 INVESTMENTS AT TAX COST: $-8,404.03	$(1,404.03)		$7,000.00
10/1/03	SOLD 270.758 SHARES OF ONE GROUP BOND FUND TRADE DATE 9/30/03 270.758 SHARES AT $11.08 INVESTMENTS AT TAX COST: $-2,929.60	70.40		3,000.00
Total sales and maturities			$0.00	$35,806.83
Total investment transactions			**$0.00**	**$15,856.66**
			Income	*Principal*
Ending cash balance 12/31/03			**$(4,842.26)**	**$4,842.26**

No pending trades.

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Annual Account Statement

F JAMES SENSENBRENNER III TR
Account number 9572031010
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02278
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$1,841.52		
Equities	125,857.03		
Fixed income	5,822.52		
Other	0.00		
Total holdings	**$133,521.07**	$105,804.73	**$27,716.34**
Accruals (Income earned but not yet received)	$325.76		
Total holdings with accruals	**$133,846.83**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$832.36	$832.36
Interest (taxable/non-taxable)	24.14	24.14
Other income	0.00	0.00
Total income	**$856.50**	$856.50
Realized gain/(loss)		
	$143.96	$143.96

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$105,804.73	$105,804.73
Receipts	16.00	16.00
Disbursements	(1,434.40)	(1,434.40)
Income	856.50	856.50
Capital gains distributions	15.56	15.56
Change in asset market value	28,262.68	28,262.68
Ending market value	$133,521.07	$133,521.07

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$832.36	$0.00
Interest (taxable/non-taxable)	24.14	0.00
Other cash receipts	0.00	31.56
Total cash receipts	$856.50	$31.56
Cash disbursements		
Cash disbursements	$0.00	$0.00
Administrative expenses	(962.19)	(472.21)
Total cash disbursements	$(962.19)	$(472.21)
Investment transactions		
Purchases	$0.00	$(12,677.55)
Sales and maturities	0.00	13,223.89
Total investment transactions	$0.00	$546.34
Ending cash balance 12/31/03	**$(105.69)**	**$105.69**

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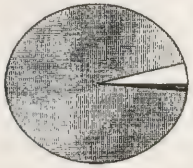
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth

This strategy seeks to provide long-term capital appreciation and overall returns that are competitive with the general equity market by investing at least 80% of its assets in equity securities. Current income is a secondary consideration. Principal volatility is expected to be relatively high.

Asset allocation



1.4% - **Cash equivalents**

94.2% - **Equities**
94.2% - *Mutual funds*

4.4% - **Fixed income**
4.4% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	1,841.520	1.000	$1,841.52	$1,841.52	$0.00	0.66%	$12.15
INCOME CASH	(105.690)	1.000	(105.69)	(105.69)	0.00	0.00%	0.00
PRINCIPAL CASH	105.690	1.000	105.69	105.69	0.00	0.00%	0.00
Total cash equivalents			**$1,841.52**	**$1,841.52**	**$0.00**		**$12.15**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	1,619.071	11.560	$18,716.46	$17,186.08	$1,530.38	0.69%	$129.77
ONE GROUP DIVERSIFIED INTERNAT'L FD	213.080	13.740	2,927.72	2,525.00	402.72	0.83%	24.37
ONE GROUP INTERNATIONAL EQTY INDX FD	744.915	16.750	12,477.33	10,339.75	2,137.58	1.11%	139.07
ONE GROUP LARGE CAP GROWTH FUND	1,294.701	14.230	18,423.60	15,721.93	2,701.67	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	1,449.419	13.960	20,233.89	18,222.33	2,011.56	0.99%	200.32
ONE GROUP MARKET NEUTRAL FD	615.499	10.300	6,339.64	6,217.80	121.84	0.00%	0.00
ONE GROUP MID CAP GROWTH FUND	893.504	21.300	19,031.64	14,300.36	4,731.28	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	1,088.689	16.340	17,789.18	13,090.61	4,698.57	0.50%	88.49

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BANK ONE

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP GROWTH FUND	371.842	10.810	$4,019.61	$3,375.22	$644.39	0.00%	$0.00
ONE GROUP SMALL CAP VALUE FUND	255.655	23.070	5,897.96	3,457.40	2,440.56	0.29%	17.02
Total equities			**$125,857.03**	**$104,436.48**	**$21,420.55**		**$599.04**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	229.121	10.990	$2,518.04	$2,309.47	$208.57	4.80%		$120.98
ONE GROUP GOVERNMENT BOND FUND	102.399	10.360	1,060.85	929.78	131.07	4.98%		52.84
ONE GROUP INCOME BOND FUND	281.509	7.970	2,243.63	2,131.99	111.64	4.97%		111.48
Total fixed income			**$5,822.52**	**$5,371.24**	**$451.28**			**$285.30**
Total holdings			**$133,521.07**	**$111,649.24**	**$21,871.83**			**$896.49**
Accruals (income earned but not yet received)			$325.76					
Total holdings with accruals			**$133,846.83**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$0.00	$0.00
Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $872.50			$(872.50)
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-5,967.26			5,967.26
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		$16.36	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 1,461.411 SHARES DUE 1/2/03		29.62	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 213.08 SHARES DUE 1/2/03		7.17	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		10.54	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		18.37	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.041921/SHARE ON 809.586 SHARES DUE 1/2/03		33.94	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 1,435.717 SHARES DUE 1/2/03		$50.33	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 1,180.686 SHARES DUE 1/2/03		28.80	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 255.655 SHARES DUE 1/2/03		11.84	
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		5.30	
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(39.42)	$(39.42)
1/16/03	PURCHASED 288.508 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/15/03 288.508 SHARES AT $11.63 INVESTMENTS AT TAX COST: $3,355.35			(3,355.35)
1/16/03	PURCHASED 100.613 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 1/15/03 100.613 SHARES AT $9.66 INVESTMENTS AT TAX COST: $971.92			(971.92)
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		16.08	

4631

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		$10.33	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		18.37	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		4.37	
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(39.38)	$(39.39)
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		15.53	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		9.69	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		17.54	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		2.05	
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(39.39)	(39.39)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/25/03	PURCHASED 7.696 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 3/24/03 7.696 SHARES AT $11.68 INVESTMENTS AT TAX COST: $89.89			$(89.89)
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		$15.25	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 1,562.024 SHARES DUE 4/1/03		29.81	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		9.06	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		16.29	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 1,435.717 SHARES DUE 4/1/03		40.82	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 1,188.382 SHARES DUE 4/1/03		20.18	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 255.655 SHARES DUE 4/1/03		2.25	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		2.11	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		$(39.39)	$(39.39)
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		14.14	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		8.85	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		15.45	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		1.89	
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(39.38)	(39.38)
5/28/03	PURCHASED 222.035 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 5/27/03 222.035 SHARES AT $10.00 INVESTMENTS AT TAX COST: $2,220.35			(2,220.35)
5/28/03	SOLD 108.345 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/27/03 108.345 SHARES AT $10.78 INVESTMENTS AT TAX COST: $-1,015.81	$152.15		1,167.96

4634

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/28/03	SOLD 81.444 SHARES OF ONE GROUP BOND FUND TRADE DATE 5/27/03 81.444 SHARES AT $11.31 INVESTMENTS AT TAX COST: $-808.74	$112.39		$921.13
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		$9.40	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		4.20	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		14.20	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		1.86	
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(39.38)	(39.38)
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/2		(490.00)	
6/16/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			16.00
6/27/03	PURCHASED 123.902 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 6/26/03 123.902 SHARES AT $10.05 INVESTMENTS AT TAX COST: $1,245.22			(1,245.22)

4635

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/27/03	SOLD 136.101 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 6/26/03 136.101 SHARES AT $8.12 INVESTMENTS AT TAX COST: $-1,054.24	$50.90		$1,105.14
6/27/03	SOLD 10.952 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/26/03 10.952 SHARES AT $12.79 INVESTMENTS AT TAX COST: $-171.51	(31.43)		140.08
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		$9.01	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 1,562.024 SHARES DUE 7/1/03		27.50	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		4.10	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		9.01	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 1,435.717 SHARES DUE 7/1/03		42.05	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 1,188.382 SHARES DUE 7/1/03		23.47	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 255.655 SHARES DUE 7/1/03		$4.49	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		1.39	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(39.30)	$(39.31)
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		8.62	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		3.99	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		8.73	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		1.13	
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(39.31)	(39.31)
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		8.03	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		3.99	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		$8.73	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		1.04	
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(39.31)	$(39.31)
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		8.22	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 1,562.024 SHARES DUE 10/1/03		30.88	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		3.99	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		9.01	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 1,435.717 SHARES DUE 10/1/03		50.56	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 1,188.382 SHARES DUE 10/1/03		22.19	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 255.655 SHARES DUE 10/1/03		$4.93	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		0.97	
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(39.31)	$(39.31)
10/15/03	PURCHASED 269.562 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 10/14/03 269.562 SHARES AT $10.21 INVESTMENTS AT TAX COST: $2,752.23			(2,752.23)
10/15/03	PURCHASED 57.047 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 10/14/03 57.047 SHARES AT $10.98 INVESTMENTS AT TAX COST: $626.38			(626.38)
10/15/03	PURCHASED 33.294 SHARES OF ONE GROUP BOND FUND TRADE DATE 10/14/03 33.294 SHARES AT $10.96 INVESTMENTS AT TAX COST: $364.90			(364.90)
10/15/03	PURCHASED 13.702 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 10/14/03 13.702 SHARES AT $13.05 INVESTMENTS AT TAX COST: $178.81			(178.81)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	SOLD 99.693 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 10/14/03 99.693 SHARES AT $15.18 INVESTMENTS AT TAX COST: $-1,335.89	$177.45		$1,513.34
10/15/03	SOLD 137.056 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 10/14/03 137.056 SHARES AT $10.31 INVESTMENTS AT TAX COST: $-1,470.61	(57.56)		1,413.05
10/15/03	SOLD 64.671 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 10/14/03 64.671 SHARES AT $15.40 INVESTMENTS AT TAX COST: $-1,271.43	(275.50)		995.93
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		$9.85	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		4.20	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		9.01	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		1.03	
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(39.31)	(39.31)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		$10.08	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		4.30	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		9.01	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		1.00	
12/11/03	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MARKET NEUTRAL FD $0.0253/SHARE ON 615.499 SHARES DUE 12/11/03 ST CAPITAL GAIN OF $15.56 ON FEDERAL COST ST CAPITAL GAIN OF $15.56 ON STATE COST	$15.56		$15.56
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(39.31)	(39.31)
			Income	*Principal*
Ending cash balance 12/31/03			**$(105.69)**	**$105.69**

No pending trades.

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Annual Account Statement

F JAMES SENSENBRENNER III 1993 TRUST
Account number 9572131210
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

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Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02332
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$5,216.11		
Equities	303,341.50		
Fixed income	13,133.21		
Other	0.00		
Total holdings	**$321,690.82**	$253,913.01	**$67,777.81**
Accruals (Income earned but not yet received)	$782.56		
Total holdings with accruals	**$322,473.38**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$2,001.24	$2,001.24
Interest (taxable/non-taxable)	52.14	52.14
Other income	0.00	0.00
Total income	**$2,053.38**	$2,053.38
Realized gain/(loss)		
	$(21.11)	$(21.11)

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$253,913.01	$253,913.01
Receipts	555.36	555.36
Disbursements	(3,189.44)	(3,189.44)
Income	2,053.38	2,053.38
Capital gains distributions	37.49	37.49
Change in asset market value	68,321.02	68,321.02
Ending market value	$321,690.82	$321,690.82

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$2,001.24	$0.00
Interest (taxable/non-taxable)	52.14	0.00
Other cash receipts	0.00	592.85
Total cash receipts	$2,053.38	$592.85
Cash disbursements		
Cash disbursements	$(427.36)	$0.00
Administrative expenses	(1,626.02)	(1,136.06)
Total cash disbursements	$(2,053.38)	$(1,136.06)
Investment transactions		
Purchases	$0.00	$(33,572.65)
Sales and maturities	0.00	34,115.86
Total investment transactions	$0.00	$543.21
Ending cash balance 12/31/03	**$0.00**	**$0.00**

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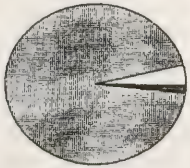
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth

This strategy seeks to provide long-term capital appreciation and overall returns that are competitive with the general equity market by investing at least 80% of its assets in equity securities. Current income is a secondary consideration. Principal volatility is expected to be relatively high.

Asset allocation



1.6% - **Cash equivalents**

94.3% - **Equities**
94.3% - *Mutual funds*

4.1% - **Fixed income**
4.1% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	5,216.110	1.000	$5,216.11	$5,216.11	$0.00	0.66%	$34.43
Total cash equivalents			**$5,216.11**	**$5,216.11**	**$0.00**		**$34.43**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	3,900.998	11.560	$45,095.54	$44,557.01	$538.53	0.69%	$312.66
ONE GROUP DIVERSIFIED INTERNAT'L FD	509.611	13.740	7,002.06	8,228.67	(1,226.61)	0.83%	58.28
ONE GROUP INTERNATIONAL EQTY INDX FD	1,794.787	16.750	30,062.68	27,037.44	3,025.24	1.11%	335.07
ONE GROUP LARGE CAP GROWTH FUND	3,123.633	14.230	44,449.30	42,102.49	2,346.81	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	3,524.880	13.960	49,207.32	45,333.36	3,873.96	0.99%	487.17
ONE GROUP MARKET NEUTRAL FD	1,482.956	10.300	15,274.45	14,980.92	293.53	0.00%	0.00
ONE GROUP MID CAP GROWTH FUND	2,147.225	21.300	45,735.89	39,247.25	6,488.64	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	2,623.219	16.340	42,863.40	32,376.60	10,486.80	0.50%	213.22
ONE GROUP SMALL CAP GROWTH FUND	895.912	10.810	9,684.81	8,077.19	1,607.62	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	605.377	23.070	$13,966.05	$8,395.72	$5,570.33	0.29%	$40.31
Total equities			$303,341.50	$270,336.65	$33,004.85		$1,446.71

Fixed income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	470.553	10.990	$5,171.38	$4,677.54	$493.84	4.80%		$248.45
ONE GROUP GOVERNMENT BOND FUND	246.054	10.360	2,549.12	2,251.42	297.70	4.98%		126.96
ONE GROUP INCOME BOND FUND	679.136	7.970	5,412.71	5,094.16	318.55	4.97%		268.94
Total fixed income			$13,133.21	$12,023.12	$1,110.09			$644.35
Total holdings			$321,690.82	$287,575.88	$34,114.94			$2,125.49
Accruals (income earned but not yet received)			$782.56					
Total holdings with accruals			$322,473.38					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $2,181.38			$(2,181.38)
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-6,170.70			6,170.70
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		$39.45	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 3,771.697 SHARES DUE 1/2/03		76.46	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 509.611 SHARES DUE 1/2/03		17.14	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INDEX FUND $0.077634/SHARE ON 484.027 SHARES DUE 1/2/03		37.58	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		25.32	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		40.82	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.041921/SHARE ON 1,952.563 SHARES DUE 1/2/03		$81.85	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 2,894.158 SHARES DUE 1/2/03		101.45	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 2,837.598 SHARES DUE 1/2/03		69.21	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 605.377 SHARES DUE 1/2/03		28.03	
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		7.82	
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(94.61)	$(94.61)
1/17/03	PURCHASED 555.575 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/16/03 555.575 SHARES AT $11.60 INVESTMENTS AT TAX COST: $6,444.67			(6,444.67)
1/17/03	PURCHASED 554.18 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 1/16/03 554.18 SHARES AT $11.34 INVESTMENTS AT TAX COST: $6,284.40			(6,284.40)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/17/03	PURCHASED 34.634 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 1/16/03 34.634 SHARES AT $12.57 INVESTMENTS AT TAX COST: $435.35			$(435.35)
1/17/03	SOLD 484.027 SHARES OF ONE GROUP EQUITY INDEX FUND TRADE DATE 1/16/03 484.027 SHARES AT $20.90 INVESTMENTS AT TAX COST: $-10,000.00	$116.16		10,116.16
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		$38.79	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		24.81	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		40.82	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		7.25	
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(94.63)	(94.63)
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		37.45	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		$23.29	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		38.96	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		4.85	
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(94.62)	$(94.63)
3/25/03	PURCHASED 9.455 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 3/24/03 9.455 SHARES AT $11.68 INVESTMENTS AT TAX COST: $110.44			(110.44)
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		36.78	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 3,771.697 SHARES DUE 4/1/03		71.98	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		21.78	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		36.18	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 3,448.338 SHARES DUE 4/1/03		$98.05	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 2,881.687 SHARES DUE 4/1/03		48.94	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 605.377 SHARES DUE 4/1/03		5.34	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		4.99	
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(94.63)	$(94.63)
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		34.11	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		21.27	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		34.32	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		4.54	
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(94.64)	(94.64)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/21/03	CASH RECEIPT FEDERAL FIDUCIARY TAX REFUND 2002 1041 REFUND			$12.00
5/28/03	PURCHASED 533.533 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 5/27/03 533.533 SHARES AT $10.00 INVESTMENTS AT TAX COST: $5,335.33			(5,335.33)
5/28/03	SOLD 260.349 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/27/03 260.349 SHARES AT $10.78 INVESTMENTS AT TAX COST: $-2,453.81	$352.75		2,806.56
5/28/03	SOLD 198.175 SHARES OF ONE GROUP BOND FUND TRADE DATE 5/27/03 198.175 SHARES AT $11.31 INVESTMENTS AT TAX COST: $-1,999.59	241.77		2,241.36
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		$22.59	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		10.09	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		31.54	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		$4.48	
6/6/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			$116.00
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(94.63)	(94.64)
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/ 2		(490.00)	
6/27/03	PURCHASED 300.086 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 6/26/03 300.086 SHARES AT $10.05 INVESTMENTS AT TAX COST: $3,015.86			(3,015.86)
6/27/03	SOLD 248.488 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 6/26/03 248.488 SHARES AT $8.12 INVESTMENTS AT TAX COST: $-1,885.80	$131.92		2,017.72
6/27/03	SOLD 77.844 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/26/03 77.844 SHARES AT $12.79 INVESTMENTS AT TAX COST: $-1,357.60	(361.98)		995.62

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/27/03	SOLD 0.191 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 6/26/03 0.191 SHARES AT $13.19 INVESTMENTS AT TAX COST: $-4.23	$(1.71)		$2.52
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		$21.65	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 3,771.697 SHARES DUE 7/1/03		66.41	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		9.84	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		21.73	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 3,448.338 SHARES DUE 7/1/03		100.99	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 2,881.687 SHARES DUE 7/1/03		56.92	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 605.377 SHARES DUE 7/1/03		10.62	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		3.68	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		$(94.71)	$(94.71)
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		20.70	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		9.60	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		21.05	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		3.16	
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(94.71)	(94.71)
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		19.29	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		9.60	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		21.05	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		2.92	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		$(94.71)	$(94.72)
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		19.76	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 3,771.697 SHARES DUE 10/1/03		74.55	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		9.60	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		21.73	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 3,448.338 SHARES DUE 10/1/03		121.45	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 2,881.687 SHARES DUE 10/1/03		53.81	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 605.377 SHARES DUE 10/1/03		11.68	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		2.72	
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(94.71)	(94.72)

4661

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	PURCHASED 649.337 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 10/14/03 649.337 SHARES AT $10.21 INVESTMENTS AT TAX COST: $6,629.73			$(6,629.73)
10/15/03	PURCHASED 129.301 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 10/14/03 129.301 SHARES AT $10.98 INVESTMENTS AT TAX COST: $1,419.73			(1,419.73)
10/15/03	PURCHASED 76.542 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 10/14/03 76.542 SHARES AT $13.05 INVESTMENTS AT TAX COST: $998.87			(998.87)
10/15/03	PURCHASED 52.558 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 10/14/03 52.558 SHARES AT $13.64 INVESTMENTS AT TAX COST: $716.89			(716.89)
10/15/03	SOLD 258.468 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 10/14/03 258.468 SHARES AT $15.18 INVESTMENTS AT TAX COST: $-3,452.24	$471.30		3,923.54

4662

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	SOLD 331.219 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 10/14/03 331.219 SHARES AT $10.31 INVESTMENTS AT TAX COST: $-3,555.19	$(140.32)		$3,414.87
10/15/03	SOLD 157.585 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 10/14/03 157.585 SHARES AT $15.40 INVESTMENTS AT TAX COST: $-3,295.30	(868.49)		2,426.81
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		$20.23	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		10.09	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		21.73	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		2.91	
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(94.71)	(94.71)
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		20.70	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		$10.33	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		21.73	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		2.82	
12/11/03	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MARKET NEUTRAL FD $0.0253/SHARE ON 1,482.956 SHARES DUE 12/11/03 ST CAPITAL GAIN OF $37.49 ON FEDERAL COST ST CAPITAL GAIN OF $37.49 ON STATE COST	$37.49		$37.49
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(94.71)	(94.71)
12/31/03	CASH DISBURSEMENT TRANSFER TO PRINCIPAL		(427.36)	
12/31/03	CASH RECEIPT TRANSFER FROM INCOME			427.36
			Income	*Principal*
Ending cash balance 12/31/03			**$0.00**	**$0.00**

No pending trades.

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Annual Account Statement

ROBERT ALAN SENSENBRENNER TR
Account number 9572031510
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02367
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

4666

BANK ONE.

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ROBERT ALAN SENSENBRENNER TR

Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$1,874.53		
Equities	128,114.33		
Fixed income	5,729.53		
Other	0.00		
Total holdings	**$135,718.39**	$107,358.78	**$28,359.61**
Accruals (income earned but not yet received)	$330.95		
Total holdings with accruals	**$136,049.34**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$857.49	$857.49
Interest (taxable/non-taxable)	20.28	20.28
Other income	0.00	0.00
Total income	**$877.77**	$877.77
Realized gain/(loss)		
	$234.97	$234.97

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$107,358.78	$107,358.78
Receipts	16.00	16.00
Disbursements	(1,449.69)	(1,449.69)
Income	877.77	877.77
Capital gains distributions	15.81	15.81
Change in asset market value	28,899.72	28,899.72
Ending market value	$135,718.39	$135,718.39

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions, The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

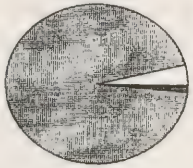
	Income	Principal
Beginning cash balance 1/1/03	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$857.49	$0.00
Interest (taxable/non-taxable)	20.28	0.00
Other cash receipts	0.00	31.81
Total cash receipts	$877.77	$31.81
Cash disbursements		
Cash disbursements	$0.00	$0.00
Administrative expenses	(969.84)	(479.85)
Total cash disbursements	$(969.84)	$(479.85)
Investment transactions		
Purchases	$0.00	$(13,269.40)
Sales and maturities	0.00	13,809.51
Total investment transactions	$0.00	$540.11
Ending cash balance 12/31/03	**$(92.07)**	**$92.07**

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth

This strategy seeks to provide long-term capital appreciation and overall returns that are competitive with the general equity market by investing at least 80% of its assets in equity securities. Current income is a secondary consideration. Principal volatility is expected to be relatively high.

Asset allocation



1.4% - **Cash equivalents**

94.4% - **Equities**
94.4% - *Mutual funds*

4.2% - **Fixed income**
4.2% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	1,874.530	1.000	$1,874.53	$1,874.53	$0.00	0.66%	$12.37
INCOME CASH	(92.070)	1.000	(92.07)	(92.07)	0.00	0.00%	0.00
PRINCIPAL CASH	92.070	1.000	92.07	92.07	0.00	0.00%	0.00
Total cash equivalents			**$1,874.53**	**$1,874.53**	**$0.00**		**$12.37**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	1,645.499	11.560	$19,021.97	$17,910.57	$1,111.40	0.69%	$131.89
ONE GROUP DIVERSIFIED INTERNAT'L FD	216.456	13.740	2,974.11	2,565.00	409.11	0.83%	24.76
ONE GROUP INTERNATIONAL EQTY INDX FD	757.110	16.750	12,681.59	10,492.18	2,189.41	1.11%	141.34
ONE GROUP LARGE CAP GROWTH FUND	1,317.052	14.230	18,741.65	15,998.55	2,743.10	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	1,486.946	13.960	20,757.77	18,239.74	2,518.03	0.99%	205.51
ONE GROUP MARKET NEUTRAL FD	625.580	10.300	6,443.47	6,319.64	123.83	0.00%	0.00
ONE GROUP MID CAP GROWTH FUND	907.710	21.300	19,334.22	14,528.77	4,805.45	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	1,106.634	16.340	18,082.40	13,318.59	4,763.81	0.50%	89.95

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP GROWTH FUND	377.929	10.810	$4,085.41	$3,432.37	$653.04	0.00%	$0.00
ONE GROUP SMALL CAP VALUE FUND	259.720	23.070	5,991.74	3,513.69	2,478.05	0.29%	17.29
Total equities			**$128,114.33**	**$106,319.10**	**$21,795.23**		**$610.74**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	215.765	10.990	$2,371.26	$2,159.80	$211.46	4.80%		$113.92
ONE GROUP GOVERNMENT BOND FUND	104.064	10.360	1,078.10	944.90	133.20	4.98%		53.70
ONE GROUP INCOME BOND FUND	286.094	7.970	2,280.17	2,163.93	116.24	4.97%		113.29
Total fixed income			**$5,729.53**	**$5,268.63**	**$460.90**			**$280.91**
Total holdings			**$135,718.39**	**$113,462.26**	**$22,256.13**			**$904.02**
Accruals (Income earned but not yet received)			$330.95					
Total holdings with accruals			**$136,049.34**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $893.77			$(893.77)
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-2,221.46			2,221.46
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		$16.61	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 1,578.696 SHARES DUE 1/2/03		32.00	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 216.456 SHARES DUE 1/2/03		7.28	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INDEX FUND $0.077634/SHARE ON 193.05 SHARES DUE 1/2/03		14.99	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		10.70	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		18.72	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.041921/SHARE ON 822.355 SHARES DUE 1/2/03		$34.47	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 1,356.516 SHARES DUE 1/2/03		47.55	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 1,199.461 SHARES DUE 1/2/03		29.25	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 259.72 SHARES DUE 1/2/03		12.02	
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		2.93	
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(40.00)	$(40.00)
1/16/03	PURCHASED 288.065 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/15/03 288.065 SHARES AT $11.63 INVESTMENTS AT TAX COST: $3,350.20			(3,350.20)
1/16/03	PURCHASED 97.066 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 1/15/03 97.066 SHARES AT $11.38 INVESTMENTS AT TAX COST: $1,104.61			(1,104.61)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/16/03	PURCHASED 17.078 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 1/15/03 17.078 SHARES AT $12.61 INVESTMENTS AT TAX COST: $215.35			$(215.35)
1/16/03	SOLD 193.05 SHARES OF ONE GROUP EQUITY INDEX FUND TRADE DATE 1/15/03 193.05 SHARES AT $20.99 INVESTMENTS AT TAX COST: $-4,000.00	$52.12		4,052.12
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		$16.33	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		10.49	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		18.72	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		2.72	
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(40.03)	(40.03)
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		15.77	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		$9.85	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		17.87	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		2.04	
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(40.03)	$(40.03)
3/25/03	PURCHASED 2.853 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 3/24/03 2.853 SHARES AT $11.68 INVESTMENTS AT TAX COST: $33.32			(33.32)
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		15.49	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 1,578.696 SHARES DUE 4/1/03		30.13	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		9.21	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		16.59	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 1,453.582 SHARES DUE 4/1/03		$41.33	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 1,219.392 SHARES DUE 4/1/03		20.71	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 259.72 SHARES DUE 4/1/03		2.29	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		2.10	
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(40.03)	$(40.03)
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		14.36	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		8.99	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		15.74	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		1.92	
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(40.02)	(40.02)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/28/03	PURCHASED 225.646 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 5/27/03 225.646 SHARES AT $10.00 INVESTMENTS AT TAX COST: $2,256.46			$(2,256.46)
5/28/03	SOLD 110.032 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/27/03 110.032 SHARES AT $10.78 INVESTMENTS AT TAX COST: $-1,031.67	$154.48		1,186.15
5/28/03	SOLD 82.59 SHARES OF ONE GROUP BOND FUND TRADE DATE 5/27/03 82.59 SHARES AT $11.31 INVESTMENTS AT TAX COST: $-820.12	113.97		934.09
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		$9.55	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		4.27	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		14.46	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		1.89	
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(40.02)	(40.02)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/2		$(490.00)	
6/16/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			$16.00
6/27/03	PURCHASED 125.936 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 6/26/03 125.936 SHARES AT $10.05 INVESTMENTS AT TAX COST: $1,265.66			(1,265.66)
6/27/03	SOLD 139.32 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 6/26/03 139.32 SHARES AT $8.12 INVESTMENTS AT TAX COST: $-1,075.33	$55.95		1,131.28
6/27/03	SOLD 10.507 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/26/03 10.507 SHARES AT $12.79 INVESTMENTS AT TAX COST: $-164.54	(30.16)		134.38
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		9.15	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 1,578.696 SHARES DUE 7/1/03		27.80	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		4.16	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		$9.16	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 1,453.582 SHARES DUE 7/1/03		42.57	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 1,219.392 SHARES DUE 7/1/03		24.09	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 259.72 SHARES DUE 7/1/03		4.56	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		1.42	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(39.95)	$(39.95)
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		8.76	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		4.06	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		8.87	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		$1.15	
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(39.95)	$(39.95)
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		8.16	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		4.06	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		8.87	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		1.06	
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(39.95)	(39.95)
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		8.36	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 1,578.696 SHARES DUE 10/1/03		31.20	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		4.06	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		$9.16	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 1,453.582 SHARES DUE 10/1/03		51.19	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 1,219.392 SHARES DUE 10/1/03		22.77	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 259.72 SHARES DUE 10/1/03		5.01	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		0.98	
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(39.95)	$(39.95)
10/15/03	PURCHASED 273.998 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 10/14/03 273.998 SHARES AT $10.21 INVESTMENTS AT TAX COST: $2,797.52			(2,797.52)
10/15/03	PURCHASED 66.803 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 10/14/03 66.803 SHARES AT $10.98 INVESTMENTS AT TAX COST: $733.50			(733.50)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	PURCHASED 33.364 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 10/14/03 33.364 SHARES AT $13.05 INVESTMENTS AT TAX COST: $435.40			$(435.40)
10/15/03	PURCHASED 16.753 SHARES OF ONE GROUP BOND FUND TRADE DATE 10/14/03 16.753 SHARES AT $10.96 INVESTMENTS AT TAX COST: $183.61			(183.61)
10/15/03	SOLD 112.758 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 10/14/03 112.758 SHARES AT $15.18 INVESTMENTS AT TAX COST: $-1,502.53	$209.13		1,711.66
10/15/03	SOLD 139.048 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 10/14/03 139.048 SHARES AT $10.31 INVESTMENTS AT TAX COST: $-1,491.99	(58.40)		1,433.59
10/15/03	SOLD 65.245 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 10/14/03 65.245 SHARES AT $15.40 INVESTMENTS AT TAX COST: $-1,282.71	(277.93)		1,004.78
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		$9.28	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		$4.27	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		9.16	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		1.05	
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(39.95)	$(39.96)
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		9.49	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		4.37	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		9.16	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		1.02	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/11/03	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MARKET NEUTRAL FD $0.0253/SHARE ON 625.58 SHARES DUE 12/11/03 ST CAPITAL GAIN OF $15.81 ON FEDERAL COST ST CAPITAL GAIN OF $15.81 ON STATE COST	$15.81		$15.81
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		$(39.96)	(39.96)
Ending cash balance 12/31/03			Income $(92.07)	Principal $92.07

No pending trades.

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Annual Account Statement

ROBERT ALAN SENSENBRENNER 1993 TRUST
Account number 9572131310
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

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Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02330
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

4690

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$5,175.87		
Equities	296,628.26		
Fixed income	13,015.64		
Other	0.00		
Total holdings	**$314,819.77**	$248,590.83	**$66,228.94**
Accruals (income earned but not yet received)	$766.52		
Total holdings with accruals	**$315,586.29**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$1,963.76	$1,963.76
Interest (taxable/non-taxable)	52.81	52.81
Other income	0.00	0.00
Total income	**$2,016.57**	$2,016.57
Realized gain/(loss)		
	$133.49	$133.49

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$248,590.83	$248,590.83
Receipts	522.70	522.70
Disbursements	(3,128.49)	(3,128.49)
Income	2,016.57	2,016.57
Capital gains distributions	36.69	36.69
Change in asset market value	66,781.47	66,781.47
Ending market value	$314,819.77	$314,819.77

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

Transaction summary

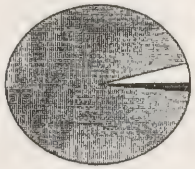
	Income	Principal
Beginning cash balance 1/1/03	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$1,963.76	$0.00
Interest (taxable/non-taxable)	52.81	0.00
Other cash receipts	0.00	559.39
Total cash receipts	$2,016.57	$559.39
Cash disbursements		
Cash disbursements	$(414.70)	$0.00
Administrative expenses	(1,601.87)	(1,111.92)
Total cash disbursements	$(2,016.57)	$(1,111.92)
Investment transactions		
Purchases	$0.00	$(34,952.54)
Sales and maturities	0.00	35,505.07
Total investment transactions	$0.00	$552.53
Ending cash balance 12/31/03	**$0.00**	**$0.00**

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth

This strategy seeks to provide long-term capital appreciation and overall returns that are competitive with the general equity market by investing at least 80% of its assets in equity securities. Current income is a secondary consideration. Principal volatility is expected to be relatively high.

Asset allocation



- 1.6% - **Cash equivalents**
- 94.3% - **Equities**
  - 94.3% - *Mutual funds*
- 4.1% - **Fixed income**
  - 4.1% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	5,175.870	1.000	$5,175.87	$5,175.87	$0.00	0.66%	$34.16
Total cash equivalents			**$5,175.87**	**$5,175.87**	**$0.00**		**$34.16**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	3,817.730	11.560	$44,132.96	$43,665.97	$466.99	0.69%	$305.99
ONE GROUP DIVERSIFIED INTERNAT'L FD	498.646	13.740	6,851.40	8,183.94	(1,332.54)	0.83%	57.03
ONE GROUP INTERNATIONAL EQTY INDX FD	1,756.400	16.750	29,419.70	26,436.98	2,982.72	1.11%	327.90
ONE GROUP LARGE CAP GROWTH FUND	3,069.884	14.230	43,684.45	40,916.60	2,767.85	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	3,449.626	13.960	48,156.78	44,173.10	3,983.68	0.99%	476.77
ONE GROUP MARKET NEUTRAL FD	1,451.298	10.300	14,948.37	14,661.10	287.27	0.00%	0.00
ONE GROUP MID CAP GROWTH FUND	2,082.115	21.300	44,349.05	37,581.67	6,767.38	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	2,567.497	16.340	41,952.90	31,748.27	10,204.63	0.50%	208.69
ONE GROUP SMALL CAP GROWTH FUND	876.785	10.810	9,478.05	7,891.92	1,586.13	0.00%	0.00

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	591.877	23.070	$13,654.60	$8,215.85	$5,438.75	0.29%	$39.41
Total equities			**$296,628.26**	**$263,475.40**	**$33,152.86**		**$1,415.79**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	475.326	10.990	$5,223.83	$4,735.49	$488.34	4.80%		$250.97
ONE GROUP GOVERNMENT BOND FUND	240.831	10.360	2,495.01	2,203.58	291.43	4.98%		124.27
ONE GROUP INCOME BOND FUND	664.592	7.970	5,296.80	4,962.04	334.76	4.97%		263.18
Total fixed income			**$13,015.64**	**$11,901.11**	**$1,114.53**			**$638.42**
Total holdings			**$314,819.77**	**$280,552.38**	**$34,267.39**			**$2,088.37**
Accruals (income earned but not yet received)			$766.52					
Total holdings with accruals			**$315,586.29**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $2,124.57			$(2,124.57)
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-7,504.80			7,504.80
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		$38.61	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 3,692.049 SHARES DUE 1/2/03		74.84	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 498.646 SHARES DUE 1/2/03		16.77	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INDEX FUND $0.077634/SHARE ON 482.393 SHARES DUE 1/2/03		37.45	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		24.79	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		40.26	

4700

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.041921/SHARE ON 1,910.687 SHARES DUE 1/2/03		$80.10	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 2,779.935 SHARES DUE 1/2/03		97.45	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 2,777.68 SHARES DUE 1/2/03		67.74	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 591.877 SHARES DUE 1/2/03		27.40	
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		8.66	
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(92.63)	$(92.64)
1/16/03	PURCHASED 603.933 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/15/03 603.933 SHARES AT $11.63 INVESTMENTS AT TAX COST: $7,023.74			(7,023.74)
1/16/03	PURCHASED 588.345 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 1/15/03 588.345 SHARES AT $11.38 INVESTMENTS AT TAX COST: $6,695.37			(6,695.37)

4701

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/16/03	PURCHASED 70.588 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 1/15/03 70.588 SHARES AT $12.61 INVESTMENTS AT TAX COST: $890.11			$(890.11)
1/16/03	PURCHASED 8.352 SHARES OF ONE GROUP BOND FUND TRADE DATE 1/15/03 8.352 SHARES AT $11.07 INVESTMENTS AT TAX COST: $92.46			(92.46)
1/16/03	SOLD 482.393 SHARES OF ONE GROUP EQUITY INDEX FUND TRADE DATE 1/15/03 482.393 SHARES AT $20.99 INVESTMENTS AT TAX COST: $-10,000.00	$125.43		10,125.43
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		$38.44	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		24.29	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		40.26	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		7.67	
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(92.62)	(92.62)

4702

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		$37.11	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		22.80	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		38.43	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		4.72	
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(92.62)	$(92.62)
3/25/03	PURCHASED 6.044 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 3/24/03 6.044 SHARES AT $10.50 INVESTMENTS AT TAX COST: $63.46			(63.46)
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		36.45	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 3,692.049 SHARES DUE 4/1/03		70.46	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		21.32	

4703

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		$35.69	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 3,374.324 SHARES DUE 4/1/03		95.95	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 2,848.268 SHARES DUE 4/1/03		48.37	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 591.877 SHARES DUE 4/1/03		5.22	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		4.86	
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(92.61)	$(92.62)
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		33.80	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		20.82	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		33.86	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		$4.45	
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(92.62)	$(92.63)
5/28/03	PURCHASED 522.206 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 5/27/03 522.206 SHARES AT $10.00 INVESTMENTS AT TAX COST: $5,222.06			(5,222.06)
5/28/03	SOLD 254.879 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/27/03 254.879 SHARES AT $10.78 INVESTMENTS AT TAX COST: $-2,398.65	$348.95		2,747.60
5/28/03	SOLD 202.164 SHARES OF ONE GROUP BOND FUND TRADE DATE 5/27/03 202.164 SHARES AT $11.31 INVESTMENTS AT TAX COST: $-2,048.03	238.44		2,286.47
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		22.11	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		9.87	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		31.11	

4705

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		$4.39	
6/6/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			$108.00
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(92.63)	(92.63)
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/2		(490.00)	
6/27/03	PURCHASED 293.646 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 6/26/03 293.646 SHARES AT $10.05 INVESTMENTS AT TAX COST: $2,951.14			(2,951.14)
6/27/03	SOLD 250.414 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 6/26/03 250.414 SHARES AT $8.12 INVESTMENTS AT TAX COST: $-1,915.32	$118.04		2,033.36
6/27/03	SOLD 65.736 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/26/03 65.736 SHARES AT $12.79 INVESTMENTS AT TAX COST: $-1,110.34	(269.58)		840.76

4706

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/27/03	SOLD 5.839 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 6/26/03 5.839 SHARES AT $13.19 INVESTMENTS AT TAX COST: $-123.32	$(46.30)		$77.02
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		$21.19	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 3,692.049 SHARES DUE 7/1/03		65.01	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		9.63	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		21.27	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 3,374.324 SHARES DUE 7/1/03		98.82	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 2,848.268 SHARES DUE 7/1/03		56.26	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 591.877 SHARES DUE 7/1/03		10.39	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		3.65	

4707

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		$(92.70)	$(92.70)
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		20.26	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		9.39	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		20.60	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		3.14	
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(92.69)	(92.70)
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		18.88	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		9.39	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		20.60	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		2.89	

4708

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		$(92.69)	$(92.69)
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		19.34	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 3,692.049 SHARES DUE 10/1/03		72.98	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		9.39	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		21.27	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 3,374.324 SHARES DUE 10/1/03		118.84	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 2,848.268 SHARES DUE 10/1/03		53.19	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 591.877 SHARES DUE 10/1/03		11.42	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		2.70	
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(92.69)	(92.69)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	PURCHASED 635.446 SHARES OF ONE GROUP MARKET NEUTRAL FD TRADE DATE 10/14/03 635.446 SHARES AT $10.21 INVESTMENTS AT TAX COST: $6,487.90			$(6,487.90)
10/15/03	PURCHASED 125.681 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 10/14/03 125.681 SHARES AT $10.98 INVESTMENTS AT TAX COST: $1,379.98			(1,379.98)
10/15/03	PURCHASED 75.302 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 10/14/03 75.302 SHARES AT $13.05 INVESTMENTS AT TAX COST: $982.69			(982.69)
10/15/03	PURCHASED 64.303 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 10/14/03 64.303 SHARES AT $13.64 INVESTMENTS AT TAX COST: $877.09			(877.09)
10/15/03	PURCHASED 14.778 SHARES OF ONE GROUP BOND FUND TRADE DATE 10/14/03 14.778 SHARES AT $10.96 INVESTMENTS AT TAX COST: $161.97			(161.97)

4710

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/15/03	SOLD 280.771 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 10/14/03 280.771 SHARES AT $15.18 INVESTMENTS AT TAX COST: $-3,761.83	$500.27		$4,262.10
10/15/03	SOLD 324.096 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 10/14/03 324.096 SHARES AT $10.31 INVESTMENTS AT TAX COST: $-3,478.87	(137.44)		3,341.43
10/15/03	SOLD 148.448 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 10/14/03 148.448 SHARES AT $15.40 INVESTMENTS AT TAX COST: $-3,067.11	(781.01)		2,286.10
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		$20.44	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		9.87	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		21.27	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		2.88	
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(92.69)	(92.69)

4711

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		$20.91	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		10.11	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		21.27	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		2.80	
12/11/03	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MARKET NEUTRAL FD $0.0253/SHARE ON 1,451.298 SHARES DUE 12/11/03 ST CAPITAL GAIN OF $36.69 ON FEDERAL COST ST CAPITAL GAIN OF $36.69 ON STATE COST	$36.69		$36.69
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(92.68)	(92.69)
12/31/03	CASH DISBURSEMENT TRANSFER TO PRINCIPAL		(414.70)	
12/31/03	CASH RECEIPT TRANSFER FROM INCOME			414.70
			Income	Principal
Ending cash balance 12/31/03			$0.00	$0.00

No pending trades.

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Annual Account Statement

CHERYL W SENSENBRENNER CUSTODY
Account number 9500094600
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

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Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 00321
CHERYL SENSENBRENNER
609 FORT WILLIAMS PARKWAY
ALEXANDRIA VA 22304

4714

BANK ONE.

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CHERYL W SENSENBRENNER CUSTODY

Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$82,794.11		
Equities	85,820.34		
Fixed income	0.00		
Other	0.00		
Total holdings	**$168,614.45**	$101,909.09	**$66,705.36**
Accruals (Income earned but not yet received)	$1,121.70		
Total holdings with accruals	**$169,736.15**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$1,072.36	$1,072.36
Interest (taxable/non-taxable)	801.87	801.87
Other income	0.00	0.00
Total income	**$1,874.23**	$1,874.23
Realized gain/(loss)		
	$0.00	$0.00

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$101,909.09	$101,909.09
Receipts	67,347.53	67,347.53
Disbursements	(25,524.83)	(25,524.83)
Income	1,874.23	1,874.23
Capital gains distributions	0.00	0.00
Change in asset market value	23,008.43	23,008.43
Ending market value	$168,614.45	$168,614.45

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	$1,524.83	$(1,524.83)
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$1,072.36	$0.00
Interest (taxable/non-taxable)	801.87	0.00
Other cash receipts	0.00	4,535.62
Total cash receipts	$1,874.23	$4,535.62
Cash disbursements		
Cash disbursements	$(25,524.83)	$0.00
Administrative expenses	0.00	0.00
Total cash disbursements	$(25,524.83)	$0.00
Investment transactions		
Purchases	$0.00	$(4,885.02)
Sales and maturities	0.00	24,000.00
Total investment transactions	$0.00	$19,114.98
Ending cash balance 12/31/03	$(22,125.77)	$22,125.77

4718

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Limited to Cash Management Only

This strategy seeks to provide a high level of current income, liquidity and principal stability by investing in high-quality money market securities. Principal volatility is not expected to be an issue.

Asset allocation

49.1% - **Cash equivalents**

50.9% - **Equities**
50.9% - *Consumer non-durable*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	82,794.110	1.000	$82,794.11	$82,794.11	$0.00	0.66%	$546.44
INCOME CASH	(22,125.770)	1.000	(22,125.77)	(22,125.77)	0.00	0.00%	0.00
PRINCIPAL CASH	22,125.770	1.000	22,125.77	22,125.77	0.00	0.00%	0.00
Total cash equivalents			**$82,794.11**	**$82,794.11**	**$0.00**		**$546.44**

Equities

Consumer non-durable

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ALTRIA GROUP INC	1,577.000	54.420	$85,820.34	$0.00	$85,820.34	5.00%	$4,289.44
Total equities			**$85,820.34**	**$0.00**	**$85,820.34**		**$4,289.44**
Total holdings			**$168,614.45**	**$82,794.11**	**$85,820.34**		**$4,835.88**
Accruals (Income earned but not yet received)			$1,121.70				
Total holdings with accruals			**$169,736.15**				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

4722

Transaction detail

	Income	Principal
Beginning cash balance 1/1/03	$1,524.83	$(1,524.83)

Cash receipts

Domestic dividends

Date	Description	Income	Principal
10/9/03	CASH RECEIPT OF DIVIDEND EARNED ON ALTRIA GROUP INC $0.68/SHARE ON 1,577 SHARES DUE 10/9/03	$1,072.36	
Total domestic dividends		$1,072.36	$0.00

Taxable interest

1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02	96.65
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03	90.79
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03	76.47
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03	80.16
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03	72.25

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Transaction detail continued

Date	Description	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	$72.78	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	66.05	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	54.66	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	48.34	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	46.30	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	48.71	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	48.71	
Total taxable interest		$801.87	$0.00

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Transaction detail continued

Other cash receipts

Date	Description	Income	Principal
1/3/03	CASH RECEIPT TRANSFER FROM INCOME		$1,524.83
7/29/03	CASH RECEIPT DEPOSIT TO ACCOUNT A/C #354-22260-18 CK #03298191 DTD 7/24/03 U S CLEARING		3,010.79
Total other cash receipts		$0.00	$4,535.62
Total cash receipts		**$1,874.23**	**$4,535.62**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/03	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	$(1,524.83)	
7/21/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR CHERYL SENSENBRENNER 075000019-550462366 N/O F JAMES SENSENBRENNER JR	(14,000.00)	

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Transaction detail continued

Date	Description	Income	Principal
12/18/03	CASH DISBURSEMENT PAID TO BANK ONE DDA #40009341 ACH TRANSFER TO CHECKING ACCT PAID FOR CHERYL SENSENBRENNER 075000019-40009341	$(10,000.00)	
Total other cash disbursements		$(25,524.83)	$0.00
Total cash disbursements		**$(25,524.83)**	**$0.00**

Investment transactions

Purchases

Date	Description	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $4,885.02		$(4,885.02)
Total purchases		$0.00	$(4,885.02)

Sales and maturities

	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-24,000.00		24,000.00
Total sales and maturities		$0.00	$24,000.00
Total investment transactions		**$0.00**	**$19,114.98**

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BANK ONE.

Transaction detail continued

Other investment transactions (non-cash)

Date	Description	Income	Principal
7/23/03	RECEIVED 1,577 SHARES OF ALTRIA GROUP INC MARKET VALUE OF $62,811.91		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**

	Income	Principal
Ending cash balance 12/31/03	$(22,125.77)	$22,125.77

No pending trades.

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Annual Account Statement

MARGARET L SMITH 96 EXEMPT F JAMES
Account number 9572226310
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

05030 02336
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

BANK ONE

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$5,604.22		
Equities	325,825.34		
Fixed income	146,460.48		
Other	0.00		
Total holdings	**$477,890.04**	$419,404.31	$58,485.73
Accruals (Income earned but not yet received)	$914.98		
Total holdings with accruals	**$478,805.02**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$9,898.22	$9,898.22
Interest (taxable/non-taxable)	65.64	65.64
Other income	0.00	0.00
Total income	**$9,963.86**	$9,963.86
Realized gain/(loss)	**$(3,276.23)**	$(3,276.23)

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

BANK ONE.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$419,404.31	$419,404.31
Receipts	4,509.40	4,509.40
Disbursements	(16,990.23)	(16,990.23)
Income	9,963.86	9,963.86
Capital gains distributions	0.00	0.00
Change in asset market value	61,002.70	61,002.70
Ending market value	$477,890.04	$477,890.04

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

4731

Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	**$(191.33)**	**$191.33**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$9,898.22	$0.00
Interest (taxable/non-taxable)	65.64	0.00
Other cash receipts	0.00	0.00
Total cash receipts	$9,963.86	$0.00
Cash disbursements		
Cash disbursements	$(6,830.14)	$0.00
Administrative expenses	(3,127.80)	(2,522.89)
Total cash disbursements	$(9,957.94)	$(2,522.89)
Investment transactions		
Purchases	$0.00	$(107,182.05)
Sales and maturities	0.00	109,699.02
Total investment transactions	$0.00	$2,516.97
Ending cash balance 12/31/03	**$(185.41)**	**$185.41**

4732

4733

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Tax-Free Growth and Income

This strategy seeks to provide long-term capital appreciation and tax-exempt current income by investing primarily in equity securities (60% - 80%) and secondarily in tax-exempt fixed income securities (20% - 40%). Principal volatility is expected to be moderate.

Asset allocation

1.2% - **Cash equivalents**

68.2% - **Equities**
- 25.8% - *Mutual funds*
- 7.1% - *Finance*
- 6.3% - *Technology*
- 5.0% - *Retail*
- 4.6% - *Health care*
- 19.4% - *Other equities*

30.6% - **Fixed income**
- 30.6% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	5,604.220	1.000	$5,604.22	$5,604.22	$0.00	0.66%	$36.99
INCOME CASH	(185.410)	1.000	(185.41)	(185.41)	0.00	0.00%	0.00
PRINCIPAL CASH	185.410	1.000	185.41	185.41	0.00	0.00%	0.00
Total cash equivalents			**$5,604.22**	**$5,604.22**	**$0.00**		**$36.99**

Equities

Banks Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
J P MORGAN CHASE & CO	185.000	36.730	$6,795.05	$4,994.17	$1,800.88	3.70%	$251.60
NORTH FORK BANCORPORATION INC N Y	200.000	40.470	8,094.00	6,447.98	1,646.02	2.96%	240.00
WELLS FARGO & CO NEW	100.000	58.890	5,889.00	4,765.90	1,123.10	3.06%	180.00
Total banks			$20,778.05	$16,208.05	$4,570.00		$671.60
Capital equipment							
GENERAL ELEC CO	300.000	30.980	9,294.00	4,975.42	4,318.58	2.58%	240.00
TYCO INTL LTD NEW	100.000	26.500	2,650.00	5,328.00	(2,678.00)	0.19%	5.00
Total capital equipment			$11,944.00	$10,303.42	$1,640.58		$245.00
Consumer capital spending							
D R HORTON INC	200.000	43.260	8,652.00	4,856.67	3,795.33	0.65%	56.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Consumer cyclical							
COMCAST CORP NEW CL A	200.000	32.790	$6,558.00	$6,070.00	$488.00	0.00%	$0.00
VIACOM INC CL B	100.000	44.380	4,438.00	5,023.00	(585.00)	0.54%	24.00
Total consumer cyclical			$10,996.00	$11,093.00	$(97.00)		$24.00
Consumer non-durable							
GENERAL MLS INC	100.000	45.300	4,530.00	4,547.00	(17.00)	2.43%	110.00
SARA LEE CORP	300.000	21.710	6,513.00	6,108.75	404.25	3.45%	225.00
SYSCO CORP	100.000	37.230	3,723.00	2,992.00	731.00	1.40%	52.00
Total consumer non-durable			$14,766.00	$13,647.75	$1,118.25		$387.00
Energy							
EXXON MOBIL CORP	464.000	41.000	19,024.00	11,865.87	7,158.13	2.44%	464.00
Finance							
CITIGROUP INC	376.000	48.540	18,251.04	6,678.76	11,572.28	2.88%	526.40
FHLMC	100.000	58.320	5,832.00	6,085.00	(253.00)	1.78%	104.00
MARSH & MCLENNAN COS INC	100.000	47.890	4,789.00	4,873.55	(84.55)	2.59%	124.00
MBNA CORP	200.000	24.850	4,970.00	3,808.18	1,161.82	1.61%	80.00
Total finance			$33,842.04	$21,445.49	$12,396.55		$834.40
Health care							
AMERISOURCEBERGEN CORP	100.000	56.150	5,615.00	5,819.00	(204.00)	0.18%	10.00
AMGEN INC	100.000	61.790	6,179.00	4,926.90	1,252.10	0.00%	0.00
CAREMARK RX INC	200.000	25.330	5,066.00	4,276.00	790.00	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
PFIZER INC	140.000	35.330	$4,946.20	$3,430.57	$1,515.63	1.92%	$95.20
Total health care			$21,806.20	$18,452.47	$3,353.73		$105.20
Mutual funds							
ONE GROUP DIVERSIFIED EQUITY FUND	6,153.644	11.560	71,136.12	60,490.32	10,645.80	0.69%	493.21
ONE GROUP MID CAP GROWTH FUND	936.306	21.300	19,943 32	15,280.52	4,662.80	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	1,180.173	16.340	19,284.03	15,000.00	4,284.03	0.50%	95.92
ONE GROUP SMALL CAP GROWTH FUND	606.798	10.810	6,559.46	5,000.00	1,559.46	0.00%	0.00
ONE GROUP SMALL CAP VALUE FUND	292.912	23.070	6,757.48	5,000.00	1,757.48	0.29%	19.51
Total mutual funds			$123,680.41	$100,770.84	$22,909.57		$608.64
Retail							
HOME DEPOT INC	100.000	35.490	3,549.00	4,846.00	(1,297.00)	0.79%	28.00
KOHLS CORP	100.000	44.940	4,494.00	5,182.83	(688.83)	0.00%	0.00
WAL MART STORES INC	300.000	53.050	15,915.00	4,220.00	11,695.00	0.68%	108.00
Total retail			$23,958.00	$14,248.83	$9,709.17		$136.00
Technology							
AUTOMATIC DATA PROCESSING INC	100.000	39.610	3,961.00	5,430.00	(1,469.00)	1.41%	56.00
CISCO SYS INC	200.000	24.230	4,846.00	3,266.00	1,580.00	0.00%	0.00
INTEL CORP	200.000	32.050	6,410.00	3,465.63	2,944.37	0.25%	16.00
INTERNATIONAL BUSINESS MACHS CORP	100.000	92.680	9,268.00	3,417.50	5,850.50	0.69%	64.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
MICROSOFT CORP	200.000	27.370	$5,474.00	$2,318.75	$3,155.25	0.58%	$32.00
Total technology			$29,959.00	$17,897.88	$12,061.12		$168.00
Telephone utilities							
VERIZON COMMUNICATIONS	183.000	35.080	6,419.64	6,840.00	(420.36)	4.39%	281.82
Total equities			$325,825.34	$247,630.27	$78,195.07		$3,981.66

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP INTERMEDIATE TAX-FREE BOND FUND	6,560.168	11.340	$74,392.31	$71,000.00	$3,392.31	4.02%		$2,991.44
ONE GROUP MUNICIPAL INCOME FUND	7,178.105	10.040	72,068.17	70,000.00	2,068.17	4.18%		3,014.80
Total fixed income			$146,460.48	$141,000.00	$5,460.48			$6,006.24
Total holdings			$477,890.04	$394,234.49	$83,655.55			$10,024.89
Accruals (Income earned but not yet received)			$914.98					
Total holdings with accruals			$478,805.02					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

	Income	Principal
Beginning cash balance 1/1/03	$(191.33)	$191.33

Cash receipts

Foreign dividends

Date	Description	Income	Principal
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.0125/SHARE ON 100 SHARES DUE 2/3/03 FOREIGN CASH DIVIDEND	$1.25	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.0125/SHARE ON 100 SHARES DUE 5/1/03 FOREIGN CASH DIVIDEND	1.25	
8/1/03	CASH RECEIPT CASH DIVIDEND TYCO INTL LTD NEW PROCEEDS FROM FOREIGN CASH DIVIDEND	1.25	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.0125/SHARE ON 100 SHARES DUE 11/1/03 FOREIGN CASH DIVIDEND	1.25	
Total foreign dividends		$5.00	$0.00

4740

Transaction detail continued

Domestic dividends

Date	Description	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON AUTOMATIC DATA PROCESSING INC $0.12/SHARE ON 100 SHARES DUE 1/1/03	$12.00	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON HARTFORD FINL SVCS GROUP INC $0.27/SHARE ON 200 SHARES DUE 1/2/03	54.00	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.155/SHARE ON 300 SHARES DUE 1/2/03	46.50	
1/6/03	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.075/SHARE ON 300 SHARES DUE 1/6/03	22.50	
1/8/03	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 100 SHARES DUE 1/8/03	8.00	
1/27/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 300 SHARES DUE 1/27/03	57.00	
1/31/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 185 SHARES DUE 1/31/03	62.90	

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Transaction detail continued

Date	Description	Income	Principal
1/31/03	CASH RECEIPT OF DIVIDEND EARNED ON MONSANTO CO NEW $0.12/SHARE ON 17 SHARES DUE 1/31/03	$2.04	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON BRISTOL MYERS SQUIBB CO $0.28/SHARE ON 200 SHARES DUE 2/1/03	56.00	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON PHARMACIA CORP $0.135/SHARE ON 100 SHARES DUE 2/1/03	13.50	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 183 SHARES DUE 2/3/03	70.46	
2/6/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK NEW YORK INC $0.19/SHARE ON 100 SHARES DUE 2/6/03	19.00	
2/10/03	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.02125/SHARE ON 100 SHARES DUE 2/10/03	2.13	
2/18/03	CASH RECEIPT OF DIVIDEND EARNED ON ABBOTT LABS $0.235/SHARE ON 300 SHARES DUE 2/15/03	70.50	
2/28/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.20/SHARE ON 376 SHARES DUE 2/28/03	75.20	

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Transaction detail continued

Date	Description	Income	Principal
2/28/03	CASH RECEIPT OF DIVIDEND EARNED ON LEHMAN BROS HLDGS INC $0.12/SHARE ON 75 SHARES DUE 2/28/03	$9.00	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 3/1/03	4.00	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON FORD MTR CO DEL PAR $0.01 $0.10/SHARE ON 249 SHARES DUE 3/3/03	24.90	
3/7/03	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.08/SHARE ON 200 SHARES DUE 3/7/03	16.00	
3/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EMERSON ELEC CO $0.3925/SHARE ON 100 SHARES DUE 3/10/03	39.25	
3/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.23/SHARE ON 464 SHARES DUE 3/10/03	106.72	
3/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.15/SHARE ON 100 SHARES DUE 3/10/03	15.00	
3/27/03	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.06/SHARE ON 100 SHARES DUE 3/27/03	6.00	

Transaction detail continued

Date	Description	Income	Principal
3/31/03	CASH RECEIPT OF DIVIDEND EARNED ON LOCKHEED MARTIN CORP $0.12/SHARE ON 100 SHARES DUE 3/31/03	$12.00	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AUTOMATIC DATA PROCESSING INC $0.12/SHARE ON 100 SHARES DUE 4/1/03	12.00	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON HARTFORD FINL SVCS GROUP INC $0.27/SHARE ON 200 SHARES DUE 4/1/03	54.00	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.155/SHARE ON 300 SHARES DUE 4/1/03	46.50	
4/7/03	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.09/SHARE ON 300 SHARES DUE 4/7/03	27.00	
4/9/03	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 100 SHARES DUE 4/9/03	8.00	
4/25/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 300 SHARES DUE 4/25/03	57.00	
4/30/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 185 SHARES DUE 4/30/03	62.90	

4744

Transaction detail continued

Date	Description	Income	Principal
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK NEW YORK INC $0.19/SHARE ON 100 SHARES DUE 5/1/03	$19.00	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BRISTOL MYERS SQUIBB CO $0.28/SHARE ON 200 SHARES DUE 5/1/03	56.00	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MONSANTO CO NEW $0.12/SHARE ON 17 SHARES DUE 5/1/03	2.04	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 183 SHARES DUE 5/1/03	70.46	
5/15/03	CASH RECEIPT OF DIVIDEND EARNED ON ABBOTT LABS $0.245/SHARE ON 300 SHARES DUE 5/15/03	73.50	
5/19/03	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.02125/SHARE ON 100 SHARES DUE 5/19/03	2.13	
5/23/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.20/SHARE ON 376 SHARES DUE 5/23/03	75.20	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON LEHMAN BROS HLDGS INC $0.12/SHARE ON 75 SHARES DUE 5/31/03	9.00	

4745

Transaction detail continued

Date	Description	Income	Principal
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 6/1/03	$4.00	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON FORD MTR CO DEL PAR $0.01 $0.10/SHARE ON 249 SHARES DUE 6/2/03	24.90	
6/5/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 140 SHARES DUE 6/5/03	21.00	
6/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EMERSON ELEC CO $0.3925/SHARE ON 100 SHARES DUE 6/10/03	39.25	
6/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 464 SHARES DUE 6/10/03	116.00	
6/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 100 SHARES DUE 6/10/03	16.00	
6/26/03	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.06/SHARE ON 100 SHARES DUE 6/26/03	6.00	
6/30/03	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.26/SHARE ON 100 SHARES DUE 6/30/03	26.00	

4746

Transaction detail continued

Date	Description	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AUTOMATIC DATA PROCESSING INC $0.12/SHARE ON 100 SHARES DUE 7/1/03	$12.00	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MBNA CORP $0.08/SHARE ON 200 SHARES DUE 7/1/03	16.00	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.155/SHARE ON 300 SHARES DUE 7/1/03	46.50	
7/7/03	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.09/SHARE ON 300 SHARES DUE 7/7/03	27.00	
7/25/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 300 SHARES DUE 7/25/03	57.00	
7/25/03	CASH RECEIPT OF DIVIDEND EARNED ON SYSCO CORP $0.11/SHARE ON 100 SHARES DUE 7/25/03	11.00	
7/31/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 185 SHARES DUE 7/31/03	62.90	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL MLS INC $0.275/SHARE ON 100 SHARES DUE 8/1/03	27.50	

4747

Transaction detail continued

Date	Description	Income	Principal
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 183 SHARES DUE 8/1/03	$70.46	
8/15/03	CASH RECEIPT OF DIVIDEND EARNED ON MARSH & MCLENNAN COS INC $0.31/SHARE ON 100 SHARES DUE 8/15/03	31.00	
8/15/03	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.27/SHARE ON 200 SHARES DUE 8/15/03	54.00	
8/22/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 376 SHARES DUE 8/22/03	131.60	
8/22/03	CASH RECEIPT OF DIVIDEND EARNED ON D R HORTON INC $0.07/SHARE ON 200 SHARES DUE 8/22/03	14.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 9/1/03	4.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON WELLS FARGO & CO NEW $0.45/SHARE ON 100 SHARES DUE 9/1/03	45.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERISOURCEBERGEN CORP $0.025/SHARE ON 100 SHARES DUE 9/2/03	2.50	

4748

Transaction detail continued

Date	Description	Income	Principal
9/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 140 SHARES DUE 9/4/03	$21.00	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 464 SHARES DUE 9/10/03	116.00	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 100 SHARES DUE 9/10/03	16.00	
9/18/03	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.07/SHARE ON 100 SHARES DUE 9/18/03	7.00	
9/30/03	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.26/SHARE ON 100 SHARES DUE 9/30/03	26.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.0325/SHARE ON 300 SHARES DUE 10/1/03	9.75	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AUTOMATIC DATA PROCESSING INC $0.12/SHARE ON 100 SHARES DUE 10/1/03	12.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MBNA CORP $0.10/SHARE ON 200 SHARES DUE 10/1/03	20.00	

4749

Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.155/SHARE ON 300 SHARES DUE 10/1/03	$46.50	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VIACOM INC CL B $0.06/SHARE ON 100 SHARES DUE 10/1/03	6.00	
10/14/03	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.09/SHARE ON 300 SHARES DUE 10/14/03	27.00	
10/24/03	CASH RECEIPT OF DIVIDEND EARNED ON SYSCO CORP $0.11/SHARE ON 100 SHARES DUE 10/24/03	11.00	
10/27/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 300 SHARES DUE 10/27/03	57.00	
10/31/03	CASH RECEIPT OF DIVIDEND EARNED ON D R HORTON INC $0.07/SHARE ON 200 SHARES DUE 10/31/03	14.00	
10/31/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 185 SHARES DUE 10/31/03	62.90	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL MLS INC $0.275/SHARE ON 100 SHARES DUE 11/3/03	27.50	

4750

Transaction detail continued

Date	Description	Income	Principal
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 183 SHARES DUE 11/3/03	$70.46	
11/7/03	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.16/SHARE ON 200 SHARES DUE 11/7/03	32.00	
11/14/03	CASH RECEIPT OF DIVIDEND EARNED ON MARSH & MCLENNAN COS INC $0.31/SHARE ON 100 SHARES DUE 11/14/03	31.00	
11/17/03	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.27/SHARE ON 200 SHARES DUE 11/17/03	54.00	
11/26/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 376 SHARES DUE 11/26/03	131.60	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERISOURCEBERGEN CORP $0.025/SHARE ON 100 SHARES DUE 12/1/03	2.50	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 12/1/03	4.00	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON WELLS FARGO & CO NEW $0.45/SHARE ON 100 SHARES DUE 12/1/03	45.00	

4751

Transaction detail continued

Date	Description	Income	Principal
12/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 140 SHARES DUE 12/4/03	$21.00	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 464 SHARES DUE 12/10/03	116.00	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.16/SHARE ON 100 SHARES DUE 12/10/03	16.00	
12/18/03	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.07/SHARE ON 100 SHARES DUE 12/18/03	7.00	
12/31/03	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.26/SHARE ON 100 SHARES DUE 12/31/03	26.00	
Total domestic dividends		$3,281.15	$0.00
Mutual fund income			
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 9,259.234 SHARES DUE 1/2/03	187.69	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 12/1/02 TO 12/31/02	255.85	

4752

Transaction detail continued

Date	Description	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 12/1/02 TO 12/31/02	$258.41	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 1/1/03 TO 1/31/03	249.29	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 1/1/03 TO 1/31/03	258.41	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 2/1/03 TO 2/28/03	242.73	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 2/1/03 TO 2/28/03	251.23	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 9,259.234 SHARES DUE 4/1/03	176.69	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 3/1/03 TO 3/31/03	236.17	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 3/1/03 TO 3/31/03	251.23	

4753

Transaction detail continued

Date	Description	Income	Principal
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 4/1/03 TO 4/30/03	$229.61	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 4/1/03 TO 4/30/03	251.23	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 5/1/03 TO 5/31/03	236.17	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 5/1/03 TO 5/31/03	251.23	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 6,153.644 SHARES DUE 7/1/03	108.35	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 6/1/03 TO 6/30/03	242.73	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 1,180.173 SHARES DUE 7/1/03	23.31	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 6/1/03 TO 6/30/03	244.06	

4754

Transaction detail continued

Date	Description	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 292.912 SHARES DUE 7/1/03	$5.14	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 7/1/03 TO 7/31/03	236.17	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 7/1/03 TO 7/31/03	251.23	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 8/1/03 TO 8/31/03	242.73	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 8/1/03 TO 8/31/03	251.23	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 6,153.644 SHARES DUE 10/1/03	121.63	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 9/1/03 TO 9/30/03	242.73	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 1,180.173 SHARES DUE 10/1/03	22.04	

Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 9/1/03 TO 9/30/03	$251.23	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 292.912 SHARES DUE 10/1/03	5.65	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 10/1/03 TO 10/31/03	255.85	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 10/1/03 TO 10/31/03	251.23	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 11/1/03 TO 11/30/03	262.41	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 11/1/03 TO 11/30/03	258.41	
Total mutual fund income		$6,612.07	$0.00
Taxable interest			
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02	8.58	

4756

Transaction detail continued

Date	Description	Income	Principal
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03	$8.00	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03	7.02	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03	6.60	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03	5.92	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	6.57	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	4.62	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	3.84	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	3.81	

4757

Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	$3.38	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	3.56	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	3.74	
Total taxable interest		$65.64	$0.00
Total cash receipts		**$9,963.86**	**$0.00**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
3/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	$(1,907.07)	
6/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(1,726.56)	

4758

Transaction detail continued

Date	Description	Income	Principal
9/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	$(1,300.94)	
12/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(1,895.57)	
Total other cash disbursements		$(6,830.14)	$0.00
Administrative expenses			
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(208.33)	$(208.34)
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(208.33)	(208.34)
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(208.33)	(208.34)
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(208.33)	(208.34)
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(208.33)	(208.34)
5/15/03	MISCELLANEOUS TAX SERVICE FEES COLLECTED 2002 GENERATION SKIPPING REVIEW	(115.00)	

Transaction detail continued

Date	Description	Income	Principal
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	$(208.33)	$(208.34)
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/2	(490.00)	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(208.33)	(208.34)
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(208.33)	(208.34)
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(211.13)	(211.13)
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(209.67)	(209.68)
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(216.95)	(216.95)
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(218.41)	(218.41)
Total administrative expenses		$(3,127.80)	$(2,522.89)
Total cash disbursements		**$(9,957.94)**	**$(2,522.89)**

4760

Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $19,191.94			$(19,191.94)
5/21/03	PURCHASED 1,180.173 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 5/20/03 1,180.173 SHARES AT $12.71 INVESTMENTS AT TAX COST: $15,000.00			(15,000.00)
5/21/03	PURCHASED 292.912 SHARES OF ONE GROUP SMALL CAP VALUE FUND TRADE DATE 5/20/03 292.912 SHARES AT $17.07 INVESTMENTS AT TAX COST: $5,000.00			(5,000.00)
5/21/03	PURCHASED 606.796 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 5/20/03 606.796 SHARES AT $8.24 INVESTMENTS AT TAX COST: $5,000.00			(5,000.00)
5/23/03	PURCHASED 200 SHARES OF NORTH FORK BANCORPORATION INC N Y TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE 200 SHARES AT $32.13989 INVESTMENTS AT TAX COST: $6,447.98			(6,447.98)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	PURCHASED 100 SHARES OF WELLS FARGO & CO NEW TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $47.559 INVESTMENTS AT TAX COST: $4,765.90			$(4,765.90)
5/23/03	PURCHASED 200 SHARES OF D R HORTON INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $12.00 BROKERAGE 200 SHARES AT $24.22333 INVESTMENTS AT TAX COST: $4,856.67			(4,856.67)
5/23/03	PURCHASED 100 SHARES OF GENERAL MLS INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $45.37 INVESTMENTS AT TAX COST: $4,547.00			(4,547.00)
5/23/03	PURCHASED 100 SHARES OF FHLMC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $60.75 INVESTMENTS AT TAX COST: $6,085.00			(6,085.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	PURCHASED 200 SHARES OF MBNA CORP TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $12.00 BROKERAGE 200 SHARES AT $18.9809 INVESTMENTS AT TAX COST: $3,808.18			$(3,808.18)
5/23/03	PURCHASED 100 SHARES OF AMERISOURCEBERGEN CORP TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $58.09 INVESTMENTS AT TAX COST: $5,819.00			(5,819.00)
5/23/03	PURCHASED 200 SHARES OF CAREMARK RX INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE 200 SHARES AT $21.28 INVESTMENTS AT TAX COST: $4,276.00			(4,276.00)
5/23/03	PURCHASED 100 SHARES OF KOHLS CORP TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $6.00 BROKERAGE 100 SHARES AT $51.76833 INVESTMENTS AT TAX COST: $5,182.83			(5,182.83)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	PURCHASED 100 SHARES OF MARSH & MCLENNAN COS INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $6.00 BROKERAGE 100 SHARES AT $48.67545 INVESTMENTS AT TAX COST: $4,873.55			$(4,873.55)
5/23/03	PURCHASED 200 SHARES OF COMCAST CORP NEW CL A TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $12.00 BROKERAGE 200 SHARES AT $30.29 INVESTMENTS AT TAX COST: $6,070.00			(6,070.00)
5/23/03	PURCHASED 200 SHARES OF CISCO SYS INC TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE 200 SHARES AT $16.23 INVESTMENTS AT TAX COST: $3,266.00			(3,266.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	PURCHASED 100 SHARES OF SYSCO CORP TRADE DATE 5/20/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE 100 SHARES AT $29.82 INVESTMENTS AT TAX COST: $2,992.00			$(2,992.00)
Total purchases			$0.00	$(107,182.05)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-22,020.79			22,020.79
5/21/03	SOLD 3,105.59 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 5/20/03 3,105.59 SHARES AT $9.66 INVESTMENTS AT TAX COST: $-30,527.95	$(527.95)		30,000.00
5/21/03	SOLD 289.184 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 5/20/03 289.184 SHARES AT $17.29 INVESTMENTS AT TAX COST: $-4,719.48	280.52		5,000.00

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 100 SHARES OF BANK NEW YORK INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.12 SEC FEE 100 SHARES AT $26.26 INVESTMENTS AT TAX COST: $-4,514.00	$(1,898.12)		$2,615.88
5/23/03	SOLD 100 SHARES OF EMERSON ELEC CO TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.23 SEC FEE 100 SHARES AT $48.61 INVESTMENTS AT TAX COST: $-4,832.50	18.27		4,850.77
5/23/03	SOLD 249 SHARES OF FORD MTR CO DEL PAR $0.01 TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $24.90 BROKERAGE PAID $0.12 SEC FEE 249 SHARES AT $9.65418 INVESTMENTS AT TAX COST: $-2,857.50	(478.63)		2,378.87

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 17 SHARES OF MONSANTO CO NEW TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $1.70 BROKERAGE PAID $0.02 SEC FEE 17 SHARES AT $19.28 INVESTMENTS AT TAX COST: $-222.09	$103.95		$326.04
5/23/03	SOLD 200 SHARES OF HARTFORD FINL SVCS GROUP INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.43 SEC FEE 200 SHARES AT $45.60 INVESTMENTS AT TAX COST: $-6,995.00	2,104.57		9,099.57
5/23/03	SOLD 300 SHARES OF ABBOTT LABS TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $30.00 BROKERAGE PAID $0.58 SEC FEE 300 SHARES AT $41.08 INVESTMENTS AT TAX COST: $-8,415.00	3,878.42		12,293.42

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 200 SHARES OF EMC CORP TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.09 SEC FEE 200 SHARES AT $9.38 INVESTMENTS AT TAX COST: $-3,122.00	$(1,266.09)		$1,855.91
5/23/03	SOLD 100 SHARES OF HEWLETT PACKARD CO TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.09 SEC FEE 100 SHARES AT $17.19 INVESTMENTS AT TAX COST: $-2,030.92	(322.01)		1,708.91
5/23/03	SOLD 75 SHARES OF LEHMAN BROS HLDGS INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $7.50 BROKERAGE PAID $0.23 SEC FEE 75 SHARES AT $66.36273 INVESTMENTS AT TAX COST: $-5,503.50	(534.03)		4,969.47

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 200 SHARES OF BRISTOL MYERS SQUIBB CO TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE PAID $0.22 SEC FEE 200 SHARES AT $23.30 INVESTMENTS AT TAX COST: $-5,878.02	$(1,238.24)		$4,639.78
5/23/03	SOLD 100 SHARES OF AOL TIME WARNER INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.07 SEC FEE 100 SHARES AT $13.70 INVESTMENTS AT TAX COST: $-3,642.00	(2,282.07)		1,359.93
5/23/03	SOLD 100 SHARES OF TEXAS INSTRS INC TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.09 SEC FEE 100 SHARES AT $18.85 INVESTMENTS AT TAX COST: $-3,462.00	(1,587.09)		1,874.91

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/23/03	SOLD 100 SHARES OF LOCKHEED MARTIN CORP TRADE DATE 5/20/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $10.00 BROKERAGE PAID $0.23 SEC FEE 100 SHARES AT $47.15 INVESTMENTS AT TAX COST: $-4,232.50	$472.27		$4,704.77
Total sales and maturities			$0.00	$109,699.02
Total investment transactions			**$0.00**	**$2,516.97**

Other investment transactions (non-cash)

Date	Description	Income	Principal
2/18/03	STOCK SPLIT 2 FOR 1 STOCK SPLIT ON MICROSOFT CORP DUE 2/14/03 100 ADDITIONAL SHARES RECEIVED		
4/16/03	MANDATORY STOCK MERGER DELIVERED 100 SHARES OF PHARMACIA CORP EFFECTIVE DATE 04/16/03 MARKET VALUE OF $4,509.40 MANDATORY STOCK MERGER TO PFIZER INC CUSIP: 717081103 INVESTMENTS AT TAX COST: $-3,430.57		

Transaction detail continued

Date	Description	Income	Principal
4/16/03	MANDATORY STOCK MERGER RECEIVED 140 SHARES OF PFIZER INC EFFECTIVE DATE 04/16/03 DISTRIBUTION 04/16/03 1.4 SHARES FOR EACH SHARE HELD OF PHARMACIA CORP MARKET VALUE OF $4,509.40 MANDATORY STOCK MERGER FROM PHARMACIA CORP CUSIP: 71713U102 INVESTMENTS AT TAX COST: $3,430.57		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**

	Income	Principal
Ending cash balance 12/31/03	$(185.41)	$185.41

No pending trades.

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Annual Account Statement

NON-EXEMPT TUW F SENSENBRENNER PCTAA
Account number 9500134400
May 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE.12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

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Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 02117
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

Holdings summary

	Value on December 31, 2003	Value on April 30, 2003	Change in value
Cash equivalents	$128,093.90		
Equities	626,974.50		
Fixed income	1,021,211.56		
Other	0.00		
Total holdings	**$1,776,279.96**	$0.00	**$1,776,279.96**
Accruals (income earned but not yet received)	$14,333.50		
Total holdings with accruals	**$1,790,613.46**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$8,777.78	$8,777.78
Interest (taxable/non-taxable)	25,946.50	25,946.50
Other income	0.00	0.00
Total income	**$32,724.28**	$32,724.28
Realized gain/(loss)		
	$177,851.73	$177,851.73

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$0.00	$0.00
Receipts	1,757,404.72	1,757,404.72
Disbursements	(88,481.61)	(88,481.61)
Income	32,724.28	32,724.28
Capital gains distributions	0.00	0.00
Change in asset market value	74,632.57	74,632.57
Ending market value	$1,776,279.96	$1,776,279.96

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 5/1/03	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$6,777.78	$0.00
Interest (taxable/non-taxable)	25,946.50	0.00
Other cash receipts	25,083.04	116,236.58
Total cash receipts	$57,807.32	$116,236.58
Cash disbursements		
Cash disbursements	$(55,640.90)	$(29,327.80)
Administrative expenses	(1,926.95)	(1,585.96)
Total cash disbursements	$(57,567.85)	$(30,913.76)
Investment transactions		
Purchases	$0.00	$(668,407.72)
Sales and maturities	0.00	582,845.43
Total investment transactions	$0.00	$(85,562.29)
Ending cash balance 12/31/03	$239.47	$(239.47)

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Tax-Free Conservative Growth

This strategy seeks to provide a high level of tax-exempt current income and the opportunity to earn capital appreciation by investing between 60% and 80% of its assets in tax-exempt fixed income securities and between 20% and 40% in equity securities. Principal volatility is expected to be low.

Asset allocation

- 7.2% - **Cash equivalents**
- 35.3% - **Equities**
  - 21.2% - *Consumer non-durable*
  - 14.1% - *Mutual funds*
- 57.5% - **Fixed income**
  - 56.6% - *Municipal obligations*
  - 0.9% - *Mutual funds*

The Asset allocation may not be exactly as described in the investment objective due to Other Asset holdings.

Bond maturity

Less than 1	1 - 5	5 - 10	10 or more	*Years*
$101,365.50	$432,209.50	$471,567.00	$0.00	*Market value*
10%	43%	47%	0%	*% of bond holdings*

The Years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Bond quality

Rating	*% of bond holdings*	*Market value*
AAA	95%	$952,176.50
AA	0%	0.00
A	0%	0.00
BBB	5%	52,965.50
BB	0%	0.00
B	0%	0.00
Other	0%	0.00
Not rated	0%	0.00

We use Standard & Poor's and Moody's rating services as a guide to determine the credit risk associated with a bond. Please be advised that the Bond quality table provides general information regarding the ratings of your bond holdings. If you have additional questions regarding your Bond ratings, please contact your Advisor.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	128,093.900	1.000	$128,093.90	$128,093.90	$0.00	0.66%	$845.42
INCOME CASH	239.470	1.000	239.47	239.47	0.00	0.00%	0.00
PRINCIPAL CASH	(239.470)	1.000	(239.47)	(239.47)	0.00	0.00%	0.00
Total cash equivalents			**$128,093.90**	**$128,093.90**	**$0.00**		**$845.42**

Equities

Consumer non-durable

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
KIMBERLY CLARK CORP	6,360.000	59.090	$375,812.40	$87,794.22	$288,018.18	2.30%	$8,649.60
Mutual funds							
ONE GROUP MARKET EXPANSION INDEX FD	25,016.145	10.040	251,162.10	225,250.00	25,912.10	0.38%	949.86
Total equities			**$626,974.50**	**$313,044.22**	**$313,930.28**		**$9,599.46**

Fixed Income

Municipal obligations

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
LOS ANGELES CALIF MUN IMPT CORP SANTN EQUIP CHARGE REV SER A DTD 01/15/1997 4.70% DUE 02/01/2004 QUALITY TABLE RATING: AAA	50,000.000	100.303	$50,151.50	$50,000.00	$151.50	4.69%	4.686%	$2,350.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
LONG BEACH CALIF BD FIN AUTH LEASE REV REF TEMPLE & WILLOW FAC SER B DTD 05/01/1998 4.30% DUE 10/01/2004 QUALITY TABLE RATING: AAA	50,000.000	102.428	$51,214.00	$49,859.50	$1,354.50	4.20%	1.040%	$2,150.00
LOS ANGELES CALIF PKG REV SER A DTD 04/15/1999 5.00% DUE 05/01/2005 QUALITY TABLE RATING: AAA	50,000.000	105.101	52,550.50	50,498.08	2,052.42	4.76%	1.133%	2,500.00
ARCATA CALIF JT PWRS FING AUTH WASTEWATER REV DTD 01/01/1997 4.85% DUE 12/01/2005 QUALITY TABLE RATING: AAA	50,000.000	106.672	53,336.00	50,000.00	3,336.00	4.55%	1.313%	2,425.00
LOS ANGELES CNTY CALIF MET TRANSN AUTH SALES TAX REV DTD 05/01/1999 4.25% DUE 07/01/2006 QUALITY TABLE RATING: AAA	50,000.000	106.723	53,361.50	53,171.09	190.41	3.98%	1.500%	2,125.00
CULVER CITY CALIF REDEV FIN AUTH REV REF-TAX ALLOC-SER A DTD 10/13/1999 4.40% DUE 11/01/2006 QUALITY TABLE RATING: AAA	50,000.000	107.695	53,847.50	50,000.00	3,847.50	4.09%	1.610%	2,200.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV REF-SECOND SR- SER A DTD 03/01/1993 5.25% DUE 04/01/2007 QUALITY TABLE RATING: AAA	50,000.000	110.774	$55,387.00	$50,052.65	$5,334.35	4.74%	1.820%	$2,625.00
HEMET CALIF WTR REV REF DTD 06/01/1997 5.00% DUE 08/01/2007 QUALITY TABLE RATING: AAA	50,000.000	110.882	55,441.00	50,000.00	5,441.00	4.51%	1.847%	2,500.00
CABRILLO CALIF CMNTY COLLEGE DIST SER A DTD 10/01/1998 4.10% DUE 08/01/2008 QUALITY TABLE RATING: AAA	50,000.000	108.316	54,158.00	49,797.50	4,360.50	3.78%	2.183%	2,050.00
JURUPA CALIF CMNTY SVCS DIST SPL TAX CMNTY FACS DIST NO 1 DTD 12/30/1998 4.10% DUE 09/01/2008 QUALITY TABLE RATING: AAA	50,000.000	108.256	54,128.00	49,800.00	4,328.00	3.79%	2.227%	2,050.00
JEFFERSON CALIF UN HIGH SCH DIST SAN MATEO CNTY SER D DTD 05/15/1999 4.30% DUE 08/01/2009 QUALITY TABLE RATING: AAA	50,000.000	107.972	53,986.00	50,000.00	3,986.00	3.98%	2.750%	2,150.00

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
IRVINE CALIF UNI SCH DIST SPL TAX CMNTY FACS DIST NO 86-1 DTD 05/26/1999 4.25% DUE 11/01/2009 QUALITY TABLE RATING: AAA	50,000.000	109.078	$54,539.00	$49,790.00	$4,749.00	3.90%	2.564%	$2,125.00
SAN MATEO CNTY CALIF TRAN DIST SER A DTD 12/09/1998 4.25% DUE 06/01/2010 QUALITY TABLE RATING: AAA	50,000.000	106.897	53,448.50	47,775.00	5,673.50	3.98%	3.058%	2,125.00
RANCHO MIRAGE CALIF JT PWRS FING AUTH CTFS PARTN REF EISENHOWER MED CTR SER A DTD 01/01/1997 5.25% DUE 07/01/2010 QUALITY TABLE RATING: AAA	50,000.000	111.733	55,866.50	50,955.92	4,910.58	4.70%	3.234%	2,625.00
SAN FRANCISCO CALIF CITY & CNTY REF SER 1 DTD 10/15/1997 5.00% DUE 06/15/2011 QUALITY TABLE RATING: AAA	50,000.000	110.270	55,135.00	50,000.00	5,135.00	4.53%	3.427%	2,500.00
LOS ANGELES CALIF CMNTY COLLEGE DIST SER A DTD 06/20/2001 4.125% DUE 08/01/2011 QUALITY TABLE RATING: AAA	50,000.000	107.052	53,526.00	53,022.05	503.95	3.85%	3.075%	2,062.50

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
TEMPLE CITY CALIF UNI SCH DIST SER A DTD 08/12/1998 CAP APPREC DUE 08/01/2012 QUALITY TABLE RATING: AAA	50,000.000	71.825	$35,912.50	$33,056.13	$2,856.37		0.000%	
CALIFORNIA ST DTD 10/01/2000 5.00% DUE 10/01/2012 QUALITY TABLE RATING: BBB	50,000.000	105.931	52,965.50	50,896.54	2,068.96	4.72%	4.183%	2,500.00
LOMA LINDA CALIF HOSP REV REF LOMA LINDA UNIV MED CTR A DTD 09/01/1999 5.05% DUE 12/01/2012 QUALITY TABLE RATING: AAA	50,000.000	112.376	56,188.00	52,001.51	4,186.49	4.49%	3.427%	2,525.00
Total municipal obligations			$1,005,142.00	$940,675.97	$64,466.03			$41,587.50
Mutual funds								
ONE GROUP ULTRA SHORT-TERM BOND FUND	1,618.284	9.930	16,069.56	16,100.00	(30.44)	2.66%		427.23
Total fixed income			$1,021,211.56	$956,775.97	$64,435.59			$42,014.73
Total holdings			$1,776,279.96	$1,397,914.09	$378,365.87			$52,459.61

Holdings detail continued

Accruals (Income earned but not yet received)	$14,333.50
Total holdings with accruals	**$1,790,613.46**

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

		Income	Principal
Beginning cash balance 5/1/03		$0.00	$0.00
Cash receipts			
Domestic dividends			
Date	*Description*	*Income*	*Principal*
7/2/03	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.34/SHARE ON 10,860 SHARES DUE 7/2/03	$3,692.40	
10/2/03	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.34/SHARE ON 8,610 SHARES DUE 10/2/03	2,927.40	
Total domestic dividends		$6,619.80	$0.00
Mutual fund income			
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MARKET EXPANSION INDEX FD $0.008899/SHARE ON 14,066.194 SHARES DUE 10/1/03	125.18	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	16.40	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	16.40	
Total mutual fund income		$157.98	$0.00

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Transaction detail continued

Taxable interest

Date	*Description*	*Income*	*Principal*
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	$6.36	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	85.25	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	68.43	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	66.59	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	82.13	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	80.58	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	129.38	
Total taxable interest		$518.72	$0.00

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Transaction detail continued

Non-taxable interest

Date	*Description*	*Income*	*Principal*
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $0.02425/$1 PV ON 50,000 PAR VALUE DUE 6/1/03	$1,212.50	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON LOMA LINDA CALIF HOS 5.050% 12/01/12 $0.02525/$1 PV ON 50,000 PAR VALUE DUE 6/1/03	1,262.50	
6/2/03	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 6/1/03	1,062.50	
6/16/03	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 6/15/03	1,250.00	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON RANCHO MIRAGE CALIF 5.250% 7/01/10 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 7/1/03	1,312.50	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON CABRILLO CALIF CMNTY 4.100% 8/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 8/1/03	1,025.00	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON HEMET CALIF WTR REV 5.000% 8/01/07 $0.025/$1 PV ON 50,000 PAR VALUE DUE 8/1/03	1,250.00	

Transaction detail continued

Date	Description	Income	Principal
8/1/03	CASH RECEIPT OF INTEREST EARNED ON JEFFERSON CALIF 4.300% 8/01/09 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 8/1/03	$1,075.00	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF MU 4.700% 2/01/04 $0.0235/$1 PV ON 50,000 PAR VALUE DUE 8/1/03	1,175.00	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON JURUPA CALIF CMNTY 4.100% 9/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 9/1/03	1,025.00	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 9/1/03	1,312.50	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 10/01/12 $0.025/$1 PV ON 50,000 PAR VALUE DUE 10/1/03	1,250.00	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON LONG BEACH CALIF BD 4.300% 10/01/04 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 10/1/03	1,075.00	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 10/1/03	1,312.50	

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Transaction detail continued

Date	Description	Income	Principal
11/3/03	CASH RECEIPT OF INTEREST EARNED ON CULVER CITY CALIF RE 4.400% 11/01/06 $0.022/$1 PV ON 50,000 PAR VALUE DUE 11/1/03	$1,100.00	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON IRVINE CALIF 4.250% 11/01/09 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 11/1/03	1,062.50	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF PK 5.000% 5/01/05 $0.025/$1 PV ON 50,000 PAR VALUE DUE 11/1/03	1,250.00	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ROCKLIN CALIF STANFO 4.500% 11/01/03 $0.0225/$1 PV ON 50,000 PAR VALUE DUE 11/1/03	1,125.00	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON SOUTH BAY CALIF UN 4.350% 11/01/03 $0.02175/$1 PV ON 50,000 PAR VALUE DUE 11/1/03	1,087.50	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $0.02425/$1 PV ON 50,000 PAR VALUE DUE 12/1/03	1,212.50	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON LOMA LINDA CALIF HOS 5.050% 12/01/12 $0.02525/$1 PV ON 50,000 PAR VALUE DUE 12/1/03	1,262.50	

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Transaction detail continued

Date	Description	Income	Principal
12/1/03	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 12/1/03	$1,062.50	
12/1/03	PAID ACCRUED INTEREST ON PURCHASE OF LOS ANGELES CALIF CM 4.125% 8/01/11	(687.50)	
12/3/03	PAID ACCRUED INTEREST ON PURCHASE OF LOS ANGELES CNTY CAL 4.250% 7/01/06	(897.22)	
12/15/03	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 12/15/03	1,250.00	
Total non-taxable interest		$25,427.78	$0.00
Other cash receipts			
5/30/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410 DISTRIBUTION OF PRIN CASH PER DISTRIBUTION PLAN		$116,236.58
5/30/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410 DISTRIBUTION OF INCOME CASH PER DISTRIBUTION PLAN	24,966.20	
6/18/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410	116.84	
Total other cash receipts		$25,083.04	$116,236.58
Total cash receipts		**$57,807.32**	**$116,236.58**

4791

Transaction detail continued

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
6/2/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TRANSFER TO INCOME PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134400 TO 9572070510	$(12,845.81)	
6/30/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134400 TO 9572070510	(12,845.81)	
9/3/03	CASH DISBURSEMENT PAID TO FOLEY & LARDNER LEGAL FEE PROFESSIONAL SERVICES RENDERED 12/1/02 - 7/31/03 A/C #018423-0101 INV #24068827 DTD 8/26/03 CK #368040		$(3,246.14)
9/11/03	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(14,605.00)
9/11/03	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(2,420.00)

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BANK ONE

Transaction detail continued

Date	Description	Income	Principal
9/30/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134400 TO 9572070510	$(12,845.81)	
11/12/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070	(4,257.66)	
11/12/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070		$(4,257.66)
12/29/03	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(4,123.00)
12/29/03	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(676.00)

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Transaction detail continued

Date	Description	Income	Principal
12/31/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134400 TO 9572070510	$(12,845.81)	
Total other cash disbursements		$(55,640.90)	$(29,327.80)
Administrative expenses			
6/2/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 & 2003 FINAL RETURNS FOR 9572007410	(341.00)	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(218.18)	$(218.19)
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(265.06)	(265.06)
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(265.35)	(265.35)
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(257.95)	(257.95)
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(291.72)	(291.72)
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(287.69)	(287.69)
Total administrative expenses		$(1,926.95)	$(1,585.96)
Total cash disbursements		**$(57,567.85)**	**$(30,913.76)**

4794

Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 5/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $320,740.22			$(320,740.22)
7/7/03	PURCHASED 14,066.194 SHARES OF ONE GROUP MARKET EXPANSION INDEX FD TRADE DATE 7/3/03 14,066.194 SHARES AT $8.46 INVESTMENTS AT TAX COST: $119,000.00			(119,000.00)
10/10/03	PURCHASED 5,336.179 SHARES OF ONE GROUP MARKET EXPANSION INDEX FD TRADE DATE 10/9/03 5,336.179 SHARES AT $9.37 INVESTMENTS AT TAX COST: $50,000.00			(50,000.00)
10/10/03	PURCHASED 712.851 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 10/9/03 712.851 SHARES AT $9.96 INVESTMENTS AT TAX COST: $7,100.00			(7,100.00)

4795

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	PURCHASED 50,000 PAR VALUE OF LOS ANGELES CALIF CM 4.125% 8/01/11 TRADE DATE 11/25/03 PURCHASED THROUGH WACHOVIA SECURITIES, INC. 50,000 PAR VALUE AT 106.101 % INVESTMENTS AT TAX COST: $53,050.50			$(53,050.50)
12/3/03	PURCHASED 50,000 PAR VALUE OF LOS ANGELES CNTY CAL 4.250% 7/01/06 TRADE DATE 11/28/03 PURCHASED THROUGH WACHOVIA SECURITIES, LLC 50,000 PAR VALUE AT 106.534 % INVESTMENTS AT TAX COST: $53,267.00			(53,267.00)
12/24/03	PURCHASED 5,613.772 SHARES OF ONE GROUP MARKET EXPANSION INDEX FD TRADE DATE 12/23/03 5,613.772 SHARES AT $10.02 INVESTMENTS AT TAX COST: $56,250.00			(56,250.00)
12/24/03	PURCHASED 905.433 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 12/23/03 905.433 SHARES AT $9.94 INVESTMENTS AT TAX COST: $9,000.00			(9,000.00)
Total purchases			$0.00	$(668,407.72)

4796

Transaction detail continued

Sales and maturities

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED SALES FOR THE PERIOD 5/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-192,646.32			$192,646.32
7/7/03	SOLD 2,250 SHARES OF KIMBERLY CLARK CORP TRADE DATE 7/1/03 SOLD THROUGH CHARLES SCHWAB & CO. INC. PAID $135.00 BROKERAGE PAID $5.44 SEC FEE 2,250 SHARES AT $51.6673 INVESTMENTS AT TAX COST: $-31,059.28	$85,051.70		116,110.98
9/2/03	FULL CALL 50,000 $1 PV MOULTON-NIGUEL CALIF 5.250% 9/01/06 ON 09/01/03 AT $1.02 CORPORATE ACTION ID: 137661 REDEMPTION DUE TO FULL CALL INVESTMENTS AT TAX COST: $-50,353.82	646.18		51,000.00
10/10/03	SOLD 1,125 SHARES OF KIMBERLY CLARK CORP TRADE DATE 10/7/03 SOLD THROUGH UBS WARBURG LLC., NEW YORK PAID $87.50 BROKERAGE PAID $2.73 SEC FEE 1,125 SHARES AT $51.8775 INVESTMENTS AT TAX COST: $-15,529.64	42,762.32		58,291.96

4797

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/3/03	MATURED 50,000 PAR VALUE OF SOUTH BAY CALIF UN 4.350% 11/01/03 TRADE DATE 11/1/03 50,000 PAR VALUE AT 100 % INVESTMENTS AT TAX COST: $-50,000.00			$50,000.00
11/3/03	MATURED 50,000 PAR VALUE OF ROCKLIN CALIF STANFO 4.500% 11/01/03 TRADE DATE 11/1/03 50,000 PAR VALUE AT 100 % INVESTMENTS AT TAX COST: $-49,875.00	$125.00		50,000.00
12/24/03	SOLD 1,125 SHARES OF KIMBERLY CLARK CORP TRADE DATE 12/19/03 SOLD THROUGH UBS WARBURG LLC., NEW YORK PAID $67.50 BROKERAGE PAID $3.04 SEC FEE 1,125 SHARES AT $57.6593 INVESTMENTS AT TAX COST: $-15,529.64	49,266.53		64,796.17
Total sales and maturities			$0.00	$582,845.43
Total investment transactions			**$0.00**	**$(85,562.29)**

Transaction detail continued

Other Investment transactions (non-cash)

Date	Description	Income	Principal
5/29/03	RECEIVED 50,000 PAR VALUE OF ARCATA CALIF JT PWRS 4.850% 12/01/05 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,428.50 INVESTMENTS AT TAX COST: $50,000.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF CABRILLO CALIF CMNTY 4.100% 8/01/08 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,118.50 INVESTMENTS AT TAX COST: $49,797.50		
5/29/03	RECEIVED 50,000 PAR VALUE OF CALIFORNIA ST 5.000% 10/01/12 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $53,412.50 INVESTMENTS AT TAX COST: $50,983.58		
5/29/03	RECEIVED 50,000 PAR VALUE OF CULVER CITY CALIF RE 4.400% 11/01/06 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,697.00 INVESTMENTS AT TAX COST: $50,000.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF HEMET CALIF WTR REV 5.000% 8/01/07 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $55,933.00 INVESTMENTS AT TAX COST: $50,000.00		

4799

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 50,000 PAR VALUE OF IRVINE CALIF 4.250% 11/01/09 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,292.00 INVESTMENTS AT TAX COST: $49,790.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF JEFFERSON CALIF 4.300% 8/01/09 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,302.00 INVESTMENTS AT TAX COST: $50,000.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF JURUPA CALIF CMNTY 4.100% 9/01/08 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,128.00 INVESTMENTS AT TAX COST: $49,800.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF LOMA LINDA CALIF HOS 5.050% 12/01/12 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $56,134.00 INVESTMENTS AT TAX COST: $52,179.74		
5/29/03	RECEIVED 50,000 PAR VALUE OF LONG BEACH CALIF BD 4.300% 10/01/04 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $52,189.00 INVESTMENTS AT TAX COST: $49,859.50		

4800

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 50,000 PAR VALUE OF LOS ANGELES CALIF MU 4.700% 2/01/04 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $51,356.50 INVESTMENTS AT TAX COST: $50,036.62		
5/29/03	RECEIVED 50,000 PAR VALUE OF LOS ANGELES CALIF PK 5.000% 5/01/05 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $53,659.00 INVESTMENTS AT TAX COST: $50,741.01		
5/29/03	RECEIVED 50,000 PAR VALUE OF MOULTON-NIGUEL CALIF 5.250% 9/01/06 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $51,691.50 INVESTMENTS AT TAX COST: $50,408.03		
5/29/03	RECEIVED 50,000 PAR VALUE OF RANCHO MIRAGE CALIF 5.250% 7/01/10 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $55,738.00 INVESTMENTS AT TAX COST: $51,104.24		
5/29/03	RECEIVED 50,000 PAR VALUE OF ROCKLIN CALIF STANFO 4.500% 11/01/03 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $50,855.00 INVESTMENTS AT TAX COST: $49,875.00		

4801

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 50,000 PAR VALUE OF SAN DIEGO CNTY CALIF 5.250% 4/01/07 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $55,995.50 INVESTMENTS AT TAX COST: $50,235.89		
5/29/03	RECEIVED 50,000 PAR VALUE OF SAN FRANCISCO CALIF 5.000% 6/15/11 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $54,891.50 INVESTMENTS AT TAX COST: $50,000.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF SAN MATEO CNTY CALIF 4.250% 6/01/10 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $53,093.50 INVESTMENTS AT TAX COST: $47,775.00		
5/29/03	RECEIVED 50,000 PAR VALUE OF SOUTH BAY CALIF UN 4.350% 11/01/03 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $50,820.00 INVESTMENTS AT TAX COST: $50,024.58		
5/29/03	RECEIVED 50,000 PAR VALUE OF TEMPLE CITY CALIF UNI SCH 8/01/12 TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $34,408.50 INVESTMENTS AT TAX COST: $31,452.16		

4802

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 10,860 SHARES OF KIMBERLY CLARK CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $559,941.60 INVESTMENTS AT TAX COST: $149,912.78		
6/2/03	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $71.27 TO $52,108.47 STATE BASIS DECREASED BY $71.27 TO $52,108.47 6/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-71.27		
7/1/03	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FED BASIS DECREASED BY $73.27 TO $51,030.97 STATE BASIS DECREASED BY $73.27 TO $51,030.97 7/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-73.27		
8/1/03	AMORTIZED PREMIUM ON LOS ANGELES CALIF MU 4.700% 2/01/04 FED BASIS DECREASED BY $18.10 TO $50,018.52 STATE BASIS DECREASED BY $18.10 TO $50,018.52 8/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-18.10		

4803

Transaction detail continued

Date	Description	Income	Principal
9/2/03	AMORTIZED PREMIUM ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 FED BASIS DECREASED BY $53.95 TO $50,354.08 STATE BASIS DECREASED BY $53.95 TO $50,354.08 9/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-53.95		
9/2/03	AMORTIZED PREMIUM ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 FED BASIS DECREASED BY $0.26 TO $50,353.82 STATE BASIS DECREASED BY $0.26 TO $50,353.82 9/2/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-0.26		
10/1/03	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $55.19 TO $50,928.39 STATE BASIS DECREASED BY $55.19 TO $50,928.39 10/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-55.19		

4804

Transaction detail continued

Date	Description	Income	Principal
10/1/03	AMORTIZED PREMIUM ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 FED BASIS DECREASED BY $116.56 TO $50,119.33 STATE BASIS DECREASED BY $116.56 TO $50,119.33 10/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-116.56		
11/3/03	AMORTIZED PREMIUM ON LOS ANGELES CALIF PK 5.000% 5/01/05 FED BASIS DECREASED BY $179.42 TO $50,561.59 STATE BASIS DECREASED BY $179.42 TO $50,561.59 11/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-179.42		
11/3/03	AMORTIZED PREMIUM ON SOUTH BAY CALIF UN 4.350% 11/01/03 FED BASIS DECREASED BY $24.58 TO $50,000.00 STATE BASIS DECREASED BY $24.58 TO $50,000.00 11/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-24.58		

4805

Transaction detail continued

Date	Description	Income	Principal
12/1/03	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $89.79 TO $52,018.68 STATE BASIS DECREASED BY $89.79 TO $52,018.68 12/1/03 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-89.79		
12/31/03	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $31.85 TO $50,896.54 STATE BASIS DECREASED BY $31.85 TO $50,896.54 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-31.85		
12/31/03	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $17.17 TO $52,001.51 STATE BASIS DECREASED BY $17.17 TO $52,001.51 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-17.17		

Transaction detail continued

Date	Description	Income	Principal
12/31/03	AMORTIZED PREMIUM ON LOS ANGELES CALIF CM 4.125% 8/01/11 FED BASIS DECREASED BY $28.45 TO $53,022.05 STATE BASIS DECREASED BY $28.45 TO $53,022.05 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-28.45		
12/31/03	AMORTIZED PREMIUM ON LOS ANGELES CALIF MU 4.700% 2/01/04 FED BASIS DECREASED BY $18.52 TO $50,000.00 STATE BASIS DECREASED BY $18.52 TO $50,000.00 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-18.52		
12/31/03	AMORTIZED PREMIUM ON LOS ANGELES CALIF PK 5.000% 5/01/05 FED BASIS DECREASED BY $63.51 TO $50,498.08 STATE BASIS DECREASED BY $63.51 TO $50,498.08 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-63.51		

4807

Transaction detail continued

Date	Description	Income	Principal
12/31/03	AMORTIZED PREMIUM ON LOS ANGELES CNTY CAL 4.250% 7/01/06 FED BASIS DECREASED BY $95.91 TO $53,171.09 STATE BASIS DECREASED BY $95.91 TO $53,171.09 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-95.91		
12/31/03	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FED BASIS DECREASED BY $75.05 TO $50,955.92 STATE BASIS DECREASED BY $75.05 TO $50,955.92 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-75.05		
12/31/03	AMORTIZED PREMIUM ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 FED BASIS DECREASED BY $66.68 TO $50,052.65 STATE BASIS DECREASED BY $66.68 TO $50,052.65 1/1/04 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-66.68		

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Transaction detail continued

Date	Description	Income	Principal
12/31/03	ACCRETED DISCOUNT ON TEMPLE CITY CALIF UNI SCH 8/01/12 FED BASIS INCREASED BY $1,603.97 TO $33,056.13 STATE BASIS INCREASED BY $1,603.97 TO $33,056.13 1/1/04 CURRENT YEAR NON-TAXABLE OID INVESTMENTS AT TAX COST: $1,603.97		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/03		**$239.47**	**$(239.47)**

No pending trades.

4809

Annual Account Statement

EXEMPT T/U/W F SENSENBRENNER PCTAA
Account number 9500134300
May 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

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Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 02535
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2003	Value on April 30, 2003	Change in value
Cash equivalents	$6,597.27		
Equities	3,810,389.83		
Fixed income	61,678.30		
Other	0.00		
Total holdings	**$3,878,665.40**	$0.00	**$3,878,665.40**
Accruals (income earned but not yet received)	$17,127.40		
Total holdings with accruals	**$3,895,792.80**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$47,698.11	$47,698.11
Interest (taxable/non-taxable)	95.78	95.78
Other income	0.00	0.00
Total income	**$47,793.89**	$47,793.89
Realized gain/(loss)		
	$1,001,274.23	$1,001,274.23

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

4812

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$0.00	$0.00
Receipts	3,613,788.91	3,613,788.91
Disbursements	(254,269.18)	(254,269.18)
Income	47,793.89	47,793.89
Capital gains distributions	0.00	0.00
Change in asset market value	471,351.78	471,351.78
Ending market value	$3,878,665.40	$3,878,665.40

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

4813

Transaction summary

	Income	Principal
Beginning cash balance 5/1/03	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$47,698.11	$0.00
Interest (taxable/non-taxable)	95.78	0.00
Other cash receipts	41,985.75	24,829.52
Total cash receipts	$89,779.64	$24,829.52
Cash disbursements		
Cash disbursements	$(107,714.18)	$(139,343.85)
Administrative expenses	(3,935.06)	(3,276.09)
Total cash disbursements	$(111,649.24)	$(142,619.94)
Investment transactions		
Purchases	$0.00	$(1,618,584.31)
Sales and maturities	0.00	1,758,244.33
Total investment transactions	$0.00	$139,660.02
Ending cash balance 12/31/03	**$(21,869.60)**	**$21,869.60**

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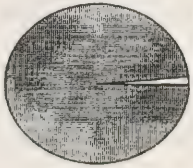
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth

This strategy seeks to provide long-term capital appreciation and overall returns that are competitive with the general equity market by investing at least 80% of its assets in equity securities. Current income is a secondary consideration. Principal volatility is expected to be relatively high.

Asset allocation



0.2% - **Cash equivalents**

98.2% - **Equities**
- 55.9% - *Consumer non-durable*
- 9.5% - *Technology*
- 7.2% - *Banks*
- 4.7% - *Health care*
- 4.7% - *Mutual funds*
- 16.2% - *Other equities*

1.6% - **Fixed income**
- 1.6% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	6,597.270	1.000	$6,597.27	$6,597.27	$0.00	0.66%	$43.54
INCOME CASH	(21,869.600)	1.000	(21,869.60)	(21,869.60)	0.00	0.00%	0.00
PRINCIPAL CASH	21,869.600	1.000	21,869.60	21,869.60	0.00	0.00%	0.00
Total cash equivalents			**$6,597.27**	**$6,597.27**	**$0.00**		**$43.54**

Equities

Banks Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
BANK OF AMERICA CORP	800.000	80.430	$64,344.00	$8,767.00	$55,577.00	3.98%	$2,560.00
BANK ONE CORP	2,039.000	45.590	92,958.01	16,819.56	76,138.45	2.19%	2,039.00
J P MORGAN CHASE & CO	411.000	36.730	15,096.03	3,235.64	11,860.39	3.70%	558.96
US BANCORP DEL NEW	3,648.000	29.780	108,637.44	9,450.00	99,187.44	3.22%	3,502.08
Total banks			$281,035.48	$38,272.20	$242,763.28		$8,660.04
Capital equipment							
GENERAL ELEC CO	1,300.000	30.980	40,274.00	38,171.70	2,102.30	2.58%	1,040.00
TYCO INTL LTD NEW	1,900.000	26.500	50,350.00	37,509.00	12,841.00	0.19%	95.00
3M CO	400.000	85.030	34,012.00	25,570.00	8,442.00	1.55%	528.00
Total capital equipment			$124,636.00	$101,250.70	$23,385.30		$1,663.00
Consumer capital spending							
JOHNSON CTLS INC	400.000	116.120	46,448.00	5,700.00	40,748.00	1.55%	720.00

4818

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Consumer cyclical							
COMCAST CORP NEW CL A	1,374.000	32.790	$45,053.46	$38,590.47	$6,462.99	0.00%	$0.00
VIACOM INC CL B	1,000.000	44.380	44,380.00	42,124.00	2,256.00	0.54%	240.00
Total consumer cyclical			$89,433.46	$80,714.47	$8,718.99		$240.00
Consumer non-durable							
KIMBERLY CLARK CORP	36,640.000	59.090	2,165,057.60	505,783.08	1,659,274.52	2.30%	49,830.40
Energy							
ANADARKO PETE CORP	101.000	51.010	5,152.01	2,489.99	2,662.02	1.10%	56.56
EXXON MOBIL CORP	1,000.000	41.000	41,000.00	36,841.80	4,158.20	2.44%	1,000.00
UNOCAL CORP	524.000	36.830	19,298.92	8,695.13	10,603.79	2.17%	419.20
Total energy			$65,450.93	$48,026.92	$17,424.01		$1,475.76
Finance							
AMERICAN INTL GROUP INC	750.000	66.280	49,710.00	42,644.50	7,065.50	0.39%	195.00
CITIGROUP INC	950.000	48.540	46,113.00	42,347.00	3,766.00	2.88%	1,330.00
FHLMC	750.000	58.320	43,740.00	39,400.00	4,340.00	1.78%	780.00
MBNA CORP	1,700.000	24.850	42,245.00	37,014.00	5,231.00	1.61%	680.00
Total finance			$181,808.00	$161,405.50	$20,402.50		$2,985.00
Health care							
AMERISOURCEBERGEN CORP	650.000	56.150	36,497.50	41,340.00	(4,842.50)	0.18%	65.00
AMGEN INC	625.000	61.790	38,618.75	40,913.00	(2,294.25)	0.00%	0.00
JOHNSON & JOHNSON	650.000	51.660	33,579.00	33,325.00	254.00	1.86%	624.00
MEDTRONIC INC	800.000	48.610	38,888.00	37,351.00	1,537.00	0.60%	232.00

4819

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
PFIZER INC	1,000.000	35.330	$35,330.00	$32,668.00	$2,662.00	1.92%	$680.00
Total health care			$182,913.25	$185,597.00	$(2,683.75)		$1,601.00
Mutual funds							
ONE GROUP MID CAP GROWTH FUND	2,978.422	21.300	63,440.39	60,675.05	2,765.34	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	3,939.556	16.340	64,372.35	60,197.39	4,174.96	0.50%	320.21
ONE GROUP SMALL CAP GROWTH FUND	2,546.252	10.810	27,524.98	25,499.32	2,025.66	0.00%	0.00
ONE GROUP SMALL CAP VALUE FUND	1,202.384	23.070	27,739.00	25,261.54	2,477.46	0.29%	80.07
Total mutual funds			$183,076.72	$171,633.30	$11,443.42		$400.28
Other utilities							
SOUTHERN CO	400.000	30.250	12,100.00	2,802.50	9,297.50	4.63%	560.00
Retail							
KOHLS CORP	650.000	44.940	29,211.00	34,324.50	(5,113.50)	0.00%	0.00
TARGET CORP	950.000	38.400	36,480.00	36,280.00	200.00	0.73%	266.00
Total retail			$65,691.00	$70,604.50	$(4,913.50)		$266.00
Technology							
CISCO SYS INC	3,200.000	24.230	77,536.00	63,933.00	13,603.00	0.00%	0.00
DELL INC	1,500.000	33.980	50,970.00	50,115.00	855.00	0.00%	0.00
ELECTRONIC DATA SYS CORP NEW	448.000	24.540	10,993.92	4,480.00	6,513.92	2.44%	268.80
INTEL CORP	3,000.000	32.050	96,150.00	79,492.00	16,658.00	0.25%	240.00
MICROCHIP TECHNOLOGY INC	1,400.000	33.370	46,718.00	45,710.00	1,008.00	0.36%	168.00

4820

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
MICROSOFT CORP	3,200.000	27.370	$87,584.00	$86,550.00	$1,034.00	0.58%	$512.00
Total technology			$369,951.92	$330,280.00	$39,671.92		$1,188.80
Telephone utilities							
VERIZON COMMUNICATIONS	600.000	35.080	21,048.00	23,400.00	(2,352.00)	4.39%	924.00
Transportation							
BURLINGTON NORTHN SANTA FE CORP	105.000	32.350	3,396.75	506.62	2,890.13	1.85%	63.00
UNION PAC CORP	264.000	69.480	18,342.72	5,147.89	13,194.83	1.73%	316.80
Total transportation			$21,739.47	$5,654.51	$16,084.96		$379.80
Total equities			**$3,810,389.83**	**$1,731,124.68**	**$2,079,265.15**		**$70,894.08**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP ULTRA SHORT-TERM BOND FUND	6,211.309	9.930	$61,678.30	$61,753.98	$(75.68)	2.66%		$1,639.79
Total fixed income			**$61,678.30**	**$61,753.98**	**$(75.68)**			**$1,639.79**
Total holdings			**$3,878,665.40**	**$1,799,475.93**	**$2,079,189.47**			**$72,577.41**

Holdings detail continued

Accruals (income earned but not yet received)	$17,127.40
Total holdings with accruals	**$3,895,792.80**

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

4822

Transaction detail

		Income	Principal
Beginning cash balance 5/1/03		$0.00	$0.00
Cash receipts			
Foreign dividends			
Date	*Description*	*Income*	*Principal*
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.0125/SHARE ON 1,200 SHARES DUE 11/1/03 FOREIGN CASH DIVIDEND	$15.00	
Total foreign dividends		$15.00	$0.00
Domestic dividends			
6/25/03	CASH RECEIPT OF DIVIDEND EARNED ON ANADARKO PETE CORP $0.10/SHARE ON 101 SHARES DUE 6/25/03	10.10	
6/27/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.64/SHARE ON 800 SHARES DUE 6/27/03	512.00	
6/30/03	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.36/SHARE ON 400 SHARES DUE 6/30/03	144.00	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.21/SHARE ON 2,039 SHARES DUE 7/1/03	428.19	

4823

Transaction detail continued

Date	Description	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.12/SHARE ON 105 SHARES DUE 7/1/03	$12.60	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0.23/SHARE ON 264 SHARES DUE 7/1/03	60.72	
7/2/03	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.34/SHARE ON 62,140 SHARES DUE 7/2/03	21,127.60	
7/15/03	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.205/SHARE ON 3,648 SHARES DUE 7/15/03	747.84	
7/31/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 411 SHARES DUE 7/31/03	139.74	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AT & T CORP NEW $0.1875/SHARE ON 170 SHARES DUE 8/1/03	31.88	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 600 SHARES DUE 8/1/03	231.00	
8/8/03	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 8/8/03	104.80	

Transaction detail continued

Date	Description	Income	Principal
8/22/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 600 SHARES DUE 8/22/03	$210.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 1,200 SHARES DUE 9/1/03	24.00	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERISOURCEBERGEN CORP $0.025/SHARE ON 400 SHARES DUE 9/2/03	10.00	
9/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 600 SHARES DUE 9/4/03	90.00	
9/8/03	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.35/SHARE ON 400 SHARES DUE 9/6/03	140.00	
9/9/03	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.24/SHARE ON 400 SHARES DUE 9/9/03	96.00	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 9/10/03	67.20	
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 600 SHARES DUE 9/10/03	150.00	

Transaction detail continued

Date	Description	Income	Principal
9/10/03	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.07/SHARE ON 600 SHARES DUE 9/10/03	$42.00	
9/12/03	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.66/SHARE ON 200 SHARES DUE 9/12/03	132.00	
9/19/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERICAN INTL GROUP INC $0.065/SHARE ON 500 SHARES DUE 9/19/03	32.50	
9/24/03	CASH RECEIPT OF DIVIDEND EARNED ON ANADARKO PETE CORP $0.10/SHARE ON 101 SHARES DUE 9/24/03	10.10	
9/26/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.80/SHARE ON 800 SHARES DUE 9/26/03	640.00	
9/30/03	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.26/SHARE ON 500 SHARES DUE 9/30/03	130.00	
9/30/03	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.36/SHARE ON 400 SHARES DUE 9/30/03	144.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.25/SHARE ON 2,039 SHARES DUE 10/1/03	509.75	

4826

Transaction detail continued

Date	Description	Income	Principal
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.15/SHARE ON 105 SHARES DUE 10/1/03	$15.75	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON MBNA CORP $0.10/SHARE ON 1,200 SHARES DUE 10/1/03	120.00	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0.23/SHARE ON 264 SHARES DUE 10/1/03	60.72	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON VIACOM INC CL B $0.06/SHARE ON 600 SHARES DUE 10/1/03	36.00	
10/2/03	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.34/SHARE ON 49,390 SHARES DUE 10/2/03	16,792.60	
10/15/03	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.205/SHARE ON 3,648 SHARES DUE 10/15/03	747.84	
10/24/03	CASH RECEIPT OF DIVIDEND EARNED ON MEDTRONIC INC $0.0725/SHARE ON 500 SHARES DUE 10/24/03	36.25	
10/27/03	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.19/SHARE ON 800 SHARES DUE 10/27/03	152.00	

Transaction detail continued

Date	Description	Income	Principal
10/31/03	CASH RECEIPT OF DIVIDEND EARNED ON J P MORGAN CHASE & CO $0.34/SHARE ON 411 SHARES DUE 10/31/03	$139.74	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 600 SHARES DUE 11/3/03	231.00	
11/7/03	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.16/SHARE ON 1,700 SHARES DUE 11/7/03	272.00	
11/10/03	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 11/10/03	104.80	
11/26/03	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.35/SHARE ON 950 SHARES DUE 11/26/03	332.50	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERISOURCEBERGEN CORP $0.025/SHARE ON 650 SHARES DUE 12/1/03	16.25	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 1,700 SHARES DUE 12/1/03	34.00	
12/4/03	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.15/SHARE ON 1,000 SHARES DUE 12/4/03	150.00	

4828

Transaction detail continued

Date	Description	Income	Principal
12/8/03	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.35/SHARE ON 400 SHARES DUE 12/6/03	$140.00	
12/9/03	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.24/SHARE ON 650 SHARES DUE 12/9/03	156.00	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 12/10/03	67.20	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.25/SHARE ON 1,000 SHARES DUE 12/10/03	250.00	
12/10/03	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.07/SHARE ON 950 SHARES DUE 12/10/03	66.50	
12/12/03	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.33/SHARE ON 400 SHARES DUE 12/12/03	132.00	
12/19/03	CASH RECEIPT OF DIVIDEND EARNED ON AMERICAN INTL GROUP INC $0.065/SHARE ON 750 SHARES DUE 12/19/03	48.75	
12/24/03	CASH RECEIPT OF DIVIDEND EARNED ON ANADARKO PETE CORP $0.14/SHARE ON 101 SHARES DUE 12/24/03	14.14	

Transaction detail continued

Date	Description	Income	Principal
12/26/03	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.80/SHARE ON 800 SHARES DUE 12/26/03	$640.00	
12/31/03	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.26/SHARE ON 750 SHARES DUE 12/31/03	195.00	
Total domestic dividends		$46,929.06	$0.00
Mutual fund income			
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03	216.65	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03	238.31	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 1,482.58 SHARES DUE 10/1/03	27.69	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 546.15 SHARES DUE 10/1/03	10.53	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03	21.63	

4830

Transaction detail continued

Date	Description	Income	Principal
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03	$119.62	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP ULTRA SHORT-TERM BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03	119.62	
Total mutual fund income		$754.05	$0.00
Taxable interest			
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03	3.00	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03	26.67	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03	15.87	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03	14.13	
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03	13.66	

4831

Transaction detail continued

Date	Description	Income	Principal
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03	$13.10	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03	9.35	
Total taxable interest		$95.78	$0.00
Other cash receipts			
5/30/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410 DISTRIBUTION OF PRIN CASH PER DISTRIBUTION PLAN		$24,829.52
5/30/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410 DISTRIBUTION OF INCOME CASH PER DISTRIBUTION PLAN	41,759.55	
6/18/03	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT # 9572007410	226.20	
Total other cash receipts		$41,985.75	$24,829.52
Total cash receipts		**$89,779.64**	**$24,829.52**

4832

Transaction detail continued

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
6/2/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134300 TO 9572070510	$(24,867.96)	
6/30/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134300 TO 9572070510	(24,867.96)	
9/3/03	CASH DISBURSEMENT PAID TO FOLEY & LARDNER LEGAL FEE PROFESSIONAL SERVICES RENDERED 12/1/02 - 7/31/03 A/C #018423-0101 INV #24068827 DTD 8/26/03 CK #368040		$(6,284.51)
9/11/03	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(83,160.00)
9/11/03	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(14,645.00)

Transaction detail continued

Date	Description	Income	Principal
9/30/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134300 TO 9572070510	$(24,867.96)	
11/12/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070	(8,242.34)	
11/12/03	CASH DISBURSEMENT PAID TO BANK ONE SVG4941588070 ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-4941588070		$(8,242.34)
12/29/03	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(22,983.00)
12/29/03	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(4,029.00)

4834

Transaction detail continued

Date	Description	Income	Principal
12/31/03	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM 9500134300 TO 9572070510	$(24,867.96)	
Total other cash disbursements		$(107,714.18)	$(139,343.85)
Administrative expenses			
6/2/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 & 2003 FINAL RETURNS FOR 9572007410	(659.00)	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(450.63)	$(450.63)
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(543.25)	(543.25)
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(553.59)	(553.60)
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(522.33)	(522.34)
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(605.93)	(605.93)
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(600.33)	(600.34)
Total administrative expenses		$(3,935.06)	$(3,276.09)
Total cash disbursements		**$(111,649.24)**	**$(142,619.94)**

Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 5/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $254,518.51			$(254,518.51)
7/7/03	PURCHASED 1,200 SHARES OF MICROSOFT CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $72.00 BROKERAGE 1,200 SHARES AT $25.69 INVESTMENTS AT TAX COST: $30,900.00			(30,900.00)
7/7/03	PURCHASED 400 SHARES OF AMGEN INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $65.17 INVESTMENTS AT TAX COST: $26,108.00			(26,108.00)
7/7/03	PURCHASED 200 SHARES OF 3M CO TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $20.00 BROKERAGE 200 SHARES AT $127.75 INVESTMENTS AT TAX COST: $25,570.00			(25,570.00)

4836

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 1,500 SHARES OF CISCO SYS INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $90.00 BROKERAGE 1,500 SHARES AT $16.76333 INVESTMENTS AT TAX COST: $25,235.00			$(25,235.00)
7/7/03	PURCHASED 400 SHARES OF AMERISOURCEBERGEN CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $69.00 INVESTMENTS AT TAX COST: $27,640.00			(27,640.00)
7/7/03	PURCHASED 500 SHARES OF FHLMC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $51.52 INVESTMENTS AT TAX COST: $25,810.00			(25,810.00)

4837

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 600 SHARES OF COMCAST CORP NEW CL A TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $30.24 INVESTMENTS AT TAX COST: $18,204.00			$(18,204.00)
7/7/03	PURCHASED 600 SHARES OF VIACOM INC CL B TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $43.04 INVESTMENTS AT TAX COST: $25,884.00			(25,884.00)
7/7/03	PURCHASED 1,200 SHARES OF TYCO INTL LTD NEW TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $72.00 BROKERAGE 1,200 SHARES AT $18.72 INVESTMENTS AT TAX COST: $22,536.00			(22,536.00)

4838

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 800 SHARES OF GENERAL ELEC CO TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $80.00 BROKERAGE 800 SHARES AT $28.39 INVESTMENTS AT TAX COST: $22,792.00			$(22,792.00)
7/7/03	PURCHASED 600 SHARES OF PFIZER INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $33.86 INVESTMENTS AT TAX COST: $20,376.00			(20,376.00)
7/7/03	PURCHASED 500 SHARES OF AMERICAN INTL GROUP INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $54.944 INVESTMENTS AT TAX COST: $27,522.00			(27,522.00)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 600 SHARES OF EXXON MOBIL CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $35.85 INVESTMENTS AT TAX COST: $21,570.00			$(21,570.00)
7/7/03	PURCHASED 400 SHARES OF KOHLS CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $50.6675 INVESTMENTS AT TAX COST: $20,307.00			(20,307.00)
7/7/03	PURCHASED 600 SHARES OF CITIGROUP INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $42.70 INVESTMENTS AT TAX COST: $25,880.00			(25,680.00)

4840

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 600 SHARES OF TARGET CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $37.29 INVESTMENTS AT TAX COST: $22,434.00			$(22,434.00)
7/7/03	PURCHASED 1,200 SHARES OF MBNA CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $72.00 BROKERAGE 1,200 SHARES AT $20.68667 INVESTMENTS AT TAX COST: $24,896.00			(24,896.00)
7/7/03	PURCHASED 600 SHARES OF VERIZON COMMUNICATIONS TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 600 SHARES AT $38.90 INVESTMENTS AT TAX COST: $23,400.00			(23,400.00)

4841

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 1,200 SHARES OF INTEL CORP TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $72.00 BROKERAGE 1,200 SHARES AT $20.70167 INVESTMENTS AT TAX COST: $24,914.00			$(24,914.00)
7/7/03	PURCHASED 400 SHARES OF JOHNSON & JOHNSON TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $51.85 INVESTMENTS AT TAX COST: $20,780.00			(20,780.00)
7/7/03	PURCHASED 500 SHARES OF MEDTRONIC INC TRADE DATE 7/1/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $47.50 INVESTMENTS AT TAX COST: $23,800.00			(23,800.00)
7/7/03	PURCHASED 10,832.497 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 7/3/03 10,832.497 SHARES AT $9.97 INVESTMENTS AT TAX COST: $108,000.00			(108,000.00)

4842

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	PURCHASED 1,083.424 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 7/3/03 1,083.424 SHARES AT $18.94 INVESTMENTS AT TAX COST: $20,520.05			$(20,520.05)
7/7/03	PURCHASED 1,482.58 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 7/3/03 1,482.58 SHARES AT $13.64 INVESTMENTS AT TAX COST: $20,222.39			(20,222.39)
7/7/03	PURCHASED 1,148.106 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 7/3/03 1,148.106 SHARES AT $9.07 INVESTMENTS AT TAX COST: $10,413.32			(10,413.32)
7/7/03	PURCHASED 546.15 SHARES OF ONE GROUP SMALL CAP VALUE FUND TRADE DATE 7/3/03 546.15 SHARES AT $18.69 INVESTMENTS AT TAX COST: $10,207.54			(10,207.54)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 350 SHARES OF CITIGROUP INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $35.00 BROKERAGE 350 SHARES AT $47.52 INVESTMENTS AT TAX COST: $16,667.00			$(16,667.00)
10/10/03	PURCHASED 500 SHARES OF COMCAST CORP NEW CL A TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $32.31 INVESTMENTS AT TAX COST: $16,205.00			(16,205.00)
10/10/03	PURCHASED 500 SHARES OF GENERAL ELEC CO TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $30.6594 INVESTMENTS AT TAX COST: $15,379.70			(15,379.70)

4844

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 500 SHARES OF INTEL CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $29.60 INVESTMENTS AT TAX COST: $14,850.00			$(14,850.00)
10/10/03	PURCHASED 500 SHARES OF MICROSOFT CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $29.27 INVESTMENTS AT TAX COST: $14,685.00			(14,685.00)
10/10/03	PURCHASED 400 SHARES OF EXXON MOBIL CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $38.0795 INVESTMENTS AT TAX COST: $15,271.80			(15,271.80)

4845

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 225 SHARES OF AMGEN INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $22.50 BROKERAGE 225 SHARES AT $65.70 INVESTMENTS AT TAX COST: $14,805.00			$(14,805.00)
10/10/03	PURCHASED 700 SHARES OF CISCO SYS INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $70.00 BROKERAGE 700 SHARES AT $20.94 INVESTMENTS AT TAX COST: $14,728.00			(14,728.00)
10/10/03	PURCHASED 400 SHARES OF VIACOM INC CL B TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $40.50 INVESTMENTS AT TAX COST: $16,240.00			(16,240.00)

4846

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 250 SHARES OF AMERISOURCEBERGEN CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $25.00 BROKERAGE 250 SHARES AT $54.70 INVESTMENTS AT TAX COST: $13,700.00			$(13,700.00)
10/10/03	PURCHASED 250 SHARES OF AMERICAN INTL GROUP INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $25.00 BROKERAGE 250 SHARES AT $60.39 INVESTMENTS AT TAX COST: $15,122.50			(15,122.50)
10/10/03	PURCHASED 700 SHARES OF TYCO INTL LTD NEW TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $70.00 BROKERAGE 700 SHARES AT $21.29 INVESTMENTS AT TAX COST: $14,973.00			(14,973.00)

4847

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 300 SHARES OF MEDTRONIC INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $30.00 BROKERAGE 300 SHARES AT $45.07 INVESTMENTS AT TAX COST: $13,551.00			$(13,551.00)
10/10/03	PURCHASED 400 SHARES OF PFIZER INC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $40.00 BROKERAGE 400 SHARES AT $30.63 INVESTMENTS AT TAX COST: $12,292.00			(12,292.00)
10/10/03	PURCHASED 500 SHARES OF MBNA CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $50.00 BROKERAGE 500 SHARES AT $24.136 INVESTMENTS AT TAX COST: $12,118.00			(12,118.00)

4848

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 250 SHARES OF JOHNSON & JOHNSON TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $25.00 BROKERAGE 250 SHARES AT $50.08 INVESTMENTS AT TAX COST: $12,545.00			$(12,545.00)
10/10/03	PURCHASED 350 SHARES OF TARGET CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $35.00 BROKERAGE 350 SHARES AT $39.46 INVESTMENTS AT TAX COST: $13,846.00			(13,846.00)
10/10/03	PURCHASED 250 SHARES OF KOHLS CORP TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $25.00 BROKERAGE 250 SHARES AT $55.97 INVESTMENTS AT TAX COST: $14,017.50			(14,017.50)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/10/03	PURCHASED 250 SHARES OF FHLMC TRADE DATE 10/7/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $25.00 BROKERAGE 250 SHARES AT $54.26 INVESTMENTS AT TAX COST: $13,590.00			$(13,590.00)
10/10/03	PURCHASED 4,819.277 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 10/9/03 4,819.277 SHARES AT $9.96 INVESTMENTS AT TAX COST: $48,000.00			(48,000.00)
12/24/03	PURCHASED 1,500 SHARES OF DELL INC TRADE DATE 12/19/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $90.00 BROKERAGE 1,500 SHARES AT $33.35 INVESTMENTS AT TAX COST: $50,115.00			(50,115.00)
12/24/03	PURCHASED 1,400 SHARES OF MICROCHIP TECHNOLOGY INC TRADE DATE 12/19/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $84.00 BROKERAGE 1,400 SHARES AT $32.59 INVESTMENTS AT TAX COST: $45,710.00			(45,710.00)

4850

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/24/03	PURCHASED 1,500 SHARES OF MICROSOFT CORP TRADE DATE 12/19/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $90.00 BROKERAGE 1,500 SHARES AT $27.25 INVESTMENTS AT TAX COST: $40,965.00			$(40,965.00)
12/24/03	PURCHASED 1,000 SHARES OF CISCO SYS INC TRADE DATE 12/19/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $60.00 BROKERAGE 1,000 SHARES AT $23.91 INVESTMENTS AT TAX COST: $23,970.00			(23,970.00)
12/24/03	PURCHASED 1,300 SHARES OF INTEL CORP TRADE DATE 12/19/03 PURCHASED THROUGH CORRESPONDENT SERVICES CORP. PAID $78.00 BROKERAGE 1,300 SHARES AT $30.50 INVESTMENTS AT TAX COST: $39,728.00			(39,728.00)
12/24/03	PURCHASED 5,533.199 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 12/23/03 5,533.199 SHARES AT $9.94 INVESTMENTS AT TAX COST: $55,000.00			(55,000.00)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/24/03	PURCHASED 1,894.998 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 12/23/03 1,894.998 SHARES AT $21.19 INVESTMENTS AT TAX COST: $40,155.00			$(40,155.00)
12/24/03	PURCHASED 2,456.976 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 12/23/03 2,456.976 SHARES AT $16.27 INVESTMENTS AT TAX COST: $39,975.00			(39,975.00)
12/24/03	PURCHASED 1,398.146 SHARES OF ONE GROUP SMALL CAP GROWTH FUND TRADE DATE 12/23/03 1,398.146 SHARES AT $10.79 INVESTMENTS AT TAX COST: $15,086.00			(15,086.00)
12/24/03	PURCHASED 656.234 SHARES OF ONE GROUP SMALL CAP VALUE FUND TRADE DATE 12/23/03 656.234 SHARES AT $22.94 INVESTMENTS AT TAX COST: $15,054.00			(15,054.00)
Total purchases			$0.00	$(1,618,584.31)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 5/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-247,921.24			247,921.24

4852

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	SOLD 159 SHARES OF MIRANT CORP TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $15.90 BROKERAGE PAID $0.03 SEC FEE 159 SHARES AT $3.04 INVESTMENTS AT TAX COST: $-1,784.49	$(1,317.06)		$467.43
7/7/03	SOLD 61 SHARES OF AVAYA INC TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $6.10 BROKERAGE PAID $0.02 SEC FEE 61 SHARES AT $6.29 INVESTMENTS AT TAX COST: $-192.25	185.32		377.57
7/7/03	SOLD 170 SHARES OF AT & T CORP NEW TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $17.00 BROKERAGE PAID $0.16 SEC FEE 170 SHARES AT $18.94 INVESTMENTS AT TAX COST: $-2,507.09	695.55		3,202.64

4853

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	SOLD 7 SHARES OF AGERE SYS INC CL A TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $0.70 BROKERAGE PAID $0.01 SEC FEE 7 SHARES AT $2.31 INVESTMENTS AT TAX COST: $-21.01	$(5.55)		$15.46
7/7/03	SOLD 732 SHARES OF LUCENT TECHNOLOGIES INC TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $73.20 BROKERAGE PAID $0.07 SEC FEE 732 SHARES AT $1.99 INVESTMENTS AT TAX COST: $-2,712.70	(1,329.29)		1,383.41
7/7/03	SOLD 193 SHARES OF AGERE SYS INC CL B TRADE DATE 7/1/03 SOLD THROUGH CORRESPONDENT SERVICES CORP. PAID $19.30 BROKERAGE PAID $0.03 SEC FEE 193 SHARES AT $2.25 INVESTMENTS AT TAX COST: $-580.15	(165.23)		414.92

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/7/03	SOLD 12,750 SHARES OF KIMBERLY CLARK CORP TRADE DATE 7/1/03 SOLD THROUGH CHARLES SCHWAB & CO. INC. PAID $765.00 BROKERAGE PAID $30.84 SEC FEE 12,750 SHARES AT $51.6673 INVESTMENTS AT TAX COST: $-178,002.57	$481,959.67		$657,962.24
9/9/03	SOLD 9,849.246 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 9/8/03 9,849.246 SHARES AT $9.95 INVESTMENTS AT TAX COST: $-98,196.99	(196.99)		98,000.00
10/1/03	SOLD 601.805 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 9/30/03 601.805 SHARES AT $9.97 INVESTMENTS AT TAX COST: $-5,999.99	0.01		6,000.00
10/10/03	SOLD 6,375 SHARES OF KIMBERLY CLARK CORP TRADE DATE 10/7/03 SOLD THROUGH UBS WARBURG LLC., NEW YORK PAID $382.50 BROKERAGE PAID $15.48 SEC FEE 6,375 SHARES AT $51.8775 INVESTMENTS AT TAX COST: $-88,001.29	242,319.79		330,321.08

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/24/03	SOLD 6,375 SHARES OF KIMBERLY CLARK CORP TRADE DATE 12/19/03 SOLD THROUGH UBS WARBURG LLC., NEW YORK PAID $382.50 BROKERAGE PAID $17.20 SEC FEE 6,375 SHARES AT $57.6593 INVESTMENTS AT TAX COST: $-88,001.29	$279,177.05		$367,178.34
12/30/03	SOLD 4,522.613 SHARES OF ONE GROUP ULTRA SHORT-TERM BOND FUND TRADE DATE 12/29/03 4,522.613 SHARES AT $9.95 INVESTMENTS AT TAX COST: $-45,049.04	(49.04)		45,000.00
Total sales and maturities			$0.00	$1,758,244.33
Total investment transactions			**$0.00**	**$139,660.02**

Other investment transactions (non-cash)

Date	Description	Income	Principal
5/29/03	RECEIVED 7 SHARES OF AGERE SYS INC CL A TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $18.20 INVESTMENTS AT TAX COST: $21.01		

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Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 193 SHARES OF AGERE SYS INC CL B TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $472.85 INVESTMENTS AT TAX COST: $580.15		
5/29/03	RECEIVED 101 SHARES OF ANADARKO PETE CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $4,971.22 INVESTMENTS AT TAX COST: $2,489.99		
5/29/03	RECEIVED 170 SHARES OF AT & T CORP NEW TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $3,129.70 INVESTMENTS AT TAX COST: $2,507.09		
5/29/03	RECEIVED 61 SHARES OF AVAYA INC TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $384.91 INVESTMENTS AT TAX COST: $192.25		
5/29/03	RECEIVED 800 SHARES OF BANK OF AMERICA CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $59,472.00 INVESTMENTS AT TAX COST: $8,767.00		

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 2,039 SHARES OF BANK ONE CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $76,747.96 INVESTMENTS AT TAX COST: $16,819.56		
5/29/03	RECEIVED 105 SHARES OF BURLINGTON NORTHN SANTA FE CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $3,024.00 INVESTMENTS AT TAX COST: $506.62		
5/29/03	RECEIVED 274 SHARES OF COMCAST CORP NEW CL A TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $8,167.94 INVESTMENTS AT TAX COST: $4,181.47		
5/29/03	RECEIVED 448 SHARES OF ELECTRONIC DATA SYS CORP NEW TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $8,507.52 INVESTMENTS AT TAX COST: $4,480.00		
5/29/03	RECEIVED 411 SHARES OF J P MORGAN CHASE & CO TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $13,378.05 INVESTMENTS AT TAX COST: $3,235.64		

4858

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 400 SHARES OF JOHNSON CTLS INC TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $32,848.00 INVESTMENTS AT TAX COST: $5,700.00		
5/29/03	RECEIVED 62,140 SHARES OF KIMBERLY CLARK CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $3,203,938.40 INVESTMENTS AT TAX COST: $857,788.23		
5/29/03	RECEIVED 732 SHARES OF LUCENT TECHNOLOGIES INC TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $1,668.96 INVESTMENTS AT TAX COST: $2,712.70		
5/29/03	RECEIVED 159 SHARES OF MIRANT CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $545.37 INVESTMENTS AT TAX COST: $1,784.49		
5/29/03	RECEIVED 400 SHARES OF SOUTHERN CO TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $12,628.00 INVESTMENTS AT TAX COST: $2,802.50		

4859

Transaction detail continued

Date	Description	Income	Principal
5/29/03	RECEIVED 264 SHARES OF UNION PAC CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $15,576.00 INVESTMENTS AT TAX COST: $5,147.89		
5/29/03	RECEIVED 524 SHARES OF UNOCAL CORP TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $15,657.12 INVESTMENTS AT TAX COST: $8,695.13		
5/29/03	RECEIVED 3,648 SHARES OF US BANCORP DEL NEW TRANSFER FROM TRUST #9572007410 MARKET VALUE OF $85,837.44 INVESTMENTS AT TAX COST: $9,450.00		
9/30/03	STOCK SPLIT 2 FOR 1 STOCK SPLIT ON 3M CO DUE 9/29/03 200 ADDITIONAL SHARES RECEIVED		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/03		**$(21,869.60)**	**$21,869.60**

No pending trades.

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Annual Account Statement

F JAMES SENSENBRENNER JR TRUST
Account number 9572125310
January 1, 2003 - December 31, 2003

BANK ONE TRUST COMPANY, N.A.
111 E WISCONSIN AVE,12TH FLOOR
MILWAUKEE, WI 53202

www.bankone.com

Your Trust Advisor is:
LYNN E PAULL
(414) 765-2751 or (800) 926-4556

Your Client Advisor is:
BILL MARGETIS
(608) 283-6463

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin board (located at the back of your statement) for important messages from Bank One.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02310
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2003	Value on December 31, 2002	Change in value
Cash equivalents	$22,128.87		
Equities	552,549.82		
Fixed income	217,253.18		
Other	0.00		
Total holdings	$791,931.87	$673,082.29	$118,849.58
Accruals (Income earned but not yet received)	$1,465.93		
Total holdings with accruals	$793,397.80		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$12,050.11	$12,050.11
Interest (taxable/non-taxable)	186.09	186.09
Other income	0.00	0.00
Total income	$12,236.20	$12,236.20
Realized gain/(loss)	$3,214.98	$3,214.98

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$673,082.29	$673,082.29
Receipts	396.00	396.00
Disbursements	(15,312.82)	(15,312.82)
Income	12,236.20	12,236.20
Capital gains distributions	2,250.87	2,250.87
Change in asset market value	119,279.33	119,279.33
Ending market value	$791,931.87	$791,931.87

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/03	$(249.34)	$249.34
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$12,050.11	$0.00
Interest (taxable/non-taxable)	186.09	0.00
Other cash receipts	0.00	2,646.87
Total cash receipts	$12,236.20	$2,646.87
Cash disbursements		
Cash disbursements	$(8,601.36)	$0.00
Administrative expenses	(3,658.20)	(3,053.26)
Total cash disbursements	$(12,259.56)	$(3,053.26)
Investment transactions		
Purchases	$0.00	$(44,337.67)
Sales and maturities	0.00	44,767.42
Total investment transactions	$0.00	$429.75
Ending cash balance 12/31/03	$(272.70)	$272.70

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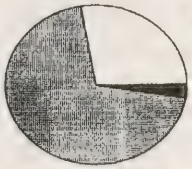
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Tax-Free Growth and Income

This strategy seeks to provide long-term capital appreciation and tax-exempt current income by investing primarily in equity securities (60% - 80%) and secondarily in tax-exempt fixed income securities (20% - 40%). Principal volatility is expected to be moderate.

Asset allocation



2.8% - **Cash equivalents**

69.8% - **Equities**
69.8% - *Mutual funds*

27.4% - **Fixed income**
27.4% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	22,128.870	1.000	$22,128.87	$22,128.87	$0.00	0.66%	$146.05
INCOME CASH	(272.700)	1.000	(272.70)	(272.70)	0.00	0.00%	0.00
PRINCIPAL CASH	272.700	1.000	272.70	272.70	0.00	0.00%	0.00
Total cash equivalents			**$22,128.87**	**$22,128.87**	**$0.00**		**$146.05**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	2,714.355	11.560	$31,377.94	$29,442.08	$1,935.86	0.69%	$217.58
ONE GROUP DIVERSIFIED INTERNAT'L FD	858.283	13.740	11,792.81	8,600.00	3,192.81	0.83%	98.16
ONE GROUP EQUITY INCOME FUND	4,257.248	16.220	69,052.56	58,594.87	10,457.69	1.60%	1,108.12
ONE GROUP LARGE CAP GROWTH FUND	5,037.862	14.230	71,688.78	65,000.00	6,688.78	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	5,439.059	13.960	75,929.26	68,062.00	7,867.26	0.99%	751.73
ONE GROUP MID CAP GROWTH FUND	6,166.427	21.300	131,344.90	111,288.19	20,056.71	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	7,279.427	16.340	118,945.84	97,994.74	20,951.10	0.50%	591.67
ONE GROUP SMALL CAP GROWTH FUND	1,886.536	10.810	20,393.45	18,000.00	2,393.45	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	954.672	23.070	$22,024.28	$18,000.00	$4,024.28	0.29%	$63.57
Total equities			**$552,549.82**	**$474,981.88**	**$77,567.94**		**$2,830.81**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP INTERMEDIATE TAX-FREE BOND FUND	9,165.755	11.340	$103,939.66	$95,357.96	$8,581.70	4.02%		$4,179.58
ONE GROUP MUNICIPAL INCOME FUND	9,164.958	10.040	92,016.18	86,206.15	5,810.03	4.18%		3,849.28
ONE GROUP TAX-FREE BOND FUND	1,506.134	13.260	21,297.34	19,900.00	1,397.34	4.34%		925.13
Total fixed income			**$217,253.18**	**$201,464.11**	**$15,789.07**			**$8,953.99**
Total holdings			**$791,931.87**	**$698,574.86**	**$93,357.01**			**$11,930.85**
Accruals (Income earned but not yet received)			$1,465.93					
Total holdings with accruals			**$793,397.80**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/03			$(249.34)	$249.34
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $14,337.67			$(14,337.67)
	COMBINED SALES FOR THE PERIOD 1/ 1/03 - 12/31/03 OF ONE GROUP PRIME MONEY MARKET FUND INVESTMENTS AT TAX COST: $-14,767.42			14,767.42
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.020271/SHARE ON 2,714.355 SHARES DUE 1/2/03		$55.02	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.033636/SHARE ON 858.283 SHARES DUE 1/2/03		28.87	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.023174/SHARE ON 4,257.248 SHARES DUE 1/2/03		98.66	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 12/1/02 TO 12/31/02		408.07	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035053/SHARE ON 4,126.723 SHARES DUE 1/2/03		144.65	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.024389/SHARE ON 7,279.427 SHARES DUE 1/2/03		177.54	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 12/1/02 TO 12/31/02		383.04	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.046297/SHARE ON 954.672 SHARES DUE 1/2/03		$44.20	
1/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 12/1/02 TO 12/31/02		81.91	
1/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/02 TO 12/31/02		25.08	
1/13/03	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(244.79)	$(244.80)
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.01841/SHARE ON 4,257.248 SHARES DUE 2/3/03		78.38	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 1/1/03 TO 1/31/03		397.61	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 1/1/03 TO 1/31/03		383.04	
2/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 1/1/03 TO 1/31/03		80.31	
2/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/03 TO 1/31/03		21.02	
2/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(241.37)	(241.37)

4873

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.024595/SHARE ON 4,257.248 SHARES DUE 3/3/03		$104.71	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 2/1/03 TO 2/28/03		387.14	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 2/1/03 TO 2/28/03		372.40	
3/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 2/1/03 TO 2/28/03		78.70	
3/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/03 TO 2/28/03		18.04	
3/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(2,632.89)	
3/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(240.02)	$(240.02)
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019083/SHARE ON 2,714.355 SHARES DUE 4/1/03		51.80	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.015381/SHARE ON 4,257.248 SHARES DUE 4/1/03		65.48	

4874

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 3/1/03 TO 3/31/03		$376.68	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028434/SHARE ON 4,126.723 SHARES DUE 4/1/03		117.34	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.016983/SHARE ON 7,279.427 SHARES DUE 4/1/03		123.63	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 3/1/03 TO 3/31/03		372.40	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.008819/SHARE ON 954.672 SHARES DUE 4/1/03		8.42	
4/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 3/1/03 TO 3/31/03		77.09	
4/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/03 TO 3/31/03		17.82	
4/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(239.99)	$(239.99)
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.014095/SHARE ON 4,257.248 SHARES DUE 5/1/03		60.01	

4875

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 4/1/03 TO 4/30/03		$366.22	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 4/1/03 TO 4/30/03		372.40	
5/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 4/1/03 TO 4/30/03		75.49	
5/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/03 TO 4/30/03		16.07	
5/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(248.13)	$(248.14)
5/15/03	MISCELLANEOUS TAX SERVICE FEES COLLECTED 2002 GENERATION SKIPPING REVIEW		(115.00)	
5/21/03	CASH RECEIPT FEDERAL FIDUCIARY TAX REFUND 2002 1041 REFUND			248.00
5/21/03	PURCHASED 1,258.389 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 5/20/03 1,258.389 SHARES AT $11.92 INVESTMENTS AT TAX COST: $15,000.00			(15,000.00)

4876

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/21/03	PURCHASED 1,312.336 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 5/20/03 1,312.336 SHARES AT $11.43 INVESTMENTS AT TAX COST: $15,000.00			$(15,000.00)
5/21/03	SOLD 1,297.578 SHARES OF ONE GROUP INTERMED TAX-FREE BOND FD TRADE DATE 5/20/03 1,297.578 SHARES AT $11.56 INVESTMENTS AT TAX COST: $-14,442.04	$557.96		15,000.00
5/21/03	SOLD 1,474.926 SHARES OF ONE GROUP MUNICIPAL INCOME FUND TRADE DATE 5/20/03 1,474.926 SHARES AT $10.17 INVESTMENTS AT TAX COST: $-14,593.85	406.15		15,000.00
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.029807/SHARE ON 4,257.248 SHARES DUE 6/2/03		$126.90	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 5/1/03 TO 5/31/03		329.97	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 5/1/03 TO 5/31/03		320.77	
6/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 5/1/03 TO 5/31/03		77.09	

4877

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/03 TO 5/31/03		$16.45	
6/5/03	CASH RECEIPT STATE FIDUCIARY TAX REFUND 2002 FORM 2 REFUND			$148.00
6/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(2,128.89)	
6/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(256.67)	(256.67)
6/12/03	FIDUCIARY TAX RETURN PREP COLLECTED 2002 FORMS 1041/-2		(490.00)	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.017608/SHARE ON 2,714.355 SHARES DUE 7/1/03		47.79	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.016611/SHARE ON 4,257.248 SHARES DUE 7/1/03		70.72	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 6/1/03 TO 6/30/03		339.13	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.029286/SHARE ON 5,439.059 SHARES DUE 7/1/03		159.29	

4878

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.019752/SHARE ON 7,279.427 SHARES DUE 7/1/03		$143.78	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 6/1/03 TO 6/30/03		311.61	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.01755/SHARE ON 954.672 SHARES DUE 7/1/03		16.75	
7/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 6/1/03 TO 6/30/03		78.70	
7/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/03 TO 6/30/03		14.16	
7/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(257.06)	$(257.06)
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.01753/SHARE ON 4,257.248 SHARES DUE 8/1/03		74.63	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 7/1/03 TO 7/31/03		329.97	
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 7/1/03 TO 7/31/03		320.77	

4879

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 7/1/03 TO 7/31/03		$78.70	
8/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/03 TO 7/31/03		12.20	
8/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(258.55)	$(258.56)
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.034607/SHARE ON 4,257.248 SHARES DUE 9/2/03		147.33	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 8/1/03 TO 8/31/03		339.13	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 8/1/03 TO 8/31/03		320.77	
9/2/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 8/1/03 TO 8/31/03		80.31	
9/2/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/03 TO 8/31/03		11.65	
9/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(1,635.11)	

4880

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		$(262.64)	$(262.65)
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.019766/SHARE ON 2,714.355 SHARES DUE 10/1/03		53.65	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.017/SHARE ON 4,257.248 SHARES DUE 10/1/03		72.37	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 9/1/03 TO 9/30/03		339.13	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.035219/SHARE ON 5,439.059 SHARES DUE 10/1/03		191.56	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.018674/SHARE ON 7,279.427 SHARES DUE 10/1/03		135.94	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 9/1/03 TO 9/30/03		320.77	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.019289/SHARE ON 954.672 SHARES DUE 10/1/03		18.41	
10/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 9/1/03 TO 9/30/03		80.31	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/03 TO 9/30/03		$10.77	
10/14/03	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(261.85)	$(261.86)
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.020883/SHARE ON 4,257.248 SHARES DUE 11/3/03		88.90	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 10/1/03 TO 10/31/03		357.46	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 10/1/03 TO 10/31/03		320.77	
11/3/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 10/1/03 TO 10/31/03		80.31	
11/3/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/03 TO 10/31/03		11.37	
11/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(269.43)	(269.44)
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.030144/SHARE ON 4,257.248 SHARES DUE 12/1/03		128.33	

4882

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 11/1/03 TO 11/30/03		$366.63	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 11/1/03 TO 11/30/03		329.94	
12/1/03	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 11/1/03 TO 11/30/03		80.31	
12/1/03	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/03 TO 11/30/03		11.46	
12/10/03	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(2,204.47)	
12/11/03	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP EQUITY INCOME FUND $0.5198/SHARE ON 4,257.248 SHARES DUE 12/11/03 LT CAPITAL GAIN OF $2,213.09 ON FEDERAL COST LT CAPITAL GAIN OF $2,213.09 ON STATE COST	$2,213.09		$2,213.09

4883

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/11/03	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP TAX-FREE BOND FUND $0.0235/SHARE ON 1,606.134 SHARES DUE 12/11/03 LT CAPITAL GAIN OF $37.78 ON FEDERAL COST LT CAPITAL GAIN OF $37.78 ON STATE COST	$37.78		$37.78
12/12/03	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		$(272.70)	(272.70)
			Income	Principal
Ending cash balance 12/31/03			$(272.70)	$272.70

No pending trades.

4884

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(4)

LEGISLATIVE RESOURCE CENTER
2004 JUN 15 PM 4:58
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

4886

(Full Name)	José E. Serrano
(Mailing Address)	2227 Rayburn HOB Washington, DC 20515
Daytime Telephone:	202-225-4361
Filer Status	☑ Member of the U.S. House of Representative — State: NY District 16 ☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $286 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $286 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	José E. Serrano	6/15/04

SCHEDULE I - EARNED INCOME

Name José E. Serrano

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NYS Employee Retirement System	Pension	$14,000
Ridgewood Homes	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name José E. Serrano

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	SEP RIA (Putman Funds)	$50,001 - $100,000		NONE	Other
SP	Ridgewood Construction Profit Sharing Plan	$1,001 - $15,000		NONE	Other
DC	UTMA Coumbia Youth Investers Fund	$1,001 - $15,000		NONE	Other
DC	UTMA (MFS Funds)	$1,001 - $15,000		NONE	Other

GIFT FROM GRANDPARENTS TO GRANDCHILDREN DEC. 1999.

SCHEDULE IV - TRANSACTIONS

Name José E. Serrano

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Ridgewood Construction Profit Sharing Plan	Other	2003	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(9) HAND DELIVERED

2004 MAY 17 PM 6:43

MC

(Office Use Only)

PETE SESSIONS (Full Name)

P.O. BOX 38585 (Mailing Address)

214 874 0225 Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: TEXAS District: 32	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pete Sessions	5.17.04

4890

Name PETE SESSIONS | Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SOUTHWESTERN BELL Internet SERVICES	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name | Page 2 of 8

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B	C $1,001 – $15,000	D	E $50,001 – $100,000	F	G $250,001 – $500,000	H	I $1,000,001 – $5,000,000	J	K $25,000,001 – $50,000,000	L
Examples: SP	Mega Corp. Stock					X							
	Simon & Schuster	Indefinite											
	1st Bank of Paducah, KY accounts												
	SBC			X									
	Fidelity Advisor Group						X						
	AT&T		X										
	VERIZON			X									
	Dallas TelcoCU			X									
	Lincoln Life			X									

Asset and/or Income Source	DIVIDENDS		INTEREST		EXCEPTED TRUST		Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II	III $201 – $1,000	IV	V $2,501 – $5,000	VI	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	BLOCK E P, S, E
Mega Corp. Stock	X																		
Simon & Schuster							Royalties									X			P
1st Bank of Paducah, KY accounts			X																
SBC	X									X									
Fidelity Advisor Group	X										X								
AT&T	X								X										
VERIZON	X									X									
Dallas TelcoCU			X						X										
Lincoln Life	X									X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS

Page 3 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	US Post Offices		CAPITAL GAINS	V	
	Cisco - IRA	D	CAPITAL GAINS	I	
	American Century	C	DIVIDENDS	III	
	Citigroup - IRA	D	DIVIDENDS; CAPITAL GAINS	IV	
	Transalta Corp - IRA	D	DIVIDENDS	III	
	Heartland - IRA	D	DIVIDENDS	III	
	Exxon Mobil - IRA	D	DIVIDENDS	III	
	Stephens Money Market	C	DIVIDENDS; INTEREST	III	
	Citigroup	C	DIVIDENDS	II	
	Pepsico	C	DIVIDENDS	II	
	USAA - Annuity	C	INTEREST	I	P
JT	Lucent	C	DIVIDENDS	II	
JT	American Century	C	DIVIDENDS	IV	
JT	Pepsico	C	DIVIDENDS	III	
JT	Congressional Credit	E	INTEREST	III	
JT	Exxon Mobil	E	DIVIDENDS	III	
JT	Alliance Mutual	D	INTEREST	III	
JT	Oppenheimer	C	INTEREST	II	
JT	USAA The Element	C	INTEREST	II	
JT	USAA Money Market	A	INTEREST	II	
JT	Property in Hunt TX	C		IV LESS THAN 2 ACRES	
JT	AVAYA	B	DIVIDENDS	I	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Dallas Telco CU		X							X				X							
SP	Bell South			X					X					X							
SP	SBC		X						X					X							
SP	WorldCom	X							X				X								
SP	[illegible]	X								X				X							
SP	SBC Stock options					X			X					X							
SP	Chase - IRA		X						X					X							
SP	[illegible]		X						X					X							
SP	[illegible]	X							X				X								
SP	Fidelity [illegible]		X						X					X							
DC	Exxon Mobile			X					X						X						
DC	SBC		X						X					X							
DC	USAA			X						X					X						
DC	Bell South	X							X					X							
DC	AT&T Wireless								X				X								
DC	Chase			X					X					X							
DC	Citigroup Inc		X						X					X							
DC	Microsoft	X							X					X							
DC	PEPSICO		X						X						X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS

Page 5 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
DC	TXU			X										X									X									
DC	QWEST		X											X								X										
DC	AT&T			X										X								X										
DC	BELLSOUTH			X										X								X										
DC	CISCO				X									X								X		X								
DC	QUALCOMM		X											X								X										
DC	Fidelity Growth-Advisor			X										X								X										
DC	SBC			X										X								X										

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SCHEDULE IV — TRANSACTIONS

Name: PETE SESSIONS | Page 6 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction: P [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03		X								
	USAA Personal Pension Annuity	X	12.30.03	X									
DC	EXXON	X	1.7.03		X								
DC	EXXON	X	9.8.03	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	INTER-AMERICAN ECONOMIC COUNCIL	JAN. 15-19	WASH DC – ANTIGUA – BARBADOS – WASH DC	Y	Y	Y	N
	US-MEXICO CULTURAL & EDUCATION FOUNDATION	DEC 3-7	DALLAS – MEXICO CITY – MERIDA, YUCATAN – DALLAS	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Executive Committee	Circle Ten Council Boy Scouts of America
Board of Mgt	East Dallas YMCA
Advisory Board	Dallas Northeast Chamber of Commerce
Advisory Board	Disiples of Holy Trinity

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

(5) HAND DELIVERED

2004 MAY 17 PM 5:58

MC (Office Use Only)

John B. Shadegg (Full Name)	
306 Cannon HOB Washington, DC 20515 (Mailing Address)	(202) 225-3361 Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: AZ District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	John B. Shadegg	5/17/04

4899

SCHEDULE I - EARNED INCOME

Name John B. Shadegg

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Washington School District	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B. Shadegg

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Raymond James Financial Services-Alliance Tech Fund-IRA	$15,001 - $50,000	DIVIDENDS	N/A	
	Raymond James Financial Services-Alliance Tech Fund-IRA	$15,001 - $50,000	DIVIDENDS	N/A	
	Arizona State Retirement Fund P.O. Box 33910, Phoenix, AZ	$50,001 - $100,000	INTEREST	N/A	
	1/4 Interest in Family Summer home-Prescott, AZ	$15,001 - $50,000	RENT	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name John B. Shadegg

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Sallie Mae	PLUS Loan	$50,001 - $100,000
DC	Sallie Mae	Student Loan	$15,001 - $50,000
JT	Wright Patman Congressional FCU	Revolving Charge Account	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Name John B. Shadegg

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Arizona Economic Forum
Board of Directors	Salvation Army
Board of Directors	Friends of Lake Powell, Inc.
Director	Duck Guides, LTD.
Board of Directors	Arizona Center for Public Policy

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 AM 10: 48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

A

4904

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Christopher Shays
(Full Name)

37 Beacon St
Bridgeport, CT 06605
(Mailing Address)

202/225-5541
Daytime Telephone:

(4)

HAND DELIVERED MC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: CT 4th District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher Shays	12th May 04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Peace Corps	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Christopher Shays | Page 3 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Ct St Teachs Retirement																										X					
SP	Ct St Employee Retirement																							X								
JT	Wells Fargo Mortgage																					X										
JT	Astoria Mortgage																					X										
JT	Peoples Bank																						X									
JT	Congressional Federal Credit Union																						X									

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	New Canaan Society	Mar. 7-9	CT - New Paltz NY → CT	Y	Y	N	N
	Carter Center, Atlanta	Mar. 17-18	CT - Atlanta, GA → DC	Y	Y	N	N
	Common Fund	Mar. 22-23	CT → Orlando → DC	Y	Y	N	N
	Trinity School, NY	Mar. 30-31	CT → NY → DC	Y	Y	N	N
	Parliamentary Network for Nuclear Disarmament + The Pontella Institute	11/7 - 9	DC → Vancouver → San Francisco → DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(4)

MC

2004 MAY 19 PM 4:59

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

CHRISTOPHER SHAYS
(Full Name)

37 BEACON STREET
BRIDGEPORT, CT 06605
(Mailing Address)

Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives — State: District:	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher Shays	May 19th/04

4908

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Peace Corps	Spouse Salary	N/a
	CT Teachers Retirement Fund	Spouse Pension	N/a
	CT State Employee Retirement	State Retirement	$1,800.60
			CHS

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Shays

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1,001 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K Over $50,000,000; L Other)	Block C: Type of Income (DIVIDENDS; RENTS; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
Examples: SP	Mega Corp. Stock	E	DIVIDENDS: X		P
Examples:	Simon & Schuster	Indefinite	INTEREST: X; Other Type of Income: Royalties		
Examples:	1st Bank of Paducah, KY accounts			IV: X	
JT	Wells Fargo Mortgage	C: X	INTEREST: X	I: X	
JT	Astoria Mortgage	C: X	INTEREST: X		
JT	Peoples Bank	E: X	INTEREST: X	III: X	
JT	Federal Congressional Credit Union	E: X	INTEREST: X	III: X	
					CHS

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	New Canaan Society	Mar. 7-9	CT - New Paltz NY → CT	Y	Y	N	N
	Carter Center, Atlanta	Mar. 17-18	CT - Atlanta, GA → DC	Y	Y	N	N
	Common Ford	Mar. 22-23	CT → Orlando → DC	Y	Y	N	N
	Trinity School, NY	Mar. 30-31	CT → NY → DC	Y	Y	N	N
	Parliamentary Network for Nuclear Disarmament + The Pugwash Institute	11/7-9	DC → Vancouver → San Francisco → DC	Y	Y	N	N
							CHS

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

...AND DELIVER...
...ATIVE RESOURCE CENTER
2004 MAY 17 PM 5:22
(Office Use Only)

Bradley J. Sherman
(Full Name)

1030 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-5911
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 27	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Brad Sherman	5-17-04

4912

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley J. Sherman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Dreyfus CA Intermediate Muni Bond Fund (See below for Address)	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	PS
	Dreyfus CA Tax Exempt Bond Fund (See below for address)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	PS
	Dreyfus S&P Index Fund (Not IRA) - Dreyfus Fund, Box 9387, Providence, RI 29401	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	PS
	Beebee Draw/Hambert Combined Properties (Estimated Value)	$50,001 - $100,000	ROYALTIES	$5,001 - $15,000	PS
	The address for the following 2 assets is: 555 S. Flower Street, #4501, Los Angeles, CA 90071 (Attn: David Gould)				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley J. Sherman

	Notes payable by Sherman for Congress	$100,001 - $250,000	INTEREST	$2,501 - $5,000	S
	Notes payable by Friends of Brad Sherman	$15,001 - $50,000	INTEREST	$50,001 - $100,000	S
	Value of CPA Practice Equipment, including furniture	$1 - $1,000	NONE		
	Union Bank of California (Los Angeles, CA)	$15,001 - $50,000	INTEREST	$1 - $200	
	Bank of America (Santa Monica, CA)	$1,001 - $15,000	INTEREST	$1 - $200	
	Dreyfus Basic S&P Fund IRA (see p. 2 for address)	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	CA State Legislators Retirement Pension from service on the CA Board of Equalization, valued on 6/30/03 (end of fiscal year), Sacramento, CA	$100,001 - $250,000			
	Wescom Credit Union (Sherman Oaks, CA)	$1 - $1,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Bradley J. Sherman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Dreyfus CA Intermediate Muni Bond Fund	PS	22 transactions at various times through the year.	$250,001 - $500,000
	Dreyfus CA Tax Exempt Bond Fund	PS	48 transactions at various time througout the year.	$250,001 - $500,000
	Dreyfus S&P Index Fund (Not IRA) - Dreyfus Fund, Box 9387, Providence, RI 29401	PS	9 transactions at various times during the year.	$500,001 - $1,000,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 3:37
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Don Sherwood
(Full Name)
41 Sherwood Lane
(Mailing Address)
Tunkhannock PA 18657
Daytime Telephone 202 225 3731

Filer Status	☒ Member of the U.S. House of Representatives	State: PA District: 10	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ■
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Don Sherwood	5/15/04

4916

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Don Sherwood

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X																		P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	SBI Managment Inc									X											K-1 SCorp									X			
	Keystone Chrysler Jeep								X								X				K-1 SCorp								X				
	Abington Chev									X											K1 SCorp								X				
	Sherwood Chev									X						X	X				K-1 SCorp									X			
	Horlacher Sherwood									X						X											X						
	D'Agata Sherwood Realty										X										Partnership									X			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Don Sherwood

Page 2 of 3

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Sherwood Farms Ltd			X								X						
	Sherwood Grove Farm		X									X						
	General Dynamics		X					X					X					
	Peoples Ltd				X			X										
	General Motors			X				X						X				
	Corning											X						
	RCN											X						
	PPth Resources							X					X					
	Emerson Electric							X										
	Merrill Lynch			X				X	X									
	EDS		X					X					X					
	Wachovia							X							X			
	Pepsico		X					X					X					
	BP Amoco PLC		X					X					X					
	Prudential Ins							X	X				X					
	Comm Bank Corp		X					X					X					
	Peoples Financial Services		X					X					X					
	PNC Bank Corp							X						X				
	Southern Union		X									X						
	[redacted]		[redacted]					[redacted]					[redacted]					[redacted]
	Rite Aid		X									X						
	[redacted]			[redacted]				[redacted]						[redacted]				[redacted]

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Don Sherwood

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Ford Motor Corp		X					X					X					
	Grange Bank Corp							X						X				
	Sherwood Freightliner										K-1 SCorp					X		
	Progress Financial		X					X					X					
	Bio-Key International		X									X						P

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SCHEDULE IV — TRANSACTIONS

Name Don Sherwood | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: [redacted]	SALE	[redacted]	Date (MO/DAY/YR)	Amount of Transaction: [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	[redacted]	G $500,001–$1,000,000	[redacted]	I $5,000,001–$25,000,000	[redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	Bio-Key International	X			2-14-03	X									

This page may be copied if more space is required.

Name Don Sherwood | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability								
				C $15,001 – $50,000		E $100,001 – $250,000		G $500,001 – $1,000,000		I $5,000,001 – $25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	First U/ Wachovia Bank	Line of Credit		X							
	Wachovia Bank	Mortgage 146 E Tiogo Tuckahoe									
	Wachovia Bank	Mortgage 107 Marshall Dennis Pl									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name Don Sherwood | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Milk Producers Federation	11/2-4/03	Wash. D.C.-New Orleans-Wash	Y	Y	N	N

This page may be copied if more space is required

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Name Don Sherwood | Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
(1) President	Sherwood Chev Inc
(2) President	Horlachert Sherwood
(3) Corp Secretary	SBI Management
(4) Corp Secretary	Abington Chev
(5) Corp Secretary	Keystone Chrysler
(6) V Pres	Sherwood Farms Ltd
(7) Director	Peoples Ltd
(8) Partner	D'Agata Sherwood Realty
(9) Partner	Sherwood Grouns Farm
(10) Trustee	University of Scranton
(11) President	Sherwood Freightliner

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 2:51
(Office Use Only)
CLERK OF REPRESENTATIVES

MC

A $200 penalty shall be assessed against anyone who files more than 30 days late.

John M. Shimkus
(Full Name)

504 Sumner Boulevard Collinsville, IL 62234
(Mailing Address)

202/225-5271
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: IL District: 19 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 13, 2004

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SCHEDULE I - EARNED INCOME

Name John M. Shimkus

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Holy Cross Lutheran Church, Collinsville, IL	Spouse Salary	$12,549.71

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Shimkus

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	440 Spring Street, Collinsville, IL	$15,001 - $50,000	RENT	$5,001 - $15,000	
JT	609 9th Street, SW, Washington, DC	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	American Century Growth Fund	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
DC	Lutheran Church Extension Fund	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Perspective II Fixed and Variable Annuity	$50,001 - $100,000	DIVIDENDS	$1 - $200	
DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Shimkus

DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Putnam Voyage Fund CLSA	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
JT	Thrift Savings Plan	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	
JT	Thrivent Market Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name John M. Shimkus

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	National City Mortgage, Miamsiburg, OH	690 9th Street, SW, Washington, DC	$250,001 - $500,000
JT	United Bank, Charleston, WVA	690 9th Street, SW, Washington, DC	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John M. Shimkus

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
US Asia Foundation	October 23-27	St. Louis, MO--Biejing, China--St. Louis, MO	Y	Y	Y	N/A

NEW GIFT 1/8/2003	GIFT Calendar ADDRESS 2520 Massachusetts Avenue, NW Washington, DC 20008	FROM Embassy of Japan
NEW GIFT 1/8/2003	GIFT Book: Narrative of the Coronado Expedition ADDRESS 600 State Road 32 West Crawfordsville, IN 47933	FROM RR Donnelley & Sons Company
NEW GIFT 1/9/2003	GIFT Kodak Flash Camera ADDRESS	FROM Amy Plaster of Kodak
NEW GIFT 1/112003	GIFT Comemorative Post Office Lockbox ADDRESS	FROM Southern Illinois Association of National Postmasters Association
NEW GIFT 1/13/2003	GIFT Calendar ADDRESS 1300 Connecticut Avenue, NW, Suite 700 Washington, DC 20036	FROM VSA Arts

NEW GIFT 1/13/2003	GIFT Book; *"History of the Sixth Marine Division"* ADDRESS 105 Linda Dr. Collinsville, IL 62234	FROM Walt Fischer
NEW GIFT 1/13/2003	GIFT Day Planner ADDRESS 4201 Wisconsin Ave, NW Washington, DC 20016	FROM C.J. (Chien-Jen) & Yolanda Chen Taipei Economic and Cultural Representative Office in the United States
NEW GIFT 1/15/2003	GIFT Calendar ADDRESS 122 C Street, NW Washington, DC 20001	FROM Alaska Wilderness League
NEW GIFT 1/15/2003	GIFT Calendar ADDRESS 122 C Street, NW, Suite 240 Washington, DC 20001	FROM Alaska Coalition
NEW GIFT 1/16/2003	GIFT Calendar ADDRESS	FROM Congressional Federal Credit Union
NEW GIFT	GIFT Calendar	FROM National American Indian Housing Council

1/16/2003	ADDRESS 900 Second Street, NE, Suite 305 Washington, DC 20002	
NEW GIFT 1/16/2003	GIFT Picture	FROM North America's Freight Railroads
	ADDRESS 1875 Eye Street, NW Suite 900 Washington, DC 2006	
NEW GIFT 1/17/2003	GIFT Plaque; The Pilot Award	FROM Upper Mississippi, Illinois, Missouri River Assocaiation
	ADDRESS	
NEW GIFT 1/22/2003	GIFT Small Calendar; leather, name embossed	FROM Dr. C.J. Chen Collinsville
	ADDRESS	
NEW GIFT 1/22/2003	GIFT West Point Bicentennial Coin	FROM Dennis and Rita Murphy
	ADDRESS 20988 N. Meseke Bluford, IL	
NEW GIFT 1/23/2003	GIFT Cheese and Sausage box	FROM Alan Gaffner Utlaut Hospital, Greenville
	ADDRESS	

NEW GIFT 1/23/2003	GIFT Calendar	FROM Giustina Land & Timber
	ADDRESS 393 N. State Street Lake Oswego, Oregon 97034	
NEW GIFT 1/24/2003	GIFT One wall calendar and 2 pocket calendars	FROM Blue Cross and Blue Shield of Illinois
	ADDRESS	
NEW GIFT 1/24/2003	GIFT Small framed pict. Of American Flag Book; "*America's God & Country*" by William Federer	FROM Dan Wrigley Collinsville
	ADDRESS	
NEW GIFT 1/27/2003	GIFT Calendar	FROM America's Second Harvest
	ADDRESS 50 F St. NW, Suite 600 Washington, DC 20001	
NEW GIFT 1/28/2003	GIFT Filet Migon, boneless strip, cookbook	FROM George Ramonas
	ADDRESS	

NEW GIFT 1/28/2003	GIFT Calendar	FROM Unilever
	ADDRESS 390 Park Avenue New York, NY 10022	
NEW GIFT 1/28/2003	GIFT Poster	FROM Public Campaign
	ADDRESS	
NEW GIFT 1/28/2003	GIFT King Cake	FROM Rep. Tauzin
	ADDRESS	
NEW GIFT 1/28/2003	GIFT Calendar	FROM American Public Transportation Association
	ADDRESS 1666 K Street, N.W. Washington DC 20006	
NEW GIFT 1/28/2003	GIFT Video tape	FROM Charles Fischer Collinsville
	ADDRESS	

NEW GIFT 1/29/2003	GIFT Ceramic tray with painted lid ADDRESS	FROM Hahn Hwa Kap, Member of South Korea Govt.
NEW GIFT 1/29/2003	GIFT Pedigree Poster ADDRESS	FROM American Kennel Club
NEW GIFT 1/31/2003	GIFT Book; *"Braving the Waves"* ADDRESS	FROM Rep. Weiner
NEW GIFT 1/31/2003	GIFT Calendar ADDRESS	FROM American Maritime Officers
NEW GIFT 2/3/2003	GIFT Book; *"Brain Facts"* ADDRESS 11 Dupont Circle, NW, Suite 500 Washington DC 20036	FROM Society for Neuroscience

NEW GIFT 2/3/2003	GIFT Lunch cooler with various food items ADDRESS	FROM Illinois Farm Bureau
NEW GIFT 2/3/2003	GIFT 4 Coffee Cups "Member fire Dept. We serve to save" ADDRESS	FROM Harrisburg Fire Dept
NEW GIFT 2/3/2003	GIFT Calendar ADDRESS	FROM Fujifilm America INC
NEW GIFT 2/3/2003	GIFT Digital Pedometer ADDRESS	FROM American Alliance for Health, Physical Education, Recreaton and Da
NEW GIFT 2/4/2003	GIFT Kroger Brand popcorn, around $50. ADDRESS	FROM Trish and Jack Jackson and Joseph and Luann Jackson

NEW GIFT 2/4/2003	GIFT 2 Mugs ADDRESS	FROM Fred Walker South Central Regional Planning
NEW GIFT 2/5/2003	GIFT CD: "I Will Always be with you ADDRESS	FROM Mrs. Beverly Krieg Alton, IL
NEW GIFT 2/5/2003	GIFT Book; *"The History of Brandt Consolidated"* ADDRESS P.O. Box 350 211 West route 125 Pleasant Plains, IL 62677	FROM Brandt Consolidated
NEW GIFT 2/7/2003	GIFT Book; *"Mutual Fund Book"* ADDRESS 1401 H Street NW Washington, DC 20005	FROM Investment Company Institute
NEW GIFT 2/7/2003	GIFT Calendar and 2 pocket day planners ADDRESS	FROM Blue Cross and Blue Shield of Illinois

NEW GIFT 2/10/2003	GIFT Calendar ADDRESS	FROM Airman Magazine of America's Air Force
NEW GIFT 2/10/2003	GIFT Coffee Mug, pen, paper clip. ADDRESS	FROM Verizon Wireless
NEW GIFT 2/10/2003	GIFT Book; "*The Best American Magazine Writing*" ADDRESS 1211 Connecticut Avenue, NW, Suite 610 Washington, DC 20036	FROM Magazine Publishers of America
NEW GIFT 2/11/2003	GIFT Tie ADDRESS	FROM White County Republicans
NEW GIFT 2/12/2003	GIFT Calendar ADDRESS	FROM Kaskaskia College

NEW GIFT 2/12/2003	GIFT Pen, desk clock, coffee mug, keychain ADDRESS	FROM John A. Logan College
NEW GIFT 2/12/2003	GIFT Coffee Mug ADDRESS	FROM Lake Land College
NEW GIFT 2/14/2003	GIFT Playing cards ADDRESS 1776 I Street, NW Suite 1000 Washington DC 20006	FROM BP America, inc
NEW GIFT 2/14/2003	GIFT Book: "*All Available Boats*" ADDRESS 1130 Perry Highway Pines Plaza Pittsburgh, PA 15237	FROM Pfizer Medical Humanities Initiative
NEW GIFT 2/14/2003	GIFT Book; "*Two Dreams of America*" ADDRESS 9292 West KI Avenue Kalamazoo, MI 49009	FROM Fetzer Institute

NEW GIFT 2/20/2003	GIFT Ceramic Superman Box ADDRESS	FROM Sam Stratemeyer
NEW GIFT 2/20/2003	GIFT Glass paperweight with Head of Eagle on it ADDRESS	FROM Tom & Marilyn Nicolay
NEW GIFT 2/20/2003	GIFT Book; *" Wisdom from World Religious"* by Sir John Templeton ADDRESS	FROM Steve Jackman
NEW GIFT 2/20/2003	GIFT 2 copies of the book "The United States and the Holy See" ADDRESS	FROM Jim Nicholson
NEW GIFT 2/20/2003	GIFT Coffee mug full of Hershey's kisses	FROM Eldorado Library

	ADDRESS	
NEW GIFT 2/20/2003	GIFT Framed appreciation Certificate	FROM Shawnee Library System
	ADDRESS	
NEW GIFT 2/20/2003	GIFT Large t-shirt and card	FROM Harrisburg Library
	ADDRESS	
NEW GIFT 2/24/2003	GIFT 2 Lapell pins and a sweatshirt	FROM Olney Chamber of Commerce
	ADDRESS	
NEW GIFT 2/24/2003	GIFT book; "2003 Handbook of Internet..."	FROM Congressional Internet Caucus
	ADDRESS	
NEW GIFT	GIFT Book; "*The Cubans Communitst Party..*"	FROM Jamie Suchlicki

2/24/2003		University of Miami
	ADDRESS	
NEW GIFT 2/24/2003	GIFT Tshirt W3D Country	FROM WDDD
	ADDRESS 1822 North Court Marion, IL 62959	
NEW GIFT 2/24/2003	GIFT Polo shirt TECO Barge Line	FROM TECO Barge Line
	ADDRESS PO Drawer 790 Metropolis, IL 62960	
NEW GIFT 2/24/2003	GIFT Polo shirt SIU, Alumni License plate, basketball game ticket	FROM SIU foundations Carbondale
	ADDRESS	
NEW GIFT 2/25/2003	GIFT Birthday Cake and Mylar Balloon	FROM Saline Co. GOP
	ADDRESS	
NEW GIFT	GIFT Notepad holder with calculator and pen	FROM Southeastern II College

2/25/2003

ADDRESS

NEW GIFT	GIFT	FROM
	SIC chlor CD, Keychain, Ink pen, glass candy dish/jewerly box	SIC

2/25/2003

ADDRESS

NEW GIFT	GIFT	FROM
	Fresh Flora	Saline Co. GOP

2/25/2003

ADDRESS

NEW GIFT	GIFT	FROM
	Calendar	Association of Clinicians for the Underserved

2/25/2003

ADDRESS

NEW GIFT	GIFT	FROM
	Book; "Together we Grow"	W.K. Kellogg Foundation

2/25/2003

ADDRESS

NEW	GIFT	FROM

GIFT 2/25/2003	2 coffe mugs, 2 glass mugs, mouse pad, 2 tapemeasuers, jar oper, Polo shirt, 2 magnets, 2 erasers, 2 pill boxes, 1 pin. ADDRESS	Stl Clair Co. E911
NEW GIFT 2/26/2003	GIFT Pen ADDRESS	FROM Satellite Industry Association
NEW GIFT 2/26/2003	GIFT 911 pin ADDRESS	FROM James Baum
NEW GIFT 2/26/2003	GIFT FSA pin ADDRESS	FROM Farm Service Association
NEW GIFT 3/3/2003	GIFT Tie ADDRESS	FROM Lifetime Violence against Women

NEW GIFT 3/3/2003	GIFT Pin ADDRESS	FROM Be a Mento
NEW GIFT 3/3/2003	GIFT Effingham Lapel pin, 3 pencils ADDRESS	FROM Effingham Central Grade School
NEW GIFT 3/3/2003	GIFT 2 Pictures ADDRESS	FROM Barbra Stricklin
NEW GIFT 3/4/2003	GIFT Golf shirt, letter opener, 4 huggies, 2 key chains, coffe mug, measuring tape, pen set brief case ADDRESS	FROM Management, Training and Consulting Corp

NEW GIFT 3/4/2003	GIFT Book; *"Feeding body & soul"* ADDRESS	FROM Illinois School Food Service Association
NEW GIFT 3/4/2003	GIFT Book; *"Public Transportation"* ADDRESS	FROM Dept. of Transportation
NEW GIFT 3/4/2003	GIFT Book; "A *Transparency/ Accountability….*" ADDRESS	FROM University of Miami
NEW GIFT 3/4/2003	GIFT camping accessory ADDRESS	FROM
NEW GIFT 3/11/2003	GIFT Cooler ADDRESS	FROM Illinois Farm Bureau
NEW	GIFT	FROM

GIFT 3/11/2003	Yard Sign "Support Armed Forces" ADDRESS	Edwardsville Republican Womens Club
NEW GIFT 3/11/2003	GIFT Stars and Stripes pictureframe ADDRESS 27 Erika Ln Collinsville,	FROM Paul and Becky Loyet
NEW GIFT 3/13/2003	GIFT Stuffed animal Panda Bear ADDRESS 4100 Spring Valley, Suite 1001 Dallas Tx 75244	FROM Panda Energy International, INC harold B. Green
NEW GIFT 3/18/2003	GIFT CD ADDRESS PO box 513 Mr Shasta, CA 96067	FROM Antera & Omaran
NEW GIFT 3/18/2003	GIFT HIGHLIGHTER ADDRESS	FROM NARFE

NEW GIFT 3/18/2003	GIFT key chain ADDRESS	FROM Lexinton Brass corp
NEW GIFT 3/18/2003	GIFT coasters ADDRESS	FROM Doug Adams George Mason Univ.
NEW GIFT 3/19/2003	GIFT Book "Ripcord" ADDRESS	FROM Floyd Alexander
NEW GIFT 3/21/2003	GIFT Book "Issues of Life From the Bible" ADDRESS	FROM Crown Publications
NEW GIFT 3/24/2003	GIFT Video; Uninformed Consent ADDRESS	FROM Rep. Diane Watson

NEW GIFT 3/26/2003	GIFT Book: Women's Health for the Homefront ADDRESS	FROM The Nat. women's health information center
NEW GIFT 3/26/2003	GIFT Dop kit: Shampoo, Soap,etc ADDRESS	FROM Cosmetic, toiletry, fragrance, association
NEW GIFT 3/27/2003	GIFT Books "Black Jack" and "John A. Logan; Stalwart… ADDRESS	FROM State Rep Mike Bost
NEW GIFT 3/27/2003	GIFT Coffee mug full of Candy Tshirt ADDRESS	FROM C.E Brehm Public Library District, Mt. Vernon
NEW GIFT 3/28/2003	GIFT Long Sleeve Tshirt ADDRESS	FROM Credit Union

NEW GIFT 3/31/2003	GIFT Shirt w/ emblem, shot glasss w/emblem,2 lapel pins Novelty Liscense plate, patch;Wisc, State Patrol ADDRESS	FROM National Troops Coalition
NEW GIFT 3/31/2003	GIFT Lapel pin, and coin ADDRESS	FROM DEPT. OF THE ARMY
NEW GIFT 3/31/2003	GIFT Book "Time, 80 Years of Covers" ADDRESS	FROM Time Inc.
NEW GIFT 4/2/2003	GIFT Book "The American Women" ADDRESS	FROM Rep Carolyn Maloney Rep. Sue Kelly

NEW GIFT 4/4/2003	GIFT Poster ADDRESS	FROM TEAM coalition
NEW GIFT 4/7/2003	GIFT Peidometer ADDRESS	FROM Sec Thompson HHS
NEW GIFT 4/10/2003	GIFT Book "The Hate America Left" ADDRESS	FROM Center for the Study of Popular Culture
NEW GIFT 4/10/2003	GIFT Pin ADDRESS	FROM Air Force
NEW GIFT 4/10/2003	GIFT Book "The Almanac of Seapower" ADDRESS	FROM Navy League of the United States

NEW GIFT 4/10/2003	GIFT Book: "A Call to Prayer for a Nation at War" ADDRESS	FROM The National Clergy Council
NEW GIFT 4/10/2003	GIFT Calander ADDRESS 101 E. Germantown Pike Plynouth PA	FROM Ruth Hofknecht
NEW GIFT 4/10/2003	GIFT Book: "The Road to Rainbow" ADDRESS	FROM Major Gen. Robert R. Juany
NEW GIFT 4/17/2003	GIFT T-shirt ADDRESS	FROM Clinton County Rehabilitation Center

NEW GIFT 4/17/2003	GIFT Flag and "God Bless America" Holder ADDRESS	FROM Jefferson County Lincoln Day Dinner
NEW GIFT 4/22/2003	GIFT Smokless tobacco pouches ADDRESS	FROM UST pulbic Affairs
NEW GIFT 4/22/2003	GIFT Book; "Against All Hope" ADDRESS	FROM Center for A Free Cuba
NEW GIFT 4/23/2003	GIFT Movie "Armageddon, The end of the beginning?" ADDRESS Box 7004 Troy, MI 48007-7004	FROM Jack Van Impe Ministries International
NEW GIFT 4/24/2003	GIFT Wooden Egg	FROM White House Easter Egg Roll

ADDRESS

NEW GIFT 4/24/2003	GIFT Book "Hubbert's Peak- The Impending World Oil Shortage" 3 Large Coloring Books ADDRESS Highway 15 East Mr. Vernon	FROM Richard Straeter
NEW GIFT 4/24/2003	GIFT Effingham Rotarty Umbrella Chocolate Box- Pope County Airtex Mug Book "Redeeming a lost Heritage" Book "Lust Creek"	FROM
NEW GIFT 4/24/2003	GIFT Mug with candies ceramic coaster ADDRESS	FROM Shawnee Library System

NEW GIFT 24/24/2003	GIFT Mug with candy ADDRESS	FROM Harrisburg Public Library
NEW GIFT 4/28/2003	GIFT Paper Cast of Cahokia Birdman Tablet ADDRESS 30 Ramsey St Collinsville	FROM Cahokia Mounds State Historic Site
NEW GIFT 4/28/2003	GIFT Print of Lincoln Portrait ADDRESS	FROM Effingham Republican Central Committee
NEW GIFT 4/28/2003	GIFT John Deere hat ADDRESS	FROM Troy Uphoff Findlay

NEW GIFT 4/28/2003	GIFT Stoneware pen/pencil holder, pen, magnet ADDRESS	FROM Collinsville Memorial Public Library
NEW GIFT 4/28/2003	GIFT Coffee mug trivet ADDRESS	FROM Illinois Library Systems
NEW GIFT 4/28/2003	GIFT Knife ADDRESS	FROM Buck Knives on behalf congressional prayer breakfast
NEW GIFT 4/29/2003	GIFT Book: "Reagan, Man of Principle" ADDRESS	From Rep. Chris Cannon (UT-3)

NEW GIFT 4/29/2003	GIFT Jefferson Peace medal replica & Camp DuBouis Pict. ADDRESS	FROM Lewis and Clark State Historic Site
NEW GIFT 4/29/2003	GIFT 3 cookbooks ADDRESS	FROM Glennwood Middle School in Chat tham
NEW GIFT 4/29/2003	GIFT Picture ADDRESS	FROM Richland Community College
NEW GIFT 5/1/2003	GIFT Hat, Postit note holder ADDRESS	FROM Food Marketing Institute

NEW GIFT 5/2/2003	GIFT Book "The Chiropractic Way" ADDRESS	FROM The New Health Care Revolution
NEW GIFT 5/5/2003	GIFT Congressional Directory ADDRESS 3138 10th Street Arlington, VA 22201	FROM National Association of Federal Credit Unions
NEW GIFT 5/5/2003	GIFT Pedometer ADDRESS 800 I Street, NW Wash, DC 20001	FROM American Public Health Association
NEW GIFT	GIFT CD "Daniel Rodriguez 'the spirit of america'"	FROM Resident Commissioner of the Commonwealth of Puerto Rico

5/5/2003	ADDRESS	Anibal Acevedo-Vila
NEW GIFT 5/5/2003	GIFT 5 caps-red, white, and blue	FROM Mayor Kenton Manning, Pawnee
NEW GIFT 5/6/2003	GIFT Book: "Merck Manual of Medical Information" ADDRESS 601 Pennsylvania Ave, NW North building Suit 1200 Washington DC 20004	FROM Merck
NEW GIFT 5/8/2003	GIFT Book: "Rockefeller Foundations Annual Report" ADDRESS	FROM Rockefeller Foundation
NEW GIFT 5/8/2003	GIFT CD "Bioterrorism and Public Health" ADDRESS	FROM Department of Health and Human Services

NEW GIFT 5/8/2003	GIFT CD "Where would we be if the coal stopped burning" ADDRESS	FROM Massey Energy Company
NEW GIFT 5/12/2003	GIFT Book: "What really works" ADDRESS	FROM Harper Business
NEW GIFT 5/13/2003	GIFT Coffee Mug and pen ADDRESS 2301 M Street, N.W. Washington, DC 20037	FROM American Public Power Assoc.
NEW GIFT 5/13/2003	GIFT Coasters, calculator, blinking pin, pencil ADDRESS	FROM Illinois Library Assoc.

NEW GIFT 5/14/2003	GIFT 5 Hats "Worlds Largest Covered Wagon" ADDRESS	FROM Kenton Manning
NEW GIFT 5/19/2003	GIFT Book: "International Year of Freshwater" ADDRESS Opernplatz 1 45128 Essen	FROM RWE Aktiengesellschaft
NEW GIFT 5/15/2003	GIFT Book: "West Point Warriors" ADDRESS	FROM Tom Carhart, Author of book
NEW GIFT 5/15/2003	GIFT Key Chain ADDRESS	FROM American Institute of Physics

NEW GIFT 5/15/2003	GIFT Book: "Anointed for Business" ADDRESS	FROM Tim Veler
NEW GIFT 5/23/2003	GIFT Book: "Avoiding Armageddon" ADDRESS 133 Luckie Street, NW Atlanta, GA 30303-2005	FROM Ted Turner
NEW GIFT 5/27/2003	GIFT Book: "The Woman Who Wouldn't Talk" ADDRESS P.O. Box 21900 Waco, Texas 76702-1900	FROM Bernard Rapoport
NEW GIFT 5/30/2003	GIFT Book: "September 12th" ADDRESS	FROM Rep. Jo Ann Emerson
NEW GIFT 6/2/2003	GIFT Book: "Are we There Yet?"	FROM American Raod & Transportation Builders Association

ADDRESS
1010 Mass Ave
Washington DC 20001

NEW GIFT 6/3/2003	GIFT Book: "Garbon's National Parks"	FROM Rep. Shaw and Rep. Royce
	ADDRESS	
NEW GIFT 6/3/2003	GIFT Pen	FROM Natioanl Pen Corp
	ADDRESS	
NEW GIFT 6/5/2003	GIFT Book: "Presidential Power..."	FROM The Bagehot Council
	ADDRESS	
NEW GIFT 6/10/2003	GIFT Framed "Look at the 19th District"	FROM Patricia Watkins Southwestern Ill. RC&D
	ADDRESS	
NEW GIFT	GIFT 2 Pies	FROM SEIU

5/23/2003	ADDRESS	
NEW GIFT 6/11/2003	GIFT Video: "National Book Festival" ADDRESS	FROM
NEW GIFT 6/11/2003	GIFT Book: "The Manisis Chronicles" ADDRESS 243 Harlech Way Somerset NJ, 08873	FROM Daniel Preston
NEW GIFT 6/11/2003	GIFT Book: "Reducing Adolescent Risk" ADDRESS	FROM Kathleen Hall Jamieson Daniel Romer The Annenberg Public Policy Ctr
NEW GIFT 6/16/2003	GIFT 2 VHS videos ADDRESS Public Campaign	FROM Investing in Democracy

1320 19th Street, NW, Suite M-1
Washington, DC 20036

NEW GIFT 6/16/2003	GIFT Book: "Cuban, National Reconciliation" FROM	FROM
NEW GIFT 6/16/2003	GIFT CD; "American Spirit" ADDRESS	FROM Chip Davis American Gramaphone Records
NEW GIFT 6/16/2003	GIFT 2 cd's; "Disaster Psych, and Psych Consequencse ADDRESS 4301 Jones Bridge Road Bethesda, MD 20814	FROM Unifored Services Univ of the Health Sciences.
NEW GIFT 6/16/2003	GIFT Coffee cup, pencil, and rolodex ADDRESS 1124 North Walnut Springfield, IL 62704	FROM Office of Rehabilitation Services

NEW GIFT 6/16/2003	GIFT Book; "The Power of One" ADDRESS 111 East Wacker Drive, Suite 1400 Chicago, IL 60601	FROM The Chicago Comm. Trust
NEW GIFT 6/17/2003	GIFT Book; "Air Force handbook" ADDRESS	FROM Air Force
NEW GIFT 6/24/2003	GIFT Video of Sept. 11, 2002 Ceremonies ADDRESS New Douglas, IL	FROM Legion Commander Walter Butler
NEW GIFT 6/26/2003	GIFT Brief Bag, watch,coffee mug, shirt ADDRESS	FROM Golconda Job Corp

NEW GIFT 6/26/2003	GIFT Video; "A Mission Close to Home" Story about Scott AFB ADDRESS	FROM Leadership Council S.Western ILL
NEW GIFT 6/30/2003	GIFT Cd ROM: Biennial Report ... ADDRESS	FROM Dept of Commerce
NEW GIFT 6/30/2003	GIFT Book: "Demand Economics" ADDRESS PO Box 9059 Canton, OH 44711-9059	FR0M H Doyle Smith Aaron-Denburn Publ.
NEW GIFT 6/30/2003	GIFT Book:"The GWU and the district of Col. ADDRESS	FROM GWU

NEW GIFT 7/3/2003	GIFT Book: "Hatred's Kingdom" ADDRESS 13 Tel Hai Street Jerusalem Israel	FROM Ambassador Dore Gold
NEW GIFT 7/7/2003	GIFT Books; "Floor preocedures manual" ADDRESS	FROM The Congressional Institute
NEW GIFT 7/9/2003	GIFT Book: "The Ethics of Lobbying" ADDRESS Box 571137 Washington, DC 20057-1137	FROM Edward Arroyo Georgetown Unv.
NEW GIFT	GIFT Book; "What the Warning Label doesn't tell you	FROM American Council on Science and Health

7/11/2003

ADDRESS

NEW GIFT 7/14/2003	GIFT DVD: Holy Land, Christians in Peril ADDRESS 1000 Wilson Blvd Ste 960 Arlington, VA 22209	FROM The Israel Project
NEW GIFT 7/14/2003	GIFT Mini Soccer ball ADDRESS One Discovery Place Silver Spring, MD 20910	FROM Discovery Kids
NEW GIFT 7/14/2003	GIFT Cd rom and bag Alcohol 101 Plus ADDRESS	FROM The Centruy Council

NEW GIFT 7/14/2003	GIFT Book: "Retirement in the Balance" ADDRESS 815 Sixteenth St. NW Washington, DC 20006	FROM Amreican Fed of Labor and Cong. Of Ind. Org
NEW GIFT 7/15/2003	GIFT CBOE Jacket City of Chicago hat, label bin and cuff links ADDRESS	FROM CBOE
NEW GIFT 7/16/2003	GIFT Poster: ADDRESS	FROM State of Alaska
NEW GIFT 7/16/2003	GIFT Tape "Defending Marriage in America ADDRESS	FROM

NEW GIFT 7/18/2003	GIFT Poster ADDRESS	FROM Rep Wayne Gilchrest
NEW GIFT 7/21/2003	GIFT Republicans Baseball Jersey ADDRESS Comcast Corp 2001 Pennsylvania Ave.,NW Suite 500 Washington, DC 20006	FROM Brian Kelly
NEW GIFT 7/22/2003	GIFT Book: "A New Natioanl…" ADDRESS 58 East 68th St. NY, NY 10021	FROM Council on Foreign Relations
NEW GIFT 7/22/2003	GIFT Football Schedules ADDRESS Mailcode 4304	FROM Walter Wendler Chancellor SIU

Carbondale, IL 62901

NEW GIFT 7/22/2003	GIFT Book: "Association of Air Medical Services" ADDRESS 526 King St. Suite 415 Alexandria, VA 22314	FROM Assoc. of Air Med Services
NEW GIFT 7/23/2003	GIFT Book "A Path to Peace..." ADDRESS	FROM Evan Derkacz Tikkun Community
NEW GIFT 8/1/2003	GIFT White SMGS Polo Shirt Padfolio from Cutter and Buck	FROM St. Mary's Good Samaritan-Mt. Vernon
NEW GIFT 8/4/2003	GIFT DVD ADDRESS	FROM Organization of American States General Secretarita
NEW GIFT	GIFT Book: "Privatization, Reconstruction...."	FROM Univ. of Miami

8/4/2003

ADDRESS

NEW
GIFT
8/4/2003

GIFT
POLO Shirt

FROM
St. Mary's/Good Samaritan in
Mt. Vernon

ADDRESS

NEW
GIFT
8/4/2003

GIFT
Padfolio

FROM
Cutter and Buck

ADDRESS

NEW
GIFT
8/4/2003

GIFT
DVD: inflight Emergency recording...

FROM
National Assoc. of Air Traffice
Safety Specialists

ADDRESS
11303 Amherst Ave, Suite 4
Wheaton, MD 20902

NEW
GIFT
8/4/2003

GIFT
CD; United We Stand 2

FROM
EMI

ADDRESS

150 Fifth Ave
New York, NY 10011

NEW GIFT 8/4/2003	GIFT Book: "Heirs to the New World"	FROM Kwang Gil Lee Honor the Parents Movement

ADDRESS
834 Upperstanding Rock Rd
Dover, TN 37058

NEW GIFT 8/4/2003	GIFT Books: "New Classics", "Then Now Soon..."	FROM Betsy D. Holden Pres and CEO KRAFT

ADDRESS
Three Lakes Drive
Northfield, IL 60093-2753

NEW GIFT 8/6/2003	GIFT Book: "The German, the Turk and the ..."	FROM Paul Krekorian Heritage Project Chairman

ADDRESS
2219 East Thousand, Suite 292
Thousands Oaks, CA 91362

NEW GIFT	GIFT Book: "Seizure"	FROM Sen Orin Hatch

8/7/2003		ADDRESS	
NEW GIFT 8/12/2003	GIFT 2 Ball caps and bottle of meat seasoning	ADDRESS	FROM IL Pork Producers
NEW GIFT 8/12/2003	GIFT Ethanol E85 T-shirt	ADDRESS	FROM GM Motors
NEW GIFT 8/14/2003	GIFT Burgundy Adams Smith necktie		FROM Leadership Institute, Bevo Mill, St. Louis.
NEW GIFT 8/15/2003	GIFT Book "Al-Islam, Inception to Conclusion"	ADDRESS 133-Overmount Ave, #H West Paterson, NJ 07424-3215	FROM Iftikhar Mehar
NEW GIFT 8/19/2003	GIFT post cards	ADDRESS P.O. Box 7173 Bensenville, IL 60106-7173	FROM Vine Line (Chi Cubs)

NEW GIFT 8/20/2003	GIFT Golf Shirt	ADDRESS	FROM Martha Allbright Take Pride in America
NEW GIFT 8/21/2003	GIFT Book: "Wh	ADDRESS 304 Carrie Ln. Olney, IL 62450	FROM Norman Childs
NEW GIFT 8/21/2003	GIFT Necktie	ADDRESS	FROM Leadership Intitute at Bevo Mill, St. Louis
NEW GIFT 8/21/2003	GIFT Video "A M	ADDRESS	FROM Leadership Council Southwestern ILL

NEW GIFT 8/21/2003	GIFT Book: "Givi ADDRESS	FROM AAFRC Trust for Philanthropy
NEW GIFT 8/22/2003	GIFT Book: "Exp ADDRESS	FROM US Dept. of Commerce
NEW GIFT 8/25/2003	GIFT Book: "A C ADDRESS	FROM University of Miami
NEW GIFT 8/26/2003	GIFT Effingham Sesquicentennial coaster Effingham County Coffee Mug	FROM Effingham Chamber of Commerce Effingham County Board
NEW GIFT	GIFT Book: "I Pa ADDRESS	FROM Thomas Duesterberg

8/26/2003		1525 Wilson Boulevard, Suite 900 Arlington, VA 22209	Pres, Ceo Manufactures manufacturers Alliance/MAPI
NEW GIFT 8/26/2003	GIFT Pen set		FROM TCT Network
NEW GIFT 8/27/2003	GIFT Book: "The ADDRESS		FROM Rep Bereuter CODEL to Prague
NEW GIFT 8/27/2003	GIFT Effingham ADDRESS		FROM Effingham Chamber of Commerece
NEW GIFT 8/27/2003	GIFT Effingham ADDRESS		FROM Effingham County Board
NEW GIFT	GIFT TCT Pen s ADDRESS		FROM Michael Daley

8/27/2003	PO Box 1010 Marion, Il 62959	Shane Chaney
NEW GIFT 8/27/2003	GIFT Golf shirt "' ADDRESS	FROM Dept of Interior
NEW GIFT 9/2/2003	GIFT Coffee ADDRES	FROM The National Coffee Assoc
NEW GIFT 9/2/2003	GIFT Book of Southern Illinois Photos	FROM Larry Mizell
NEW GIFT 9/4/2003	GIFT Book: "Age ADDRESS	From The Brookings Institution
NEW	GIFT	FROM

GIFT 9/4/2003	Book: "Anti ADDRESS	Anti-defamation league
NEW GIFT 9/5/2003	GIFT Book: "Mal ADDRESS PO Box 747 West Falmouth, Ma 02574	FROM Hastings Keith
NEW GIFT 9/12/2003	GIFT 2 Outfits; tee shirts and jersey shorts	FROM Collinsville High School
NEW GIFT 9/16/2003	GIFT 2 Outfits	FROM Collinsville High School
NEW GIFT 9/16/2003	GIFT Book: "Lewis & Clark and the Revealing of America"	FROM Library of Congress
NEW GIFT 9/16/2003	GIFT Press Up Calculator	FROM Garvey Tim 7623 West Main PO Box 24155 Belleville, IL 62223-9155
NEW GIFT 9/17/2003	GIFT Book: "Science and Technology Advice for Congress"	FROM Amo Houghton

		Rush Holt Sherwood Boehlert
NEW GIFT 9/17/2003	GIFT Water Bottle	FROM Heneghan and Associates, P.C.
NEW GIFT 9/23/2003	GIFT Pin	FROM Li Xiaolin - VP Chinese People's Association for Friendship with Fon 1, Tai Ji Chang Street Beijing, 100740 CHINA
NEW GIFT 9/23/2003	GIFT Plaque	FROM Jia Xiao Feng No. 4 Haidu Road Zunhua Heibei CHINA
NEW GIFT 9/25/2003	GIFT Book: "God Alone Suffices"	FROM In the Arms of Mary Foundation Patrick R. Crews - Executive Director PO Box 6787 Ventura, CA 93006
NEW GIFT 9/30/2003	GIFT Book: "Milestones of the First Century of Flight"	FROM Aerospace Industries Association of America, Inc.
NEW GIFT	GIFT Book: "Stop Trafficking Human Beings Together It's Poss	FROM

10/1/2003		U.S. Embassy to the Holy See PSC 59 Box 66 APO AE 09624
NEW GIFT 10/6/2003	GIFT Pin: "Character Counts"	FROM McDonald · Communications 1355 West Palmetto Park Road Suite 331 Boca Raton, FL 33486
NEW GIFT 10/6/2003	GIFT Book: "The Burning Tigris"	FROM Armenian National Committee of America 888 17th Street, NW Suite 904 Washington, DC 20006
NEW GIFT 10/7/2003	GIFT Plaque	FROM Health Communities Access Coalition
NEW GIFT 10/8/2003	GIFT Book: "The Imginary Girlfriend"	FROM SIU Chancellor Warner
NEW GIFT 10/9/2003	GIFT Book: "Journey of Democracy and Goodwill"	FROM Taipei Economic and Cultural Representative Office
NEW GIFT 10/10/2003	GIFT Books: "West Point Warriors" and "Reconstructing Eden'	FROM Dan Rice, VP United States Trust Company of New York 114 West 47th Street

New York, NY 10036-1532

NEW GIFT 10/10/2003	GIFT Calendar	FROM Reagan Ranch
NEW GIFT 10/16/2003	GIFT 18 Pease's Carmel Apples	FROM Cellini's
NEW GIFT 10/16/2003	GIFT New Testament	FROM Dwight Kay Edwardsville, IL
NEW GIFT 10/16/2003	GIFT Elephant made from coal	FROM John Morris Shawneetown, IL
NEW GIFT 10/16/2003	GIFT Book:"Medicare's Midlife Crisis"	FROM Rep. Ron Paul
NEW GIFT 10/17/2003	GIFT Calendar: Cold War Memorial 2004	FROM Rep. Dennis Moore
NEW GIFT 10/20/2003	GIFT Book: "Footprints of the Soul"	FROM The Fetzer Institute
NEW	GIFT	FROM

GIFT 10/20/2003	Video: Connecting Rural America 1 Package of Microwave Popcorn	Foundation for Rural Service
NEW GIFT 10/21/2003	GIFT Paperweight	FROM Saint Anthony's Health Center Alton, Illinois
NEW GIFT 10/21/2003	GIFT Basket with Grits, 2 Canned Goods, and Peach Preserve	FROM The Jager Family
NEW GIFT 10/24/2003	GIFT Carry On Bag	FROM SIU - Edwardsville
NEW GIFT 10/24/2003	GIFT Book: "Changing Minds, Winning Peace"	FROM House Committee on Appropriations
NEW GIFT 10/24/2003	GIFT Book: "Wall of Silence"	FROM AARP
NEW GIFT 10/24/2003	GIFT Polo Shirt	FROM Illinois Oil and Gas Association Mt. Vernon, IL
NEW GIFT 10/28/2003	GIFT Book: "Unaccountable Congress"	FROM Rep. Roscoe Bartlett

NEW GIFT 10/31/2003	GIFT Book: "Vilnius" T-Shirt	FROM Mr. Arturas Zuokas, Mayor of Vilnius
NEW GIFT 11/3/2003	GIFT Coffee Mug	FROM FCC
NEW GIFT 11/3/2003	GIFT New Arkansas Quarter Packet	FROM US Mint
NEW GIFT 11/3/2003	GIFT Coffee Mug and Pen	FROM Kaskaskia College
NEW GIFT 11/3/2003	GIFT Sleeve of 3 Golf Balls	FROM Kaskaskia College Sports Association
NEW GIFT 11/5/2003	GIFT Walking Stick	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT 2 Hats	FROM US - Asia Foundation

NEW GIFT 11/5/2003	GIFT Anhu (Musical Instrument)	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Mao Decorative Plate	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT 2 Wall Plaques of Shiejaizhang	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Tourist Book of Shiejaizhang	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Small Painted Bookmark	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Ping Pong Paddle Keychain	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT 2 Pullover Sweatshirt Jackets	FROM US - Asia Foundation
NEW GIFT	GIFT 2 T-Shirts	FROM US - Asia Foundation

11/5/2003		
NEW GIFT 11/5/2003	GIFT 2 Baseball Hats	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT 2 Polo Shirts	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Bronze Chimera Statue	FROM US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Tourist Book on Temple in Shurjizhang	FROM Chinese-American Interpreter US - Asia Foundation
NEW GIFT 11/5/2003	GIFT Great Wall Baseball Hat	FROM Rep. Simmons
NEW GIFT 11/5/2003	GIFT 2 Overnight Bags	FROM UPS
NEW GIFT 11/6/2003	GIFT Mini-flashlight	FROM National Health Council

NEW GIFT 11/6/2003	GIFT Video: "A Good Man in Hell"	FROM Rep. Tom Lantos Rep. Frank Wolf
NEW GIFT 11/6/2003	GIFT Video: "American Valor"	FROM WETA
NEW GIFT 11/12/2003	GIFT Book: "Party Politics and the American Decay"	FROM Ted Hood, Jr. Eureka Springs, AK
NEW GIFT 11/12/2003	GIFT Book: "To Improve Health and Healthcare Vol. VII"	FROM The Robert Wood Johnson Foundation College Road PO Box 2316 Princeton, NJ 08543-2316
NEW GIFT 11/13/2003	GIFT Book: "Operation Lucifer"	FROM Martha Charnay 29679 Calabasas Road #515 Calabasas, CA 91302
NEW GIFT 11/14/2003	GIFT 2 Keepsake Wooden Boxes	FROM NATO Parliamentary Assembly
NEW GIFT	GIFT Book: "Are We There Yet?"	FROM American Road & Transportation Builders Association

11/18/2003		
NEW GIFT 11/19/2003	GIFT Video: "Israel"	FROM Grace News Network
NEW GIFT 11/19/2003	GIFT I Love America Calendar	FROM Southwestern Illinois Employers Association
NEW GIFT 11/20/2003	GIFT Book: "The Man in the Arena"	FROM Rep. Vernon Ehlers
NEW GIFT 11/20/2003	GIFT Video: "Evangelical Church and AIDS"	FROM World Vision
NEW GIFT 11/20/2003	GIFT CD: Andare	FROM Ambassador of Ecuador
NEW GIFT 11/21/2003	GIFT Calendar: National Marine Sactuary	FROM Natioal Marine Sactuary Foundation
NEW GIFT 11/24/2003	GIFT Desk Calendar	FROM Rep. Mike Thompson

NEW GIFT 11/25/2003	GIFT T-Shirt	FROM Johnson County Chamber of Commerce
NEW GIFT 12/1/2003	GIFT Book: "Life After Reform"	FROM The Campaign Finance Institute Michael Malbin 1990 M Street, NW Suite 380 Washington, DC 20036
NEW GIFT 12/1/2003	GIFT DVD: "Aftermath: Unanswered Questions from 9-11"	FROM Bren Simon 10110 Ditch Road Carmel, IN 46032
NEW GIFT 12/4/2003	GIFT Book: "Ryan White: My Own Story"	FROM Aids Action 1906 Sunderland Place Washington, D.C. 20036
NEW GIFT 12/4/2003	GIFT WWII Memorial Calendar	FROM National WWII Memorial Foundation
NEW GIFT 12/4/2003	GIFT Waterfowl Calendar	FROM Ducks Unlimited
NEW	GIFT	FROM

GIFT 12/8/2003	2004 Calendar	Belleville Chamber of Commerce
NEW GIFT 12/10/2003	GIFT 2004 Calendar	FROM National Community Pharmacists Assoc.
NEW GIFT 12/10/2003	GIFT Book: "Sports Illustrated Fifty Years of Great Writing"	FROM Time Warner
NEW GIFT 12/11/2003	GIFT Book: "Denied - The Crisis of America's Uninsured"	FROM Community Catalyst
NEW GIFT 12/11/2003	GIFT Book: "Beyond Therapy"	FROM President's Council on Bioethics
NEW GIFT 12/11/2003	GIFT 2004 Calendar	FROM Fuji
NEW GIFT 12/11/2003	GIFT 2004 Calendar	FROM National Air Traffic Controllers Association AFL-CIO
NEW GIFT 12/12/2003	GIFT Book: "The Roads that Built America"	FROM American Roadn & Transportation Builders Assoc.

NEW GIFT 12/12/2003	GIFT CD: "Orrin Hatch's Christmas Eve"	FROM Senator Orrin Hatch
NEW GIFT 12/15/2003	GIFT Pens, Notepads, Hologram Cards	FROM Army National Guard
NEW GIFT 12/15/2003	GIFT Poster of USS Reagan	FROM Rep. Jo Ann Davis
NEW GIFT 12/15/2003	GIFT 2004 Calendar	FROM Hebrew Immigrant Aid Society
NEW GIFT 12/15/2003	GIFT 2 Coffee Mugs	FROM National Beer Wholesalers Association
NEW GIFT 12/16/2003	GIFT Book: "The Collapse of the Common Good"	FROM Griffin B. Bell Alan K. Simpson
NEW GIFT 12/16/2003	GIFT 2004 Calendar & Newsletter	FROM American Battle Monuments Commission

NEW GIFT 12/16/2003	GIFT CD: Caroling - Singing Sergeants	FROM Air Force
NEW GIFT 12/16/2003	GIFT Box of Russell Stover Chocolates	FROM Boilermakers Union Local 363
NEW GIFT 12/30/2003	GIFT Dinosaur Planet DVD	FROM Discovery Channel
NEW GIFT 12/31/2003	GIFT Book: *"The Rough Riders"*	FROM RR Donnelley & Sons Company
NEW GIFT 12/31/2003	GIFT ACU 2004 Calendar	FROM Association of Clinician for the Underserved
NEW GIFT 12/31/2003	GIFT APTA 2004 Calendar	FROM American Public Transportation Association
NEW GIFT 12/31/2003	GIFT Union Pacific 2004 Calendar	FROM Union Pacific
NEW GIFT	GIFT UMWA 2004 Calendar	FROM United Mine Workers Association

12/31/2003		
NEW GIFT 12/31/2003	GIFT BNSF 2004 Calendar	FROM Burlington Northern Railway Santa Fe
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM California Wine Community
NEW GIFT 12/31/2003	GIFT Book: *"Once Upon A Tandem"*	FROM J.K. Payleitner & Associates
NEW GIFT 12/31/2003	GIFT 2004 Desk Calendar	FROM Madison County Farm Bureau
NEW GIFT 12/31/2003	GIFT First Alert Doorknob Alarm & Batteries	FROM Chuck Fischer
NEW GIFT 12/31/2003	GIFT Ornament & Bread	FROM Emmy McClelland at Children's Hospital
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM Taipei Economic and Cultural Office in Chicago

NEW GIFT 12/31/2003	GIFT Engraved Notepad & Candy	FROM Accurate Word Stationery Engraving
NEW GIFT 12/31/2003	GIFT Christmas Ornament	FROM Michelle Lieber with General Motors
NEW GIFT 12/31/2003	GIFT 2004 Appointment Diary	FROM Taipei Economic and Cultural Office in Chicago
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM Lewis and Clark Library System]425 Goshen Road; Edwardsville
NEW GIFT 12/31/2003	GIFT Truffles & Tigerpoly (Monopoly about Edwardsville)	FROM Carma Froemling of 13 Crestwood drive, Glen Carbon, 62034
NEW GIFT 12/31/2003	GIFT DVDs: "The Presidents Collection"	FROM Pat Mitchell Pres. & CEO of PBS
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM Gannett Foundation
NEW	GIFT	FROM

GIFT 12/31/2003	Small 2004 Calendar	Dycus and Gochenour Insurance, PO Box 396, Vandalia, IL 62471
NEW GIFT 12/31/2003	GIFT 2004 National Parks Calendar	FROM Unilever
NEW GIFT 12/31/2003	GIFT 2004 Desk Calendar	FROM RJ Corman Railroad Group
NEW GIFT 12/31/2003	GIFT Calendar of the Moments in UMWA history	FROM UMWA
NEW GIFT 12/31/2003	GIFT Book "Jesus Christ's Meteorite Prophecy"	FROM Edward W. Plouff, Author
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM Aetna
NEW GIFT 12/31/2003	GIFT 2004 Calendar	FROM The Humane Society

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

(Full Name): William Franklin Shuster

(Mailing Address): 9 Overlook Drive Hollidaysburg, PA 16648

Daytime Telephone: 202-225-2431

HAND DELIVERED

(Office Use Only)

2004 JUN -8 PM 5:18

U.S. HOUSE OF REPRESENTATIVES

Filer Status	☑ Member of the U.S. House of Representatives — State: PA, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	William F. Shuster	6-4-04

4997

SCHEDULE I - EARNED INCOME

Name William Franklin Shuster

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Thomas Holding(Spouse)	Advertising Consulting Fees	$6,945

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	5 S LLC-General Partner of Shuster Family Limited Partnership	$1 - $1,000	RENT and Interest Income	$1 - $200	
DC	American Washington Mutual Investors Fund A	$1 - $1,000	DIVIDENDS	$1 - $200	
	Blairmont Club LLC	$1 - $1,000	Other: PARTNERSHIP	NONE	
DC	CBF Aggressive Growth Emp Port 1990-1992 Alt Cx	$15,001 - $50,000	DIVIDENDS	NONE	P
DC	CBF Aggressive Growth Emp Port 1987-1989 Alt Cx	$15,001 - $50,000	DIVIDENDS	NONE	P
	Congressional Federal Credit Union	$1 - $1,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

DC	John Hancock Regional Bank Fund A and B	None	DIVIDENDS	NONE	PS
DC	M & T Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$1 - $200	
DC	M & T Bank Certificates of Deposit	$1,001 - $15,000	INTEREST	$1 - $200	
JT	M & T Bank Certificates of Deposits	$1,001 - $15,000	INTEREST	NONE	
JT	M & T Bank Market Advantage Account	$15,001 - $50,000	INTEREST	$1 - $200	
JT	M & T Bank Money Market Index Account	$15,001 - $50,000	INTEREST	$1 - $200	
DC	M & T Bank Savings	$1 - $1,000	INTEREST	$1 - $200	
DC	M & T Bank Savings	$1 - $1,000	INTEREST	$1 - $200	
JT	M &T Bank Checking	$1 - $1,000	INTEREST	$1 - $200	
DC	Oppenheimer Convertible Securities Fund	$1 - $1,000	DIVIDENDS	NONE	
DC	Oppenheimer Quest Opportunity Value Fund A & B	$1 - $1,000	DIVIDENDS	NONE	
	Putnam Fund for Growth & Income	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Rite Aid Corporation	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Rite Aid Corporation	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	S2 & L2 Partnership East Freedom, PA.	$250,001 - $500,000	RENT	$50,001 - $100,000	
	SEI Core Fixed Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	SEI Emerging Markets Debt Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	SEI Emerging Markets Equity Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI High Yield Bond Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI Intermediate Term Muni Fund	None	DIVIDENDS	$1 - $200	PS
	SEI International Equity Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI International Fixed Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	SEI Large Cap Fund #290	None	DIVIDENDS	NONE	S
	SEI Large Cap Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI Large Cap Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI PA Municipal Bond Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	SEI Prime Obligation Fund	$1 - $1,000	DIVIDENDS	$1 - $200	P
	SEI Small CAP Fund #291	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	SEI Small Cap Growth Fund	$1,001 - $15,000	DIVIDENDS	NONE	
	SEI Small Cap Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SEI Tax Free Fund	$1 - $1,000	DIVIDENDS	$1 - $200	PS
	SEI Tax Managed Large Cap	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
	SEI Tax Managed Small Cap	$1,001 - $15,000	DIVIDENDS	NONE	PS
	Shuster Enterprises,Inc East Freedom ,PA (liquidated in 2003)	$1 - $1,000	Other:S CORPORATION	$15,001 - $50,000	S
	Shuster Family Limited Partnership Fomerly Shuster Rentals	$100,001 - $250,000	RENT and Interest Income	$1,001 - $2,500	
	Smith Barney Money Funds	$1 - $1,000	DIVIDENDS	$1 - $200	
	UBS Cash Fund Formerly Painewebber	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Vanguard Developed Foreign Mkts Index Fund	None	DIVIDENDS	$1 - $200	PS

SCHEDULE IV - TRANSACTIONS

Name William Franklin Shuster

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SEI Small Cap Value Fund	PS	17 Times	$1,001 - $15,000
	SEI Tax Managed Large Cap Fund	PS	13 Times	$1,001 - $15,000
	SEI Tax Managed Small Cap Fund	PS	3 Times	$1,001 - $15,000
	SEI PA Municipal Bond Fund	PS	27 times	$1,001 - $15,000
DC	CBF-AGG Growth Emp Port 1990-1992 Alt Cx	P	02/21/03 09/09/03 09/15/03	$15,001 - $50,000
DC	CBF-AGG Growth Emp Port 1987-1989 Alt Cx	P	02/21/03 06/19/03 09/09/03	$1,001 - $15,000
	SEI Large Cap Value Fund	PS	15 Times	$1,001 - $15,000
	SEI Emerging Mkts Equity Fund	PS	8 Times	$1,001 - $15,000
	SEI International Equity Fund	PS	8 Times	$1,001 - $15,000
	SEI Intermediate Term Muni Fund	PS	13 Times	$1,001 - $15,000
	Vanguard Developed Foreign Mkts Index Fund	PS	4 Times	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name William Franklin Shuster

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	John Hancock Regional Bank Fund A and B	PS	01/24/03	$1,001 - $15,000
JT	SEI Large Cap Fund #290	S	02/13/03	$1,001 - $15,000
JT	SEI Small Cap Fund #291	S	02/13/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name William Franklin Shuster

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America -Visa Tampa, Fl	Revolving Charge Card	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

AND DELIVE
13
LATIVE RESOURC
2004 MAY 17 PM 3:
MC
(Office Use Only)

Robert R. "Rob" Simmons
(Full Name)

268 North Main Street Stonington, CT 06378
(Mailing Address)

202-225-2076
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: CT — District: 02 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ___ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		MAY 13 [illegible]

5006

SCHEDULE I - EARNED INCOME

Name Robert R. "Rob" Simmons

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Learn Regional Multicultural Magnet School	Spouse Salary	$37,730.86
Dodson Boat Yard, Stonington, CT	DC Salary	$2,540
Connecticut State Retirement Division	Retirement	$4,117.32
Defense Finance and Retirement Accounting Service	Retirement	$13,419.63

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	20th Century Growth Fund	$100,001 - $250,000	Other: IRA	$2,501 - $5,000	
DC	Acuity Brands(formerly National Services Industries)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Agilent Technology	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Albertsons	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	S
SP	American Home Products	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Apache Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

5008

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

DC	Avery Denison	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Bell South	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Berkshire Hathaway	$15,001 - $50,000	DIVIDENDS	NONE	S
SP	Black Rock Funds	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
DC	Blackrock Funds	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Blackrock Money Market Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
DC	Bristol Myers Squibb	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Bristol Myers-Squibb	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Century Telephone	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	Charter One Bank	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Chevron Texaco	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Chevron Texaco	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC	Cintas Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Coca Cola	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

DC	Cox Communications	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Crown Holdings (formerly Crown, Cork and Seal)	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	CT Bonds	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	Dodge & Cox Stock Fund	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	
DC	Duke Energy	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Duke Energy	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Duke Realty	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Duke Realty	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Dupont	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
DC	Dupont	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Emerson Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Exxon Mobil	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Fairfield Conn	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Fleet Bank	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

DC	Fleet Bank	$1 - $1,000	INTEREST	$1 - $200	
	Fleet Bank	$15,001 - $50,000	INTEREST	$1 - $200	
SP	General Electric	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Hewlett Packard	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
DC	Human Genome Services	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Human Genome Services	$15,001 - $50,000	DIVIDENDS	NONE	
SP	IBM	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Johnson &Johnson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Kimberly Clark	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Kimco Realty	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
DC	Kimco Realty	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Level 3 Communications	$1,001 - $15,000		NONE	
DC	Merck & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Merck & Co	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

SP	Microsoft	$1,001 - $15,000		NONE	
DC	Microsoft	$1,001 - $15,000		$1 - $200	
SP	Millenium Pharmaceuticals	$15,001 - $50,000		NONE	
DC	Millenium Pharmaceuticals	$1,001 - $15,000	DIVIDENDS	NONE	
SP	MONY Group	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	MONY Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	NE Electric	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Newell Rubbermaid	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Newell Rubbermaid	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
SP	Paffard GST	$100,001 - $250,000	DIVIDENDS/INTEREST	$201 - $1,000	
DC	PPG Industries	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Proctor & Gamble	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
DC	Royal Dutch	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Royal Dutch Petroleum	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

	Sage Hill Camp, Jamaica, VT	$250,001 - $500,000	Other: Farm	NONE	
DC	Schwab Money Market Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Schwab Money Market Fund	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	T. Rowe Price	$15,001 - $50,000	Other:IRA	$1,001 - $2,500	
SP	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	THQ, Inc.	$15,001 - $50,000		NONE	P
DC	Toyota Motors	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	TVA Bonds	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	U.S. Treasury Notes	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	US Treasury Notes	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Vantage Point #1, Stratton, VT	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	Veritas	$15,001 - $50,000		NONE	
DC	Veritas Software	$15,001 - $50,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert R. "Rob" Simmons

SP	Vodafone Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Walmart Bonds	$50,001 - $100,000	INTEREST	$201 - $1,000	
DC	Walmart Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Walmart Stores	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
DC	Weingarten Realty	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
DC	Worldcom	$1,001 - $15,000		NONE	
SP	Wyeth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Yahoo	$15,001 - $50,000		NONE	S
DC	Yahoo, Inc.	$1,001 - $15,000		NONE	
SP	Zimmer Holdings	$1,001 - $15,000		NONE	
DC	Zimmer Holdings	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Robert R. "Rob" Simmons

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Apache Corp	P	09-16-03	$1,001 - $15,000
SP	Apache Corp	P	09-16-03	$1,001 - $15,000
SP	Chevron Texaco	P	02-07-03	$1,001 - $15,000
DC	Chevron Texaco	P	03-14-03	$1,001 - $15,000
SP	Fairfield Conn	P	07-31-03	$15,001 - $50,000
DC	THQ, Inc.	P	02-27-03	$15,001 - $50,000
DC	Texas Instruments	S	11-05-03	$1,001 - $15,000
SP	Newell Rubbermaid	S	09-22-03	$15,001 - $50,000
SP	Apache Corp	P	09-16-03	$15,001 - $50,000
SP	Merck & Co	S	03-11-03	$15,001 - $50,000
SP	Agilent Technologies	S	06-18-03	$1,001 - $15,000
SP	Hewlett Packard	S	06-18-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Robert R "Rob" Simmons

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Medco Health Solutions	S	10-24-03	$1,001 - $15,000
SP	Yahoo	S	11-05-03	$15,001 - $50,000
SP	Duke	P	11-18-03	$15,001 - $50,000
SP	Duke	S	12-15-03	$15,001 - $50,000
DC	Berkshire Hathaway	S	03-18-03	$15,001 - $50,000
SP	Albertson's	S	03-11-03 09-11-03	$15,001 - $50,000
DC	Medco Health Solutions	S	08-19-03 10-24-03	$1,001 - $15,000
DC	Yahoo	S	11-05-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert R. "Rob" Simmons

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	Feb 28-March 2, 2003	DC-West Virginia-DC	Y	Y	Y	N/A
KARE	June 20-22, 2003	DC-Oakland-DC	Y	Y	Y	N/A
American Legion	August 25-26, 2003	Hartford-Stillwater-Hartford	Y	Y	N	N/A
US-Asia Foundation	Oct 22-28, 2003	DC-Beijing-DC	Y	Y	Y	N/A
American Shipbuilding Association	Dec 1-3, 2003	DC-Ft. Meyer-Providence	Y	Y	N	N/A

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SCHEDULE VIII - POSITIONS

Name Robert R. "Rob" Simmons

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Treasurer	Simmons Family Limited Partnership, Philidelphia, PA

Speaker's Prescription Drug Action Team
Long Island Sound Caucus
National Guard and Reserve Components Caucus
Congressional Sportsmen's Caucus
Congressional Travel and Tourism Caucus
Port Security Caucus
Congressional Caucus for Women's Issues

ARMED SERVICES COMMITTEE
Subcommittee on Projection Forces
Subcommittee on Tactical Air and Land Forces

TRANSPORTATION AND INFRASTRUCTURE COMMITTEE
Subcommittee on Railroads
Subcommittee on Highways and Transit
Subcommittee on Coast Guard and Maritime Transportation

VETERANS' AFFAIRS COMMITTEE
Subcommittee on Health
Chairman

CONGRESSMAN ROB SIMMONS
HOUSE OF REPRESENTATIVES
SECOND DISTRICT, CONNECTICUT

June 7, 2004

The Clerk of the U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515

MC

INSIDE MAIL

2004 JUN 14 AM 9:49
U.S. HOUSE OF REPRESENTATIVES

To Whom It May Concern:

Attached please find an amendment to Schedule V of my 2003 Financial Disclosure Statement. Question five should have been answered yes. Should you have any questions, please contact me.

All the best,

Rob Simmons
Member of Congress
Second District, Connecticut

215 Cannon House Office Building
Washington, DC 20515
(202) 225-2076

2 Courthouse Square
Norwich, CT 06360
(860) 886-0139

37-39 Pearl Street
Enfield, CT 06082
(860) 741-4053

Toll Free In Connecticut (800) 822-4319

SCHEDULE V - LIABILITIES

Name Robert R. "Rob" Simmons

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chelsea Groton Bank	Mortgage on Sage Hill Camp, Jamaica, VT	$50,001 - $100,000

INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2004 JUN 14 AM 9:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Michael (Mike) K. Simpson
(Full Name)

786 Hoff Dr. Blackfoot, Idaho 83221 (202) 225-5531
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: Idaho District: 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kathy Simpson	05/17/04

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Name Michael Simpson	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bechtel BWXT Idaho, LLC	Spouse Salary	NA

Note: Honoraria: In lieu of honoraria, use Schedule II.

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Name Michael Simpson | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	NA			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Michael Simpson

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		
DC	Simon & Schuster		Indefinite																	Royalties									X			P
JT	1st Bank of Paducah, KY accounts						X									X										X						
SP	Morgan Stanley IRA				X									X							NA											
	Morgan Stanley IRA				X									X							NA											
	PERSI				X											X					NA											
SP	INEEL						X							X			X				NA											
	Edward Jones							X						X			X									X						
SP	Piper Jaffray					X								X			X						X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Simpson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Morgan Stanley		X					X										
	Simpson Properties				X				X						X			
	Dental Practice Contract			X						X				X				
SP	40 acres (farmaround)			X										X				
	½ interest Blackfoot, Id.																	
SP	Farm (inheritance)				X				X						X			

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SCHEDULE IV — TRANSACTIONS

Name Michael Simpson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NA														

This page may be copied if more space is required.

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Name Michael Simpson | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	MBNA	credit card					
SP	American Express	credit card					
SP	First American Title Company	mortgage on rental prop.					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

*Use additional sheets if more space is required

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Name Michael Simpson | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Chicago Board of Trade	Mar. 13-16	Wash, D.C. - Florida - Wash., D.C.	Y	Y	N	N

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U.S. House of Representatives

MEMBER/OFFICER TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 26, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 30 days after travel is completed. The Clerk shall make these forms available to the public as soon as possible after they are filed. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

NAME OF MEMBER OR OFFICER (PRINT OR TYPE): Mike Simpson

DATES OF TRAVEL: 13-16 March, 2003

DATES AT PERSONAL EXPENSE: _____

ITINERARY: Boca Raton, Florida

SPONSOR (WHO PAID FOR THE TRIP): Chicago Board of Trade/Chicago Mercantile Exchange

PURPOSE OF TRIP: Speaking at Futures Industry Conference

TOTAL TRANSPORTATION EXPENSES:

For Member or Officer: $544.90

TOTAL LODGING EXPENSES:

For Member or Officer: $1,360.48

TOTAL MEAL EXPENSES:

For Member or Officer: $357.95

TOTAL OF ALL OTHER EXPENSES:

For Member or Officer: _____

I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: 5-13-04

Name Michael Simpson	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NA	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
NA		

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2003

Your Financial Advisor
WILLIAM BROWN
FIRST VICE PRESIDENT
MORGAN STANLEY
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

Account Number
146 012443 010

IRA Standard Spousal
Dated 05/26/99
Householding Anniv. Date:
07/28/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Asset
Money Market Funds	$40.33	0.1%
Stocks	57.28	0.2
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	26,968.76	99.7
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$27,066.37	100.0%
Cash	0.00	
Total Asset Value	$27,066.37	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$0.00	$0.00
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$0.00	$0.00

Activity Summary

Total Asset Value September 30 2003		$24,849.70
Cash/Money Market Activity		
Closing Balance 9/30	$40.33	
Credits To Your Account		
Dividends and Interest	0.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	0.00	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	40.33	
Net Change Cash/Money Market Activity		0.00
Changes in Asset Value		
Value of Priced Assets 9/30	$24,809.37	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	2,216.67	
Value of Priced Assets 12/31	27,026.04	
Net Change in Asset Value		2,216.67
Total Asset Value as of December 31 2003		$27,066.37

[illegible] and services are offered through Morgan Stanley DW Inc., member SIPC

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KATHERINE SIMPSON
786 HOFF DR

Account Number
146 012443 010

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
LIQUID ASSET FUND	40.33	0.1%	0
Total Cash and Money Market Funds	$40.33	0.1%	$0
Net Change Since Last Statement	$.00		

Annualized 30 Day Yields

Liquid Asset Fund..... 0.54%
U.S. Gov't Money Market Tr..... 0.40%

Stocks	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks							
16 IVILLAGE INC.	3.58	57.28	0.2%	0	0.00%	IVIL	
Total Stocks		$57.28	0.2%	$0	0.00%		
Net Change Since Last Statement		$21.7%					

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
241.950 VAN KAMPEN EMERGING GROWTH C	32.0700	7,759.34	28.7%	N/A	N/A		ACEPX	Reinv/Reinv
202.923 MUNDER NET NET C	16.0900	3,265.03	12.1%	N/A	N/A		MNNCX	Reinv/Reinv

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2003

KATHERINE SIMPSON
786 HOFF DR

Account Number
148 012443 010

Mutual Funds		Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds									
486.777	ALLIANCEBER PREMIER GROWTH C	15.4300	7,541.83	27.9%	N/A	N/A		APGCX	Reinv/Reinv
319.489	MFS EMERGING GROWTH FUND C	26.3000	8,402.56	31.0%	N/A	N/A		MFECX	Reinv/Reinv
Total Mutual Funds			$26,268.76	97.1%	N/A	N/A			
Net Change Since Last Statement			$2,194.91						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$27,066.37	$0.00
Total Net Change In Priced Assets Since Last Statement	$2,216.67	

ADDITIONAL ACCOUNT INFORMATION

Morgan Stanley Fund Summary	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
LIQUID ASSET FUND	0.00	0.00	0.00	Reinvest Dividends
Totals	$0.00	$0.00	$0.00	

LIQUID ASSET FUND
Following are the Fund's 30-day average annualized yields during the past quarter: October 0.52%, November 0.52%, and December 0.54%.

Retirement Recap	2003	2002
Account Valuation	27,066.37	20,772.05

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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KATHERINE SIMPSON
786 HOFF DR

Account Number
146 012443 010

Messages

Consolidated Statement of Financial Condition (in thousands of dollars): As of 11/30/03, Morgan Stanley DW Inc. had net capital of $1,056,302 which exceeded the SEC's minimum requirement of $101,164. A copy of the Morgan Stanley DW Inc. Consolidated Statement of Financial Condition as of 11/30/03 will be available after Feb 17, 2004 and can be either mailed to you at no cost by calling toll free 1(866)279-4498 or accessed online at http://www.morganstanley.com/about/ir/shareholder/MorganStanleyDWInc.pdf.

See accompanying Perspectives newsletter for annual disclosure statement for all accounts with margin privileges.

For information about how the Jobs and Growth Tax Relief Reconciliation Act of 2003 may affect your assets and be reported for you by Morgan Stanley, please visit www.morganstanley.com/online or contact your Financial Advisor.

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Morgan Stanley

Errors & Inquiries

If you do not understand an entry on your statement or suspect an error, it is essential that you immediately contact the manager of the office servicing your account or, if you have a self-directed account, please call 800-688-6896. We will consider your statement correct unless we receive a written inquiry from you about the suspected error within 10 calendar days from the day on which you received your statement. It is your responsibility to review your statement promptly and to seek immediate clarification about entries that you do not understand.

SIPC Protection

Securities and cash held in Morgan Stanley accounts are protected up to their full net equity value by a combination of coverage provided by the Securities Investor Protection Corporation ("SIPC") a non-profit organization created by an Act of Congress and additional protection purchased from a private insurer by Morgan Stanley (the "Excess Coverage"). SIPC protects up to $500,000 of each customer's securities of which up to $100,000 may be uninvested cash. Excess Coverage provides additional protection up to the full net equity value of each account including unlimited coverage for uninvested cash. SIPC and Excess Coverage apply only to securities and cash in the exclusive possession and control of Morgan Stanley and do not protect against losses due to market fluctuations. Detailed information is available upon request.

Transaction Dates

All transaction dates on this statement are the transactions' settlement dates. In the case of unsettled trades, we list the trade date instead of settlement date with a notation that the trade is unsettled.

Pricing of Securities

The prices of securities displayed on your statement are derived from various sources and in some cases may be higher or lower than the price that you would actually receive in the market. For securities listed on an exchange or trading continually in an active marketplace, the price reflects the market quotations at the close of your statement period. The prices of securities not actively traded may not be available. These are indicated by "N/A" (not available).

For bonds trading less frequently, we rely on outside pricing services or a computerized pricing model, which cannot always give us actual market values. Similarly, some annuity values provided by outside sponsors are estimates.

The amounts on this statement for limited partnerships are typically obtained from a third party or from the investments' general partners unless Morgan Stanley DW Inc. has obtained other information such as an independent appraisal. Since many partnership valuations are provided only annually, they do not always represent current values.

Furthermore, limited partnerships and non-traded *Real Estate Investment Trusts* (REITs) are illiquid and have no public markets, so the amounts shown on this statement may not equal the amounts you would receive if you sold your investment.

The value of mutual fund shares is determined by multiplying the Net Asset Value (NAV) by the number of shares or units held as reported to Morgan Stanley DW Inc. by the correspondent custodian. If we cannot obtain a price or estimate, "N/A" appears.

For more detailed current information on prices, speak to your Financial Advisor or, if you have a self-directed account, please call 800-688-6896.

Account Valuation

This figure represents the approximate value of your account on a settlement date basis and is computed by adding (1) the market value of all priced positions and (2) market values provided by pricing services and correspondent custodians for other positions: and by adding any credit or subtracting any debit to your closing cash or money market balance. Please note, this valuation may be adjusted for the net change in priced asset values for securities held or for the net change in money market balances in your account during the statement period. Your closing cash and/or money market balance represents the cash and/or money market funds available in your account and reflects the net month and balance of all deposits, credits and debits (including checking and MasterCard activity in Active Assets accounts).

Margin Privileges (not available for IRAs or retirement accounts)

If you have applied for margin privileges and have been approved, you may borrow money from Morgan Stanley DW Inc. in exchange for pledging assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin account, which are identified by an asterisk (*) on your statement.

Margin Interest Charges

We calculate interest charges on margin loans as follows:

(1) Multiply the applicable margin interest rate times the average daily balance of your margin loan.
(2) Multiply the result of Step (1) times the number of days your loan was outstanding.
(3) Divide the result of Step (2) by 360 (days).

We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-2 & -3], Morgan Stanley DW Inc. may use free credit balances in your account which are payable to you on demand, in the ordinary course of its business. We send summary Morgan Stanley DW Inc. financial statements to you semiannually; copies are also available on request, by mail.

Taxes

Under Federal Income Tax law, we are required to report the gross proceeds of sales transactions, entering into short sales and redemptions done in your account. Although your statement may describe certain items as (federally) tax-free, this is for information purposes only: when reporting your taxes please rely exclusively on the 1099 forms you will receive at the end of the year. (For IRAs, 1099s report distributions from the account rather than income or proceeds from sales.) Federal law subjects you to penalties and withholding if you fail to provide us with your Social Security or Tax ID Number.

Listed Options

Information with respect to commissions and to other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you. A summary of such information will be made available to you promptly at your request.

Estimated Annual Income and Yields

Estimated annual income and yields are calculated by annualizing the most recent distribution and do not reflect historic experience or projected future results. Since the rates are subject to change at any time, they should not be entirely relied on for making investment or trading decisions. You are advised to consult with your Financial Advisor before making decisions. Your Financial Advisor will be able to assist you with accurate up-to-date information so that you can make an informed decision. If you have a self-directed account, please call 800-688-6896.

Accrued Bond Interest YTD

This figure represents the taxable accrued interest received on bond sale transactions and credited to your account during the calendar year. As required by law, Morgan Stanley DW Inc. will report to the Internal Revenue Service the total year-to-date figure indicated on the last statement you receive for the calendar year.

Dividend/Interest (Month/YTD)

These figures represent dividends and/or interest on securities received by Morgan Stanley DW Inc. and credited to your account during the calendar year. As required by law, Morgan Stanley DW Inc. reports taxable dividends and bond interest credited to your account to the Internal Revenue Service. The totals reported are indicated as the year-to-date figures on the last statement for the calendar year. In the case of REITs, Real Estate Limited Partnerships and Regulated Investment Company Unit Investment Trusts, the Sponsor may reclassify income and return of principal into a different ratio for the prior year.

Certain Assets Not Held at Morgan Stanley DW Inc.

You may purchase certain assets through Morgan Stanley DW Inc. which will be held at a custodial institution other than Morgan Stanley DW Inc. Assets held in custodial positions are not covered by SIPC protection. Where available, we include information about these assets on your Morgan Stanley DW Inc. statement. The custodial institution is responsible for providing year-end tax reporting (1099s) and separate periodic statements, which may vary from our information due to different reporting periods. In the case of Morgan Stanley, Van Kampen and external networked mutual funds, Morgan Stanley DW Inc. will provide all year-end tax reporting (1099's). In the case of IRA accounts, Morgan Stanley DW Inc. performs all tax reporting.

Client's Investment Objectives and Financial Situation

It is essential to advise your Financial Advisor or for self-directed accounts, call 800-688-6896, immediately if there is a material change in your investment objectives or financial situation.

Securities and services are offered through Morgan Stanley DW Inc., member SIPC

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Investments and services are offered through Morgan Stanley DW Inc., member SIPC

Your Financial Advisor
WILLIAM BROWN
FIRST VICE PRESIDENT
MORGAN STANLEY
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

MICHAEL SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

Account Number
146 012442 010

IRA Standard
Dated 05/26/99
Householding Anniv. Date:
07/28/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	*% of Asset*
Money Market Funds	$289.65	1.4%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	19,871.68	98.6
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$20,161.33	100.0%
Cash	0.00	
Total Asset Value	$20,161.33	

Income Summary

	This Month	*Year-To-Date*
Money Market Funds	$0.05	$0.70
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$0.05	$0.70

Activity Summary

Total Asset Value September 30 2003		$18,543.67
Cash/Money Market Activity		
Closing Balance 9/30	$289.52	
Credits To Your Account		
Dividends and Interest	0.13	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	$.13	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	289.65	
Net Change Cash/Money Market Activity		0.13
Changes in Asset Value		
Value of Priced Assets 9/30	$18,254.15	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	1,617.53	
Value of Priced Assets 12/31	19,871.68	
Net Change In Asset Value		1,617.53
Total Asset Value as of December 31, 2003		$20,161.33

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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MICHAEL SIMPSON
786 HOFF DR

Account Number
146 012442 010

ASSET DETAILS

Cash and Money Market Funds

	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
LIQUID ASSET FUND	289.65	1.4%	1
Total Cash and Money Market Funds	$289.65	1.4%	$1
Net Change Since Last Statement	$ 1.15		

Annualized 30 Day Yields

Liquid Asset Fund	0.54%
U.S. Gov't Money Market Tr	0.40%

Mutual Funds

	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
179.222 VAN KAMPEN EMERGING GROWTH C	32.0700	5,747.65	28.5%	N/A	N/A		ACEFX	Reinv/Reinv
150.314 MUNDER NET NET C	16.0900	2,418.55	12.0%	N/A	N/A		MNNCX	Reinv/Reinv
358.833 ALLIANCEBER PREMIER GROWTH C	15.4300	5,536.79	27.5%	N/A	N/A		APGCX	Reinv/Reinv
234.551 MFS EMERGING GROWTH FUND C	26.3000	6,168.69	30.6%	N/A	N/A		MFECX	Reinv/Reinv
Total Mutual Funds		$19,871.68	98.6%	N/A	N/A			
Net Change Since Last Statement		$1,617.55						

Asset Summary

	Value	Est Yrly Income
Total Asset Value	$20,161.33	$1
Total Net Change In Priced Assets Since Last Statement	$1,617.55	

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MICHAEL SIMPSON
786 HOFF DR

Account Number
146 012442 010

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
10-31	Dividend	LIQUID ASSET FUND	.04	Money Market Funds
11-28	Dividend	LIQUID ASSET FUND	.04	Money Market Funds
12-31	Dividend	LIQUID ASSET FUND	.05	Money Market Funds
		Total Dividends and Interest	**$.13**	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Total Credits To Your Account $0.13 Year-Total $0.70

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 9/30	289.52	
10-31	Dividend Reinvestment	LIQUID ASSET FUND	0.04	
11-28	Dividend Reinvestment	LIQUID ASSET FUND	0.04	
12-31	Dividend Reinvestment	LIQUID ASSET FUND	0.05	
		Closing Balance 12/31	**289.65**	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
LIQUID ASSET FUND	0.70	0.00	0.00	Reinvest Dividends
Totals	**$0.70**	**$0.00**	**$0.00**	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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MICHAEL SIMPSON
786 HOFF DR

Account Number
148 012442 010

Morgan Stanley Fund Summary

LIQUID ASSET FUND

Following are the Fund's 30-day average annualized yields during the past quarter: October 0.52%, November 0.52%, and December 0.54%.

Retirement Recap

	2003	2002
Account Valuation	20,161.33	15,561.84

Messages

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Morgan Stanley

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Estimated Annual Income and Yields

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Dividend/Interest (Month/YTD)

These figures represent dividends and/or interest on securities received by Morgan Stanley DW Inc. and credited to your account during the calendar year. As required by law, Morgan Stanley DW Inc. reports taxable dividends and bond interest credited to your account to the Internal Revenue Service. The totals reported are indicated as the year-to-date figures on the last statement for the calendar year. In the case of REITs, Real Estate Limited Partnerships and Regulated Investment Company Unit Investment Trusts, the Sponsor may reclassify income and return of principal into a different ratio for the prior year.

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Client's Investment Objectives and Financial Situation

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PERSI

Public Employee Retirement System of Idaho

PERSI BASE PLAN BENEFITS STATEMENT

Important document: Please retain for future reference.

PERSI offers you a package of benefits that provides a strong foundation for your financial security. Your PERSI Base Plan Benefits Statement was prepared individually for you to help show you the value of your Base Plan benefits. With an understanding of your benefits, you will be in a better position to make personal financial planning decisions. This statement is not intended to be a comprehensive review of the provisions governing PERSI. Please refer to your Member Handbook or visit www.persi.state.id.us for additional information.

This statement does not cover your PERSI Choice Plan 401(k) account. For Choice Plan information, refer to your quarterly 401(k) statements, call toll-free 1-866-ID-PERSI or visit www.persi.state.id.us/choice.htm.

Based on information reported to and retained by PERSI, we have generated estimates of your PERSI Base Plan benefits and have summarized them in this statement. The estimates are based on the value of your benefits as of the statement date, and do not consider increases in value resulting from subsequent service, changes in salary, benefit enhancements, or inflationary adjustments.

THE VALUE OF YOUR BENEFITS

Your PERSI benefits may likely be your greatest financial asset. The value of your benefit is not merely the amount of contributions in your account. The value is actually far greater. Here's how:

When you retire, the PERSI Base Plan will pay you every month *for as long as you live*, and if you choose a Contingent Annuitant at retirement, after you die that person will continue receiving a benefit *for the rest of their life.*

You 'make back' every dollar you put into the PERSI Base Plan within about your first three and a half years of retirement. For instance, say you put $60,000 into the Base Plan during your career. If your monthly benefit is $1,500, you will receive your $60,000 back in just over three years. *But,* we will continue paying you that $1,500 (*plus* yearly Cost of Living Adjustments – COLAs) every month for the rest of your life. If you are retired for another 30 years, you will receive another $540,000 from the PERSI Base Plan (*plus* COLAs -- so the value will really be much higher).

RS-135
9/12/2003

Seq. No. 7219 106043

CREDITED SERVICE and AVERAGE MONTHLY SALARY

Total Months of Service as of 06/30/2003	204
Average Monthly Salary 06/01/1993 to 11/30/1996 (Average gross salary paid during your highest 42 months)	$ 1,472.21

ACCRUED BENEFITS

Although you are not an active PERSI member, you are eligible for a retirement benefit once you meet the minimum age requirements. Should you return to PERSI-covered employment, you may earn subsequent credited service to improve your retirement benefits. Your retirement benefits are based on your total credited service and your highest consecutive average monthly salary over a 42-month period. You terminated employment as of 12/31/1998. The estimated monthly retirement benefits payable for your lifetime once you reach retirement would be:

Early Retirement at Age 55 on 10/01/2005	$ 296.62
Early Retirement at Age 60 on 10/01/2010	$ 448.63
Early Retirement at Age 62 on 10/01/2012	$ 480.30
Service Retirement at Age 65 on 10/01/2015	$ 527.80

Rule of 80/90: You will reach service retirement age first.

These estimates include Cost of Living adjustments from 12/31/1998 to 06/30/2003.

Your early retirement amounts are equal to the Service Retirement amount reduced by early retirement factors. These estimates are based on the Service Retirement single life option, which is only one of several payment options available to you at retirement. The payment options include: a regular allowance covering your lifetime only a reduced benefit in the form of a 100% or 50% Contingent Annuitant option covering your lifetime and the lifetime of a designated contingent annuitant thereafter, and if under Social Security Normal Retirement Age (65-67 depending on year of birth), options which would allow you to draw an increased retirement benefit until Social Security Normal Retirement Age and a reduced amount thereafter. These other options require information concerning your Social Security estimate and your Contingent Annuitant to calculate. See your Member Handbook for more information.

Cost of Living Adjustments (COLAs) will be added to your PERSI benefit annually. Historically, PERSI COLAs have averaged 3% per year, so the total value of your PERSI benefits is much larger than shown above.

Your calculations may have changed from last year's Annual Statement. Improvements to the annual statement calculator now produce more accurate information.

Date of Birth: 09/1950
This statement has been prepared especially for:

MICHAEL K SIMPSON
786 HOFF DR
BLACKFOOT IDAHO 83221

BENEFITS SUMMARY FOR MICHAEL K SIMPSON 106043

Based on Account information as of 06/30/2003

RETIREMENT BENEFIT

Formula used to calculate your retirement benefit:

Months of Credited Service	204
x Average Monthly Salary	$ 1,472.21
x Statutory Multiplier	.01917
x COLA Factor	1.10010
= Annual Benefit	$ 6,333.65
÷ 12	
= Monthly Service Retirement Benefit	$ 527.80

This estimate reflects the benefit you have earned thus far. It shows a regular retirement allowance at age 65 with no subsequent service and no future benefit enhancements. See the following sections for additional accrued benefits amounts.

DISABILITY BENEFIT

Formula used to calculate your disability benefit:

Months of Credited Service	
x Average Monthly Salary	
x Statutory Multiplier	
= Annual Benefit	
÷ 12	
= Monthly Disability Retirement Benefit	

Should you become disabled as defined by applicable law, you may be eligible to receive disability retirement benefits. You must be an active member to be eligible for this benefit. As an inactive member, you are not eligible for a disability benefit.

SEPARATION BENEFIT
(Your Account Balance)

Account balance as of 06/30/2002	$ 20,062.34
Contributions from 7/1/2002 to 06/30/2003	
Accrued Interest 7/1/2002 to 06/30/2003	$ 651.60
Total Account as of 06/30/2003	$ 20,713.94
Separation Benefit = Total Account	$ 20,713.94

Of your total account, your contributions are taxed or tax-deferred as follows:

Total Taxed Contributions	$ 218.31
Total Tax-Deferred Contributions	$ 10,346.33
Total Interest (Tax-Deferred)	$ 10,149.30

A Separation Benefit is a lump-sum payment of your account balance plus interest. As an inactive member, your account balance and interest are available to you now. However, because you are vested to a lifetime retirement benefit, we suggest you consult with PERSI before withdrawing your funds as a Separation Benefit is paid in lieu of a retirement benefit and cancels any credited service. Your account balance and the interest you earn do not affect the amount of your retirement benefit. When you retire, you receive the retirement benefit amount calculated in the box above, not the account amount shown in this box. Generally, the total of your lifetime retirement benefit will far exceed your account balance.

DEATH BENEFIT

Member Contributions	$ 10,564.64
Accrued Interest	$ 10,149.30
Accumulated Contributions	$ 20,713.94
Death Benefit	$ 41,427.88

Beneficiaries:

Mary K Simpson	Primary	100%

If you die before retirement, a death benefit will be paid to your beneficiary(ies). If your beneficiary is your surviving spouse, he or she may choose an optional annuity benefit similar to the retirement benefit above.

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October 01, 2003 - December 31, 2003

For information call:
Vanguard Participant Services
(800) 523-1188
Or via the internet at: *www.vanguard.com*

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

A MESSAGE FROM YOUR PLAN SPONSOR

COMPANY MATCH CONTRIBUTIONS-THE WAIT IS OVER!
The one-year-of-service waiting period for company match contributions has been removed. Now new employees can receive matching contributions as soon as they begin making contributions to the plan, regardless of how long they've worked for the company.

ARE YOU SAVING ENOUGH?
It's easy to find out. The INEEL Employee Investment Plan gives you access to one of the industry's most impressive retirement forecasting and advice tools--Financial Engines. Financial Engines estimates your chance of reaching your retirement goals. It also makes specific investment recommendations and helps you monitor those investments. This innovative Web-based service is offered to you as a benefit of saving in your plan.

It's easy to get guidance from Financial Engines. Here's how:

-If you're registered, log on to your account at www.vanguard.com and click the Financial Engines link.
-If you aren't yet registered, sign up now. You'll need your Social Security number and plan number (091194).

Try Financial Engines today.

SAVE MORE IN '04
Effective January 1, 2004, the IRS limit on regular employee pre-tax contributions to employer-sponsored retirement plans is $13,000. That's a $1,000 increase from the 2003 limit of $12,000. (Once you reach the $13,000 limit, your pre-tax contributions will automatically convert to after-tax.)

The catch-up contribution limit was also raised. If you're eligible to make catch-up contributions, you'll be able to save an extra $3,000 in 2004. This is an increase from the 2003 limit of $2,000.

To be eligible for catch-up contributions, you must be age 50 or older by the end of the year and have contributed the lesser of the $13,000 IRS contribution limit or the plan's

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A MESSAGE FROM YOUR PLAN SPONSOR (CONT'D.)

pre-tax contribution limit of 50% of your pay.

To start saving more, log on to www.vanguard.com or call Vanguard at 1-800-523-1188.

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For more complete information about any fund, including charges and expenses, call The Vanguard Group at 1-800-523-1188 to obtain a prospectus. Read it carefully before investing. You can also download Vanguard fund prospectuses at www.vanguard.com.

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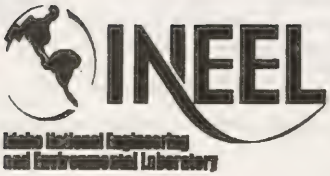

October 01, 2003 - December 31, 2003

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KATHERINE SIMPSON

INEEL EMPLOYEE INVESTMENT PLAN
Plan No.: 091194

Balance	**$ 124,651.34**

Please check this statement for accuracy and notify Vanguard Participant Services of any discrepancies within 60 days.

YOUR ACCOUNT ACTIVITY

		This quarter	Year-to-date
	Opening balance	$ 108,379.68	$ 86,307.17
Contributions	PRE-TAX	$ 1,858.09	$ 7,351.89
	COMPANY MATCH	1,114.88	4,411.16
Earnings	Dividends/capital gains	$ 566.92	$ 1,857.18
	Unrealized gain/loss	12,735.48	24,937.90
Other transactions	Transfers/rollovers in	$ 0.41	$ 0.41
	Plan administration fees	- 4.12	- 14.37
	Closing balance	**$ 124,651.34**	**$ 124,651.34**

This section provides you with general information about your individual plan account. Any information labeled as Year-to-Date represents activity since January 1 of the current calendar year. Contributions shown here represent money that was received during the statement period shown above. Earnings are defined as the net change in your account value due to the reinvestment of dividends and/or interest as well as the change in Fund prices. Dividends paid by a Fund may cause a drop in the Fund price. This change may cause an unrealized loss in your Fund account, but it is offset by the dividend amount added to your account.

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October 01, 2003 - December 31, 2003

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KATHERINE SIMPSON

YOUR PLAN ALLOCATION

	Current asset mix	Contribution allocation
Stocks	100%	100.0%

This information will help you evaluate how your money is currently invested. Your overall investment mix should be based on your time frame for investing and your tolerance for risk.

HOW WE INVEST YOUR CONTRIBUTIONS AS OF 01/10/2004

Vanguard 500 Index Fund Investor Shares	100.0%

YOUR PAYROLL DEDUCTIONS AS OF 01/10/2004

PRE-TAX 8.00% of your salary

If you would like to change the amount you are contributing, please call Vanguard at the toll-free telephone number listed above.

MARKET VALUE BY FUND AND SOURCE

	PRE-TAX	COMPANY MATCH	TOTALS BY FUND
Vanguard 500 Index Fund Investor Shares	$ 81,210.84	$ 43,440.50	$ 124,651.34
Totals by source	**$ 81,210.84**	**$ 43,440.50**	**$ 124,651.34**

VALUE SUMMARY

	Opening balance	Contributions	Other transactions	Shares/units	Closing balance
Vanguard 500 Index Fund Investor Shares	$ 108,379.68	$ 2,972.97	$ 13,298.69	1,214.097	$ 124,651.34
Total value	**$ 108,379.68**	**$ 2,972.97**	**$ 13,298.69**		**$ 124,651.34**

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October 01, 2003 - December 31, 2003

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TOTAL VESTED VALUE

	Closing balance	Vested percent	Vested value
PRE-TAX	$ 81,210.84	100.00%	$ 81,210.84
COMPANY MATCH	43,440.50	100.00	43,440.50
Total vested value			**$ 124,651.34**

Your vested balance is the amount of money you are entitled to if you terminate your service at your employer. The money you have had deducted from your pay is always 100% vested. The money contributed to the plan by your employer is vested based on your time in service. If you have questions regarding vesting or your vested balance, please call Vanguard at the toll-free telephone number shown above.

PERFORMANCE SUMMARY AS OF 12/31/2003

	Date fund started	3 months	1 year	3 years	5 years	10 years
Vanguard Prime Money Market Fund	06/04/1975	0.19%	0.90%	2.23%	3.56%	4.39%
Average Money Market Fund		0.09	0.44	1.82	2.99	3.85
INEEL Stable Value Fund	12/31/1990	1.18	4.93	5.54	5.90	6.40
Vanguard Total Bond Market Index Fund Investor Shares	12/11/1986	0.18	3.97	6.87	6.17	6.69
Lehman Aggregate Bond Index		0.32	4.10	7.57	6.62	6.95
Vanguard Asset Allocation Fund Investor Shares	11/03/1988	10.02	26.42	0.42	2.26	10.58
Asset Allocation Composite Index		7.42	19.27	0.61	2.40	10.38
Vanguard 500 Index Fund Investor Shares	08/31/1976	12.12	28.50	-4.17	-0.63	10.99
S&P 500 Index		12.18	28.68	-4.05	-0.57	11.07
Vanguard Windsor II Fund Investor Shares	06/24/1985	13.84	30.08	1.47	2.63	11.68
Russell 1000 Value Index		14.19	30.03	1.22	3.56	11.88
Vanguard Morgan Growth Fund Investor Shares	12/31/1968	11.78	33.73	-4.04	0.72	10.58
Russell 3000 Growth Index		10.58	30.97	-8.84	-4.69	8.81
Vanguard PRIMECAP Fund Investor Shares	11/01/1984	11.91	37.75	-3.43	5.86	15.03
S&P 500 Index		12.18	28.68	-4.05	-0.57	11.07

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PERFORMANCE SUMMARY AS OF 12/31/2003 (CONT'D.)

	Date fund started	3 months	1 year	3 years	5 years	10 years
Vanguard Capital Opportunity Fund Investor Shares	**08/14/1995**	**14.57**	**49.55**	**-0.90**	**17.84**	**14.34***
Russell Midcap Growth Index		12.16	42.71	-6.13	2.01	9.40
ING SmallCap Opportunities	**06/05/1995**	**11.60**	**39.60**	**-20.29**	**3.28**	**7.95***
Russell 2000 Growth Index		12.68	48.54	-2.03	0.86	5.43
Van Kampen Agg Growth Fund	**05/29/1996**	**11.28**	**39.16**	**-17.83**	**1.90**	**8.03***
Russell 2500 Growth Index		12.00	46.31	-2.56	3.83	8.04
Lord Abbett Mid Cap Value Fund	**06/28/1983**	**12.39**	**24.94**	**6.79**	**14.24**	**14.21**
S&P MidCap 400/Barra Value Index		16.50	40.18	10.52	12.05	14.73
Vanguard International Growth Fund Investor Shares	**09/30/1981**	**14.57**	**34.45**	**-3.59**	**0.69**	**5.28**
MSCI EAFE Index		17.08	38.59	-2.91	-0.05	4.47

--- Not available for this time period. See "Date fund started".
* Since the fund's inception.

Since-inception performance figures for Vanguard or non-Vanguard funds greater than 10 years old or for Vanguard-managed company stock accounts or stable value accounts greater than 10 years old are no longer printed on Vanguard statements. However, except for non-Vanguard funds, this information is available online at Access Vanguard™ at www.vanguard.com or by calling Participant Services.

Performance figures for periods of more than one year are expressed as average annual total returns.

Performance figures include the reinvestment of dividends and capital gains distributions.

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The total return data provided represent past performance, and the investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

An investment in a money market fund is neither insured nor guaranteed by the U.S. government, and there is no assurance that the fund will be able to maintain a stable net asset value of $1 per share.

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STATEMENT OF ACCOUNT

Statement Period: 12/01/03 to 12/31/03

Last Statement: 11/30/03

December 2003

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

Account Number
7694-7164
Rep Number
FM04
Taxpayer ID
On File

Financial Advisor
HONG JERRY K
506 SOUTH WOODRUFF AVE
IDAHO FALLS ID 83401

Telephone Numbers
800-333-8794
208-522-3791

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As Piper Jaffray, our financial advisors have the experience and expertise to lead your investment strategy. This, combined with our competitive financial products and services, will help you reach all of your financial goals.

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553
91230-FM04

Portfolio Assets Summary

	12/01/03	12/31/03
Cash Balance	.00	.00
Money Market Funds	.00	.00
Stocks	52,024.88	56,574.93
Mutual Funds	.00	.00
Fixed Income	.00	.00
Annuities	.00	.00
Portfolio Value*	**52,024.88**	**56,574.93**
Margin Balance	1,734.00-	1,560.86-
Portfolio Net Worth*	**50,290.88**	**55,014.07**

** Excludes unpriced securities*

Portfolio Income Summary

	12/01/03 to 12/31/03	Year to Date
Money Market Fund	.00	.00
Dividends – Taxable	182.63	735.32
Corp./Taxable Bond Interest	.00	.00
U.S. Treasury Interest	.00	.00
Municipal Bond Interest	.00	.00
Total Portfolio Income*	**182.63**	**735.32**
Loan Interest Charges	9.49-	123.76-

** Refer to back of Statement for definitions*

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STATEMENT OF ACCOUNT

Statement Period: 12/01/03 to 12/31/03

Last Statement: 11/30/03

December 2003	KATHERINE SIMPSON	Account Number	Financial Advisor	Telephone Numbers
		7694-7164	HONG JERRY K	208-522-3791

Your Portfolio

Your Holdings	Symbol	Quantity	Date Acquired	Purchase Price	Purchase Cost	Market Price	Market Value	Gain or Loss	% of Holdings	Est. Current Yield %	Est. Annual Income
Stocks											
BOEING CO	BA	839	02/13/98	Please Provide		42.14	35,355.46	NA	64.3	1.61	570.00
EXXON MOBIL CORP	XOM	160	03/16/01	Please Provide		41.00	6,560.00	NA	11.9	2.43	160.00
FIBERNET TELECOM GROUP INC NEW	FTGX	166	09/18/01	6.8373	1,135	1.23	204.18	-931	0.4		.00
JDS UNIPHASE CORP	JDSU	100	03/06/01	30.171	3,017	3.64	364.00	-2,653	0.7		.00
MICRON TECHNOLOGY INC	MU	600	03/16/01	Please Provide		13.47	8,082.00	NA	14.7		.00
NORTEL NETWORKS CRP NEW	NT	100	03/05/01	17.9257	1,792	4.23	423.00	-1,369	0.8		.00
ORACLE CORP	ORCL	200	03/06/01	17.8778	3,575	13.23	2,646.00	-929	4.8		.00
QWEST COMM INTL INC	Q	53	03/16/01	Please Provide		4.32	228.96	NA	0.4		.00
SKYWEST INC	SKYW	100	09/18/01	19.337	1,933	18.07	1,807.00	-126	3.3	.44	8.00
SUN MICROSYSTEMS INC	SUNW	100	03/06/01	22.6321	2,263	4.47	447.00	-1,816	0.8		.00
VIGNETTE CORP	VIGN	200	03/06/01	6.7492	1,349	2.27	454.00	-895	0.8		.00
WORLDCOM INC WORLDCOM GROUP	WCOEQ	223	03/16/01	Please Provide		.0132	2.94	NA	0.0		.00
WORLDCOM INC GA NEW MCI GROUP COM	MCWEQ	8	03/16/01	Please Provide		.049	.39	NA	0.0		.00
	Stocks Sub-Total				**15,064**		**56,574.93**	**-8,719**	**102.8**		**738.00**
	Portfolio Value*				**15,064**		**56,574.93**	**-8,719**	**100.0**		**738.00**
MARGIN BALANCE							1,560.86-				
	Portfolio Net Worth*						**55,014.07**				

* Totals exclude securities without purchase cost and/or market price.

Purchase information presented in this section is gathered from sources deemed to be reliable. However, U.S. Bancorp Piper Jaffray does not attest to its accuracy and is not responsible for errors and/or omissions.

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STATEMENT OF ACCOUNT

 Statement Period: 12/01/03 to 12/31/03 Last Statement: 11/30/03

December 2003 KATHERINE SIMPSON

Account Number	Financial Advisor	Telephone Numbers
7694-7164	HONG JERRY K	208-522-3791

Recap of Account

Date	Activity	Description	Quantity	Price	Amount
Account Activity					
12/05/03	CASH DIVIDEND	BOEING CO	839		142.63
12/10/03	CASH DIVIDEND	EXXON MOBIL CORP	160		40.00
12/30/03	LOAN INTEREST	INTEREST 11/26THRU 12/29 AVERAGE BALANCE 1,605.59 AVERAGE RATE 6.250%			9.49-

For Your Information Only

Informational Section – The following items are reported for your information only and have not been included in 'Your Portfolio' or 'Recap of Account and Money Market Fund Activity' sections. Items shown here will appear on subsequent statements in 'Recap of Account and Money Market Fund Activity' (subject to change).

Cash Dividend/Interest Payments Pending

Date	Activity	Description	Quantity	Amount
01/10/04	DIVIDEND	SKYWEST INC	100	2.00

With our spin-off from U.S. Bancorp complete, we are pleased to announce that we have changed the name of the First American money market funds we offer you. The newly named money market funds are Piper Jaffray Prime Obligations, Piper Jaffray Government, Piper Jaffray Treasury and Piper Jaffray Tax-Free. The funds will continue to be managed by U.S. Bancorp Asset Management.

If you own shares in these funds, you received a prospectus in the mail in December. Also, you will notice two transactions on your December statement related to the name change. One shows that you have redeemed shares in the First American fund and the second shows that you repurchased shares in the renamed Piper Jaffray fund. You will also see two dividends: one from the First American fund paying through Dec. 7 and one from the Piper Jaffray fund paying from Dec. 8 through Dec. 31. If you are in a fee-based program and receive 12b-1 fee rebates, you will see two separate rebates next month. All of these actions are technical requirements that will not affect the assets in your account or the interest you earn. If you have any questions, please contact your financial advisor.

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STATEMENT OF ACCOUNT

STATEMENT INFORMATION

To help you better understand your statement and the information within it, we have highlighted statement sections, defined terms, and provided explanations for you. U.S. Bancorp Piper Jaffray statements can be customized to individual accounts. The descriptions that follow cover all possible statement sections, some of the information may not apply to your particular account statement. If you have any questions, please contact your financial advisor.

Portfolio Assets Summary: Details your opening and closing balances, based on the total market value of priced securities, for this statement period. Portfolio assets are listed by security type and dollar value. ***Portfolio Net Worth*** is the value of all cash and money market funds plus priced securities.

Portfolio Income Summary: Summarizes dividend, interest and other income earned for this statement period as well as year-to-date income from investments held in your brokerage account. *(For your convenience we have summarized reinvested income in a separate section).* ***Dividends/Taxable***- Ordinary dividends and short-term capital gains distributed out of a company's earnings and profits. These include primarily equities, mutual funds containing equities, and UIT's made up of equities. ***Dividends/Nontaxable***- Dividends paid from municipal bond funds and tax-exempt interest earned by mutual fund companies who have met certain IRS requirements. These include funds that are made up of municipal securities. ***Corporate/Taxable Bond Interest***- Interest from CDs, corporate bonds, taxable municipal bonds and fully taxable agency securities. ***U.S. Treasury Interest***- Interest from U.S. Treasury securities and state tax-exempt agency securities. ***Capital Gains***- Gains from buys and sells of underlying securities in mutual funds that are distributed to investors as payments from the fund companies. ***Return of Capital/Principal***- Distributions that are not paid out of the earnings and profits of a corporation. A return of capital reduces the cost basis of your equity and is a return of your investment in the stock of the company. ***Total Portfolio Income***- Income received in cash and the value of income reinvested. ***Other Income***- Income from partnership distribution and royalty payments.

Current Yields, Cash Flow and Credit Account Summary ***(Prime Account Only):*** Reflects current yields on available money, all cash flow information *(deposits and withdrawals)* for this statement period as well as year-to-date, and all credit information for any margined securities and/or loan balances.

Asset Allocation Summary ***(Prime Account Only):*** Reflects the dollar value and percentage breakdown of priced "long" and "short" positions. The pie chart does not display short positions, loan balances or unpriced positions. Cash Equivalencies includes: cash balance *(SIPC protected)* and money market funds *(SIPC protected)*.

Your Portfolio: Lists all securities held in your account organized by asset category. Securities are identified by asset category, description, symbol, quantity, market price and market value as of the close of the statement period. If available, an estimated current yield and annual income based on historical income from the prior 12 months are also shown.

Recap of Account Activity: Reflects all transactions in your account in chronological order during the statement period *(unless you have elected to separate securities transactions from money market fund activity)*. Banking services provided through U.S. Bank National Association, an affiliate of U.S. Bancorp Piper Jaffray.

Gain *(Loss)*Summary: You may elect to receive realized and unrealized gain (loss) information on securities we hold for you. This information is provided as a service to you and may not be accurate for tax reporting or other purposes.

Open Orders: "Good until canceled" orders are shown for your account as of the statement date and are canceled after 90 days. If these orders differ from your records, please notify your financial advisor.

REGULATORY INFORMATION

Market Prices/Managed Futures Contract Prices/Bond Ratings: Prices for determining market values represent estimates. These estimates are obtained from multiple sources, including U.S. Bancorp Piper Jaffray, its affiliates, and outside vendor sources. Managed futures contracts price estimates are received from the commodity futures fund manager. Pricing estimates may be based upon bids, prices within the bid/ask spread, closing prices or matrix methodology that uses data relating to other securities whose prices are more ascertainable to produce a hypothetical price based on the estimated yield spread relationship between the securities. Pricing estimates do not constitute a bid for any security or futures contract. Actual prices realized at sale may be more or less than shown on your statement. Bond ratings are received from outside sources. *(While we believe our sources for market values and bond ratings to be reliable, we cannot guarantee their accuracy).*

Securities Account Protection: U.S. Bancorp Piper Jaffray is a member of Securities Investor Protection Company (SIPC), which protects securities clients of its members in liquidation up to $500,000 *(limited to $100,000 on claims for cash)*. An explanatory brochure is available upon request. Every U.S. Bancorp Piper Jaffray securities client has account protection up to the full net equity of their account and unlimited cash coverage *(inclusive of SIPC protection)*. This additional coverage is through The Travelers Casualty and Surety Company of America. These protection plans do not cover market loss.

Other Assets Not Held At U.S. Bancorp Piper Jaffray: Assets not held are included on the statement solely as a service to the client, and are not covered by SIPC. Information on these assets is derived from you or external sources we deem reliable, however we cannot guarantee the accuracy of and are not responsible for this information. Securities issued through or by a Direct Participation Program or Real Estate Investment Trust *(eg. partnerships, limited liability companies)* are generally illiquid because no public trading market exists for these securities. Consequently, their estimated values, which are shown herein will be different from the purchase price and these estimated values may not necessarily be realized upon the sale of these securities. In some cases, accurate valuation information relating to these securities may not be available. For questions or more information regarding these securities, please contact your financial advisor.

Loan/Margin Account Clients: If you have a margin account, this is a combined statement of your general account and the Special Memorandum Account maintained for you under Section 220.6 of Regulation T, issued by the Board of Governors of the Federal Reserve system. The permanent record of the separate sub-accounting, as required by Regulation T, is available for your inspection.

Option Clients: Information on commissions and other charges related to the execution of listed options transactions has been included on confirmations of such transactions previously furnished to you. This information will be made available to you promptly on request.

Callable Securities: In the event of a partial call of corporate or municipal bonds or preferred stock held in bulk by us for you and other securities clients, the securities to be called will automatically be called using a random selection procedure prescribed by the New York Stock Exchange. The probability that your securities will be selected is proportional to the amount of your holdings relative to the total holdings.

Tax Reports: As required by law, at year-end we will report to you, the Internal Revenue Service, and to certain states, certain information on sales *(including short sales)*, dividends and various types of interest that have been credited to your account. **Therefore, your statement should not be used to complete your tax return.**

U.S. Bancorp Piper Jaffray Statement of Financial Condition: You may obtain a copy of our Statement of Financial Condition by either visiting our Web site, piperjaffray.com or by requesting a copy be mailed, at no cost to you, by calling us toll free at 1-866-676-9480.

Changes in Your Situation: Please advise your financial advisor promptly of any material change in your investment objectives or financial condition.

U.S. Bancorp Piper Jaffray
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513 (01/03)

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Account number: *822-90401-1-9
Statement type: Preferred
November 29 - December 31, 2003

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Maryland Heights, MO 63043
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BLACKFOOT ID 83221-1553

NEIL A. ANDERSON
PO BOX 778
BLACKFOOT ID 83221
208-785-3370
800-927-0837

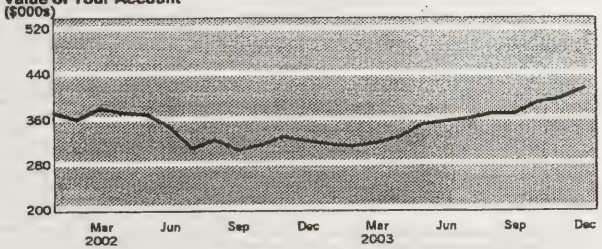
Value Summary (Held at Edward Jones)

Value on Dec 31	$415,049.98
Value on Nov 29	$397,172.53
Value one year ago	$323,623.90

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 29	Change in value
Cash & money market	$510.84	$510.84	$0.0
Mutual funds	414,539.14	396,661.69	17,877.4
Total at Edward Jones	**$415,049.98**	$397,172.53	$17,877.4

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

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Account number: 823-90401-1-9
Statement type: Preferred
November 29 - December 31, 2003

2011 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
Serving Individual Investors Since 1871

Your Retirement Account Summary

	This period	Cumulative
2003 Contributions	$0.00	$0.00
2002 Contributions	$0.00	$0.00

Information Regarding Your 2004 Required Minimum Distribution (RMD)
For those age 70-1/2 or older, the IRS may require you to remove a minimum distribution from your retirement account by Dec. 31, 2004. However, if you turn 70-1/2 in 2004, you may defer your 2004 RMD until April 1, 2005. If an RMD is due, Edward Jones will report that information to the Internal Revenue Service on IRS form 5498. Contact your investment representative for this calculation.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$0.24
Dividends	2,005.32	8,206.47
Total	$2,005.32	$8,206.71

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Mutual Funds	Quantity	2004 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Tot
AIM INTERNATIONAL GROWTH FD A*	1,651												34	3
AMERN HIGH INCOME TRUST FUND A*	3,381	270	270	270	270	270	270	270	270	270	270	270	270	3,24
BOND FUND OF AMERICA CL A*	3,073	207	207	207	207	207	207	207	207	207	207	207	207	2,48
FUNDAMENTAL INVESTORS FD CL A*	1,703			170			170			170			170	68
INCOME FUND OF AMERICA CL A*	2,837			496			496			496			496	1,98
NEW PERSPECTIVE FUND CL A*	2,146												377	37
NEW WORLD FUND CL A*	388												226	22
Total		477	477	1,143	477	477	1,143	477	477	1,143	477	477	1,780	9,02

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Account number: 823-90401-1-9
Statement type: Preferred
November 29 - December 31, 2003

201 Progress Parkway
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Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	0.05%	0.05%	$510.84
Total cash and money market funds			$510.84

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIM AGGRESSIVE GROWTH FUND CL A Quote Symbol: AAGFX	Aggressive	9.31	3,865.607	$35,988.80	—	—
AIM CONSTELLATION FUND CL A Quote Symbol: CSTGX	Aggressive	21.51	1,577.109	33,923.61	27,305.88	—
AIM GLOBAL AGGRESSIVE GROWTH FUND CL A Quote Symbol: AGAAX	Aggressive	15.37	1,900.362	29,208.56	—	—
AIM GLOBAL AGGRESSIVE GROWTH FUND CL B Quote Symbol: AGABX	Aggressive	14.47	337.141	4,878.43	—	—
AIM INTERNATIONAL GROWTH FUND CL A Quote Symbol: AIIEX	Growth	16.31	1,651.793	26,940.74	—	—
AIM INTERNATIONAL GROWTH FUND CL B Quote Symbol: AIEBX	Growth	15.34	290.797	4,460.83	—	—
AIM PREMIER EQUITY FUND CL A Quote Symbol: AVLFX	Growth	9.38	3,216.853	30,174.08	—	—
AIM PREMIER EQUITY FUND CL B Quote Symbol: AVLBX	Growth	8.75	541.974	4,742.27	—	—

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Mutual funds, continued	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AMERICAN HIGH INCOME TRUST CL A Quote Symbol: AHITX	Aggressive Income	12.33	3,381.403	$41,692.70	$26,564.05	—
BOND FUND OF AMERICA CL A Quote Symbol: ABNDX	Income	13.51	3,073.024	41,516.55	26,572.52	—
FUNDAMENTAL INVESTORS FUND CL A Quote Symbol: ANCFX	Growth & Income	28.85	1,703.385	49,142.66	26,564.04	—
INCOME FUND OF AMERICA CL A Quote Symbol: AMECX	Growth & Income	17.18	2,837.696	48,751.62	26,564.04	—
NEW PERSPECTIVE FUND CL A Quote Symbol: ANWPX	Growth	24.49	2,146.562	52,569.30	26,564.03	—
NEW WORLD FUND CL A Quote Symbol: NEWFX	Growth	27.18	388.116	10,548.99	8,565.94	—
Total mutual funds				$414,539.14	—	—
Total estimated asset value				$415,049.98		

Account number: 823-90401-1-9
Statement type: Preferred
November 29 - December 31, 2003

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Summary of Your Investment Activity

Total cash and money market funds on Nov 29	$510.84
Additions	
Income	$2,005.32
Total additions	$2,005.32
Subtractions	
Withdrawals to purchase securities	-$2,005.32
Total subtractions	-$2,005.32
Total cash and money market funds on Dec 31	**$510.84**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	12/01	AMERN HIGH INCOME TRUST FUND A AT DAILY ACCRUAL RATE	3340.329			$233.75	Reinvested
		12/15	FUNDAMENTAL INVESTORS FD CL A	1697.255	0.10		169.73	Reinvested
		12/15	INCOME FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	2808.236			491.39	Reinvested
		12/16	AIM INTERNATIONAL GROWTH FD A	1649.513	0.021		35.96	Reinvested
		12/19	NEW PERSPECTIVE FUND CL A	2130.749	0.177		377.14	Reinvested
		12/19	NEW WORLD FUND CL A	379.886	0.585		222.12	Reinvested

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Additions, continued

	Type	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	12/26	BOND FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	3057.732			$206.44	Reinvested
		12/29	AMERN HIGH INCOME TRUST FUND A AT DAILY ACCRUAL RATE	3359.568			268.79	Reinvested
		Total income					$2,005.32	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/01	REINVESTMENT INTO AMERN HIGH INCOME TRUST FUND A	19.239	12.15		-$233.75	Income
	12/15	REINVESTMENT INTO FUNDAMENTAL INVESTORS FD CL A	6.13	27.69		-169.73	Income
	12/15	REINVESTMENT INTO INCOME FUND OF AMERICA CL A	29.46	16.68		-491.39	Income
	12/16	REINVESTMENT INTO AIM INTERNATIONAL GROWTH FD A	2.28	15.77		-35.96	Income
	12/19	REINVESTMENT INTO NEW PERSPECTIVE FUND CL A	15.813	23.85		-377.14	Income
	12/19	REINVESTMENT INTO NEW WORLD FUND CL A	8.43	26.35		-222.12	Income
	12/26	REINVESTMENT INTO BOND FUND OF AMERICA CL A	15.292	13.50		-206.44	Income
	12/29	REINVESTMENT INTO AMERN HIGH INCOME TRUST FUND A	21.835	12.31		-268.79	Income
	Total withdrawals to purchase securities					-$2,005.32	

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Other Activity

Date	Activity		Quantity	Notes	Estimated Value
12/01	RECEIVED	AIM PREMIER EQUITY FUND CL A	1195.711	CONVERSION FROM CLASS B SHS	$10,833.14
12/01	RECEIVED	AIM PREMIER EQUITY FUND CL A	647.429	CONVERSION FROM CLASS B SHS	5,865.71
12/01	RECEIVED	AIM INTERNATIONAL GROWTH FD A	776.902	CONVERSION FROM CLASS B SHS	12,236.21
12/01	RECEIVED	AIM INTERNATIONAL GROWTH FD A	168.139	CONVERSION FROM CLASS B SHS	2,648.19
12/01	DELIVERED	AIM PREMIER EQUITY FUND CL B	-1279.896	CONVERSION TO CLASS A SHS	-10,827.92
12/01	DELIVERED	AIM PREMIER EQUITY FUND CL B	-693.012	CONVERSION TO CLASS A SHS	-5,862.88
12/01	RECEIVED	AIM GLOBAL AGGRESSIVE GROWTH A	773.32	CONVERSION FROM CLASS B SHS	11,452.87
12/01	RECEIVED	AIM GLOBAL AGGRESSIVE GROWTH A	317.369	CONVERSION FROM CLASS B SHS	4,700.23
12/01	DELIVERED	AIM GLOBAL AGGRESSIVE GROWTH B	-821.056	CONVERSION TO CLASS A SHS	-11,445.52
12/01	DELIVERED	AIM GLOBAL AGGRESSIVE GROWTH B	-336.96	CONVERSION TO CLASS A SHS	-4,697.22
12/01	DELIVERED	AIM INTERNATIONAL GROWTH FD B	-827.025	CONVERSION TO CLASS A SHS	-12,239.97
12/01	DELIVERED	AIM INTERNATIONAL GROWTH FD B	-178.986	CONVERSION TO CLASS A SHS	-2,648.99

Winning In Life
Olympic medalist ... Rhodes scholar ... White House appointee ... business owner ... mother. "NBC Nightly News" calls Bonnie St. John "one of the five most inspiring women in America." Join us on Tuesday, Feb. 10, 2004, to hear Bonnie's inspirational and motivational story, plus tips to help you find success in career and business, and a special market commentary by women investors with our Chief Market Strategist, Alan Skrainka. To reserve a seat for yourself and a relative or friend, please call your investment representative. If you are unable to join us for this free video presentation, please ask about other viewing opportunities.

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Please refer to account agreement forms for complete terms and conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, The Federal Reserve System and any applicable self-regulatory organization. If your records differ from your statement or you believe an error has occurred, contact your investment representative; write to Edward Jones, attn: Customer Reporting, 201 Progress Parkway, Maryland Heights, MO 63043; contact us at www. edwardjones.com; or call our Customer Relations Department at 800-441-2357, Monday - Thursday, 7a.m. - 9 p.m. and Friday 7a.m. - 7p.m. Central Time. If you have concerns regarding how your account was handled, contact our Customer Relations Department.

Account Protection - Securities held in custody for your account by Edward Jones (except annuities and insurance) are protected up to a total of $100,000,000 per account. Of this total, Securities Investor Protection Corporation (SIPC) provides $500,000 of protection, including $100,000 for cash claims. The remaining $99,500,000 of protection on securities is provided through Travelers Casualty and Surety Co. This protection does not guard against market loss.

REGULATORY DISCLOSURES

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to demand and receive, during normal business operations, free delivery of your free credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Amount of money you can borrow - The amount of money you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this account feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special miscellaneous account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. In the event you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence at time of distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately. However, the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Investment Objectives - Inform us promptly of any material change in your investment objectives or financial situation. Tax classifications for dividends, interest and other distributions are provided for general information and may not be applicable to your individual situation. A tax document is provided at year end.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. The firm receives from certain market centers and dealers either cash payments or credits against exchange fees in return for routing orders. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. From time-to-time the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

Phone 800-362-6299 with questions about your Edward Jones credit card.

For questions about your VISA debit card, please call 888-289-6635. Your withdrawal limit from bank tellers is $2,500.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones cannot guarantee the accuracy of such values; If you need the exact price, contact your investment representative. Values for many fixed-income securities are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values do not include accrued interest or dividends and for some investments do not reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for informational purposes only to give you an overall picture of your investments with Edward Jones. SIPC coverage is not extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. It helps track long-term performance of your investment and is a reminder of its approximate cost. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who have a CFO (Complete Financial Organization) account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

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Morgan Stanley

STATEMENT OF YOUR ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2003

Your Financial Advisor
WILLIAM BROWN
FIRST VICE PRESIDENT
MORGAN STANLEY
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

Account Number
146 012447 010

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

Householding Anniv. Date:
07/28/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Asset
Money Market Funds	$0.00	0.0%
Stocks	13,842.50	100.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$13,842.50	100.0%
Debit Balance	-5.35	
Total Asset Value	$13,837.15	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$0.00	$0.00
Stocks	2.00	16.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$2.00	$16.00
Taxable Income	$2.00	$16.00
Tax Exempt Income	$0.00	$0.00

Activity Summary

Total Asset Value September 30 2003		$13,073.65
Cash/Money Market Activity		
Closing Balance 9/30	-97.35	
Credits To Your Account		
Dividends and Interest	2.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	2.00	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	-5.35	
Net Change Cash/Money Market Activity		2.00
Changes In Asset Value		
Value of Priced Assets 9/30	$13,081.00	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	761.50	
Value of Priced Assets 12/31	13,842.50	
Net Change In Asset Value		761.50
Total Asset Value [illegible]		13,837.15

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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KATHERINE SIMPSON
786 HOFF DR

Account Number
148 012447 010

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
DEBIT BALANCE	-5.35	---	---
Total Cash and Money Market Funds	-$5.35		

Net Change Since Last Statement $2.00

Annualized 30 Day Yields

Liquid Asset Fund	0.54%
Tax-Free Daily Income Tr	0.38%
U.S. Gov't Money Market Tr	0.40%
CA Tax-Free Daily Income Tr	0.35%
New York Muni Money Market	0.25%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	N AGERE SYSTEMS CLASS A	3.05	305.00	2.2%	0	0.00%	AGR'A	
50	N BROCADE COMM SYS	5.78	289.00	2.1%	0	0.00%	BRCD	
100	N CISCO SYS INC	24.23	2,423.00	17.5%	0	0.00%	CSCO	
200	N DELTA AIRLINES	11.81	2,362.00	17.1%	20	.84%	DAL	
100	N INTEL CORP	32.05	3,205.00	23.2%	8	.24%	INTC	Next Dividend Payable 03/04
100	N ORACLE CORP	13.23	1,323.00	9.6%	0	0.00%	ORCL	
100	N SINA.COM	33.75	3,375.00	24.3%	0	0.00%	SINA	
100	N SUN MICROSYSTEMS INC	4.47	447.00	3.2%	0	0.00%	SUNW	
50	N VIGNETTE CORPORATION	2.27	113.50	0.8%	0	0.00%	VIGN	
Total Stocks			$13,842.50	100.0%	$28			

Net Change Since Last Statement $741.50

Asset Summary	Value	Est Yrly Income
Total Asset Value	$13,837.15	$28

Total Net Change In Priced Assets Since Last Statement $741.50

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Morgan Stanley

STATEMENT OF YOUR ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2003

KATHERINE SIMPSON
786 HOFF DR

Account Number
146 012447 010

Morgan Stanley Margin Loan Rates

Loan Amount	Rate
$0 - 9,999	7.875%
$10,000 - 24,999	7.750%
$25,000 - 49,999	6.750%
$50,000 - 99,000	6.625%
$100,000 - 499,999	5.375%
$500,000 - 999,999	4.875%
$1,000,000 & over	4.500%

EFFECTIVE DATE: July 8, 2003
PREVIOUS CHANGE: May 15, 2003

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
Taxable Income				
12-01	Dividend	INTEL CORP	2.00	Stocks
		Total Dividends and Interest	**2.00**	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per Income category.

Total Credits To Your Account $2.00 Year-to-Date $18.00

ADDITIONAL ACCOUNT INFORMATION

Morgan Stanley Fund Summary	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
U.S. GOV'T MONEY MARKET TR	0.00	0.00	0.00	Reinvest Dividends
Totals	**$0.00**	**$0.00**	**$0.00**	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

KATHERINE SIMPSON
788 HOFF DR

Account Number
146 012447 010

Morgan Stanley Fund Summary

U.S. GOV'T MONEY MARKET TR

Following are the Fund's 30-day average annualized yields during the past quarter: October 0.37%, November 0.37%, and December 0.40%.

Messages

Consolidated Statement of Financial Condition (in thousands of dollars): As of 11/30/03, Morgan Stanley DW Inc. had net capital of $1,056,302 which exceeded the SEC's minimum requirement of $101,154. A copy of the Morgan Stanley DW Inc. Consolidated Statement of Financial Condition as of 11/30/03 will be available after Feb 17, 2004 and can be either mailed to you at no cost by calling toll free 1(866)279-4498 or accessed online at http://www.morganstanley.com/about/ir/shareholder/MorganStanleyDWInc.pdf.

See accompanying Perspectives newsletter for annual disclosure statement for all accounts with margin privileges.

For information about how the Jobs and Growth Tax Relief Reconciliation Act of 2003 may affect your assets and be reported for you by Morgan Stanley, please visit www.morganstanley.com/online or contact your Financial Advisor.

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Morgan Stanley

Errors & Inquiries

If you do not understand an entry on your statement or suspect an error, it is essential that you immediately contact the manager of the office servicing your account or, if you have a self-directed account, please call 800-688-6896. We will consider your statement correct unless we receive a written inquiry from you about the suspected error within 10 calendar days from the day on which you received your statement. It is your responsibility to review your statement promptly and to seek immediate clarification about entries that you do not understand

SIPC Protection

Securities and cash held in Morgan Stanley accounts are protected up to their full net equity value by a combination of coverage provided by the Securities Investor Protection Corporation ("SIPC") a non-profit organization created by an Act of Congress and additional protection purchased from a private insurer by Morgan Stanley (the "Excess Coverage"). SIPC protects up to $500,000 of each customer's securities of which up to $100,000 may be uninvested cash. Excess Coverage provides additional protection up to the full net equity value of each account including unlimited coverage for uninvested cash. SIPC and Excess Coverage apply only to securities and cash in the exclusive possession and control of Morgan Stanley and do not protect against losses due to market fluctuations. Detailed information is available upon request.

Transaction Dates

All transaction dates on this statement are the transactions' settlement dates. In the case of unsettled trades, we list the trade date instead of settlement date with a notation that the trade is unsettled.

Pricing of Securities

The prices of securities displayed on your statement are derived from various sources and in some cases may be higher or lower than the price that you would actually receive in the market. For securities listed on an exchange or trading continually in an active marketplace, the price reflects the market quotations at the close of your statement period. The prices of securities not actively traded may not be available. These are indicated by "N/A" (not available).

For bonds trading less frequently, we rely on outside pricing services or a computerized pricing model, which cannot always give us actual market values. Similarly, some annuity values provided by outside sponsors are estimates.

The amounts on this statement for limited partnerships are typically obtained from a third party or from the investments' general partners unless Morgan Stanley DW Inc. has obtained other information such as an independent appraisal. Since many partnership valuations are provided only annually, they do not always represent current values.

Furthermore, limited partnerships and non-traded *Real Estate Investment Trusts* (REITs) are illiquid and have no public markets, so the amounts shown on this statement may not equal the amounts you would receive if you sold your investment.

The value of mutual fund shares is determined by multiplying the Net Asset Value (NAV) by the number of shares or units held as reported to Morgan Stanley DW Inc. by the correspondent custodian. If we cannot obtain a price or estimate, "N/A" appears.

For more detailed current information on prices, speak to your Financial Advisor or, if you have a self-directed account, please call 800-688-6896.

Account Valuation

This figure represents the approximate value of your account on a settlement date basis and is computed by adding (1) the market value of all priced positions and (2) market values provided by pricing services and correspondent custodians for other positions: and by adding any credit or subtracting any debit to your closing cash or money market balance. Please note, this valuation may be adjusted for the net change in priced asset values for securities held or for the net change in money market balances in your account during the statement period. Your closing cash and/or money market balance represents the cash and/or money market funds available in your account and reflects the net month end balance of all deposits, credits and debits (including checking and MasterCard activity in Active Assets accounts).

Margin Privileges (not available for IRAs or retirement accounts)

If you have applied for margin privileges and have been approved, you may borrow money from Morgan Stanley DW Inc. in exchange for pledging assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin account, which are identified by an asterisk (*) on your statement.

Margin Interest Charges

We calculate interest charges on margin loans as follows:

(1) Multiply the applicable margin interest rate times the average daily balance of your margin loan.
(2) Multiply the result of Step (1) times the number of days your loan was outstanding.
(3) Divide the result of Step (2) by 360 (days).

We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-2 & -3], Morgan Stanley DW Inc. may use free credit balances in your account which are payable to you on demand, in the ordinary course of its business. We send summary Morgan Stanley DW Inc. financial statements to you semiannually; copies are also available on request, by mail.

Taxes

Under Federal Income Tax law, we are required to report the gross proceeds of sales transactions, entering into short sales and redemptions done in your account. Although your statement may describe certain items as (federally) tax-free, this is for information purposes only; when reporting your taxes please rely exclusively on the 1099 forms you will receive at the end of the year. (For IRAs, 1099s report distributions from the account rather than income or proceeds from sales.) Federal law subjects you to penalties and withholding if you fail to provide us with your Social Security or Tax ID Number.

Listed Options

Information with respect to commissions and to other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you. A summary of such information will be made available to you promptly at your request.

Estimated Annual Income and Yields

Estimated annual income and yields are calculated by annualizing the most recent distribution and do not reflect historic experience or projected future results. Since the rates are subject to change at any time, they should not be entirely relied on for making investment or trading decisions. You are advised to consult with your Financial Advisor before making decisions. Your Financial Advisor will be able to assist you with accurate up-to-date information so that you can make an informed decision. If you have a self-directed account, please call 800-688-6896.

Accrued Bond Interest YTD

This figure represents the taxable accrued interest received on bond sale transactions and credited to your account during the calendar year. As required by law, Morgan Stanley DW Inc. will report to the Internal Revenue Service the total year-to-date figure indicated on the last statement you receive for the calendar year.

Dividend/Interest (Month/YTD)

These figures represent dividends and/or interest on securities received by Morgan Stanley DW Inc. and credited to your account during the calendar year. As required by law, Morgan Stanley DW Inc. reports taxable dividends and bond interest credited to your account to the Internal Revenue Service. The totals reported are indicated as the year-to-date figures on the last statement for the calendar year. In the case of REITs, Real Estate Limited Partnerships and Regulated Investment Company Unit Investment Trusts, the Sponsor may reclassify income and return of principal into a different ratio for the prior year.

Certain Assets Not Held at Morgan Stanley DW Inc.

You may purchase certain assets through Morgan Stanley DW Inc. which will be held at a custodial institution other than Morgan Stanley DW Inc. Assets held in custodial positions are not covered by SIPC protection. Where available, we include information about these assets on your Morgan Stanley DW Inc. statement. The custodial institution is responsible for providing year-end tax reporting (1099s) and separate periodic statements, which may vary from our information due to different reporting periods. In the case of Morgan Stanley, Van Kampen and external networked mutual funds, Morgan Stanley DW Inc. will provide all year-end tax reporting (1099's). In the case of IRA accounts, Morgan Stanley DW Inc. performs all tax reporting.

Client's Investment Objectives and Financial Situation

It is essential to advise your Financial Advisor or for self-directed accounts, call 800-688-6896, immediately if there is a material change in your investment objectives or financial situation.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Ike N. Skelton
(Full Name)

2206 Rayburn HOB Washington, DC 20515
(Mailing Address)

202.225.2876
Daytime Telephone:

2004 MAY 17 PM 5:09
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: MO District: 04	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 17, 04

5069

SCHEDULE I - EARNED INCOME

Name Ike N. Skelton

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Missouri State Senate - Retirement	State Senate - 6 years	$7,532

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ike N. Skelton

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Trust, Virginia B. Anding Estate, United Missouri Bank, Kansas City, MO Asset: UMB Common Stock fund, UMB Taxable Fixed Income Fund	$250,001 - $500,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
	Trust, Carolyn B. Skelton Estate, Commerce Bank, Kansas City, MO Assets: CB Tax Free Bond Funds, CB Institutional Liquid Assets Tax Exempt	$100,001 - $250,000	DIVIDENDS/INTEREST	$2,501 - $5,000	
SP	McGowan Working Partners	$1 - $1,000	RENT	$1 - $200	
SP	Fractional Interest in Store Building, Delhi, LA	$1 - $1,000		$1 - $200	

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SCHEDULE V - LIABILITIES

Name Ike N. Skelton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Federal Credit Union, Washington, DC	Personal Loan	$10,001 - $15,000
	Lafayette Co. Bank, Lexington, MO	Open Note	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Ike N. Skelton

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ike Skelton Outstanding Junior Sigma Chi Award (Xi Xi Chapter, U of Missouri)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
6
LEGISLATIVE RESOURCE CENTER
HC 2004 APR 28 PM 10: 49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

LOUISE SLAUGHTER
(Full Name)

14 MANOR HILL DR., FAIRPORT, NY 14450 585-223-7519
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: NY District: 28	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Louise Slaughter	4/27/04

5074

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NEW YORK STATE	LEGISLATIVE PENSION	8,184

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name LOUISE M. SLAUGHTER

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
DC, Examples:	Simon & Schuster			Indefinite												X				Royalties												
JT	1st Bank of Paducah, KY accounts						X																						X			
	FIDELITY GROWTH & INC FUND			X										X								✓										
	FIDELITY INDEPENDENCE FUND				X									X								✓										
	VANGUARD GROWTH & INCOME FUND				X									X								✓										
SP	401K EASTMAN KODAK CO							X						X								✓										
SP	FIDELITY GROWTH & INCOME FUND							X						X								✓										
SP	MUTUAL QUALIFIED FUND C1-2							X						X								✓										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LOUISE M. SLAUGHTER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	FIDELITY GROWTH CO FUND							X				N						
SP	FIDELITY MAGELLAN FUND			X				X				A						
SP	FIDELITY INDEPENDENCE FUND			X				X				A						
JT	CITIGROUP							X					X					
JT	DREYFUS MMF GROWTH FUND A							X										
JT	FIDELITY DAILY INC. TRUST			X				X										
JT	CANADIAN GOV. NV. LOAN		X						X									
	CLOROX		X					X										
	PROCTOR & GAMBLE							X						X				
	JM SMUCKER		X					X					X					

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SCHEDULE IV — TRANSACTIONS

Name LOUISE M. SLAUGHTER | Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	VANGUARD GROWTH & INC. FUND IRA-RMD		X		12-16-03	X									
SP	FIDELITY MAGELLAN FUND IRA-RMD		X		12-16-03		X								
SP	401 EASTMAN KODAK		X		12-5-03		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
FREE PRESS MEDIA CONFERENCE	NOV. 8-9	ROCHESTER, NY — MADISON, WI — ROCHESTER, NY	Y	Y	N	Ø

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2469 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3615

3120 FEDERAL BUILDING
100 STATE STREET
ROCHESTER, NY 14614
(585) 232-4850

465 MAIN STREET, SUITE 105
BUFFALO, NY 14203
(716) 853-5813

1910 PINE AVENUE
NIAGARA FALLS, NY 14301
(716) 282-1274

Email: http://www.louise.house.gov/contact.htm
Web: http://www.louise.house.gov

CONGRESS OF THE UNITED STATES

LOUISE M. SLAUGHTER
28TH DISTRICT, NEW YORK

COMMITTEE ON RULES
SUBCOMMITTEE ON
LEGISLATIVE AND BUDGET PROCESS

SELECT COMMITTEE ON
HOMELAND SECURITY

CONGRESSIONAL CAUCUS FOR
WOMEN'S ISSUES
DEMOCRATIC CO-CHAIR

CONGRESSIONAL ARTS CAUCUS
DEMOCRATIC CO-CHAIR

COMMITTEE ON ORGANIZATION,
STUDY, AND REVIEW

WHIP PARLIAMENTARY GROUP

COMMISSION ON SECURITY AND
COOPERATION IN EUROPE

May 11, 2004

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 12:24
CLERK, U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

MC

Clerk of the House
Legislative Resource Center
B-106 Longworth HOB
Washington, DC 20515

Dear Mr. Trandahl,

Enclosed please find an amended Schedule III, to be attached to my Calendar Year 2003 Financial Disclosure Statement. Thank you for your assistance.

Sincerely,

Louise M. Slaughter

Louise M. Slaughter
Member of Congress

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Name LOUISE M. SLAUGHTER | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 12: 24
HOUSE OF REPRESENTATIVES

BLOCK A — Asset and/or Income Source

Identity (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X									X									P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X																
	FIDELITY GROWTH & INCOME FUND			X										X			X															
	FIDELITY INDEPENDENCE FUND				X									X			X									X						
	VANGUARD GROWTH & INCOME FUND				X									X			X								X							
SP	401K EASTMAN KODAK CO.							X						X												X						
SP	FIDELITY GROWTH & INCOME FUND CI-Z							X						X			X															
SP	MUTUAL QUALIFIED FUND							X						X			X															

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name | Page ____ of ____

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	FIDELITY GROWTH CO FUND						X										X										X					
SP	FIDELITY MAGELLAN FUND					X								X			X									X						
SP	FIDELITY INDEPENDENCE FUND				X									X			X											X				
JT	CITIGROUP				X									X									X									
JT	OPPENHEIMER GROWTH FUND A				X									X							X											
JT	FIDELITY DAILY INC TRUST						X							X										X								
JT	EASTMAN SAVINGS & LOAN			X												X						X										
	CLOROX			X										X								X										
	PROCTOR & GAMBLE						X							X											X							
	JM SMUCKER			X										X								X										

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 10 PM 4:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Adam Smith
(Full Name)

227 Cannon House Office Building
Washington DC 20515
(Mailing Address)

Daytime Telephone: (202) 225-8901

Filer Status	☒ Member of the U.S. House of Representatives	State: Washington District: 9th	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Adam Smith	5/2/04

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Name Adam Smith | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	CHARITABLE LEAD TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples:	SP	Mega Corp. Stock				X								X																		P
DC			Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts																														
JT	Washington Mutual Checking				X																	X											
JT	Washington Mutual Checking					X											X							X									
S	Washington St. Retirement				X																	X											
SP	Washington St. Retirement			X																		X											
SP	IRA (IBM Stock)				X										X								X										
SP	IRA (Money Market)				X												X						X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam Smith

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	X Over $5,000,000	BLOCK E Transaction: P, S, E
JT	F5 Networks Stock		X									X						
JT	Smith Barney Fundamental Value Class B			X								X						P
JT	Van Kampen Emerging Growth Fund Class B		X									X						
JT	Vanguard 500 Index Fund			X				X					X					Inherited
JT	T. Rowe Price MD Tax Free Bond			X				X					X					Inherited
DC	GET Fund (Kendall Smith)			X								X						P
DC	GET Fund (Jack Smith)			X								X						P
JT	TD Waterhouse Cash Acct.		X						X				X					

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SCHEDULE IV — TRANSACTIONS

Name Adam Smith

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
JT	Smith Barney Fundamental Value Class B Kendall Smith	X			$500 monthly purchase (12 mo)	X									
DC	Guaranteed Education Tuition Fund	X			3-28-03		X								
DC	Guaranteed Education Tuition Fund - Jack Smith	X			3-28-03		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Microsoft Corporation	Dec 11-13	Tacoma-Redmond-Tacoma	N	Y	N	N

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ADAM SMITH
9TH DISTRICT, WASHINGTON
227 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-8901
DISTRICT OFFICE:
1717 PACIFIC AVENUE, #2135
TACOMA, WA 98402
(253) 593-6800
TOLL FREE 1-888-SMITH09
e-mail: http://www.house.gov/writerep/
http://www.house.gov/adamsmith/

Congress of the United States
House of Representatives
Washington, DC 20515–4709

COMMITTEE ON ARMED SERVICES
SUBCOMMITTEES
TACTICAL AIR AND LAND FORCES
TERRORISM, UNCONVENTIONAL THREATS AND CAPABILITIES
COMMITTEE ON INTERNATIONAL RELATIONS
SUBCOMMITTEE:
ASIA AND THE PACIFIC
CONGRESSIONAL INTERNET CAUCUS
NEW DEMOCRAT COALITION

May 17, 2004

House Ethics Committee
US House of Representatives

MC

To Whom It May Concern:

I would like to submit the following trips to be added for my financial disclosure for 2003. The following paid for by the New Democrat Network:

11/13-11/15
San Francisco, CA
Lodging and Air travel provided

5/16-5/18
Williamsburg, VA
Lodging provided

7/18-7/19
Los Angeles, CA
Lodging, Air travel and ground transportation provided

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 1:24
HAND DELIVERED

If you have any further questions please feel free to contact my assistant Kate Gibbons at 202-225-8901 or Kate.gibbons@mail.house.gov.

Sincerely,

Adam Smith
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

AND DELIVERE

LISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:14 MC
(Office Use Only)

Christpher Henry Smith
(Full Name)

107 Nottinghill Lane Hamilton, NJ 08619
(Mailing Address)

202-225-3765
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 04	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christpher H. Smith	5/17/04

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christpher Henry Smith

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Aquinas Market Funds P.O. Box 219533 Kansas City, MO 64121-9533	$160,000	DIVIDENDS	$42	P
DC	AquinasMoney Market Fund	$8,000	DIVIDENDS	$2	P
DC	Aquinas Money Market Funds	$28,000	DIVIDENDS	$7	P
DC	Aquinas Money Market Funds	$21,000	DIVIDENDS	$5	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christpher Henry Smith

	Vanguard Money Market Fund (IRA) Inherited P.O. Box 7800 Phila, PA 19101 ROLLOVER FROM T. ROWE PRICE	$48,600	DIVIDENDS	$29	S, P
	Vanguard Money Market Fund (IRA) Inherited		Distribution	$2,000	S
	T. Rowe Price Money Market (IRA) Inherited ROLLOVER TO VANGUARD	None	DIVIDENDS	$288	E
	T. Rowe Price International Funds (IRA) Inherited	None	CAPITAL GAINS	$1,593	P, S,E
JT	Vanguard Money Market CLOSED ACCOUNT	None	DIVIDENDS	$799	P,E,S
JT	T. Rowe Price Money Market P.O. Box 89000 Baltimore, MD 21289 CLOSED ACCOUNT	None	DIVIDENDS	$194	P,E,S
JT	T. Rowe Price International Funds CLOSED ACCOUNT	None	CAPITAL GAINS	$403	P, S
DC	Vanguard Money Market CLOSED ACCOUNT	None	DIVIDENDS	$11	P,S
DC	T. Rowe Price Money Market CLOSED ACCOUNT	None	DIVIDENDS	$35	P,S
DC	Vanguard Money Market CLOSED ACCOUNT	None	DIVIDENDS	$17	P,S
DC	T. Rowe Price Money Market CLOSED ACCOUNT	None	DIVIDENDS	$57	P,S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christpher Henry Smith

DC	Vanguard Money Market CLOSED ACCOUNT	None	DIVIDENDS	$28	P,S
DC	T. Rowe Price Money Market CLOSED ACCOUNT	None	DIVIDENDS	$91	P, S
JT	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$521	
DC	Certificate of Accrual on Treasury Securities	$1,001 - $15,000	INTEREST	$1,349	
DC	Certificate of Accrual on Treasury Securities	None	INTEREST	$1,506	S

SCHEDULE IV - TRANSACTIONS

Name Christpher Henry Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Aquinas Money Market Funds	P	12-15-03	$160,000
	Vanguard Money Market Inherited (IRA) DISTRIBUTION	Other	12-15-03	$2,000
JT	Vanguard Money Market CLOSED ACCOUNT	Close	12-03-03	$164,000
JT	Vanguard Money Market	S	11-18-03	$3,000
	Vanguard Money Market Inherited (IRA) ROLLOVER FROM T. ROWE PRICE	Other	10-31-03	$50,557
	T. Rowe Price Money Market Inherited (IRA) ROLLOVER TO VANGUARD	Other	10-27-03	$50,557
JT	Vanguard Money Market (FROM 2003 GMAC LOAN AND CLOSED T. ROWE PRICE ACCOUNT)	P	9-30-03	$84,000
JT	T. Rowe Price Money Market CLOSED ACCOUNT	S	9-18-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	E	9-17-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	S	9-17-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	P	9-16-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Christpher Henry Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	T. Rowe Price International Funds	E	9-03-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	S	9-03-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	P	9-02-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	E	6-17-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	S	6-17-03	$15,001 - $50,000
JT	T. Rowe Price International Funds	P	6-16-03	$15,001 - $50,000
	T. Rowe Price International Funds (Inherited) IRA	E	9-17-03	$50,530
	T. Rowe Price International Funds Inherited (IRA)	S	9-17-03	$50,530
	T. Rowe Price International Funds Inherited (IRA)-	P	9-16-03	$50,363
	T. Rowe Price International Funds Inherited (IRA)	E	9-03-03	$50,363
	T. Rowe Price International Funds Inherited (IRA)	S	9-03-03	$50,363
	T. Rowe Price International Funds Inherited (IRA)	P	9-02-03	$50,603

SCHEDULE IV - TRANSACTIONS

Name Christpher Henry Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	T. Rowe Price Money Market Inherited (IRA)	E	6-17-03	$15,001 - $50,000
	T. Rowe Price International Funds Inherited (IRA)	S	6-17-03	$15,001 - $50,000
	T. Rowe Price International Funds Inherited (IRA)	P	6-16-03	$15,001 - $50,000
	T. Rowe Price International Funds Inherited (IRA)	E	5-28-03	$15,001 - $50,000
	T. Rowe Price International Funds Inherited (IRA)	S	5-28-03	$15,001 - $50,000
	T. Rowe Price International Funds Inherited (IRA)	P	5-27-03	$15,001 - $50,000
DC	Aquinas Fund Aadvantage Money Market Funds	P	12-15-03	$21,000
DC	Vanguard Money Market CLOSED ACCOUNT	S	12-04-03	$21,026
DC	Vanguard Money Market	P	9-30-03	$21,000
DC	T. Rowe Price Money Market CLOSED ACCOUNT	S	9-17-03	$20,675
DC	Aquinas Money Market Funds	P	12-15-03	$28,000
DC	Vanguard Money Market CLOSED ACCOUNT	S	12-04-03	$13,016

SCHEDULE IV - TRANSACTIONS

Name Christpher Henry Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Certificate of Accrual on Treasury Securities	S	12-01-03	$15,000
DC	Vanguard Money Market	P	10-02-03	$13,000
DC	T. Rowe Price CLOSED ACCOUNT	S	9-17-03	
DC	Aquinas Money Market Funds	P	12-15-03	$8,000
DC	Vanguard Money Market Fund CLOSED ACCOUNT	S	12-04-03	$8,009
DC	Vanguard Money Market Fund	P	10-02-03	$8,000
DC	T. Rowe Price Money Market CLOSED ACCOUNT	S	9-17-03	$7,927

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(Office Use Only) MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Lamar Smith
(Full Name)

2231 Rayburn House Office Building — 202-225-4236
(Mailing Address) — Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: TX District: 21	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lamar Smith	05/17/04

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Name	Lamar Smith	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Christian Science Practitioner	earnings	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name	Lamar Smith	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	NA			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples:* Mega Corp. Stock					X								X																		P
	Simon & Schuster		Indefinite																	Royalties								X				
	1st Bank of Paducah, KY accounts						X									X																
	Life Tenant, undivided (25%) surface and minera																										X					
	interest of Lamar Seeligson Ranch, Premont, TX.																			royalties							X					
	Centerpoint Energy Inc.	X												X									X									
	Galaxy Funds with Quick & Reilly													X																		

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Lamar Smith

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,000 – $15,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Wright Patman Federal Credit Union															X																
	First Union National Bank															X																
	19 Warren Street Barnstable, MA							X																X								

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SCHEDULE IV — TRANSACTIONS

Name Lamar Smith | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Quick and Reilly (see attached documentation)		X			X									

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Name	Lamar Smith	Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NA											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

Use additional sheets if more space is required.

Name	Lamar Smith	Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 8–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Sony	OCT 20	Wash., D.C.--New York	N	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NA	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO: 2004 91-010 (exec)

Use additional sheets if more space is required

statement YEAR END SUMMARY STATEMENT

Qpartner

January 1, 2003 - December 31, 2003 PAGE 1 OF 6

ACCOUNT NUMBER 130-07141-19 FC DES

LAMAR SMITH

Quick & Reilly
A FleetBoston Financial Company

CAFE 100
8200 GREENSBORO DRIVE
MCLEAN, VA 22102
TEL. (703) 564-0760
1-800-488-7301 (NATIONWIDE)

Your Financial Consultant:
ROLAND GERONIMO
(703) 564-0760

LAMAR SMITH
1211 SUMMIT ROAD
MCLEAN VA 22101-0929

▶ We are pleased to provide you with a recap of the 2003 investment activity in your account. This statement is meant to assist you and your tax advisor with tax preparation and planning. Your tax reporting forms will be sent to you in a separate mailing(s). You should not file your taxes until you have received and reviewed all of your forms which may include: Forms 1099 consolidated, 1099RIRA distributions, 5498/IRA contributions, 5500 Pension Plans, 1099 OID/REMIC (typically mailed in March), 1042S/foreign tax withheld, etc.

We appreciate your business and wish you a happy and prosperous New Year.

▶ ACCOUNT VALUE OVERVIEW

	AS OF JANUARY 1, 2003	AS OF DECEMBER 31, 2003
Money Market Funds	$23,382.44	$9,377.37
Priced Securities Market Value	18,144.00	0.00
Total Portfolio Equity	$41,526.44	$9,377.37

▶ REALIZED GAIN SUMMARY

	AS OF DECEMBER 31, 2003
Realized	
Short-term gain/(loss)	$(8,987.43)
Long-term gain/(loss)	0.00
Total realized gain/(loss)	$(8,987.43)

*Gain/(loss) summary may be incomplete if cost basis information is missing.

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

SIPC

See Reverse Side For Important Tax Information. [illegible] in writing within ten days. Errors and omissions excepted. Please address all correspondence to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly review your account statement. This statement should be retained for income tax purposes.

Accounts Carried by:
U.S.Clearing
A Division of Fleet Securities, Inc.
Member New York Stock Exchange, Inc.
26 Broadway, New York, NY 10004-1703

NOT FDIC INSURED
No Bank guarantee
May lose value

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statement YEAR END SUMMARY STATEMENT

Qpartner

January 1, 2003 - December 31, 2003

ACCOUNT NUMBER 130-07141-19 FC 024

Quick & Reilly
A FleetBoston Financial Company

SUITE 120
8406 GREENSBORO DRIVE
MCLEAN, VA 22102
TEL (703) 564-0760
1-800-488-7301 (NATIONWIDE)

LAMAR SMITH

DIVIDENDS

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT
01/17/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	[illegible]
02/18/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	4.59
03/17/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	3.86
04/15/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	3.29
05/18/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	3.43
06/16/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	4.57
07/16/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	1.11
08/18/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	1.69
09/16/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	1.90
10/16/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	1.19
11/17/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	0.38
12/31/03	GALAXY FUNDS PRIME RESERVES FUND	DIV NON-QUAL	0.63
12/31/03	GALAXY FUNDS PRIME RESERVES FUND INV CREDIT TO TAXSEAR 2003	INV. EXPENSE	28.30

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statement YEAR END SUMMARY STATEMENT

Qpartner

January 1, 2003 - December 31, 2003 PAGE 3 OF 5

ACCOUNT NUMBER 139-07141-19 FC DEB

LAMAR SMITH

Quick & Reilly
A FleetBoston Financial Company

SUITE 140
8405 GREENSBORO DRIVE
MCLEAN, VA 22102
TEL (703) 564-0750
1-800-488-7301 (NATIONWIDE)

DETAILED INCOME SUMMARY (CONTINUED)

DIVIDENDS (continued)

Total Dividends

	AMOUNT
TAXABLE NON QUALIFIED DIVIDENDS	$29.86
TOTAL TAXABLE DIVIDENDS	29.86
INVESTMENT EXPENSES	28.30

INVESTMENT TRANSACTIONS

DATE	DESCRIPTION	TRANSACTION	PRICE	QUANTITY	AMOUNT
01/07/03	ALLEGHENY ENERGY INC	SOLD	8.070	2,400	$19,334.91
03/25/03	ALLEGHENY ENERGY INC	BOUGHT	5.680	3,000	17,072.50
03/28/03	ALLEGHENY ENERGY INC	SOLD	5.800	3,000	17,367.08
04/13/03	ALLEGHENY ENERGY INC	BOUGHT	7.120	2,700	19,256.50
04/14/03	ALLEGHENY ENERGY INC	SOLD	7.120	2,700	19,190.60
01/21/03	CALIMAINE FOODS INC NEW	BOUGHT	4.200	2,500	10,532.50
02/10/03	CALIMAINE FOODS INC NEW	SOLD	3.430	2,500	8,592.24
03/07/03	CHRISTOPHER & BANKS CORP	BOUGHT	15.300	1,200	18,392.50
03/14/03	CHRISTOPHER & BANKS CORP	SOLD	16.900	1,200	20,246.98
04/10/03	CROMPTON CORP	BOUGHT	4.290	4,900	21,053.50
04/14/03	CROMPTON CORP	SOLD	4.700	4,900	22,998.42
01/02/03	DIGITAL INSIGHT CORP	BOUGHT	10.250	2,000	20,532.50
01/10/03	DIGITAL INSIGHT CORP	SOLD	10.250	2,000	20,460.88
01/21/03	EMISPHERE TECHNOLOGIES INC	BOUGHT	5.520	300	1,658.50
01/21/03	EMISPHERE TECHNOLOGIES INC	BOUGHT	5.550	4,700	26,085.00
01/29/03	EMISPHERE TECHNOLOGIES INC	SOLD	5.550	5,000	27,716.66

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

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statement YEAR END SUMMARY STATEMENT

Quick & Reilly
A FleetBoston Financial Company
SUITE 100
8425 GREENSBORO DRIVE
MCLEAN, VA 22102
TEL 703-[illegible]
1-800-488-7301 (NATIONWIDE)

LAMAR SMITH

▶ INVESTMENT ACTIVITY DETAILS (CONTINUED)

DATE	DESCRIPTION	TRANSACTION	PRICE	QUANTITY	AMOUNT
09/20/03	F A C I INC NEW	BOUGHT	4.240	4,000	16,960.80
10/01/03	F A C INC NEW	SOLD	[illegible]	4,000	[illegible]
04/01/03	FOOTSTAR INC	BOUGHT	8.400	2,300	19,352.50
04/07/03	FOOTSTAR INC	SOLD	9.350	2,300	[illegible]
01/09/03	MIM CORP	BOUGHT	7.140	1,300	9,314.60
01/09/03	MIM CORP	BOUGHT	7.130	1,700	12,121.00
01/13/03	MIM CORP	SOLD	7.000	3,000	20,966.88
04/17/03	OAKLEY INC	BOUGHT	10.000	2,000	20,032.50
05/01/03	OAKLEY INC	SOLD	10.400	2,000	20,766.62
10/01/03	RMH TELESERVICES INC	BOUGHT	3.850	5,000	19,282.50
10/10/03	RMH TELESERVICES INC	SOLD	3.280	5,000	16,388.73
02/25/03	SHAW GROUP INC	BOUGHT	11.450	1,700	19,497.60
03/19/03	SHAW GROUP INC	SOLD	10.000	1,700	16,966.98
02/05/03	STILLWATER MINING CO WITH RIGHTS TO PURCHASE PREFRD STK UNDER CERTAIN CIRCUMSTANCE	BOUGHT	5.050	5,000	25,282.50
02/10/03	STILLWATER MINING CO WITH RIGHTS TO PURCHASE PREFRD STK UNDER CERTAIN CIRCUMSTANCE	SOLD	4.830	5,000	24,116.77
08/24/03	VAXGEN INC NEW	BOUGHT	5.720	3,400	19,480.50
07/15/03	VAXGEN INC NEW	SOLD	5.010	3,400	17,000.70

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

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statement YEAR END SUMMARY STATEMENT

Partner

January 1, 2003 - December 31, 2003 PAGE 5 OF 5

ACCOUNT NUMBER 129-07141-19 FC 026

LAMAR SMITH

Quick & Reilly
A FleetBoston Financial Company

[illegible]
8000 [illegible] DRIVE
MCLEAN, VA 22102
TEL (703) 556-0750
1-800-488-7301 (NATIONWIDE)

[redacted]

This section includes transactions for which we have cost basis information. It does not include transactions (sales of securities or closings of short sale positions) for which cost basis information is missing or unavailable.

SHORT-TERM GAINS/LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	QUANTITY	COST BASIS	PROCEEDS	NET GAIN/(LOSS)
ALLEGHENY ENERGY INC	[illegible]	[illegible]	[illegible]	$18,[illegible]	$18,[illegible]	$627.[illegible]
ALLEGHENY ENERGY INC	03/25/2003	03/26/2003	3000	17,072.50	17,367.06	294.56
ALLEGHENY ENERGY INC	04/10/2003	04/14/2003	2700	19,256.50	19,190.60	(65.90)
CROMPTON CORP	[illegible]	04/14/2003	6900	22,[illegible].50	22,998.42	[illegible]
CHRISTOPHER & BANKS CORP	03/07/2003	03/14/2003	1200	18,392.50	20,246.88	1,854.38
CALLAWAY FOODS INC NEW	01/21/2003	02/[illegible]/2003	2600	10,530.50	8,590.[illegible]	[illegible]
DIGITAL INSIGHT CORP	01/06/2003	01/10/2003	2000	20,532.50	20,466.88	(65.62)
EM SPHERE TECHNOLOGIES INC	01/21/2003	01/29/2003	300	1,688.50	1,663.00	(25.50)
EM SPHERE TECHNOLOGIES INC	01/21/2003	01/29/2003	4700	26,085.00	26,053.66	(31.34)
FOOTSTAR INC	04/01/2003	04/07/2003	2300	19,352.50	21,471.49	2,118.99
F A O INC NEW	09/26/2003	10/01/2003	4000	16,992.50	13,368.87	(3,626.63)
MIM CORP	01/06/2003	01/13/2003	1300	9,314.50	9,085.64	(228.86)
MIM CORP	01/06/2003	01/13/2003	1700	12,121.00	11,881.22	(239.78)
OAKLEY INC	04/17/2003	05/01/2003	2000	20,032.50	20,766.52	734.02
RMH TELESERVICES INC	10/01/2003	10/10/2003	5000	19,282.50	16,366.73	(2,915.77)
SHAW GROUP INC	02/25/2003	03/18/2003	1700	19,497.50	16,966.98	(2,530.52)
STILLWATER MINING CO	02/05/2003	02/10/2003	5000	25,282.50	24,116.77	(1,165.73)
VAXGEN INC NEW	06/24/2003	07/16/2003	3400	19,480.50	17,000.70	(2,479.80)
Total Net Short-Term Gain/(Loss)				**$318,522.00**	**$308,934.57**	**$(9,587.43)**
TOTAL REALIZED GAINS/(LOSSES)						**$(9,587.43)**

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY -4 PM 12: 31
U.S. HOUSE OF REPRESENTATIVES

NC

(Office Use Only)

Rep. Nick Smith
(Full Name)

12780 Addison Road Addison, MI 49220
(Mailing Address)

202-225-6276
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: MI District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nicholas Smith	4/29/04

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SCHEDULE I - EARNED INCOME

Name Rep. Nick Smith

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Michigan	Legislative Pension	$43,486

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Nick Smith

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	AG Edwards Cash Mgt	$15,001 - $50,000	INTEREST/DIVIDENDS	$201 - $1,000	
	American Home Products-	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	American Intl Group Inc	$1,001 - $15,000	CAPITAL GAINS	$2,501-$5000	S
	Apasco	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Audiocodes Ltd	$1 - $1,000		NONE	
	Bristol-Myers Squibb	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Nick Smith

	California property (vacant lot)	$1,001 - $15,000		NONE	
	Capra Mutual Fund	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	
	Cisco Systems	$1,001 - $15,000	CAPITAL GAINS	NONE	S
	Cisco Systems	$1,001 - $15,000		NONE	P
	Citizen Banking CP Michigan	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	S
	CMS Energy Group	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
	ConAgra Foods Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Corning Inc	$1,001 - $15,000	CAPITAL GAINS	NONE	S
	Corning Inc	$1,001 - $15,000		NONE	P
	Del Monte Foods Co (spin off from HJ Heinz 12/20/02)	$1 - $1,000		NONE	Other
	Eaton Vance Inv Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Emerson Electric Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Farm - Addison, MI	$1,000,001 - $5,000,000	FARMING	$100,001 - $1,000,000	GROSS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Nick Smith

	Farm - Addison, MI			$15,001 - $50,000	(NET)
	Fifth Third Bancorp (Old Kent Financial)	$50,001 - $100,000	CAPITAL GAINS	$15,001 - $50,000	S
	Genentech Inc	$1,001 - $15,000		NONE	
	General Electric Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	HJ Heinz Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IBM Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Janus Mutual Fund	$1 - $1,000		NONE	
	Merrill Lynch IRA	$1,001 - $15,000		NONE	
	MI Senate Def Comp Savings	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
	Motorola Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Netegrity Inc	$1 - $1,000		NONE	
	Ottawa Cty Bond (MI)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Polycom Inc	$1,001 - $15,000		NONE	
	Portage MI School Bond	$15,001 - $50,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Nick Smith

	Society Bank - Addison	$1,001 - $15,000	INTEREST	$1 - $200	
	Society Bank - farm	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Wyeth	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Zimmer Holdings	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Rep. Nick Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	American Intl Group Inc	S	08-29-03	$1,001 - $15,000
	CMS Energy Corp	S	08-29-03	$1,001 - $15,000
	CISCO Systems Inc	S	10-24-03	$1,001 - $15,000
	Citizen Banking CP Mich	S	08-29-03	$15,001 - $50,000
	Corning Inc	S	12-31-03	$1,001 - $15,000
	Fifth Third BANCORP	S	08-29-03	$50,001 - $100,000
	CISCO Systems Inc	P	08-29-03	$1,001 - $15,000
	Corning Inc	P	08-29-03	$1,001 - $15,000

SCHEDULE IX - AGREEMENTS

Name Rep. Nick Smith

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	State of Michigan	continuation of health care coverage

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 4:45

(Office Use Only)

Victor F. SNYDER
(Full Name)

1330 Longworth Bldg
(Mailing Address)

202-225-2506
Daytime Telephone:

Washington D.C.

Filer Status	☒ Member of the U.S. House of Representatives	State: AR District: 2	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		17 May 2004

5119

Name Snyder | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	General Bd of Global Ministries of United Methodist Church / Quarterly Quarter United Methodist Church	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Snyder | Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	None			

This page may be copied if more space is required.

Name Snyder

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts															X																
	U.S. Treasury Notes		$	1	1		9,000									X								X								P, S
	Scudder Latin Amer Fund						7,755							X								X										
	T. Rowe Price New Asia					24,273								X									X									P
	T. Rowe Price U.S. Treasury		$			5,527								X								X										
	T. Rowe Price European		$			7,697								X								X										
	Vanguard Treas. Mny. Mkt		$			9,643								X									X									PS

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Snyder

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Vanguard Total Stock Mkt.				9,9	4		X						X				P
	Amer Century Prime Mny Mkt				1,1	7		X					X					
	Ark. Diamond Deferred Comp				2,7	3							V					
	Vang. Treas Money Mkt (SEP-IRA)				4,7	9							V					
	Vang. Tot. Stock (SEP-IRA)				5,7	8							V					
	AIM European Growth Fund (SEP-IRA)				0,1	3							N					
	Montgomery Emerg MKT (IRA)				8,5	6							N					
	Dreyfus Founders Money Mkt (IRA)				5,7	2							N					
	Janus Venture				0,7	7						X						P
SP	Paddington Partners (IRA)			X				(illegible)			(illegible)		(illegible)					
	Dreyfus Founders Discovery (IRA)				6,8								(illegible)					
	Bank of America Checking											X						

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SCHEDULE IV — TRANSACTIONS

Name Snyder

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	T. Rowe Price New Asia	X			monthly	Auto-invest $100/month									
	Vanguard Treasury Money Market	X			monthly	Auto-invest Total $23,000									
	Vanguard Treasury Money Market		X						X (multiple redemptions Total)						
	Janus Venture	X			monthly	Auto-invest $100/month									
	Vanguard Total Stock	X			monthly	X	Auto-Dividend Reinvest								
	U.S. Treasury Notes	X	X		11/30/03	X									
	U.S. Treasury Notes	X	X		12/31/03	X									

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Name Snyder | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
SP	Citibank	credit card					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

5125

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	Jan 17-22	Little Rock, AR - Lake City - Thunder - LR	Y	Y	NO	—
	Aspen Institute	Aug 10-16	Portland Or — Moscow - LR AR	Y	Y	Y	—

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 4:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Hilda Lucia Solis
(Full Name)

11930 Cherylee Drive El Monte, CA 91732
(Mailing Address)

(202) 225-5464
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 32	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Hilda L Solis	May 13, 2004

5128

SCHEDULE I - EARNED INCOME

Name Hilda Lucia Solis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sam's Foreign and Domestic Auto Center	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hilda Lucia Solis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Sam's Foreign/Domestic Auto Repair	$50,001 - $100,000	N/A	NONE	
JT	State of CA Savings Plan Program	$15,001 - $50,000	N/A	NONE	
JT	Wells Fargo Bank Money Market Account	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Palm Springs Tennis Club Time Share	$1,001 - $15,000	N/A	NONE	
	LSW Retirement Account	$15,001 - $50,000	N/A	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Hilda Lucia Solis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Congressional Hispanic Caucus Institute	Jan 22-24	Los Angeles--San Juan--Los Angeles	Y	Y	N	None
Faith & Politics Institute	Mar 7-9	Wash., D.C.--Montgomery, AL--Wash D.C.	Y	Y	N	None
El Pueblo	Apr 4-6	Wash., D.C.--Durham, NC--Wash., D.C.	Y	Y	N	None
National Education Association	Jul 1-3	Los Angeles-- New Orleans--- Los Angeles	Y	Y	Y	None
Latin America Working Group	Oct 10-13	Los Angeles--El Paso--Los Angeles	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9
LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:44
(Office Use Only)

MARK E. SOUDER
(Full Name)

2427 WINDSONG CT.
(Mailing Address)

FORT WAYNE IN 46804
(Mailing Address)

202-225-4436 DC Office
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: IN District: 3rd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Edward Souder	May 17, 2004

5132

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Diane K. Souder - Consultant at Discovery Toys	Spouse proprietorship	1,485
	Historic Souders of Grabill	Payments to partner	7,655
	M. Souder & Son, Granite Store	Rents	2,225

For payments to charity in lieu of honoraria, use Schedule II.

Name Mark E. Souder | Page 3 of 8

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
~~[illegible]~~ VOID			
~~[illegible]~~			
(NO ACTIVITY) MES			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP Mega Corp. Stock		X	X		P
DC Simon & Schuster		Indefinite	Royalties	X	
JT 1st Bank of Paducah, KY accounts		X	X	X	
1. Sowder's General Store (1/2 undivided interest in buildings)		X	X	X	
2. Certificate of Deposit Grafill Bank		X	X	X	

For additional assets and unearned income, use next page.

5135

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark E. Souder

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	3. Certificate of Deposit Rockville Bank		•						X				X					
	4. Historic Sandos of Cobill Partnership Interest Activity: Rental Assets: Buildings Percent ownership: 25%			X					X		(Partnership income)			X				
JT	5. Raymond James Investment Account			X				X					X					
	California Gold Company		X					X										
	Lockheed Martin		X					X					X					
	Washington Post		X					X										
	Steel Dynamics		X					X				X						
	Tower Financial		X					X				X						
Product in Dev.	Applied Molecular Inc		X					X				X						
	Biomet		X					X				X						
	Boeing		X					X				X						
	Bristol Myers Squibb		X					X				X						
	EMC Corporation		X					X				X						
	Intermediate Planners + Programs		X					X				X						

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SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock			X	10–12–03		X								

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Name	Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name	Mark E. Souder	Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Indiana Historic Landmarks Foundation
Board of Directors	Our Country Home, Inc
Managing Partner	Historic Souders of Grabill

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Fortney Pete Stark
(Full Name)

239 Cannon Building, Washington, DC 20515 2022258628
(Mailing Address) Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 13	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pete Stark	6-10-04

SCHEDULE I - EARNED INCOME

Name Fortney Pete Stark

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pete Stark Re-election Committee	Spouse Consulting Fee	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Fortney Pete Stark

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Rental House 2nd St. SE, Wash. DC	$500,001 - $1,000,000	RENT	$50,001 - $100,000	
Note from Stark Investment Co. LP	$1,001 - $15,000	INTEREST	$201 - $1,000	
Chas. Schwab CMA Various	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
Chateau Properties Common Stock	None	DIVIDENDS	$201 - $1,000	S
Felcor Lodging Trust, Inc.	None	DIVIDENDS	$201 - $1,000	S
US Savings Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Fortney Pete Stark

	Pacific Gulf Properties Common Stock	None	CAPITAL GAINS	$1 - $200	
	Starbucks Common Stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Vanguard Growth Index Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Dodge & Cox Stock Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P
	20th Century Gift Trust	$1,001 - $15,000		NONE	
	Federal Realty Trust Com	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	(**Asset of Stark Investment Co. L.P. -44% Int.)				
**	Multi Tennant Warehouse, Littlefield Ave. So., San Francisco, CA	$5,000,001 - $25,000,000	RENT	$1,000,001 - $5,000,000	
**	Richey Oil Co., Texas	$15,001 - $50,000	Royalty	$5,001 - $15,000	
**	Damson Oil Co., Texas	$1,001 - $15,000	Royalty	$201 - $1,000	
**	Note from J.P. Stark	$15,001 - $50,000	INTEREST	NONE	
**	Note from T. Enberg	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
**	Papini-Stark L.L.P.	$100,001 - $250,000	Partnership	$15,001 - $50,000	
	Golden West Financial Common Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Fortney Pete Stark

	Delcath Systems Inc. Common Stock	$15,001 - $50,000		NONE	
	Delcath Systems Inc. Warrants	$1,001 - $15,000		NONE	
	Glenborough Preferred Stock	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
	Bedford Property Com.	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	Journal Communications Com.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Nam Tai Electronics Com.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Schnitzer Steel Com.	$50,001 - $100,000	DIVIDENDS	$1 - $200	P
	Vanguard REIT Index Fund	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Fortney Pete Stark

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Growth Index Fund	P	Dividend Reinvest.	$1,001 - $15,000
	Glenborough Preferred Stock	P	12-29-03	$50,001 - $100,000
	Bedford Property Com.	P	12-29-03	$50,001 - $100,000
	Journal Communications Com.	P	12-29-03	$15,001 - $50,000
	Nam Tai Electronics Com.	P	12-29-03	$15,001 - $50,000
	Schnitzer Steel Com.	P	12-29-03	$50,001 - $100,000
	Vanguard REIT Index Fund	P	Reinvest Dividends	$1,001 - $15,000
	Chateau Properties Com. Stock	S	9-11-03	$1,001 - $15,000
	Felcor Lodging Trust	S	9-11-03	$1,001 - $15,000
	Dodge + Cox Stock Fund	P	Reinvest Dividends	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Fortney Pete Stark

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo Mortgage Co.	Mortgage on 316 2nd St., S.E., Washington, D.C.	$250,001 - $500,000
**	**Indicate Obligations of Stark Investment Co. LP (44% Owned)	**	
**	**Confederation Life Insurance Company	Mortgage on S. San Francisco Warehouse	$5,000,001 - $25,000,000
**	**Fremont Bank, Fremont, CA	Note	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Fortney Pete Stark

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute	Jan. 17-23, 2003	Wash., D.C.-- Lanai, HA-- Wash., D.C. Wash., D.C.	Y	Y	Y	2 days

SCHEDULE VIII - POSITIONS

Name Fortney Pete Stark

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President & Director	Stark Investment Company, Inc., Fremont, CA
President & Director	Pete Stark Foundation, Fremont, CA

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED
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(Office Use Only)

Clifford Bundy Stearns
(Full Name)

2227 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202)225-5744
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Clifford B Stearns	5-15-04

5150

SCHEDULE I - EARNED INCOME

Name Clifford Bundy Stearns

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Central Florida Community College	Spouse Salary	N/A
Stearns House, Inc.	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Clifford Bundy Stearns

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
National Grocers Association	Appearance	June 18, 2003	$50

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	American Express	$1 - $1,000	Dividends	$1 - $200	N/A
	AmSouth Bank, Ocala FL	$1,001 - $15,000	Interest	$1 - $200	N/A
	AmSouth Bank, Ocala FL - Matanza Rental Account	$1,001 - $15,000	Interest	$1 - $200	N/A
	Congress Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	N/A
	Daimler-Chrysler Corporation	$1 - $1,000	Dividends	$1 - $200	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

	First Silver Springs, Inc., (100% Interest) a C Corporation, Silver Springs, FL (cash, mtg. receivable, other receivables, investments and debt)	$500,001 - $1,000,000	N/A	NONE	N/A
	First USA Bank, NA, Wilmington, DE (IRA Asset)	$1,001 - $15,000	N/A	NONE	N/A
	Ford Motor Company (IRA Asset)	$1,001 - $15,000	Dividends	$1 - $200	N/A
	Harris Corporation	$1 - $1,000	Dividends	$1 - $200	N/A
	Keycorp	$1 - $1,000	Dividends	$1 - $200	N/A
	Keycorp (Trust) ***	$1,001 - $15,000	Dividend	$201 - $1,000	N/A
	Mobil- Exxon Corporation	$1 - $1,000	Dividends	$1 - $200	N/A
	Morgan Stanley American Opportunities B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains	$1 - $200	P<1,000
	Morgan Stanley Dividend Growth B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<1,000
	Morgan Stanley Global Dividend Growth B (IRA Mutual Fund)	$1,001 - $15,000	Dividends	$1 - $200	P<1,000
	Morgan Stanley Government Securities B (IRA Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	P<1,000
	Morgan Stanley Health Sciences B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

	Morgan Stanley Liquid Asset Fund	$50,001 - $100,000	Dividends	$201 - $1,000	P<1,000
	Morgan Stanley Liquid Asset Fund (IRA Asset)	$15,001 - $50,000	Dividends	$201 - $1,000	P<1,000
	Morgan Stanley Liquid Asset Fund (IRA)	$1,001 - $15,000	Dividends	$1 - $200	P
	Morgan Stanley Utilities Fund B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<1,000
	Mortgage Receivable, Shri Natjhi Inc., Silver Springs, FL. Final payment received in current year.	None	Capital Gains	$15,001 - $50,000	N/A
	Mortgage Receivable, Shri Natjhi, Inc., Silver Springs, FL (sale of Triplex). Note: The entire sale was reported in a preceding year. The proportionate gain on the mortgage principal collection is reported this year. Final pmt received in current year.	None	Interest	$2,501 - $5,000	N/A
	Rental Building (Marina Towers), Alexandria, VA	$250,001 - $500,000	Rent (Gross)	$15,001 - $50,000	N/A
	Rental Building (Marina Towers), Continued	None	Memo: (Net of Exp.)	$201 - $1,000	N/A
	Rental Building (Office), 2950 N.E. 55th Ave., Silver Springs, FL	$100,001 - $250,000	Rent (Gross)	$5,001 - $15,000	N/A
	Rental Building (Office), Continued	None	Memo: Rent (Net of Exp.)	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

	Rental Building (Old Town Gateway Townhouse) - Including Furnishings - Continued	None	Memo: (Net of Exp.)	NONE	N/A
	Rental Building (Old Town Gateway Townhouse) - Including Furnishings, Alexandria, VA	$500,001 - $1,000,000	Rent (Gross)	$5,001 - $15,000	N/A
	Rental Building - Matanza Cut Subdivision - Continued	None	Rent (Net of Exp.)	NONE	N/A
	Rental Building - Matanza Cut Subdivision, St. Augustine, FL	$500,001 - $1,000,000	Rent (Gross)	$2,501 - $5,000	N/A
	Rental Building - Restaurant - Silver Springs - Continued	None	Memo: Rent (Net of Exp.)	$5,001 - $15,000	N/A
	Rental Building - Restaurant, 5545 E. Silver Springs, Blvd., Silver Springs, FL	$250,001 - $500,000	Rent (Gross)	$15,001 - $50,000	N/A
	Stearns House, Inc. (100% Interest) an S Corp, Silver Springs, FL (cash, furniture and debt)	$100,001 - $250,000	Dividends	$201 - $1,000	N/A
	Stearns House, Inc.- Continued	None	Ordinary Income from S Corp	NONE	N/A
	SunTrust Bank, Personal Money Market (Trust) ***	$1,001 - $15,000	INTEREST	$1 - $200	N/A
	T. Rowe Price Dividend Growth (Trust) ***	$1,001 - $15,000	Dividend	$1 - $200	P<1,000
	T. Rowe Price Emerging Markets Bond	$1,001 - $15,000	Dividends	$201 - $1,000	P<1,000
	T. Rowe Price Emerging Markets Bond (Trust) ***	$1,001 - $15,000	Dividend	$1 - $200	P<1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

	T. Rowe Price Equity Index	$15,001 - $50,000	Dividends	$1 - $200	P<1,000
	T. Rowe Price Equity Index 500 (Trust) ***	$15,001 - $50,000	Dividend	$1 - $200	P<1,000
	T. Rowe Price High Yield (Trust) ***	$1,001 - $15,000	Dividend	$201 - $1,000	P<1,000
	T. Rowe Price High Yield	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
	T. Rowe Price Media & Telecom	$1,001 - $15,000	Capital Gains and Dividends	NONE	N/A
	T. Rowe Price Mid-Cap Growth (Trust) ***	$1,001 - $15,000	Dividend	NONE	N/A
	T. Rowe Price New Horizons	$1,001 - $15,000	Capital Gains and Dividends	NONE	N/A
	T. Rowe Price Prime Reserve (Trust) ***	$1,001 - $15,000	Dividend	$1 - $200	P<1,000
	T. Rowe Price Science & Technology	$1 - $1,000	Capital Gains and Dividends	NONE	N/A
	T. Rowe Price Spectrum (Trust) ***	$1,001 - $15,000	Dividend	$1 - $200	P<1,000
	T. Rowe Price Spectrum Income	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<1,000
	T. Rowe Price Spectrum Income (Trust) ***	$1,001 - $15,000	Dividend	$201 - $1,000	P<1,000
	T. Rowe Price Summit Cash Reserves	$1,001 - $15,000	Dividends	$1 - $200	P<1,000
	Visteon Corp. (IRA Asset)	$1 - $1,000	Dividends	$1 - $200	P<1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

z***Footnote: Dependent child's trust inadvertantly omitted from prior year disclosure.	None		NONE	

SCHEDULE IV - TRANSACTIONS

Name Clifford Bundy Stearns

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Morgan Stanley Health Sciences B (IRA Mutual Fund)	P	2003	$1,001 - $15,000
	T. Rowe Price High Yield	P	2003	$1,001 - $15,000
	Morgan Stanley Liquid Asset Fund (IRA)	P	2003	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Clifford Bundy Stearns

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual, Northridge, CA	Mortgage on Marina Towers Rental, Alexandria, VA	$50,001 - $100,000
	Bank of America Mortgage, Charlotte, NC	Mortgage on Old Town Gateway Rental Apartment, Alexandria, VA	$100,001 - $250,000
	Dovenmuehle Mortgage, Inc. Servicer for AmSouth Bank, Schaumburg, IL	Mortgage on Mantanza Cut Subdivision Rental Home, St. Augustine, FL	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Clifford Bundy Stearns

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Faith and Politics Institute	Jan. 10-12	Wash., D.C.--Santa Barbara (Continued directly to Chinese International Economic Cooperation Association, below)	Y	Y	N	Travel from Santa Barbara to Los Angeles
Chinese International Economic Cooperation Association	Jan. 13-20	Los Angeles--Taipei (Continued directly to International Management and Development Institute, below)	Y	Y	N	N/A
International Management and Development Institute	Jan. 20-24	Taipei--Singapore--Wash., D.C.	Y	Y	N	N/A
International Management and Development Institute	Feb. 18-23	Wash., D.C.--Germany--Liechtenstein--Wash., D.C.	Y	Y	N	N/A
Association of American Railroads	Apr. 24-27	JAX--San Jose--Wash., D.C., JAX--San Jose--JAX	Y	Y	Y	N/A
Wine Institute	Oct. 17-20	Wash., D.C.--Napa Valley--Wash., D.C. (Member Only), Orlando--Napa Valley--Orlando (Spouse Only)	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Clifford Bundy Stearns

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	First Silver Springs, Inc.
President	Stearns House, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A Page 1 of 10
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 3:06
(Office Use Only)

MC

Charles W. Stenholm
(Full Name)

2409 Rayburn H.O.B. Washington, DC 22151
(Mailing Address)

202-225-6605
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 17	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles W. Stenholm	5-13-04

5163

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Charles W. Stenholm

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
The Washington Campus	Speech	Feb 24, 2003	$1,500
The Washington Campus	Speech	May 6, 2003	$500
The Connell Company	Speech	April 29, 2003	$2,000
The American Council on Education	Speech	June 4, 2003	$1,000
The Washington Campus	Speech	June 24, 2003	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Ericksdahl Co-Op Cotton Gin, Avoca, TX	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Jones-Haskell Grain Co-Op	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Stamford Electric Co-Op	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Plains Cotton Co-Op, Lubbock, TX	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Rolling Plains Co-Op, Sweetwater, TX	$1,001 - $15,000	DIVIDENDS	NONE	
JT	S&S Feeders-50% partnership, Avoca, TX	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

JT	Oklahoma Oil & Gas Limited Partnership	$1,001 - $15,000	Royalities	$1 - $200	
JT	Oklahoma Oil & Gas Limited Partnership	$1,001 - $15,000	Royalities	$1 - $200	
SP	Dessa Carmen Watson estate(*see attatched info at bottom of Schedule III)	$100,001 - $250,000	Trust Income	$5,001 - $15,000	
JT	IRA American Express Trust Co.(**See attached info below)	$50,001 - $100,000	DIVIDENDS/INTEREST	NONE	
JT	401K Thrift Savings Plan	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
JT	State National Bank, Stamford, TX	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Steve Watson estate-home in Stamford, TX	$1,001 - $15,000	RENT	$1,001 - $2,500	
JT	Grain Elevator	$15,001 - $50,000	Lease	$5,001 - $15,000	
JT	840 acres-Jones County, TX (***see attached info below)	$250,001 - $500,000	RENT, Ag payments	$50,001 - $100,000	
JT	Double S Farms (50% stock), Jones County, TX	$100,001 - $250,000	DIVIDENDS	NONE	
SP	75 Acres - Wheeler County, TX (****see attached info below)	$15,001 - $50,000	Ag payments, Royalties	$5,001 - $15,000	
JT	S&S Enterprise - 62.5 acres, Jones, Co. TX	$15,001 - $50,000	RENT	NONE	
JT	160 acres-Jones Co., TX	$50,001 - $100,000	RENT	$5,001 - $15,000	
JT	First National Bank, Abilene, TX	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

JT	John Deere 6600 Sprayer	$15,001 - $50,000	Lease	$5,001 - $15,000	
JT	Congressional Fed Credit Union	$1 - $1,000	INTEREST	NONE	
JT	First Ag Credit, Stamford, TX	$1 - $1,000	DIVIDENDS	$201 - $1,000	
JT	1998 John Deere Cotton Stripper 7455	$50,001 - $100,000	Lease	$5,001 - $15,000	
JT	8300 John Deere tractor	$50,001 - $100,000	Lease	$5,001 - $15,000	

Schedule III – Assets and Unearned Income – Additional Notes:

	Value of Asset	Type on Income	Amt of Income	Transaction
*Dessa Carmen Watson Estate				
1/6 interest Vaugnn & Jett surveyed land in Taylor Co., TX	$50,000-100,000	Trust	None	NA
Mineral assets, Wheeler Co, TX	$100,000-250,000	Royalties	$5,001-15,000	NA
American Funds – Santa Ana, CA				
Capital Income Builder-A	$1-1,000	Interest & Dividends	$1-200	NA
Capital Income Builder-A	$50,000-100,000	Interest & Dividends	$2,501-5,000	NA
First National Bank, Abilene, TX	$1,001-15,000	Interest & Dividends	$1-200	NA
**IRA American Express Trust Co.-Minneapolis, MN				
AXP Growth Fund B	15,001-50,000	Interest & Dividends	None	NA
AXP Blue Chip Advantage Fund B	15,001-50,000	Interest & Dividends	None	NA
***840 Acres-Jones County, TX	$250,000-500,000			
Rent		Rent	$15,001-50,000	NA
CCC Payments		Ag Payments	$1,001-5,000	NA
****75 Acres-Wheeler County, TX	$15,001-50,000			
Ag Programs		Ag Payments	$1,001-5,000	NA
Minerals		Royalties	$1,001-5,000	

SCHEDULE IV - TRANSACTIONS

Name Charles W. Stenholm

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	S & S Enterprise - 22.5 acres, Jones Co., TX	P	9-1-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Charles W. Stenholm

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Federal Land Bank, Haskell, TX	Business-62.5 acres, Jones, Co. TX	$15,001 - $50,000
JT	Lend Lease (Lending Institution)	Business-840 acres, Jones Co. TX	$100,001 - $250,000
JT	Rolling Plains PCA, Stamford, TX	Business-general farm ops	$15,001 - $50,000
JT	State National Bank, Stamford, TX	Business-Stripper & sprayer	$15,001 - $50,000
JT	Lutheran Brotherhood	Business-OK Oil & Gas Partnership	$15,001 - $50,000
JT	Chase Advantage Credit	Personal-home improvements	$0
JT	Washington Mutual	Residence	$100,001 - $250,000
JT	First Nat'l Bank, Abilene, TX	Personal-Line of Credit	$9,700
JT	American Express	Personal	$2,400

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Charles W. Stenholm

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Public Governance Institute	Feb 28-Mar 2	Wash.,D.C-White Sulpher Springs, W.VA-Wash.,D.C.	Y	Y	N	N/A
Chicago Board of Trade	Mar 13-16	Wash.,D.C.-Boca Raton, FL-Wash.,D.C.	Y	Y	Y	N/A
The Connell Company	Apr 30	Wash., D.C.-Berkeley Heights, NJ	N	Y	N	N/A
American Sugar Alliance	Aug 2-5	San Antonio, TX-Blaine, WA-Abilene, TX	Y	Y	N	N/A
Sinclair Oil Company	Sept 7-8	Abilene, TX-Sun Valley, ID-Wash.,D.C.	Y	Y	Y	N/A
Nat'l Assoc. Of Farm Broadcasters	Nov 13-14	Abilene, TX-Kansas City, KS-Wash.,D.C.	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Charles W. Stenholm

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Double S Farms, Jones County, TX
Partner	S & S Feeders, Jones County, TX
Partner	S & S Enterprises, Jones County, TX

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

4

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2004 MAY 14 PM 5:02

(Office Use Only)

MC

Ted Strickland
(Full Name)

320 N. Market Street
(Mailing Address)

Lisbon, OHIO 44432

Daytime Telephone: 202-225-5705

Filer Status	☑ Member of the U.S. House of Representatives	State: OH District: 6	☐ Officer or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☑	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ted Strickland	May 14, 2004

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Smith Educational Enterprises, Inc.	Spouse salary	NA
	OHIO DEMOCRATIC PARTY	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None	DIVIDENDS	I None	P, S, E
		B	RENT	II	
		C $1,001 – $15,000	INTEREST	III $201 – $1,000	
		D $15,001 – $50,000	CAPITAL GAIN	IV	
		E $50,001 – $100,000	EXCEPTED TRUST	V $2,501 – $5,000	
		F $100,001 – $250,000	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	VI $5,001 – $15,000	
		G $250,001 – $500,000		VII $15,001 – $50,000	
		H $500,001 – $1,000,000		VIII	
		I $1,000,001 – $5,000,000		IX $100,001 – $1,000,000	
		J		X $1,000,001 – $5,000,000	
		K $25,000,001 – $50,000,000		XI Over $5,000,000	
		L			
SP	Mega Corp. Stock	E	X		P
DC	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	F	X		
JT	National City Bank-Savings	C	X	II	
	IRA National City Bank	D	X	IV	

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	International Brotherhood of Teamsters	Nov. 13-17 2003	Wash., D.C. - Mexico - Wash., D.C.	Y	Y	N	N

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LEGISLATIVE RESOURCE CENTER
2004 MAY 14 AM 11:54
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Bartholomew (Bart) T. Stupak
(Full Name)

W-5499 48th Ave Menominee, MI 49858
(Mailing Address)

202/225-4735
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: MI District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bart Stupak	5/13/04

5177

SCHEDULE I - EARNED INCOME

Name Bartholomew (Bart) T. Stupak

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Michigan- State Police Pension	Diability Retirement Pension	NA
City of Menominee (Spouse Mayor's Salary)	Spouse Salary	NA
Stupak for Congress	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bartholomew (Bart) T. Stupak

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Bart T. Stupak, PC (stock)	$1,001 - $15,000	NA	NONE	
JT	Office - 817 Ninth Ave, Menominee MI	$50,001 - $100,000	Rent	$5,001 - $15,000	
JT	Savings Account	$1,001 - $15,000	Interest	$201 - $1,000	
DC	Savings Account - Northern Michigan National Bank	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Home - Lots 3 & 4 - 4101 Michigan Shores Drive, Menominee, MI 49858	$250,001 - $500,000	NA	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bartholomew (Bart) T. Stupak

JT	Principal Residence W5499 48th Ave Menominee Township, MI 49858	$500,001 - $1,000,000	NA	NONE	

SCHEDULE V - LIABILITIES

Name Bartholomew (Bart) T. Stupak

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Stephenson National Bank	Mortgage - 817 Ninth Ave, Menominee, MI 49858	$15,001 - $50,000
JT	Wells Fargo Bank	Mortgage - Lots 3&4 - 4101 Michigan Shores Drive, Menominee MI 49858	$100,001 - $250,000
JT	Stephenson National Bank	Mortgage Home W-5499 48th Ave, Menominee, MI 49858	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bartholomew (Bart) T. Stupak

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Democratic Leadership Council	Sept 12 - Sep 13	Mackinac Island, MI	Y	Y	Y	NA
Nuclear Energy Institute	May 24 - June 1	Wash, DC - Barcelona, Spain - Paris, France - Wash, DC	Y	Y	Y	2 Days

SCHEDULE VIII - POSITIONS

Name Bartholomew (Bart) T. Stupak

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner/Director	Bart Stupak, PC

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(4)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

2004 MAY 13 AM 10: 30

MC

JOHN A. SULLIVAN
(Full Name)

114 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-2211
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: OK District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Sull	5-13-04

5184

SCHEDULE I - EARNED INCOME

Name JOHN A. SULLIVAN

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Judy M. Sullivan Consulting	Spouse Income	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN A. SULLIVAN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Bank of Oklahoma Stock (Received in 2003, gift from relative)	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name JOHN A. SULLIVAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
CITGO	March 30	Tulsa, OK - Dallas, TX - Tulsa, OK	N	Y	N	N/A
American Israel Education Foundation	Aug. 23-31	Tulsa, OK - Tel Aviv, Israel - Tulsa, OK	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2002

FORM A
For use by Members, officers, and employees

4 HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 20 AM 9:52
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JOHN E. SWEENEY
(Full Name)

5 PLANTATION CREST
(Mailing Address)

(518) 587-1030
Daytime Telephone:

CLIFTON PARK, NY 12065

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW YORK District: 20TH	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	X John E Sweeney	5/19/04

5188

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	US HOUSE OF REPRESENTATIVES	SALARY	151,595

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name JOHN E. SWEENEY

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	STATE EMPLOYEES FCU			X												X						X										N/A

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

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Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
US-MEXICO CULTURAL EDUCATION FOUNDATION	DEC 3-7	ALBANY-MEXICO CITY-ALBANY	Y	Y	Y	N

JOHN E. SWEENEY
20TH DISTRICT, NEW YORK

COMMITTEE ON APPROPRIATIONS

SELECT COMMITTEE ON
HOMELAND SECURITY

RURAL HEALTH CARE COALITION
OLDER AMERICANS CAUCUS
NORTHEAST AGRICULTURE CAUCUS
CONGRESSIONAL SPORTSMEN'S CAUCUS
CONGRESSIONAL HORSE CAUCUS
AD HOC COMMITTEE ON IRISH AFFAIRS

Congress of the United States
House of Representatives

Washington, DC 20515–3220

June 8, 2004

INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2004 JUN 15 PM 4:21
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U.S. HOUSE OF REPRESENTATIVES

MC

The Honorable Jeff Trandahl
Clerk of the U.S. House of Representatives
U.S. Capitol
Room H154
Washington, DC 20515-6601

Dear Honorable Trandahl:

I respectfully request the right to amend my 2003 Financial Disclosure form. Due to an oversight by my accounting firm, Wojeski & Company CPAs in East Greenbush, NY, the liability box (box V on page one) was marked "YES". This box should be marked "NO". My liabilities include a mortgage on my place of residence and a student loan in the amount of less than $10,000, neither of which I have to report.

Please accept my apologies for this mistake. I appreciate your consideration of this most important request.

Sincerely,

John E. Sweeney
Member of Congress

416 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-5614

939 ROUTE 146
SUITE 430
CLIFTON PARK, NY 12065
518-371-8839

SENATOR CHARLES D. COOK OFFICE BUILDING
111 MAIN STREET
DELHI, NY 13753
607-746-9700

21 BAY STREET
GLENS FALLS, NY 12801
518-792-3031

7578 NORTH BROADWAY
REDHOOK, NY 12571
845-758-1222

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

INSIDE MAIL

FILED WITH THE CLERK
2004 MAY -6 AM 11:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

Thomas Gerard Tancredo
(Full Name)

5441 S. Estes St
Littleton CO 80123
(Mailing Address)

Daytime Telephone: 303-932-6521

Filer Status	[X] Member of the U.S. House of Representatives — State: CO District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Tancredo	5-04-04

5193

Name Tancredo | Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Public Employees Retirement Assn	Retirement Spouse	30,00

For payments to charity in lieu of honoraria, use Schedule II.

Name TANCREDO	Page 3 of 5

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
California Coalition for Immigration Reform	Speech	Nov 3, 03	5521

This page may be copied if more space is required.

Name Tancredo | Page 4 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000)	Block C: Type of Income (DIVIDENDS; INTEREST; EXCEPTED TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; III $201 – $1,000; V $2,501 – $5,000; VII $15,001 – $50,000; IX $100,001 – $1,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock	X	X		P
	SP Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts	X	X		
	Greenberg Traurig	X			
	Texas Grad Univ	X	X		
	Charles Schwab	X	X		

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Education Policy Conf.	Jan 24	Wash D.C - St. Louis - D.C	N	N	N	N
	Education Watch	Oct 10-	Denver - Minneapolis - Den	N	N	N	N
	Fairness for Immigrant in Reform	Oct 17-19	D.C - Dallas - Houston - D.C	Y	Y	N	N

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Tancredo, Schedule

INSIDE MAIL

From:	Tancredo, Schedule	LEGISLATIVE RESOURCE CENTER
Sent:	Thursday, June 03, 2004 12:54 PM	2004 JUN -9 PM 2:54
To:	Lewis, Paul	OFFICE OF THE CLERK
Cc:	Ponder, Jacque	U.S. HOUSE OF REPRESENTATIVES

HC

June 3, 20004

Clerk of the House

As an amendment to my 2003 Financial Disclosure Statement I am providing the following Information:

I have requested that the National Council for Citizenship and Immigration refund to the California Coalition for Immigration Reform the amount of $3521.

All our funds in Charles Schwab are invested Schwab Monet Market fund

All funds managed by Greenberg and Associates are in an IRA

Tom Tancredo

Tom Tancredo

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS ENTERED
2004 MAY 17 PM 2:55

(Office Use Only)

MC

5199

JOHN S. TANNER
(Full Name)

1226 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

Daytime Telephone: 202-225-4714

Filer Status	[X] Member of the U.S. House of Representatives	State: IN District: 8	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Tanner	5.17.04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$0,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
UNION CITY INSURANCE AGENCY (FAMILY OWNED BUSINESS)	FEE	8700.-
STATE OF TENNESSEE RETIREMENT	PENSION	10791.-
SHENNANDOAH SHUTTERS	SPOUSE SALARY	
BETTY ANN TANNER ACCESSORIES	SPOUSE SALARY	
UNION CITY INSURANCE AGENCY	SPOUSE SALARY	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN S. TANNER | Page 4 of 11

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock	X (F $100,001 – $250,000)	DIVIDENDS X		P
	DC Simon & Schuster	Indefinite	Royalties	X (IX $100,001 – $1,000,000)	
	JT 1st Bank of Paducah, KY accounts	X (F $100,001 – $250,000)	INTEREST X	X (V $2,501 – $5,000)	
	UNION CITY INS. STOCK	X (I $1,000,001 – $5,000,000)		X (I None)	
	TANNER FARM LP	X (H $500,001 – $1,000,000)		X (I None)	
	ESAT INC	X (A None)		X (I None)	
	CCA-CORRECTIONS CORP.	X (D $15,001 – $50,000)			
	COMMERCIAL BANK	X (E $50,001 – $100,000)			
SP	MEAGER MEANS INVT. CLUB	X (C $1,001 – $15,000)			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN S. TANNER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $201 – $1,000	III $1,001 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	COCA COLA		X					X					X						
SP	MEDTRONIC		X					X					X						
SP	PEPSICO		X					X					X						
SP	MUNDER NET CLASSIC	X										X							
SP	CISCO		X									X							
SP	LUCENT																		
SP	PORTIS FAMILY ESTATE			X															
SP	CCA- PRISON REALTY																		
SP	GENERAL ELECTRIC																		
SP	AVAYA																		
SP	AGERE SYSTEMS A																		
SP	AGERE SYSTEMS B																		
	AOL TIME WARNER		X																P
	FED. NAT. MORT. ASSN.																		P
	MICROSOFT		X																P
	MOTOROLA							X						X					
	CORRECTIONS CORP PFD B		X					X											
	MORGAN KEEGAN IRA								X				X						
	NASDAQ 100 TRUST		X																P
	SECTOR SBI HEALTHCARE		X																P
	SECTOR SBI-INT-UTILS		X																P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name JOHN S. TANNER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	UNION PLANTERS		X															P
	WALMART		X															P

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SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	AOL TIME WARNER				10-9-03	X									
	FED. HOME LOAN MORT. CORP	X			3-11-03	X									
	FED. HOME LOAN MORT. CORP.	X			3-13-03		X								
	FED. HOME LOAN MORT. CORP	X			6-6-03		X								
	FED. HOME LOAN MORT. CORP.	X			6-19-03		X								
	INTEL CORP.	X			4-10-03	X									
	MICROSOFT	X			11-21-03	X									
	NASDAQ 100 TRUST SERIES 1	X			7-14-03	X									
	SBI HEALTHCARE	X			6-6-03	X									
	SBI HEALTHCARE	X			9-25-03	X									
	SBI INT-UTILS	X			6-6-03	X									
	SBI INT-UTILS	X			9-25-03	X									
	UNION PLANTERS	X			12-23-03	X									
	WAL-MART	X			11-21-03	X									
	FED. HOME LOAN MORT. CORP.		X		6-16-03	X									
	FED. HOME LOAN MORT. CORP.		X		5-15-03		X								

This page may be copied if more space is required.

5205

SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	FED. HOME LOAN MORT. CORP.		X		8-15-03	X									
	FED. HOME LOAN MORT. CORP.		X		9-15-03		X								
	FED. HOME LOAN MORT. CORP.		X		10-15-03	X									
	FED. HOME LOAN MORT. CORP.		X		11-17-03	X									
	INTEL CORP.		X		11-21-03	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PROVIDIAN NATIONAL BANK	CREDIT CARD										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

5207

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
LEXINGTON INSTITUTE	MARCH 7-11	DC-MIAMI-HAVANNA-MIAMI-DC	Y	Y	Y	N
CONG. SPORTSMANS FOUNDATION	MAR. 23-26	DC-JACKSONVILLE-DC	Y	Y	N	N
COMMUNITY FINANCIAL SERVICES ASSN.	JUNE 27-29	DC-JACKSON HOLE, WY-UNION CITY, TN.	Y	Y	Y	N
DEMOCRATIC LEADERSHIP COUNCIL	SEPT. 12-14	DC-MACKINAC ISL-DC	Y	Y	Y	N
CIGAR ASSN. AMERICA	OCT. 2-5	DC-HOMESTEAD-DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	UNION CITY INSURANCE AGENCY (FAMILY OWNED BUSINESS)
PARTNER	TANNER FARMS LP

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

2004 MAY 17 PM 5:58

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Ellen O. Tauscher
(Full Name)

2121 North California Blvd. Suite 555 202-225-1880
(Mailing Address) Daytime Telephone:

Walnut Creek CA 94596

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 10	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ellen Tauscher	5/17/04

5210

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock	E	DIVIDENDS X	VI $5,001 – $15,000 X	P
	Simon & Schuster	Indefinite	Royalties	IX $100,001 – $1,000,000 X	
	1st Bank of Paducah, KY accounts	F	INTEREST X		
	ISHARES TRUST VALUE WD FUND	D	INTEREST X	III $201 – $1,000 X	
	ISHARES TRUST GROWTH INDEX FUND	D	INTEREST X	II $1 – $200 X	
	Cherry Close PAD CAPITOL CORP	E	INTEREST X	VI $5,001 – $15,000 X	
	DRYDEN STOCK INDEX FUND	F	DIVIDENDS X	III $201 – $1,000 X	
	BLACK ROCK CA MUNI INC. TRUST	D	INTEREST X	V $2,501 – $5,000 X	
	BLACK ROCK CALIFORNIA DEBT INST	D	INTEREST X	IV $1,001 – $2,500 X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ellen Tauscher

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MUNIHOLDINGS CA INS FUND			X					X									
	COMMAND BANK DEPOSIT SWEEP MONEY MKT		X						X				X					
	FOUNTAINS APARTMENTS LTD							X										
	OTHER ASSETS: (Rough Estimate - Exact Value and List of Assets cannot be determined pending divorce property settlement)					X												

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SCHEDULE IV — TRANSACTIONS

Name Ellen Tauscher | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	FOUNTAINOS APARTMENTS LTD (Partnership)	X							X						

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Name Ellen Tauscher | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	CITIBANK	CREDIT CARD					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
Ms Mary Howell	Travel on Private Plane (Determination on personal friendship received from Cmte on Standards)	$3,000.00

Use additional sheets if more space is required.

5216

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	DEMOCRATIC LEADERSHIP COUNCIL	March 10	DC - ATLANTA - DC	N	Y	N	N
	DEMOCRATIC LEADERSHIP COUNCIL	APRIL 28-29	DC - TAMPA - TALLAHASSEE - DC	Y	Y	N	N
	NEW DEMOCRAT NETWORK	MAY 16-18	DC - Williamsburg, VA - DC	Y	Y	Y	N
	DEMOCRATIC LEADERSHIP COUNCIL	Sept. 12-14	DC - Mackinaw Is, MI - DC	Y	Y	Y	N
	THE LEADERS PROJECT	Sept. 19-21	DC - Jacksonville, Fl - DC	Y	Y	N	N
	DEMOCRATIC CONGRESSIONAL CAMP CMTE	Jan 29-Feb 1	DC - NEMACOLIN, PA - DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR (UNPAID, ON LEAVE)	WOMEN'S POLICY LEGACY FOUNDATION
DIRECTOR (UNPAID, ON LEAVE)	ALUMNI RESOURCES
DIRECTOR (UNPAID, ON LEAVE)	CALIFORNIA SYMPHONY

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A Page 1 of 1
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 AM 11:56
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Charles H. Taylor
(Full Name)

231 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-6401
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representative State: NC District: 11 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles H. Taylor	May 13, 2004

5219

SCHEDULE I - EARNED INCOME

Name Charles H. Taylor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Financial Guaranty Corporation	Spouse Director's fee	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles H. Taylor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	104 North Liberty Street, Asheville, NC	$100,001 - $250,000	NONE	NONE	
	BB&T Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Blue Ridge Savings Bank, Savings Accounts, Asheville, NC	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
	Cedar Creek Farms Partnership: Note Receivable from Thompson et al.	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles H. Taylor

	Financial Guaranty Corporation, Stock, Asheville, NC	Over $50,000,000	NONE	NONE
	Gaybo Company Partnership	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000
	IRA Blue Ridge Savings Bank	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Major Land and Timber Partnership, Haywood County NC	$1,000,001 - $5,000,000	None	NONE
	McCrae Insustries stock	$1 - $1,000	DIVIDENDS	$1 - $200
	Monte Visa Corporation stock	$1,000,001 - $5,000,000	NONE	NONE
	NcState Employees Pension Fund	$1,001 - $15,000	NONE	NONE
	note receivable from Financial Guaranty Corporation	$500,001 - $1,000,000	INTEREST	$50,001 - $100,000
	Riverside Company Estates Partnership, Brevard, NC	$1,001 - $15,000	NONE	NONE
SP	Southeastern Real Estate and Discount, Brevard NC	$500,001 - $1,000,000	RENT	$100,001 - $1,000,000
	Summit Bank stock, Greenville, SC	$1,001 - $15,000	NONE	NONE
JT	Taylor Family Trust (farm in Transylvania County, NC)	$1,000,001 - $5,000,000	NONE	NONE
	The South Financial Group stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000

SCHEDULE IV - TRANSACTIONS

Name Charles H. Taylor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MountainBank Stock (merged with The South Financial Group)	E	10-03-2003	$100,001 - $250,000
	Note receivable from Financial Guaranty Corporation (paid off)	Other	12-31-2003	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Name Charles H. Taylor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman (uncompensated)	Blue Ridge Savings Bank, Asheville, NC
Vice Chairman (uncompensated)	Financial Guaranty Corporation, Asheville, NC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

4

LEGISLATIVE RESOURCE CENTER
2004 MAY 28 PM 9:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MAY 17 2004
(Office Use Only)

GARY EUGENE "GENE" TAYLOR
(Full Name)

814 N. BEACH BLVD.
(Mailing Address)
BAY ST. LOUIS, MS 39520

Daytime Telephone:
202-225-5772
228-466-3933

Filer Status	☑ Member of the U.S. House of Representatives — State: MS District: 04	☐ Officer or Employee — Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☑	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gene Taylor	5-14-04

5225

Name GARY EUGENE "GENE" TAYLOR Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SOUTHERN GRAPHICS	spouse sales commission	NA
	Gene Taylor for Congress Committee	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name GARY EUGENE "GENE" TAYLOR | Page 3 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Hancock Bank	personal loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

5227

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Shipbuilding Assn	DEC 1-3	Gulfport, MS – Fort Myers, FL - Gulfport	Y	Y	N	N

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5229

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(Full Name) Lee R. Terry

(Mailing Address) 1524 Longworth House Office Building
Washington, DC 20515

Daytime Telephone 202-225-4155

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lee Terry	5-14-04

Name Lee Terry | Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	LAW Office of Terry Salerno	Residual Legal Fees	7,261.00
	LAW Office of Michael Kratville	Residual Legal Fees	5,250.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Lee Terry | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
Examples: SP — Mega Corp. Stock		X (Indefinite)	X				Royalties		P
Examples: SP — Simon & Schuster		X (Indefinite)		X				X	
Examples: SP — 1st Bank of Paducah, KY accounts		X (Indefinite)						X	
American Express Stock		X	X					X	
Citigroup Inc. Stock		X	X					X	
Gillette Co. Stock		X	X					X	
Coca Cola Stock		X	X					X	
Level 3 Stock		X	X				N/A	X	
Progressive Corp. Stock		X	X					X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lee Terry

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Motorola Stock	C: X		I: X	
	Oracle Stock	C: X		I: X	
	Pinnacle West Stock	C: X	DIVIDENDS: X	II: X	
	Qualcomm Stock	C: X		I: X	
	U.S. Bancorp	C: X		I: X	
	Smart Hall Money Market	C: X		I: X	
	City of Omaha 414A Pension	C: X	INTEREST: X	II: X	
	Woodman Life Insurance	C: X	INTEREST: X	III: X	
DC	401 Dman Sachsfield/Jack T	C: X			
DC	401 Dman Sachsfield/Ryan T	C: X			
DC	401 Dman Sachsfield/Nolan T	C: X			
	U.S. Global Systems	C: X			

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Name Lee Terry | Page 5 of 5

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
National Association of Broadcasters	4/04/03-4/07/03	Omaha NE - Las Vegas NV - Omaha NE	Y	Y	Wife	NO
National Cable Telecommunications Association	4/05/03-4/09/03	Wash DC - Chicago - Wash DC	Y	Y	Wife	2 Days

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LEE TERRY
REPUBLICAN, 2ND DISTRICT

WASHINGTON OFFICE
1524 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4155

DISTRICT OFFICE
11640 ARBOR STREET, SUITE 100
OMAHA, NE 68144
(402) 397-9944

Talk2Lee@mail.house.gov
www.house.gov/terry

Congress of the United States
House of Representatives

HOUSE COMMITTEE ON
ENERGY AND COMMERCE

SUBCOMMITTEES
COMMERCE, TRADE AND
CONSUMER PROTECTION

ENVIRONMENT AND HAZARDOUS
MATERIALS

TELECOMMUNICATIONS
AND THE INTERNET

June 14, 2004

2004 JUN 15 PM 4:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER
INSIDE MAIL

The Honorable Jeff Trandahl
Office of the Clerk
U.S. House of Representatives
H154 Capitol

Dear Mr. Trandahl:

The following information is being submitted to amend and clarify my personal financial disclosure statement filed in compliance with House rules on May 17, 2004:

1) On Schedule I – Earned Income, the amount of the residual legal fees from the Law Office of Terry Salerno should be changed from "$7, 261" to "$2,849"; the item "residual legal fees" in the amount of "$5,250" from the "Law Office of Michael Kratville" should be deleted;

2) On Schedule IV – Assets and "Unearned" Income, the assets listed as "DC-601 Dman SachsFund" for each of my children, Jack, Ryan, and Nolan should be listed for each as "Goldman Sachs Mutual Funds, Internet Toll Keeper Fund/Research Fund"; and

3) On Schedule IV – Assets and "Unearned" Income, the last item "U.S. Global Systems" is a new asset purchased on December 23, 2003 (additional shares were purchased on February 17, 2004 and will be reported on my personal financial disclosure statement for 2004).

If you, or the Committee on Standards of Official Conduct, need any further information or clarification of my personal financial disclosure statement for 2003, please contact my Chief of Staff, Eric Hultman (5/4155).

Sincerely,

LEE TERRY
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

8

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 AM 11:02
(Office Use Only) MC

William Marshall Thomas
(Full Name)

2208 Rayburn House Office Building
(Mailing Address)

(202) 225-2915
Daytime Telephone:

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 22	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William M. S. Thomas	May 14, 2004

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Name	Page 1 of 7
William M. Thomas	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

Name	William M. Thomas	Page 2 of 7

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
Federation of American Hospitals Annual Conference	speech	March 20, 2003	$1,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		Block B: Value of Asset	Block C: Type of Income							Block D: Amount of Income										Block E: Transaction
			A None	B $1,001 – $15,000	C $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	EXCEPTED ESTATE TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X $5,000,001 – $25,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock			X								X																	P
			Simon & Schuster		Indefinite															Royalties								X			
			1st Bank of Paducah, KY accounts					X								X									X						
			N/A																												

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name William M. Thomas

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date (MO/DAY/YR)	A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	N/A														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Enterprise Institute and Vail Valley Foundation	June 20 - 22	Wash, DC - Avon, CO - Wash, DC	Y	Y	N	N
	Hearst Corporation	July 1	Bakersfield, CA - Paso Robles, CA - San Luis Obispo, CA - Bakersfield, CA	N	N	N	N

This page may be copied if more space is required.

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Name	William M. Thomas	Page 7 of 7

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of Board	American Council of Young Political Leaders

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Bennie G. Thompson
(Full Name)

103 L.C. Turner Circle Bolton, MS 39041
(Mailing Address)

202.225.6876
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: MS District: 2nd	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		05/13/04

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SCHEDULE I - EARNED INCOME

Name Bennie G. Thompson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
The Pension Board	Spouse Pension	N/A
Town of Bolton Development Corporation	Spouse Salary	N/A
State of Mississippi	Spouse Pension	N/A
State of Mississippi	Spouse Pension	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Jackson Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	N/A
SP	Jackson Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	N/A
SP	Union Planter Bank	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A
	Mississippi State Retirement Plan	$50,001 - $100,000	EXCEPTED TRUST	NONE	N/A
SP	Mississippi State Retirement Plan	$50,001 - $100,000	EXCEPTED TRUST	NONE	N/A
SP	Mississippi Deferred Compensation Plan	$15,001 - $50,000	EXCEPTED TRUST	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

SP	The Pension Board NY,NY	$15,001 - $50,000	EXCEPTED TRUST	NONE	N/A
SP	Valic Annuity Plan	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A
SP	Equitable Annuity Plan	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
	Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	N/A
JT	Union Planters Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
JT	Lot 1 L.C. Turner Circle Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	Lot 3 L.C. Turner Circle Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	Lot Pearl Street Bolton, MS	None	CAPITAL GAINS	NONE	S
	Dwelling 212 Sharon Street Bolton, MS	$15,001 - $50,000	CAPITAL GAINS	NONE	N/A
	2 acres, Northside Drive Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
	1 acre, Old Fairground Road Edward, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
	1 acre, Mount Olive Road Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	4257 Johnson CT. Jackson, MS	None	CAPITAL GAINS	NONE	S
	Camille Manor Apts, 445 Hooker Street, Jackson, MS	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

JT	Lot 540 Cottage grove Subdivision, Jackson, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	West Capitol Jackson, MS	$100,001 - $250,000	CAPITAL GAINS	NONE	N/A
SP	First American Bank, Jackson, MS	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
	Thrift Saving Plan	$50,001 - $100,000	EXCEPTED TRUST	$1,001 - $2,500	N/A

SCHEDULE IV - TRANSACTIONS

Name Bennie G. Thompson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Lot Pearal Street Bolton, MS	S	03/01/03	$1,001 - $15,000
JT	4257 Johnson Court, Jackson, MS	S	11/01/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Bennie G. Thompson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Trustmark National Bank,MS	Mortgage, Capitol Street	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Bennie G. Thompson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	BLB Properties
Sole Proprietor	Camille Manor Apartments
Trustee	Tougaloo College
Board Member	Tougaloo College Economic Development Crop
Board Member	Housing Assistance Council

MIKE THOMPSON
1st District, California

COMMITTEES:
AGRICULTURE
BUDGET
TRANSPORTATION AND INFRASTRUCTURE

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CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

District Offices:
1040 Main Street, Suite 101
Napa, CA 94559
(707) 226-9898

317 Third Street, Suite 1
Eureka, CA 95501
(707) 269-9595

Post Office Box 2208
Fort Bragg, CA 95437
(707) 962-0933

712 Main Street, Suite 1
Woodland, CA 95695
(530) 662-5272

Capitol Office:
119 Cannon House Office Building
Washington, DC 20515
(202) 225-3311

WEB: http://www.house.gov/mthompson/

May 17, 2004

11

The Honorable Jeff Trandahl
The Clerk of the House of Representatives
B-106 Cannon House Office Building
Washington, DC 20515

Dear Mr. Trandahl:

MC

Please allow this letter to serve as an explanation for my Financial Disclosure Statement for Calendar Year 2003. On April 12, 2003, my wife and I sold off a mutual fund that included a number of various stocks. Those stocks are listed in Schedule IV. We then opened a savings account with Citibank and placed the remaining capital in that account.

In addition, you will notice that we have fewer liabilities for 2003, as we were able to pay off the mortgages with Wells Fargo and Victor Martina.

Thank you for your attention with this matter. Should you have any questions, please contact my Executive Assistant, Laura Greeneisen or myself at (202) 225-3311.

Sincerely,

Mike Thompson

MIKE THOMPSON
Member of Congress

MT/lg

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Legislative Resource Center

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RECORDS CENTER
2004 MAY 17 AM 10: 50
(Office Use Only)

MC

Mike Thompson
(Full Name)

413 A Street, SE Washington, DC 20003
(Mailing Address)

(202) 225-3311
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike Thompson	5/14/04

5252

SCHEDULE I - EARNED INCOME

Name Mike Thompson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sibley Hospital	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	20% Interest, Travis Webb (General Partnership) 1590 Webster, Fairfield, CA	$100,001 - $250,000	RENT/INTEREST	$5,001 - $15,000	
SP	Adventist Health Care Retirement Plan	$15,001 - $50,000	DIVIDENDS	NONE	
JT	American High Inc.	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	NONE	S
JT	Bank of America	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Boeing Co.	$1 - $1,000	DIVIDENDS	NONE	
JT	Caterpillar Inc.	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

JT	Citibank	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Coca Cola	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Dell Computer Corp.	$1 - $1,000	DIVIDENDS	NONE	
JT	Ecolab Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Edward Jones Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Euro Pacific Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE	S
JT	Exxon Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Federated American Leaders Fund CL A	$1,001 - $15,000	DIVIDENDS	NONE	S
JT	Fundamental Investors	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	S
JT	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Gillette Co.	$1,001 - $15,000	DIVIDENDS	NONE	
JT	GlaxoSmithKline PLC Spons. Adr.	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Half Ownership, 1435/1439 Kearney St., St. Helena, CA	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	Hewlett Packard	$1 - $1,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

JT	Income Fund of America	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE	S
	Insurance Investment Fund Plus	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Investment Company of America CL A	$15,001 - $50,000	DIVIDENDS	NONE	S
JT	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Lucent Technologies Inc.	$1 - $1,000	DIVIDENDS	NONE	
JT	Microelectric Pkg.	$1 - $1,000	DIVIDENDS	NONE	
JT	New Perspective Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	S
SP	North Valley Bank Corps (formerly 6-Rivers National Bank)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Oracle Corp.	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Ownership of 2140 Finley Road East, Finley, CA	$500,001 - $1,000,000	Farm Income	NONE	
JT	Pfizer Ins.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Prime Fund	$1,001 - $15,000	DIVIDENDS	NONE	S
JT	Putnam Health Sciences Trust CL A	$1,001 - $15,000	DIVIDENDS	NONE	S
	S&P 500 Equity Fund	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

JT	Silverado Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Small Cap World Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE	S
JT	Starbucks	$1,001 - $15,000	DIVIDENDS	NONE	
	Vanguard Wellington	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Walgreen Co.	$1,001 - $15,000	DIVIDENDS	NONE	
JT	WalMart Stores, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Washington Mutual Inv. Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Wells Fargo Bank	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Wells Fargo Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Mike Thompson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Euro Pacific Growth	S	4-12-03	$1,001 - $15,000
JT	Federated American Leaders Fund CLA	S	4-12-03	$1,001 - $15,000
JT	Fundamental Investors	S	4-12-03	$15,001 - $50,000
JT	Income Fund of America	S	4-12-03	$1,001 - $15,000
JT	Investment Company of America CLA	S	4-12-03	$15,001 - $50,000
JT	New Perspective Fund	S	4-12-03	$15,001 - $50,000
JT	Prime Fund	S	4-12-03	$1,001 - $15,000
JT	Putnam Health Sciences Trust CLA	S	4-12-03	$1,001 - $15,000
JT	Small Cap World Fund	S	4-12-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Mike Thompson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	American Ag. Credit	Farm Development Loan on 2140 Finley Road, East Finley, CA	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Mike Thompson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Safari Club International	Jan. 31-Feb. 1	Wash., D.C--Reno, NV--Wash., DC	Y	Y	N	N/A
Congressional Sportsmen's Foundation	Aug. 8-11	Sacramento, CA--Jackson Hole, WY--Sacramento, CA	Y	Y	Y	N/A
Washington Wine Institute & Stimson Lane Ltd.	Aug. 20-22	Napa, CA--Walla Walla, WA--Everett, WA--Napa, CA	Y	Y	Y	N/A
The Wine Institute	Oct. 17-20	Wash., DC--Napa, CA--Wash., DC	N	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Mike Thompson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
General Partner	Travis Webb General Partnership, 5184 Gordon Valley Rd., Suisun, CA

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 13 PM 3:30

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(Office Use Only)

William McClellan "Mac" Thomberry
(Full Name)

2457 Raybum House Office Building Washington, DC 20515-4313
(Mailing Address)

202-225-3706
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May 13, 2004

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SCHEDULE I - EARNED INCOME

Name William McClellan "Mac" Thornberry

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Embassy of Switzerland	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William McClellan "Mac" Thornberry

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	1/3 ownership -- Thornberry Brothers Cattle Partnership -- Clarendon, Texas	$100,001 - $250,000	Partnership Income	$2,501 - $5,000	
DC	Merrill Lynch Fundamental Growth C Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Congressional Federal Credit Union accounts	$1,001 - $15,000	INTEREST	$1 - $200	

SOHEDULE V - LIABILITIES

Name William McClellan "Mac" Thornberry

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Amarillo National Bank--Thornberry Brothers	Cattle loan	$100,001 - $250,000
	Hunsaker Trust of Albuquerque, New Mexico--Thornberry Brothers	Real Estate mortgage--Property in Donley County, Texas	$15,001 - $50,000
	Bank One--Washington, D.C.	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William McClellan "Mac" Thornberry

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Anderson Merchandisers--Accompanied speaker for the Baptist Saint Anthony's Hospital Lyceum Charity event	Oct. 28-29	Dulles, VA--Amarillo, TX--Dulles, VA	N	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name William McClellan "Mac" Thornberry

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Thornberry Brothers Cattle--Clarendon, Texas

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

William Todd Tiahrt
(Full Name)

2441 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-6216
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: KS District: 4	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $286 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd Tiahrt	5/12/04

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name William Todd Tiahrt

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Federated Amublatory Surgery Association	Speech	2/5/03	$1,000
McGraw-Hill, Inc	Speech	3/28/03	$2,000
Evangelical Christian Publishers Association	Speech	11/3/03	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	MSDW: Active Assets Money Trust	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Boeing Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Cisco Sys Inc	$1,001 - $15,000		NONE	
JT	Wal Mart Stores Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Active Assets Money Trust	$15,001 - $50,000	INTEREST	$201 - $1,000	
	MSDW-IRA: AMEX DJ Tech Index Fund	$15,001 - $50,000		NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

	MSDW-IRA: iShares DJ US Basic Mtls Sctr	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Cnsmr Non Cyclcl	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Engy Sctr Indx	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Hlthcare Sctr	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Indl Sctr	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Telecomm Sctr	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares DJ US Util Sctr	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares Dow Jns US Fincl Sectr	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	MSDW-IRA: iShares Dow Jns US Cons Cyclcl	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares S&P Mid Cap 400 Value	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares S&P Mid Cap 400 Growth	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares S&P Small Cap 600 Val	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares S&P 500 Barra Gr Index	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: iShares S&P 500 Barra Valu Idx	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

	MSDW-IRA: Ishares S&P Small Cap 600 Growth	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Todd Tiahrt

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Public Governance Institute	Feb. 28 - Mar. 2	Wash., D.C.--West Virginia--Wash., D.C.	Y	Y	Y	N/A
Center for First Principles	Aug. 13 - 21	Wichita, KS-Prague, Vienna, Budapest--Wash., D.C.	Y	Y	Y	N/A

FOOTNOTES

Name William Todd Tiahrt

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Morgan Stanley DW Inc brokerage account	MSDW:
2	Schedule III	Morgan Stanley DW Inc - IRA Rollover account	MSDW-IRA:

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 9:23
(Office Use Only)

5275

Patrick Joseph Tiberi
(Full Name)

5208 Honeytree Loop West Columbus, OH 43229
(Mailing Address)

614-523-2555
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: OH, District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Patrick J. Tiberi	5-13-04

SCHEDULE I - EARNED INCOME

Name Patrick Joseph Tiberi

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Ohio	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	2210 & 2212 Tupsfield Rd with spouse	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	5596 & 5598 Parkville Rd (with father)	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	5604 & 5606 Parkville Rd (with father)	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Fifth Third Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Fifth Third Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Amcap Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

JT	Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Investment Co./America	$15,001 - $50,000	DIVIDENDS IRA	NA	
	Ohio Deferred Comp.	$15,001 - $50,000	DIVIDENDS	NA	
	Robert W. Baird Co.	$1,001 - $15,000	INTEREST SEP	NA	
SP	Limited Brands	$1,001 - $15,000	DIVIDENDS	NA	
SP	McDonald Securities	$1,001 - $15,000	DIVIDENDS IRA	NA	
SP	New Economy Fund	$15,001 - $50,000	DIVIDENDS IRA	NA	
	P.E.R.S. Ohio	$15,001 - $50,000	DIVIDENDS	NA	
JT	Northwestern Mutual Ins.	$50,001 - $100,000	DIVIDENDS	NA	

SCHEDULE V - LIABILITIES

Name Patrick Joseph Tiberi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Fifth Third Bank (with spouse)	Mortgage-2210/12 Tupsfield Rd.	$15,001 - $50,000
JT	Fifth Third Bank (with father)	Mortgage-5596/98 Parkville Rd.	$15,001 - $50,000
JT	Fifth Third Bank (with father)	Mortgage-5604/06 Parkville Rd.	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Patrick Joseph Tiberi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	American Red Cross
Board Member	Prevent Blindness America
Board Member	Court Appointed Special Advocates-Franklin County, Ohio

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVER

CREATIVE RESOURCE CENTER
2004 MAY 17 PM 1:56
(Office Use Only)

John F. Tierney
(Full Name)

120 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-8020
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MA District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John F. Tierney	5/13/04

5281

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John F. Tierney

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Prime Fund Daily Money Class	100,001-250,000	Interest	$201-1,000	
JT	Cisco Corp- common	$15,001-$50,000		NONE	
JT	Disney Corp-common	$1,001 - $15,000	Dividends	$1 - $200	
JT	Freeport McMoran-common	$15,001 - $50,000	Dividends and cash in lieu of	$1,001 - $2,500	E
JT	Hewlett-Packard -common	$1,001 - $15,000	Dividends	$1 - $200	
JT	Nike Corp- common	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John F. Tierney

JT	Parametric Tech. - common	$1 - $1,000		NONE	
JT	Sprint Corp. - common	$1,001 - $15,000		NONE	
JT	Sunair Elec Corp. - common	$1,001 - $15,000		NONE	
JT	Verizon Corp. - common	$15,001 - $50,000	Dividends	$201 - $1,000	
JT	Time Warner- common	$1,001 - $15,000		NONE	
JT	MA St. Cons Ln Bond	$50,001 - $100,000	Interest	$2,501 - $5,000	
JT	MA St. Water Pltn Bond	$15,001 - $50,000	Interest	$1,001 - $2,500	
JT	MA St. Port Authority Bond	None	Interest, Capital Gains	$1,001 - $2,500	E
JT	Chase Capital Pfd	None	Interest	$1,001 - $2,500	E
JT	Talk Realty Trust (1/4 interest) 133 Washington St. Salem, MA	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	Personal Prom. Note (non-int.)	$50,001 - $100,000	NONE	NONE	
JT	SB/IRA Money Funds Gov't-merged (2)	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	SB/IRA Growth & Income Fund - CL A	$15,001 - $50,000	NA	NONE	
JT	SB/IRA-Strips-Tint-US-Treas	$1,001 - $15,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John F. Tierney

JT	SB/IRA CATS PRIN-SER-R	$1,001 - $15,000	CAPITAL GAINS	NONE	
JT	Citi Bank NA - Bank Dep. Prog.	$15,001 - $50,000	INTEREST	$1 - $200	
SP	Resolution Trust	None	None	NONE	
JT	Wright-Patman Fed. Cr. U	$15,001 - $50,000	INTEREST	$1 - $200	
JT	Eastern Bank	$1 - $1,000	INTEREST	$1 - $200	
SP	Wright Medical Tech Inc. Shares	None	NA/No	NONE	

SCHEDULE IV - TRANSACTIONS

Name John F. Tierney

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	MA St. Port Authority Bond	E	07-01-03	$15,001 - $50,000
JT	Chase Capital Pfd	E	11-10-03	$15,001 - $50,000
JT	Freeport McMoran Pfd	E	12-18-03	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John F. Tierney

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
ASPEN Institute	Feb. 14-19	Boston, MA--Montego Bay, Jamaica--Boston, MA	Y	Y	Y	N/A
ASPEN Institute	June 27-July 3	Wash., D.C.--N.Y., N.Y.--Helsinki, Finland-- Boston, MA	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

7

HAND DELIVERED

2004 MAY 18 PM 3:35

(Office Use Only)

Rep. Edolphus "Ed" Towns
(Full Name)

286 Highland Boulevard
(Mailing Address)

(202) 225-5936
Daytime Telephone:

Brooklyn, New York

Filer Status	[X] Member of the U.S. House of Representatives — State: NY District: 10	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	E Towns	May 17, 2004

5287

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Interfaith Medical Center	Spouse Salary	NA
New York Board of Education	Spouse Pension	NA
Holy House of Prayer for All People	Approved Religious Service	$300
Truth Faith Inspirational Baptist Church	Approved Religious Service	300

For payments to charity in lieu of honoraria, use Schedule II.

5288

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Towns, E.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP,	*Examples:*	SP	Mega Corp. Stock
DC,			Simon & Schuster
JT			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None		I None	
	B $1 – $1,000		II $1 – $200	
	C $1,001 – $15,000		III $201 – $1,000	
	D $15,001 – $50,000		IV $1,001 – $2,500	
	E $50,001 – $100,000		V $2,501 – $5,000	
	F $100,001 – $250,000		VI $5,001 – $15,000	
	G $250,001 – $500,000		VII $15,001 – $50,000	
	H $500,001 – $1,000,000		VIII $50,001 – $100,000	
	I $1,000,001 – $5,000,000		IX $100,001 – $1,000,000	
	J $5,000,001 – $25,000,000		X $1,000,001 – $5,000,000	
	K $25,000,001 – $50,000,000		XI Over $5,000,000	
	L Over $50,000,000			
		DIVIDENDS		
		RENT		
		INTEREST		
		CAPITAL GAINS		
		EXCEPTED TRUST		
		QUALIFIED BLIND TRUST		
		Other Type of Income (Specify: For Example, Partnership Income or Farm Income)		
Mutual of America				
Prudential Insurance				
NY Municipal Bond Fund				
Wright-Patman FCU				
Queens County Savings				

Block A	Block B	Block C	Block D	Block E
SP, DC, JT	Indefinite	Royalties		P
Mutual of America	C	INTEREST	III	
Prudential Insurance	C	DIVIDENDS	III	
NY Municipal Bond Fund	C	DIVIDENDS	III	
Wright-Patman FCU	C	DIVIDENDS	III	
Queens County Savings	D	INTEREST	III	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Towns, E.

Page 5 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Fleet Bank		X						X				X					
	Bank of America		X						X				X					

This page may be copied if more space is required.

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Name Towns, E.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Countrywide Home Loan	Mort. on 4304 Hawksnest, Lutz, Fla.		X			
	Washington Mutual	Mort. on 324 Highland Blvd. Brooklyn, N.Y.		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Wyckoff Medical Center
Board Member	Congressional Black Caucus Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6 LEGISLATIVE RESOURCE CENTER
MC 2004 MAY 13 PM 2:59
(Office Use Only)

James (Jim) William Turner
(Full Name)

203 Gordon Drive
Crockett Tx 75835
(Mailing Address)

936-544-8644
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: Tx District: 2nd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Turner	5/11/04

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Name Jim Turner | Page 2 of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Texas Employee Retirement System	pension	30,649.92
	Jim Turner Campaign	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Jim Turner

Page 3 of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: None	A	C: $1,001 – $15,000	E: $50,001 – $100,000	G: $250,001 – $500,000	I: $1,000,001 – $5,000,000	K: $25,000,001 – $50,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I: None	III: $201 – $1,000	V: $2,501 – $5,000	VII: $15,001 – $50,000	IX: $100,001 – $1,000,000	XI: Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP — Mega Corp. Stock				X				X										P
	Examples: Simon & Schuster			Indefinite								Royalties					X		
	Examples: 1st Bank of Paducah, KY accounts									X									
	Turner Hardware & Construction Inc. Stock - Crockett Tx								X				X						
	Citizens Natl Bank Stock Crockett Tx											Sub S							
	U.S. Treasury Series E Bonds			X						X			X						
	3.7 acres Mesquite Survey Houston Co. Texas					X										X			
	603 E Goliad Bldg + personal property - Crockett Tx																		
	Duplex - Homewood Drive Crockett Tx				X														

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jim Turner

Page 4 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	301 acres Houston Co Tx				X													
	385 acres Houston Co Tx				X									X				
	Hughes Luce Retirement Acct							X										
	Congressional Federal Credit Union								X				X					
	Waterhouse Money Market			X				X	X									
SP	Waterhouse Money Market			X				X	X									
	Waterhouse Money Market-BL				X			X	X				X					
	First National Bank								X									
SP	Boeing Capital Bonds								X									
SP	200 sh. Dell		X					X				X						
	200 sh Dell		X					X				X						
SP	85 sh ADL							X				X						
SP	80 sh CSCO		X					X				X						
SP	402 sh FSFSX		X					X				X						
SP	619 sh JSWCX		X					X	X			X						
SP	50 sh JDSU							X				X						
SP	519 sh PDGSX		X					X	X			X						
SP	840 sh PRSCX		X					X	X			X						
SP	945 sh RSEGX							X	X			X						
SP	120 sh SUNW							X				X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jim Turner

Page 5 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	40sh YHOO							X				X						
	14sh A							X				X						
	70sh AOL							X				X						
	70sh CSCO							X				X						
	80sh HWP		X					X				X						
	40sh IBM		X					X				X						
	80sh INTC		X					X				X						
	60sh MSFT		X					X				X						
	90sh NOK		X					X				X						
	40sh QCOM		X					X				X						
	100sh SUNW							X				X						

This page may be copied if more space is required.

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Name Jim Turner | Page 6 of

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Turner Hardware + Construction, Inc., Crockett, Texas

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

1 of 15

HAND DELIVERED

2004 MAY 13 PM 2:26

(Office Use Only) MC

Michael R. Turner
(Full Name)

5815 Stone Lake Drive
(Mailing Address)

(202) 225-6465
Daytime Telephone:

Centerville, OH 45429

Filer Status	[X] Member of the U.S. House of Representatives	State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael R. Turner	05/13/04

5299

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Turner Marketing	Spouse's Salary	NA
	Turner Marketing	Spouse Business Income	NA

For payments to charity in lieu of honoraria, use Schedule II.

5300

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NOT APPLICABLE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael R. Turner

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: None	C: $1,001 – $15,000	E: $50,001 – $100,000	G: $250,001 – $500,000	I: $1,000,001 – $5,000,000	K: $25,000,001 – $50,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	Block D: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock			X				X										P
	Simon & Schuster		Indefinite								Royalties					X		
	1st Bank of Paducah, KY accounts								X									
SP	Legg Mason Value Trust									Single IRA		X						
SP	Apache Corp (APA)		X					X										P
SP	Alltell Corp (AT)		X					X										P
SP	Bank Of America (BAC)		X					X										P
SP	Biomet Inc. (BMET)		X					X										P
SP	Money Market Funds		X						X									P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R. Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	CVS Corp (CVS)		X					X										P
SP	First Data Corp (FDC)		X					X										P
SP	Fannie Mae (FNM)		X					X										P
SP	Forest Labs (FRX)		X					X										P
SP	General Electric (GE)		X					X										P
SP	Intl Business Mach(IBM)		X					Y										P
SP	Intel Corp (INTC)		X					X										P
SP	Johnson & Johnson(JNJ)		X					X										P
SP	MBNA CORP (KBB)		X					X										P
SP	Masco Corp (MAS)		X					X										P
SP	Marsh & McLennon (MMC)		X					X										P
SP	Oracle Corp (ORCL)		X					X										P
SP	Pepsico Inc (PEP)		X					X										P
SP	Pfizer Inc (PFE)		X					X										P
SP	Proctor & Gamble (PG)		X					X										P
SP	Staples Inc (SPLS)		X					X										P
SP	US Bancorp (USB)		X					X										P
SP	Viacom Inc (VIAB)		X					X										P
SP	Bank One Savings			X					X									
SP	Turner Marketing				(ne	bo	v	ue			business					X		
SP	Union Central Life Ins		X							w	le life	X						
DC	College Advantage		X								tuition trust	X						

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5303

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Michael R. Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Bank One Checking		X						X									
	AMBAC Financial (ABK)		X					X										P
	American Int'l (AIG)		X					X										P
	Allstate Corp (ALL)		X					X										P
	Amgen Inc (AMGN)		X					X										P
	Anheuser Busch (BUD)		X					X										P
	Cardinal Health (CAH)		X					X										P
	Money Market funds		X						X									P
	Dell Inc (DELL)		X															P
	Electronic Arts (ERTS)		X					X										P
	First Data Corp (FDC)		X					X										P
	Gillette (G)		X					X										P
	Home Depot (HD)		X					X										P
	Block H&R (HRB)		X					X										P
	Lilly Eli (LLY)		X					X										P
	Medtronic Inc (MDT)		X					X										P
	McGraw-Hill (MHD)		X					X										P
	Merck & Co (MRK)		X					X										P
	Microsoft Corp (MSFT)		X					X										P
	Nokia Corp (NOK)		X					X										P
	General Electric (GE)		X					X										P
	Pitney Bowes (PBI)		X					X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R. Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Union Pacific (UNP)		X					X										P
	United Technologies(UT	X	X					X										P
	Verizon Comm (VZ)		X					X										P
	Wells Fargo (WFC)		X					X										P
	Washington Mutual (WM)		X					X										P
	Exxon Mobile (XOM)		X					X										P
	Thrift Savings Plan		X								Def.Ann	X						
	AXP New Dimensions		X								Def.Ann	X						
	Ohio State PERS										Def.Ann	X						
	Union Central Life		X								ole Life	X						

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5305

SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
SP	Legg Mason Value Trust	X	5/1, 5/23 6/3, 9/19					
SP	Legg Mason Opportunity	X	5/1, 5/23 9/19					
SP	Legg Mason Focus Trust	X	5/1, 5/23					
SP	Legg Mason Invst Trust	X	5/1, 5/23 9/19					
SP	Nations Fund	X	5/2, 9/22					
JT	Legg Mason Opportunity	X	9/19/03					
JT	Legg Mason Value Trust	X	9/19/03					
JT	Legg Mason Invst Trust	X	9/19/03					
JT	Nations Fund	X	9/19/03					
	Legg Mason Opportunity	X	4/24/03					
	Legg Mason Focus Trust	X	4/24/03					
	Legg Mason Invst Trust	X	4/24/03					
	Legg Mason Value Trust	X	4/24/03					
	Nations Fund	X	4/25/03					
	GS Int'l Equity Fund	X	7/15/03					
	GS Core SM Cap EQ	X	7/15/03					

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SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	GS Capital Grwth Fund	X	7/15/03					
	Core Lg Cap Val	X	7/15/03					
	Growth Oppt. Fund	X	7/15/03					
SP	Alltel (AT)		6/13/03					
SP	Apache (APA)		10/22/03					
SP	Bank of America (BAC)		9/23/03					
SP	Biomet (BMET)		5/28/03					
SP	CVS (CVS		10/22/03					
SP	Fannie Mae (FNM)		5/29/03					
SP	First Data (FDC)		6/13/03					
SP	Forest Laboratories (FRX)		5/28/03					
SP	General Electric (GE)		6/13/03					
SP	Intel (INTC)		9/23/03					
SP	Int'l Business Machines (IBM)		6/13/03					
SP	Johnson & Johnson (JNJ)		5/29/03					
SP	MBNA (KRB)		9/23/03					

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SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
SP	Marsh & McLennon (MMC)		5/28/03					
SP	Masco (MAS)		10/22/03					
SP	Oracle (ORCL)		5/29/03					
SP	Pepsico (PEP)		5/28/03					
SP	Pfizer (PFE)		5/28/03					
SP	Procter & Gamble (PG)		6/13/03					
SP	Staples (SPLS)		5/29/03					
SP	U.S. Bancorp (USB)		5/29/03					
SP	Viacom Class B (VIAB)		9/23/03					
	Allstate (ALL)		10/22/03					
	Ambac Financial (ABK)		10/22/03					
	American Int'l Group (AIG)		5/29/03					
	Amgen (AMGN)		10/22/03					
	Anheuser Busch (BUD)		9/23/03					
	H&R Block (HRB)		5/29/03					
	Cardinal Health (CAH)		5/28/03					

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SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	Dell (DELL)		9/23/03					
	Electronic Arts (ERTS)		12/02/03					
	Exxon Mobil (XOM)		5/29/03					
	First Data (FDC)		9/23/03					
	General Electric (GE)		12/2/03					
	HOME Depot (HD)		10/22/03					
	Eli Lilly (LLY)		5/28/03					
	McGraw-Hill (MHP)		9/23/03					
	Gillette (G)		9/23/03					
	Medtronic (MDT)		5/28/03					
	Merck (MRK)		5/28/03					
	Microsoft (MSFT)		5/28/03					
	Pitney Bowes (PBI)		12/02/03					
	Union Pacific (UNP)		12/02/03					
	United Technologies (UTX)		5/29/03					
	Verizon (VZ)		10/22/03					

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SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	Washington Mutual (WM)		5/29/03					
	Wells Fargo (WFC)		10/22/03					
	Nokia (NOK)		9/23/03					

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Bank One, Ohio	Personal Line of Credit	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NOT APPLICABLE		

Use additional sheets if more space is required.

5311

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6-11	Wash., D.C.—Los Angeles – Cleveland	Y	Y	Y	2 Days
NOT APPLICABLE							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NOT APPLICABLE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NOT APPLICABLE	

GPO: 2004 91-910 (mso)

Use additional sheets if more space is required.

5313

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
DOCUMENTS RESOURCE CENTER
2004 MAY 17 AM 9:29
MC (Office Use Only)

Mark Emery Udall
(Full Name)

4346 Prado Drive Boulder, CO 80303
(Mailing Address)

(303) 650-7820
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: CO District: 2nd	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑	No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark E. Udall	May 13 2004

5315

SCHEDULE I - EARNED INCOME

Name Mark Emery Udall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sierra Club	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	AT&T Corp.	$1 - $1,000	DIVIDENDS	$201 - $1,000	S
	Schwab Money Market Fund	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Dell Computer	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	AT&T Wireless	$1 - $1,000	DIVIDENDS	$1 - $200	S
	Capital One Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Comcast Corp.	$1 - $1,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

	Vanguard GNMA (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Dentsply Int. Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Vanguard High Yield (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Schwab S/T Bond Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Texas Instruments Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schwab Government Money Fund (IRA)	$1 - $1,000	DIVIDENDS	$1 - $200	
	VALIC Retirement Fund	$100,001 - $250,000	INTEREST	NONE	
	Alexandrite Sands Limited Partnership	$1,001 - $15,000	Other: Father's estate	$1 - $200	
	Kingman Station Limited Partnership	$1,001 - $15,000	Other: Father's estate	$1 - $200	
	Casino Lights Limited Partnership	$1,001 - $15,000	Other: Father's estate	NONE	
SP	Archstone Smith Trust REIT SBI	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Time Warner Inc.	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Vanguard GNMA Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Vanguard High-Yield Corporate Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

SP	Intel Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	McGraw-Hill	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	Washington Mutual Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Susquehanna Bancshares Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schwab Money Market Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard GNMA Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard High-Yield Corporate Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Adobe Systems Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Applied Materials Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Forest Laboratories (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Mentor Corporation Minn (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	National City Corp. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	ICOS Corp. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Johnson & Johnson (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

SP	Pfizer, Incorporated (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Sun Microsystems Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Xilinx Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schwab Money Market Fund (SEP IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Onthofix Intl (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schwab STT BD Market Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
DC	Schwab Government Money Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Vanguard GNMA Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC	Vanguard High-Yield Corporate Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Kimberly-Clark Corp.	$1 - $1,000	DIVIDENDS	$201 - $1,000	
DC	North Fork Bancorp Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	TECO Energy Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC	Washington Mutual Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	First Virginia Banks Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC	Equity RESIDL PPTY Trust	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
DC	Health Care PPTY Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Health Care REIT Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Health Care Realty Trust REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	WASH REAL EST INV TR SBI	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Vornado Realty Trust REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Schwab S/T BD Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
DC	Adobe Systems Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Schwab Government Money Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Vanguard GNMA Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Vanguard High-Yield Corporate Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Bank of America Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Deere & Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	Federal Signal Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC	Kimberly-Clark Corp.	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	Old Republic INTL Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	The Southern Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Patterson Dental	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	ICOS Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Health Care PPTY INV INC REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Health Care REIT Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC	Healthcare Realty Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Schwab S/T BD Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
DC	Mylan Laboratories Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	National City Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	National Fuel Gas Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Pfizer Incorporated	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC	Sysco Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Progress Energy (formerly Carolina Power & Light)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Fox Family Limited Partnership	$250,001 - $500,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AT&T Corp.	S	01-03-03	$51-$1,000
	AT&T Wireless	S	10-27-03	$01-$50
	Comcast Corp.	S	11-24-03	$51-$1,000
	Dentsply Int. Inc.	P	08-22-03	$1,001 - $15,000
SP	Archstone Smith Trust REIT SBI	P	12-11-03	$1,001 - $15,000
SP	Intel Corp.	S	2-14-03	$1,001 - $15,000
SP	McGraw-Hill	S	11-24-03	$1,001 - $15,000
SP	Forest Laboratories (IRA)	P	1-03-03	$1,001 - $15,000
SP	Mentor Corporation Minn (IRA)	P	5-12-03	$1,001 - $15,000
SP	National City Corp. (IRA)	P	5-12-03	$1,001 - $15,000
SP	Schwab STT BD Market Fund (IRA)	PS	1-03-03 5-12-03 12-11-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	TECO Energy Inc.	S	12-11-03	$1,001 - $15,000
DC	First Virginia Banks Inc.	S	3-10-03	$1,001 - $15,000
DC	Vornado Realty Trust REIT	P	12-12-03	$1,001 - $15,000
DC	Schwab S/T BD Market Fund	S	1-13-03	$1,001 - $15,000
DC	Deere & Co.	S	2-10-03	$1,001 - $15,000
DC	Federal Signal Corp.	S	2-10-03	$1,001 - $15,000
DC	Old Republic INTL Corp.	S	2-10-03	$1,001 - $15,000
DC	Schwab S/T BD Market Fund	PS	5-12-03 8-22-03 12-11-03	$1,001 - $15,000
DC	National City Corp.	P	5-12-03	$1,001 - $15,000
DC	National Fuel Gas Co.	P	5-12-03	$1,001 - $15,000
DC	Pfizer Incorporated	P	1-13-03	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Sysco Corporation	P	12-11-03	$1,001 - $15,000
	Schwab S/T Bond Market Fund	PS	8-22-03 12-12-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name: Mark Emery Udall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Udall Foundation	Jan. 16-18	Denver-Tucson	Y	Y	N	N/A
National School Transportation Assoc. And New York School Bus Contractors Assoc.	July 20-21	Denver-New York- Wash. DC	Y	Y	N	N/A
The CEO Coalition	Oct. 9-10	Wash., D.C.-San Diego - Denver	Y	N	N	N/A
Udall Foundation	Aug. 8-10	Denver-Tucson-Denver	Y	Y	Y	N/A

LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Tom Udall
(Full Name)

308 East Capitol NE Washington, DC 20003
(Mailing Address)

202.225.6190
Daytime Telephone: (Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NM, District: 3	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Udall	5/13/2004

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SCHEDULE I - EARNED INCOME

Name Tom Udall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Smithsonian Institution	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Ameritas Variable Annunity III	$50,001 - $100,000	DIVIDENDS	NONE	
	Boston Capitol	$1,001 - $15,000	TAX CREDIT	$201 - $1,000	
	Capital Income Builder	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	partial sale
	CNL Income Fund	$50,001 - $100,000	DISTRIBUTION	$2,501 - $5,000	
	Davis Financial Class A	$15,001 - $50,000	N/A	NONE	
	Evergreen Core Bond	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

	Evergreen High Income Municipal Bond	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Investment Company of America	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	
	Merrill Lynch Account	$250,001 - $500,000	see attached as addendum III	$5,001 - $15,000	no transactions
	New Perspective Fund	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	
	NM Public Employees Retirement	$50,001 - $100,000	NONE	NONE	
	Northwestern Mutual	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pacific Select Variable Annuity	$50,001 - $100,000	DIVIDENDS	NONE	
	Pacific Value Variable Annuity	$250,001 - $500,000	DIVIDENDS	NONE	
	Washington Mutual Investment	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	
	Wells Partnership	$1,001 - $15,000	DISTRIBUTION	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name Tom Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Capital Income Builder	S	MONTHLY	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Tom Udall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
ASPEN INSTITUTE	June 27 - July 3	Washington DC - Helsinki D Finland - Washington DC	Y	Y	Y	N/A
ASPEN INSTITUTE	May 24 - June 1	Washington DC - Rome Italy - Washington DC	Y	Y	Y	2 days
FAITH AND POLITICS INSTITUTE	September 12-14	Washington DC - Potomac MD - Washington DC	Y	Y	Y	N/A
CONGRESSIONAL STUDY GROUP ON GERMANY	April 12 - 17	Washington Dc - Heidelberg Germany - Washington DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Tom Udall

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	ENVIRONMENTAL LAW INSTITUTE

III a

CMA ACCOUNT

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	86,130.47	
Net Credits & Debits	1,652.80DR	17,142.69DR
Closing Balance Cash & Money Accounts	84,477.67	

Credits	This Statement	Year-to-Date
Sales Income	597.20	6,081.43
Funds Received		15,800.00
Electronic Tfrs		
Other		
Total Credits	597.20	21,881.43

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		10,000.00
Electronic Tfrs		
CMA Checks	2,150.00	25,711.13
Other	100.00	3,312.99
Total Debits	2,250.00	39,024.12

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield %	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	85,053	85,345	.37	28.17	83,634
Merrill Lynch Bank & Trust	843	843	.37	0.28	843
Total ML Bank Deposit Program	85,896			28.45	84,477

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
84,477	ML Bank Deposit Program		1.00	84,477	1.00	84,477			312	.37
Municipal Bonds										
25,000	CALIFORNIA ST SEP91 06.250%SEP01 08 MOODY'S: BAA1 S&P: BBB	10/17/91	99.47	24,868	113.84	28,461	3,592	521	1,562	5.48
25,000	WALBUQUERQUE NM GROSS RCPTS TAX REV C RFDG OID MAR99 05.000%JUL01 19 MOODY'S: A1 S&P: AA ORIGINAL UNIT COST: 100.22	02/13/01	100.14	25,036	105.42	26,355	1,318		1,249	4.74
10,000	WNEW MEXICO FIN AUTH CRT FACS FEE REV SER A MBIA	08/13/01	102.83	10,283	110.42	11,042	759	24	550	4.98

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III b

CMA® ACCOUNT

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Municipal Bonds										
	AUG01 05.500%JUN15 20 MOODY'S: AAA S&P: AAA ORIGINAL UNIT COST: 103.76									
10,000	WFARMINGTON NM PCR REV SO CA EDISON SER A RFDG OID APR91 07.200%APR01 21 MOODY'S: BA2 S&P: BBB ORIGINAL UNIT COST: 104.28	09/16/91	102.48	10,248	103.12	10,312	63			
10,000	WFARMINGTON NM POLLTN CTL RV REF SOUTHN CA ED MBIA JUN93 05.875%JUN01 23 MOODY'S: AAA S&P: AAA ORIGINAL UNIT COST: 101.06	10/03/95	100.15	10,015	102.30	10,230	215	49	587	5.74
Total Municipal Bonds				80,452		86,402	5,947	594	3,949	4.57

Equity Weighting by Economic Sector *

A - Health Care	0%	D - Consumer Discretionary	0%	G - Financials	100%	J - Energy	0%
B - Consumer Staples	0%	E - Industrials	0%	H - Telecommunications Services	0%	K - Unassigned	0%
C - Information Technology	0%	F - Materials	0%	I - Utilities	0%		

* Economic sectors conform to the Global Industry Classification Standard. See statement backer.

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Equities											
400	** PROLOGIS REIT	PLD	(G)	03/23/94	11.50	4,600	32.09	12,836	8,236	576	4.48
44	** PROLOGIS REIT			06/21/94	15.12	665	32.09	1,411	746	63	4.48
112	** PROLOGIS REIT			07/12/94	15.12	1,694	32.09	3,594	1,900	161	4.48
92	** PROLOGIS			09/28/95	15.37	1,414	32.09	2,952	1,537	132	4.48

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CMA® ACCOUNT

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Equities											
	REIT										
648	Security Sub-Total					8,374		20,794	12,419	933	4.48
100	SOLV-EX CORP NEW	COM SVXC (K)		N/A	N/A	N/A	.00		N/A		
Total Equities						8,374		20,794	12,419	933	4.49
Total of Long Portfolio						173,303*		191,673	18,366 *	5,195	2.71
Total of Estimated Accrued Interest								594			

* - Excludes N/A items

W Bonds purchased at a premium show amortization

r Bonds purchased at a discount show accretion

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest						
12/01	Bond Interest		FARMINGTON NM POLLTN CTL RV REF SOUTHN CA ED MBIA JUN93 05.875%JUN01 23			293.75
12/15	Bond Interest		NEW MEXICO FIN AUTH CRT PACS FEE REV SER A MBIA AUG01 05.500%JUN15 20			275.00
12/31 H	Bank Interest		BANK DEPOSIT INTEREST			.45
	Income Total		ML Bank Deposit Program			28.00
Net Total						597.20
Other Activity						
12/03	Journal Entry		ANNUAL ACCOUNT FEE CUST PAYMT FOR 456-54518		100.00	
Net Total					100.00	

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III d

CMA® ACCOUNT

Monthly Activity

Date	Description	Deposits	Withdrawals	Date	Description	Deposits	Withdrawals
Money Account Transactions							
12/01	ML Bank Deposit Program	234.00		12/29	ML Bank Deposit Program		2,150.00
12/08	ML Bank Deposit Program	194.00			Total	703.00	2,150.00
12/22	ML Bank Deposit Program	275.00			Net Total		1,447.00

Insurance Contracts

Life Insurance / Annuities	Contract Value	Estimated Annual Payments
TRANMERICA LANDMARK 95 POLICY NUMBER 100242EDV CONTRACT VALUE AS OF 12/29/03	157,741	
Life Insurance / Annuities Subtotal	157,741	

Insurance contracts are not held in your account.

Summary of Checking Activity

Date Cleared	Date Written	Check Number	Description	Amount
12/29	12/18	1746	JON AND DONNA COTTON	150.00
12/29	12/20	1747	ST JOHNS COLLEGE	2,000.00
Total Checking Activity				2,150.00

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678.

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III e

RETIREMENT ACCOUNT

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	13,230.68	
Net Credits & Debits	14.86	489.04
Closing Balance Cash & Money Accounts	13,245.54	

Credits	This Statement	Year-to-Date
Sales		
Income	14.86	476.01
Funds Received		
Electronic Tfrs		
Other		95.87
Total Credits	14.86	571.88

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Electronic Tfrs		
Other		82.84
Total Debits		82.84

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
13,244	ML BANK USA RASP		1.00	13,244	1.00	13,244			49	.37

Equity Weighting by Economic Sector *

A - Health Care	41%	D - Consumer Discretionary	33%	G - Financials	0%	J - Energy	0%
B - Consumer Staples	0%	E - Industrials	0%	H - Telecommunications Services	0%	K - Unassigned	0%
C - Information Technology	26%	F - Materials	0%	I - Utilities	0%		

* Economic sectors conform to the Global Industry Classification Standard. See statement backer.

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Equities											
200	** DELL INC	DELL	(C)	03/31/99	41.53	8,307	33.98	6,796	(1,511)		
150	HOME DEPOT INC	HD	(D)	03/31/99	42.66	6,400	35.49	5,323	(1,076)	42	.78
1	** MEDCO HEALTH SOLUTIONS I	MHS	(A)	N/A	N/A	N/A	33.99	33	N/A		

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III f

RETIREMENT ACCOUNT

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Equities											
29	** MEDCO HEALTH SOLUTIONS I			N/A	N/A	N/A	33.99	985	N/A		
30	Security Sub-Total							1,019			
13	** MERCK&CO INC	MRK	(A)	06/03/88	.00	0	46.20	600	600	19	3.20
240	** MERCK&CO INC			06/02/92	.00	0	46.20	11,088	11,088	355	3.20
253	Security Sub-Total							11,688	11,688	374	3.20
317	** SUN MICROSYSTEMS INC COM	SUNW	(C)	01/16/01	31.45	9,969	4.47	1,416	(8,552)		
108	** VIACOM INC CL B	VIAB	(D)	03/31/99	38.56	4,164	44.38	4,793	628	25	.54
Total Equities						28,842		31,037	1,177	442	1.43
Total of Long Portfolio						42,087*		44,282	1,177 *	451	1.11

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest						
12/18 *	Dividend		HOME DEPOT INC HOLDING 150.0000			10.50
	Income Total		ML BANK USA RASP			4.36
Net Total						14.86
Funds Received, Withdrawals, and Charges						
12/19	Fund Delivery	-10	ML BANK USA RASP			
12/19	Subscription	10	ML BANK USA RASP		10.00	
Net Total					10.00	

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455).

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III g

RETIREMENT ACCOUNT

Retirement Money Accounts Summary

Description	Money Account Balances Opening	Closing	Dividends This Statement	Year to Date
ML Bank USA RASP	$13,230.42	$13,244.78	$4.36	$63.68

Retirement Account Plan Summary

Account Type: IRA Plan Number: 7700700
Year-End Plan Value as of December 31, 2003 $44,283.37
Contributions after December 30, 2002 for 2002 $.00

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/03. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, Roth IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

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III h

SEP ACCOUNT

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	30,223.99	
Net Credits & Debits	9.97	10,882.33
Closing Balance Cash & Money Accounts	30,233.96	

Credits	This Statement	Year-to-Date
Sales		10,000.00
Income	170.32	1,042.68
Funds Received		
Electronic Tfrs		
Other	100.00	100.00
Total Credits	270.32	11,142.68

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Electronic Tfrs		
Other	260.35	260.35
Total Debits	260.35	260.35

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	.00
Long-Term	.00	270.15

*Excludes transactions for which we have insufficient data.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2003 tax return. These reportable transactions will appear on your January statement.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
30,233	ML BANK USA RASP		1.00	30,233	1.00	30,233			111	.37

Equity Weighting by Economic Sector *

A - Health Care	0%	D - Consumer Discretionary	0%	G - Financials	100%	J - Energy	0%
B - Consumer Staples	0%	E - Industrials	0%	H - Telecommunications Services	0%	K - Unassigned	0%
C - Information Technology	0%	F - Materials	0%	I - Utilities	0%		

* Economic sectors conform to the Global Industry Classification Standard. See statement backer.

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SEP ACCOUNT

III

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Equities											
138	** PROLOGIS REIT	PLD	(G)	09/18/97	22.58	3,116	32.09	4,428	1,311	198	4.48
Total Equities						3,116		4,428	1,311	198	4.49

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
501	ALLIANCEBERNSTEIN PREM GROWTH FUND INC CLASS A	$15,062	($6,615)	16,767	16.86	8,446	N/A		
	(.2450 FRACTIONAL SHARE) INITIAL PURCHASE: 05/28/99			N/A	16.86	4	N/A		
872	DAVIS NY VENTURE FD A	$18,287	$5,710	21,915	27.52	23,997	N/A	161	.67
	(.8150 FRACTIONAL SHARE) INITIAL PURCHASE: 07/25/96			N/A	27.52	22	N/A		.67
Total Equity Funds			($905)	38,683		32,470		161	.50
Total Funds			($905)	38,683		32,470		161	.50

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis.
Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A".
INITIAL PURCHASE: Date of your initial investment in this fund.

Total of Long Portfolio	72,033*	67,132	1,311 *	471	.70

* - Excludes N/A Items

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:01
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Frederick Stephen Upton
(Full Name)
2161 Rayburn HOB
(Mailing Address)
Washington, D.C. 20515
202/225-3761
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: Michigan District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-14-04

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples:	SP	Mega Corp. Stock				X								X										X								P
DC			Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
SP	Putnam Investments				X										X		X							X									
JT	Whirlpool										X				X												X						
	Petroleum Rev. Serv.				X																oil & gas				X								
	US-UPS Family Phrsh				X										X								X										
JT	W. Putman Cred. of Vinc.					X											X				checking & savings		X										
	5th 3rd Savings A/c				X												X						X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name UPTON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Hilliard Lyons Accts –									X				X		X											X					
	comprised of:																															
JT	• Hilliard Lyons Int'l			X																												
SP	• AT&T			X																												
SP	• AT&T wireless			X																												
SP	• Comcast A			X																												
SP	• Hughes Elec			X																												
SP	• Bluegrass			X																												
SP	• National Fin Sv			X																												
JT	• Pfizer						X																									
SP	• Raytheon			X																												
SP	• SBC			X																												
JT	• Schering			X																												
JT	• Stryker						X																									
SP	• Verizon			X																												P
SP	• Wells Fargo				X																											
JT	• Wrigley								X																							
SP	• Alliance Capital			X																												
SP	• Hilliard Lyons Int'l				X																											
	• JP Morgan			X																												P
	• Lehman Fin							X																								
	• Chesapeake Energy					X																										S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name UPTON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Hilliard Lyons Accts Cont																															
	• Fannie Mae				X																											
	• GE				X																											
	• Pepsico							X																								
	• Regeneron Tech				X																											P
	• Yum					X																										
	• Mass Inv. Growth				X																											
	• Seligman Tax-Exempt				X																											S
	• Disney				X																											S
	Trusts established with																															
	No control by me –																															
	Not able to give																															
	direction to M. Financial																															
	Establishing that manage																															
	The Accts: Vial, Upton																															
	Dynasty, & Rehm-Miller										X			X		X													X			
	compared to:																															
JT	• Bell South				X																											
	• Exxon				X																											
JT	• Pepsico					X																										
JT	• SBC				X																											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name UPTON

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Viel/Upton/Dynesh/R. Miller																															
	- Verizon				X																											
	• Vodafone			X																												
JT	• Wrisley										X																					
	• Grand Forks, ND note			X																												
	• Pot college & univ. mo				X																											
JT	• Cash					X																										
	• Argon			X																												
	• American Express			X																												
JT	• BP				X																											
	• Hewlett Packard			X																												
JT	• IBM				X																											
	• Kimberly-Clark					X																										
	• J.C. Penney			X																												
	• Pfizer						X																									
	• MTB N.T. I Gov't Fun			X																												
	• MTB N. Trust Fixed Fun			X																												
JT	• 5th 3rd Bkgll					X																										
DL	• Mosaic Select Equity						X																									
DC	- Disney				X																											
DC	• GE				X																											
DC	• Stryker						X																									
JT	• Whirlpool									X																						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name UPTON

Page 6 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Vial/Upton/Dynasty/Ritter																														
SP	• Altria Group			X																											
SP	• Bristol Myers Squibb			X																											
SP	• Direct TV Group			X																											
SP	• Dow Chemical			X																											
SP	• Exxon					X																									
SP	• Fortune Brand			X																											
SP	• Gallaher Group			X																											
	• Chemical Financial			X																											
	• F.F.P. Third Bancorp						X																								
	• IMC Growth Fund				X																										
	• Smallcap World Fund			X																											
	• T. Rowe Price Newhorizons			X																											
	• One Group Muni MM Fund			X																											

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SCHEDULE IV — TRANSACTIONS

Name UPTON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-03		X								
	Chesapeake Energy		X		5-27-03		X								
	Seligman Tax-Exempt Fd		X		6-27-03	X									
	Regeneration Tech	X			5-27-03		X								
SP	Hilliard Lyons Sentbanc Fd	X			1-16-03	X									
SP	Hilliard Lyons Sentbanc Fd	X			12-18-03		X								
SP	Stryker	X			1-16-03	X									
JT	Stryker	X			12-18-03			X							
	Disney		X		8-27-03		X								

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Name UPTON | Page 5 of 7

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Tribune Broadcasting	3/21 - 3/24	Wash., DC → Phoenix → Wash.	Y	Y	Y	Y - Sunday dinner
Cellular Telecommunications & Internet Assoc.	3/16 - 3/17	DC → New Orleans → DC	Y	Y	N	N
Faith & Politics Institute	1/10 - 1/12	Balt. → Santa Barbara → Kzoo	Y	Y	Y	N
National Cable & Telecommunications Assoc.	6/6 - 6/8	Kalamazoo → Chicago → DC	Y	Y	N	N

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Name	UPTON	Page 9 of 9

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Cornerstone Alliance
Board Member	Council For Urban Class Community
Board Member	Nature Conservancy – Michigan Chapter
Steering Cmte	Congressional Conversation on Race – Faith & Politics Organization
Director	C-OP Mainstreet Partnership
Co-partner	US-UBS (Family Organization)
General Partner	Petroleum Reserve Service (N. Antrim well)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	None

... sheets if more space is required.

5352

FRED UPTON
6TH DISTRICT, MICHIGAN

ENERGY AND COMMERCE COMMITTEE
CHAIRMAN, TELECOMMUNICATIONS AND THE INTERNET SUBCOMMITTEE
COMMERCE, TRADE AND CONSUMER PROTECTION SUBCOMMITTEE
HEALTH SUBCOMMITTEE

EDUCATION AND THE WORKFORCE COMMITTEE
21ST CENTURY COMPETITIVENESS SUBCOMMITTEE
EDUCATION REFORM SUBCOMMITTEE

Congress of the United States
House of Representatives

DC OFFICE
2181 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-2206
(202) 225-3761
FAX: (202) 225-4986

MONDAY HOME OFFICE
157 SOUTH KALAMAZOO MALL, SUITE 180
KALAMAZOO, MI 49007
(269) 385-0039
FAX: (269) 385-2838

800 CENTRE SUITE 106
800 SHIP STREET
ST. JOSEPH, MI 49085
(269) 982-1988
FAX: (269) 982-0237

www.house.gov/upton
E-MAIL: tallupton@mail.house.gov

May 19, 2004

Jeff Trandahl
Clerk of the House
U.S. Capitol Building
H-154

HC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 19 PM 3:23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dear Jeff,

I would like to amend my Schedule III Disclosure by adding the "Vanguard BD Index Fd" as an entry for my spouse on page 6 under the Vial/Upton/Dynasty/R. – Miller Trust listing. The year-end value should be $15,001 - 50,000 of that Vanguard Entry.

Best Regards,

Fred Upton
Member of Congress

LEGISLATIVE RESOURCE CENTER
2004 MAY 19 PM 3:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
04 MAY 18 PM 12:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC ✓ **INSIDE MAIL**
(Office Use Only)

Frederick Stephen Upton
(Full Name)

2161 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

202/225-3761
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: Michigan District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Fred Upton	5-14-04

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP	Mega Corp. Stock	X	X		P
	Simon & Schuster	Indefinite			
	1st Bank of Paducah, KY accounts	X	X	Royalties	
SP	Putnam Investments	X	X	X	
JT	Whirlpool	X	X	X	
	Petroleum Rev. Serv.	X		X	
	US-UPS Family Phrsh	X	X	X	
JT	W. Putnam Credit Union checking & Savings	X	X	X	
	5th 3rd Savings A. +	X	X	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name UPTON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Hilliard Lyons Accts –					X		X	X						X			
	composed of:																	
JT	• Hilliard Lyons Bond Fund		X															
SP	• AT&T		X															
SP	• AT&T wireless		X															
SP	• Comcast A		X															
SP	• Hughes Elec		X															
SP	• Monsanto		X															
SP	• Nationwide Fin. Ser		X															
JT	• Pfizer																	
SP	• Raytheon		X															
SP	• SBC		X															
JT	• Schering		X															
JT	• Stryker																	P
SP	• Verizon		X															
SP	• Whirlpool																	
JT	• Wrigley																	
SP	• News Corp Ltd		X															
SP	• Hilliard Lyons Sentinel Fd																	P
	• JP Morgan		X															
	• Chemical Fin.				X													
	• Chesapeake Energy			X														S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name UPTON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Hilliard Lyons Accts Co.4																				
	• Fannie Mae																				
	• GE																				
	• Pepsico				X																
	• Regeneron Tech																				P
	• Yum			X																	
	• Mass Inv. Growth																				
	• Seligman Tax Exempt																				S
	• Disney																				S
	Trusts established with No control by me – Not able to give direction to the Financial Institutions that manage The Accts: Vial, Upton Dynasty, & Rulon-Miller							X		X									X		
JT	composed of: • Bell South																				
	• Exxon																				
JT	• Pepsico			X																	
JT	• SBC		X																		

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name UPTON

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Vial/Upton/Dynesty/R. Miller																		
	• Verizon																		
	• Vodafone		X																
JT	• Wrigley																		
	• Grand Forks, ND note		X																
	• Pot college & univ. mf																		
JT	• Cash			X															
	• Aegon		X																
	• American Express		X																
JT	• BP																		
	• Hewlett Packard		X																
JT	• IBM																		
	• Kimberly-Clark			X															
	• J.C. Penney		X																
	• Pfizer																		
	• MTB N. Trust Gov't Fund		X																
	• MTB N. Trust Fixed Fund		X																
JT	• 5th 3rd Bkg			X															
DC	• Masters School Equity																		
DC	• Disney																		
DC	• GE																		
DC	• Stryker																		
JT	• Whirlpool					X													

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name UPTON

Page 6 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Kiel/Upton/Dynasty/Rittler																		
SP	• Altria Group		X																
SP	• Bristol Myers Squibb		X																
SP	• Direct TV Group		X																
SP	• Dow Chemical		X																
SP	• Exxon			X															
SP	• Fortune Brand		X																
SP	• Gallahan Group		X																
	• Chemical Financial		X																
	• Fifth Third Bancorp																		
	• IMG Growth Fund																		
	• Smallcap Yield Fund		X																
	• T. Rowe Price New Horizons		X																
	• One Group Muni MM Fund		X																

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5359

SCHEDULE IV — TRANSACTIONS

Name: UPTON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Chesapeake Energy		X		5-27-03		X								
	Seligman Tax-Exempt Fd		X		6-27-03	X									
	Regeneration Tech	X			5-27-03		X								
SP	Hilliard Lyons Sembanc Fd	X			1-16-03	X									
SP	Hilliard Lyons Sembanc Fd	X			12-18-03		X								
SP	Stryker	X			1-16-03	X									
JT	Stryker	X			12-18-03			X							
	Disney		X		9-27-03		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Tribune Broadcasting	3/21 – 3/24	Wash., DC → Phoenix → Wash. DC	Y	Y	Y	Y – Sunday dinner
Cellular Telecommunications & Internet Assoc.	3/16 – 3/17	DC → New Orleans → DC	Y	Y	N	N
Faith & Politics Institute	1/10 – 1/12	Balt. → Santa Barbara → KZOO	Y	Y	Y	N
National Cable & Telecommunications Assoc.	6/6 – 6/8	Kalamazoo → Chicago → DC	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Cornerstone Alliance
Board Member	Council for World Class Community
Board Member	Nature Conservancy – Michigan Chapter
Steering Cmte	Congressional Conversation on Race – Faith & Politics Organization
Director	GOP Mainstreet Partnership
Co-partner	US-UPS (Family Organization)
General Partner	Petroleum Revenue Services (M. Antrim well)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	None

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 14 PM 5:49
U.S. HOUSE OF REPRESENTATIVES

Chris Van Hollen
(Full Name)

9805 Old Spring Rd., Kensington, MD. 20895 — 202-225-5341
(Mailing Address) — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: Md. District: 8	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☐

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Chris Van Hollen	6/14/04

5363

Name Chris Van Hollen | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Last year we had no earned income other than the Congressional Salary.		

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Chris Van Hollen

Page 1 of 2

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X								X										X								
Examples:	Simon & Schuster	Indefinite																		Royalties									X			P
Examples:	1st Bank of Paducah, KY accounts						X									X										X						
	Congressional Fed. Cred. Union					✓										✓							✓									
	Johnson & Johnson stock			✓																		✓										
	Pepsico Inc. stock				✓																		✓									
	Pfizer, Inc. Del. stock			✓																		✓										
	Dow Chemical Stock			✓																		✓										
	EIF 1st Exch. Sr. AT&T			✓																		✓										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Exxon Mobil			✓																		✓										
	General Electric			✓																		✓										
	Equity Income Fund	✓																				✓										
	~~ML Realty~~																															
	ML Realty Asset Trust	✓																				✓										
	Avant Fox Pension Plans (Cigna Retirement & Investment Services)				✓																											
	State of Md / Gen Assembly Pension plan			✓																												

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Name Chris Van Hollen | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Faith & Politics Inst	March 7-9	Wash. D.C. — Alabama - Wash. D.C.	Y	Y	Y	N

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Name Chris Van Hollen | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 8–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Fathers Politics Inst	March 7-9	Wash. D.C. — Alabama - Wash. D.C.	Y	Y	Y	N

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CHRIS VAN HOLLEN
8TH DISTRICT, MARYLAND

COMMITTEE ON
EDUCATION AND THE WORKFORCE

COMMITTEE ON GOVERNMENT REFORM

Congress of the United States
House of Representatives
Washington, DC 20515

1419 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5341

DISTRICT OFFICES
51 MONROE STREET, #507
ROCKVILLE, MD 20850
(301) 424-3501

3409 RHODE ISLAND AVENUE
MOUNT RAINIER, MD 20712
(301) 927-5223

www.house.gov/vanhollen

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LEGISLATIVE RESOURCE CENTER
2004 JUN 15 PM 5:47
U.S. HOUSE OF REPRESENTATIVES

June 15, 2004

The Honorable Joel Hefley
Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

Dear Chairman Hefley,

In submitting my financial disclosure statement for 2003, Schedule VIII was inadvertently omitted. That page is enclosed herein.

Sincerely,

Chris Van Hollen

THIS STATIONERY PRINTED ON PAPER MADE OF RECYCLED FIBERS

Name Chris Van Hollen | Page 2 of 2

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	BOYS & GIRLS CLUBS OF GREATER WASHINGTON

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:43
(Office Use Only)

NYDIA M. VELAZQUEZ
(Full Name)

2241 RAYBURN, WASHINGTON, DC 20515
(Mailing Address)

202-225-2361
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: NEW YORK District: 12	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	05/14/04

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Name NYDIA M. VELAZQUEZ | Page 2 of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
Examples: State of Maryland	Legislative Pension	$9,000
Examples: Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Examples: Ontario County Board of Education	Spouse Salary	NA
NEW YORK CITY COMPTROLLER	SPOUSE SALARY	
STARCRAFT PRESS	COMMISSION ON SALES	

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name NYDIA M. VELAZQUEZ

Page 3 of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B											BLOCK C						BLOCK D											BLOCK E
			A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	DIVIDENDS	RENT	INTEREST	OVERTIME, BONUS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock											X																	P
			Simon & Schuster			X																						X			
			1st Bank of Paducah, KY accounts	Indefinite												X				Royalties											
JT	84 WOODHOLL STREET BROOKLYN, NY 11231										✓				✓					2 MONTHS JAN-DEC.											
SP	20TH CENTURY ROTH IRA					✓																									
SP	HEBC IRA				✓																										
	WRIGHT PATTAN CREDIT UNION				✓																					✓					

For additional assets and unearned income, use next page.

Name NYDIA M. VELAZQUEZ | Page 4 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CHASE MANHATTAN	MORTGAGE 34 WOODHULL ST, BROOKLYN, NY						✓				
JT	CHASE MANHATTAN	EQUITY LINE OF CREDIT					✓					
	MONA	REVOLVING		✓								
	WRIGHT PATMAN CREDIT UNION	REVOLVING		✓								
JT	MONA	REVOLVING - HOME IMPROVEMENTS		✓								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE V - LIABILITIES **Name: Nydia M. Velázquez Page 5 of __**

SP	MBNA AAA	Revolving - Home Improvements	$15,001- $50,000
SP	MBNA	Revolving	$10,001- $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:56
(Office Use Only)
MC

Peter J. Visclosky
(Full Name)

Post Office Box 10847 Merrillville, IN 46411
(Mailing Address)

202-225-2461
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IN District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/13/04

5376

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Visclosky

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	First Mariner Bancorp	$15,001 - $50,000	Other: NONE	NONE	
	Kulicke and Soffa Industries	$1,001 - $15,000	Other: NONE	NONE	
	Consolidated-Tomoka Land Co.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Citigroup Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Bank One Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Northwest Indiana Bancorp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Visclosky

	Merrill Lynch & Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Deutsche Bank-Alex Brown Cash Reserve of IRA	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
DC	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Dodge and Cox Stock Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	P
DC	Mutual Qualified Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	P
DC	Dodge and Cox Stock Fund	$15,001 - $50,000	CAPITAL GAINS/Dividends	$201 - $1,000	P
DC	Mutual Qulaified Fund	$15,001 - $50,000	CAPITAL GAINS/Dividends	$201 - $1,000	P
DC	Merrill Lynch, Trust Account, Tiger Principal Series 23	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Merrill Lynch Trust Account, Treasury Strips 5/15/05	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Merrill Lynch, Trust Account, Treasury Strips 5/15/06	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Merrill Lynch, Trust Account, IN Tran FIN at HWY ETM	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Merrill Lynch, Trust Account, IN Tran FIN at HWY OUTSTD	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Peoples Bank, Savings Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Peoples Bank, Savings Account	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Peter J. Visclosky

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Dodge and Cox Stock Fund	P	1/15,2/18,3/17,4/15,5/15,6/16,7/15,8/15,9/15,10/15,11/17,12/15	$1,001 - $15,000
DC	Dodge and Cox Stock Fund	P	1/15,2/18,3/17,4/15,5/15,6/16,7/15,8/15,9/15,10/15,11/17,12/15	$1,001 - $15,000
	City Holding Company	S	8/1/03	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Peter J. Visclosky

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Visclosky Foundation, PO Box 10847, Merrillville, IN 46411

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RECORDS AND REPORTS
2004 MAY 17 AM 9:45
(Office Use Only)

DAVID B. VITTER
(Full Name)

238 HELIOS METAIRIE, LA 70005
(Mailing Address)

504-832-0673
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: LA District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/04

5382

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID B. VITTER

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
A T & T CORP	$1,001 - $15,000	Dividends	$1 - $200	
AGILENT TECH	$1,001 - $15,000	DIVIDENDS	NONE	
AT & T WIRELESS	$1,001 - $15,000	DIVIDENDS	NONE	
AVOYELLES PAR SCH 6.2 % BOND	$1 - $1,000	Interest	$1 - $200	S
BANK ONE CHECKING	$1,001 - $15,000	INTEREST	$1 - $200	
CAMDEN TRUST (DAVID IRA)	$1,001 - $15,000	Dividends	$1 - $200	

5383

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID B. VITTER

CAMDEN TRUST (WENDY IRA)	$1,001 - $15,000	Dividends	$1 - $200
CENTENN GOVT FUND (SOPHIE)	$15,001 - $50,000	Dividends	$1 - $200
CENTENN GOVT FUND (JULIA)	$1,001 - $15,000	Dividends	$1 - $200
CENTENNIAL MONEY FUND	$50,001 - $100,000	INTEREST	$1 - $200
CENTENNIAL MONEY FUND (AIREY)	$1,001 - $15,000	Dividends	$1 - $200
CENTENNIAL MONEY FUND (DAVID IRA)	$15,001 - $50,000	INTEREST	$1 - $200
CENTENNIAL MONEY FUND (WENDY IRA)	$15,001 - $50,000	Interest	$1 - $200
CHEVRON (AIREY)	$1,001 - $15,000	Dividends	$201 - $1,000
CHEVRON CORP	$100,001 - $250,000	Dividends	$2,501 - $5,000
CHEVRON CORP (JULIA)	$1,001 - $15,000	Dividends	$201 - $1,000
CHEVRON CORP (SOPHIE)	$50,001 - $100,000	Dividends	$1,001 - $2,500
COMCAST CORP.(ATT REVERSE SPLIT)	$1,001 - $15,000	DIVIDENDS	NONE
E BATON ROUGE MGT 6.1% BOND	$1,001 - $15,000	Interest	$201 - $1,000
FIDELITY GROWTH (JULIA)	$1,001 - $15,000	DIVIDENDS	$1 - $200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID B. VITTER

GENERAL ELECTRIC	$50,001 - $100,000	Dividends	$1,001 - $2,500	
GOLDMAN SACHS (AIREY)	$1,001 - $15,000	Dividends	$1 - $200	
HEARST ARGYLE TV	$1,001 - $15,000	Dividends	NONE	
HEWLETT PACKARD	$15,001 - $50,000	Dividends	$201 - $1,000	
HIBERNIA MONEY MARKET	None	Interest	NONE	E
JEFF PARISH HOME MGT AUTH (JACK)	$1,001 - $15,000	INTEREST	$201 - $1,000	
LA G/O 5.625%	$1,001 - $15,000	INTEREST	$201 - $1,000	
LA STAD & EXP 5.75% BOND	$1,001 - $15,000	Interest	$201 - $1,000	
MEDCO HEALTH (SPINOFF MERCK)	$1,001 - $15,000	DIVIDENDS	NONE	P
MERCK & CO	$50,001 - $100,000	Dividends	$1,001 - $2,500	
NATCHITOCHES LA UTILITIES SERIES 1993	None	INTEREST	$201 - $1,000	S
NOTE RECEIVABLE FROM VITTER FOR CONGRESS CAMPAIGN	$100,001 - $250,000	NON INTEREST BEARING	NONE	
OPPENHEIMER (DAVID IRA)	$1,001 - $15,000	Dividends	$1 - $200	
PILGRIM TRUST (DAVID IRA)	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID B. VITTER

PULITZER, INC	$15,001 - $50,000	Dividends	$1 - $200	
SELIGMAN GLOBAL (AIREY)	$1 - $1,000	DIVIDENDS	NONE	
ST PAUL CO	$1 - $1,000	Dividends	$1 - $200	
WISCONSIN ENERGY (DAVID IRA)	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name DAVID B. VITTER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MEDCO HEALTH (SPINOFF MERCK)	P	08-03-03	$1,001 - $15,000
	NATCHITOCHES LA UTILITIES SERIES 1993 BOND	S	10-01-03	$1,001 - $15,000
	HIBERNIA MONEY MARKET FOR CENTENNIAL MONEY FUND	E	01-15-03	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 3:24 MC
(Office Use Only)
CLERK
HOUSE OF REPRESENTATIVES

Gregory Paul Walden
(Full Name)

1504 Sherman Ave. Hood River, OR 97031
(Mailing Address)

202-225-6730
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representative — State: OR District: 02 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gregory Paul Walden	5-12-04

5388

SCHEDULE I - EARNED INCOME

Name Gregory Paul Walden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Columbia Gorge Broadcasters	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Columbia Gorge Broadcasters	$1,000,001 - $5,000,000	stock-no income	NONE	
	State of Oregon PERS	$15,001 - $50,000	DIVIDENDS	N/A	
JT	Columbia Bancorp Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
JT	Tower Property (land), 1190 22nd St., Hood River, OR 97031	$100,001 - $250,000	RENT	$15,001 - $50,000	
	Columbia Bancorp Stock-IRA-(administered by Prime Vest)	$15,001 - $50,000	Dividends & Interest	N/A	
JT	Investment Company of America	$1,001 - $15,000	Capital Gains & Dividends	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	Investment Company of America-IRA	$15,001 - $50,000	Capital Gains & Dividends	N/A
	Growth Fund of America-IRA	$15,001 - $50,000	Capital Gains & Dividends	N/A
SP	Columbia River Bank-Savings	$1,001 - $15,000	INTEREST	$1 - $200
	Prime Vest Money Market (administered by CRB Financial Services)	$50,001 - $100,000	INTEREST	$2,501 - $5,000
SP	Columbia Bancorp Stock-IRA (administered by Prime Vest)	$15,001 - $50,000	Dividends & Interest	N/A
	Columbia River Bank DDA	$1,001 - $15,000	INTEREST	$1 - $200
SP	Investment Company of America-IRA	$15,001 - $50,000	Capital Gains & Dividends	N/A
SP	Growth Fund of America-IRA	$15,001 - $50,000	Capital Gains & Dividends	N/A
JT	MSW Communications, LLC Hood River, OR	$15,001 - $50,000	Royalty Payment (Royalty Agreement)	$15,001 - $50,000
	Oregon State General Baccalaureate Bond	$1,001 - $15,000	INTEREST	$201 - $1,000
JT	Home lot: 2909 Hidden Valley Dr., Lake Havasu City, AZ	$15,001 - $50,000	NONE/NONE	NONE

SCHEDULE V - LIABILITIES

Name Gregory Paul Walden

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Grace Fey, Hood River, OR (PAID OFF)	Mortgage on 1190 22nd St., Hood River, OR (Tower Property)	$15,001 - $50,000-PAID OFF

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gregory Paul Walden

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
National Assn of Broadcasters	Oct. 2-3	Washington, DC-Philadelphia, PA-Portland, OR	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Gregory Paul Walden

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Columbia Gorge Broadcasters
Director	Associated Oregon Industries
Director	Cascade Pacific Council, Boy Scouts of America
Director	Oregon Health Sciences University Foundation
Member	MSW Communications, LLC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 3:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

JAMES T. WALSH
(Full Name)

400 BROADVIEW DRIVE
(Mailing Address)

SYRACUSE, NEW YORK 13215

Daytime Telephone: (202)225-3701

HAND DELIVERED

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW YORK District: 25TH	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James T. Walsh	May 13, 2004

5395

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
ONONDAGA COMMUNITY COLLEGE	SPOUSE SALARY	N/A
NEW YORK STATE LOCAL RETIREMENT SYSTEM	PENSION (PRIOR EMPLOY)	$2,867

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source		Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Type of Income (DIVIDENDS; Rent; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; JOINT FUND BOND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock		X	DIVIDENDS	X	P
	Simon & Schuster	Indefinite		Royalties	X	
	1st Bank of Paducah, KY accounts		X	INTEREST		
	WACHOVIA SECURITIES IRA ROLLOVER ACCOUNT HOLDING THE FOLLOWING ASSETS:					
	AGERE SYSTEM, INC.	X			X	S(1)
	LUCENT TECHNOLOGIES, INC.	X			X	S(1)

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name JAMES T. WALSH

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	MERCK & CO.		X											X								X										
	MICROSOFT CORP-WASHINGTON			X										X								X										
	SUN MICROSYSTEMS, INC.			X																												
	CISCO SYSTEMS, INC.			X																												
	GENERAL ELECTRIC CO.			X										X								X										
	ORACLE CORP.			X																												
	* JENNISON VALUE FUND A				X									X								X										P(1)
	VAN KAMPEN GOVERNMENT SECURITIES FUND A			X										X								X										P(1)
	MONEYMART ASSETS CLASS A			X										X								X										
	MEDCO HEALTH SOLUTIONS INC		X																													P(2)
	U.S. SAVINGS BONDS			X												X						X										S

* NAME CHANGE FROM PRUDENTIAL VALUE FUND.
P(1) SHARES ACQUIRED BY REINVESTED DIVIDENDS, UNDER $1,000.
S(1) SHARES SOLD UNDER $1,000.
P(2) SHARES RECEIVED IN SPINOFF UNDER $1,000.

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SCHEDULE IV — TRANSACTIONS

Name JAMES T. WALSH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
	U.S. SAVINGS BONDS		X		7-28-03	X									

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5399

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	ACS GS, ROCKVILLE, MD	PERSONAL (STUDENT LOAN)			X							
	EDUCATIONAL FINANCE GROUP, SAN DIEGO, CA	PERSONAL (STUDENT LOAN)	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

5400

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	ERIE CANAL MUSEUM
DIRECTOR	KIDNEY FOUNDATION - CNY CHAPTER
TRUSTEE	VERA HOUSE
DIRECTOR	EVERSON MUSEUM

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	NEW YORK STATE LOCAL RETIREMENT SYSTEM	PENSION PLAN INTEREST
NA/	VERIZON COMMUNICATIONS	PENSION PLAN INTEREST AVAILABLE AT RETIREMENT AGE

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

MAY 17 2004

2004 MAY 19 PM 3:27

(Office Use Only)

ZACHARY P. WAMP
(Full Name)

719 HAWKS NEST
(Mailing Address)

CHATTANOOGA, TN 37419

Daytime Telephone: 202/225-3271

Filer Status	☒ Member of the U.S. House of Representatives	State: TN District: 03	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Zach Wamp	5/14/04

5402

Name ZACHARY P. WAMP	Page 2 of 2

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FRIENDS OF ZACH WAMP	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

5403

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 2:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

(8)

MAXINE WATERS
(Full Name)

555 SOUTH FLOWER STREET, SUITE 4510
(Mailing Address)

LOS ANGELES, CA 90071

Daytime Telephone: (213) 489-4792

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 35	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

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PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Maxine Waters	5-17-04

5404

Name MAXINE WATERS	Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF CALIFORNIA	Legislative Pension	$4,707.96
	Community Family Care IPA, Inc.	Spouse Consulting Fee	NA
	CVE Commercial, LLC	Spouse Consulting Fee	NA
	Siebert, Brandford, Shank & Co.	Spouse Consulting Fee	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name MAXINE WATERS

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

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at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	III $201 – $1,000	V $2,501 – $15,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock			X				X										P
	DC Simon & Schuster		Indefinite								Royalties					X		
	JT 1st Bank of Paducah, KY accounts								X									
SP	BOSTON BANK OF COMMERCE				X													
	CONGRESSIONAL FED.CRED.UNION		X															
SP	AMERICAN GOLF JT.VENTURE			X				X						X				
SP	TRACT UNIT 3557,LOT 96,CA											X						
SP	2201 KIRK ST.,HOUSTON,TX		X									X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MAXINE WATERS

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	3475 MT.VERNON; L.A.,CA				X							X						S
SP	701 W.BARSTOW;PALM SPRINGS CA		X									X						
	MERRILL LYNCH MONEY MKT.(IRA)		X					X										
	EVERGREEN SMALL C.FUND (IRA)		X					X	X									
	MERILL LYNCH CAP FUND (IRA)							X	X				X					
	GLOBAL ALLOCATION FUND (IRA)		X					X	X									
SP	MER.LYNCH MONEY MKT. (IRA)		X					X	X									
SP	US TREASURY TIGR SER.J (IRA)		X						X			X						
SP	MERILL LYNCH CAP FUND (IRA)							X	X					X				
SP	GLOBAL ALLOCATION FUND (IRA)			X				X	X									
SP	SMALL CAP WORLD FUND (IRA)		X					X	X									
SP	SCUDER CA TAX FREE FUND							X										
SP	MER.LYNCH MONEY MARKET								X									
SP	MER.LYNCH CAP. FUND		X					X					X					
SP	GLOBAL ALLOCATION FUND		X					X					X					
SP	CMA FUND							X					X					
	MER.LYNCH MONEY MKT.		X						X									
SP	ECI HOLDINGS,INC.				X			X				X						

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name MAXINE WATERS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10 12-03	X				
	3475 Mt.Vernon Drive;Los Angeles, CA	X	12-12-03					

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
SP	BOSTON BANK OF COMMERCE	CREDIT LINE					
	CONGRESSIONAL FEDERAL CREDIT UNION	REVOLVING CHARGE ACCOUNT					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Committee to Organize New Year's Gala in St.Kitts & Nevis	Jan.2–5	Nassau, Bahamas-St. Kitts-Washington D.C.	Y	Y	Y	N
Ohio State University and Dayton Chapter of S.C.L.C.	Jan.15–17	Los Angeles-Columbus,Ohio-Dayton,Ohio-Los Angeles	Y	Y	Y	N
Essence Communications	Jan.29–30	Washington,D.C.-New York-Washington,D.C.	Y	Y	N	N
National Voting Rights Museum	March 7–9	Selma,Alabama-Los Angeles (Spouse started from Los Angeles)	Y	Y	Y	N
African American Chamber of Commerce of Central Florida	Feb.20–21	Los Angeles-Orlando-Wash.D.C.	Y	Y	Y	N
Mayor's Summit for Women	March 31	Los Angeles-San Francisco-Los Angeles	N	N	Y	N
NAACP Detroit Branch	April 25–28	Los Angeles-Detroit-Wash.,D.C.	Y	N	Y	N
American Friends Service Comm.	May 10	Wash.,D.C.-Chicago-St.Louis	N	N	N	N
Metro Forum Newspaper Scholarship Fund	July 26–27	Washington, D.C.-Jackson,TN	Y	N	N	N
Women's Council of the Natl. Assoc.of Real Estate Brokers	Aug.11–13	Washington, C.C.-Montego Bay, Jamaica-Los Angeles	Y	N	N	N
Gateway Classic Sports Foundation	Aug.24–25	Los Angele—St.Louis-Los Angeles	Y	N	N	N
John & Edna Mosley Recognition Committee	Oct.10–11	Los Angeles-Denver,CO-Los Angeles	N	N	N	N
Zachary Reed Scholarship Fund	Oct.21–23	Washington.D.C.-Cleveland	Y	N	N	N
New York Carib News	Nov.7–9	Washington,D.C.-Nassau,Bahamas-Washington,D.C.	Y	N	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Black Women's Forum
Director	Gourmet Services
Director	Minority AIDS Project
Director	Clara Elizabeth Jackson Carter Foundation, Spellman College

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

3

LEGISLATIVE RESOURCE CENTER
2004 MAY 11 AM 11:47
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

MC

Diane E. Watson
(Full Name)

5331 Harcourt Ave.
L.A., Calif. 90043
(Mailing Address)

Daytime Telephone: (323) 965-1422 / 202 225-7084

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 33rd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Diane E. Watson	5-11-04

5412

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	California State Retirement System	Legislative Pension	$5,928.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Diane E. Watson | Page 3 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		Block B: Value of Asset											Block C: Type of Income						Block D: Amount of Income										Block E: Transaction
			A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	DIVIDENDS	[illegible]	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	[illegible]	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II [illegible]	III $201 – $1,000	IV [illegible]	V $2,501 – $5,000	VI [illegible]	VII $15,001 – $50,000	VIII [illegible]	IX $100,001 – $1,000,000	X [illegible]	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp Stock						X								X																			P
	Examples: Simon & Schuster		Indefinite																		Royalties										X			
	Examples: 1st Bank of Paducah, KY accounts							X									X																	
	IRA				X																													
	Thrift Plan																																	
	BofA Savings				X																													

For additional assets and unearned income, use next page.

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HAND DELIVER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

MELVIN L. WATT
(Full Name)

515 N.POPLAR STREET CHARLOTTE, NC 28202
(Mailing Address)

212.225.1510
Daytime Telephone:

2004 MAY 17 PM 6:08
(Office Use Only)

M

Filer Status: [x] Member of the U.S. House of Representatives — State: NC — District: 12 — [ ] Officer Or Employee — Employing Office:

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? — Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? — Yes [x] No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes [x] No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Melvin L. Watt

Date (Month, Day, Year): 5/15/2004

5415

SCHEDULE I - EARNED INCOME

Name MELVIN L. WATT

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
UNC AT CHARLOTTE	SPOUSE'S SALARY	N/A
MINBANC FOUNDATION, INC	SPOUSE'S COMPENSATION	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	CIRCUIT CITY	None	DIVIDENDS	$1 - $200	S
	ARIEL APPRECIATION FUND-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	ARIEL APPRECIATION FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CONGRESSIONAL FEDERAL CREDIT UNION	$1 - $1,000	INTEREST	$1 - $200	
	WESTSIDE2000 LTD/WESTSIDE CAPITAL INVESTMENTS, LLC	$15,001 - $50,000	CAPITAL GAINS	NONE	S
JT	LOT - 515-517 N. POPLAR ST, CHARLOTTE, NC (1/2 INTEREST)	$15,001 - $50,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	STATE EMPLOYEES RETIREMENT SYSTEM	$15,001 - $50,000	INTEREST	NONE	
	BIOGEN, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	PRUDENTIAL COMMAND MONEY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	TEXAS INSTRUMENTS, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	TIME WARNER	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	TRANSAMERICA LIFE-IRA	$1,001 - $15,000	INTEREST	NONE	
	EAST TOWNE MANOR, INC (UNSECURED ADVANCES)	$1,001 - $15,000	INTEREST	NONE	
SP	SCHOOLWORKERS FEDERAL CREDIT UNION	$1 - $1,000	INTEREST	$1 - $200	
SP	PRUDENTIAL COMMAND MONEY FUND	None	DIVIDENDS	$1 - $200	S
SP	HALLWOOD REALTY PARTNERS	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	HALLWOOD REALTY PARTNERS	$1 - $1,000	CAPITAL GAINS	$1 - $200	
SP	PHOENIX ZWEIG STRATEGY FUND	None	DIVIDENDS	$1 - $200	S
	COLLEGE LIFE	$1 - $1,000	INTEREST	$1 - $200	
	MIDSOUTH CAPITAL GROUP	None	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	EAST TOWNE MANOR, INC., REST HOME (SUB-S CORP), CHARLOTTE, NC	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
new	~~KEY WEST COMMUNICATIONS CORP~~	~~None~~	~~CAPITAL GAINS~~	~~NONE~~	
	SCHOOLWORKERS CREDIT UNION	$1 - $1,000	INTEREST	$1 - $200	
	NC EASTERN MUNCIPAL POWER BONDS	$1,001 - $15,000	INTEREST	$201 - $1,000	
	FORSYTH COUNTY COUNTY GENERAL OBLIGATION BONDS	None	INTEREST	$201 - $1,000	S
	MECHANICS & FARMERS BANK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
	COMCAST	None	DIVIDENDS	NONE	E
	AT & T/COMCAST	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	EVERGREEN FUNDS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	ALLIANCE GROWTH FUND B	$1 - $1,000	DIVIDENDS	$1 - $200	
	OPPENHEIMER MAIN STREET GROWTH FUND A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	EVERGREEN FUNDS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	DELPHI AUTOMOTIVE SYSTEMS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	GENERAL ELECTRIC CO	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	SCUDDER MUNI INCOME	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	DUKE ENERGY CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	BANK OF AMERICA	$50,001 - $100,000	DIVIDENDS	NONE	P
	BANK OF AMERICA	None	DIVIDENDS	NONE	S
	SEA CONTAINERS	$1,001 - $15,000	DIVIDENDS	NONE	P
	AGERE SYSTEMS	$1 - $1,000	DIVIDENDS	$1 - $200	S
SP	PRUDENTIAL FINANCIAL-IRA ROLLVER	$15,001 - $50,000	DIVIDENDS	NONE	
	PRUDENTIAL SECURITIES-IRA (SELF-DIRECTED IRA DENOTED BY " * "")	None		NONE	
	PRUDENTIAL COMMAND MONEY FUND*	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	OFFICE DEPOT*	None	DIVIDENDS	$1 - $200	S
	AIM BLUE CHIP FD A*	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	E
	AIM PRIME EQUITY FUND A*	$1,001 - $15,000	DIVIDENDS	$1 - $200	E
	PARK PLAZA CONDO ASSOCIATES*	$15,001 - $50,000	CAPITAL GAINS	$1 - $200	
	FIRST FINANCIAL FUND*	None	DIVIDENDS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	HFS, INC*	$1 - $1,000	DIVIDENDS	$1 - $200	S
	BREED TECHNOLOGIES, INC*	None	DIVIDENDS	$1 - $200	S
	CMGI*	None	DIVIDENDS	$1 - $200	S
	GLENAYRE TECHNOLOGIES*	None	DIVIDENDS	$1 - $200	S
	LUCENT TECHNOLOGIES*	$1 - $1,000	DIVIDENDS	$1 - $200	
	MOTOROLA, INC*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	NOKIA CORP ADR*	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RED HAT, INC*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CISCO SYSTEMS, INC*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CENDENT CORP FELINE PRIDE*	None	DIVIDENDS	$1 - $200	S
	INTEL CORP*	None	DIVIDENDS	$1 - $200	S
	AMAZON.COM, INC*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MODIS PROFESSIONAL SERVICES*	None	DIVIDENDS	$1 - $200	S
	CORUS GROUP, PLC*	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	R & B FALCON CORP*	$1 - $1,000	DIVIDENDS	$1 - $200	S
	SAKS, INC*	None	DIVIDENDS	$1 - $200	S
	JEFFERESON PILOT CORP*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CHECKPOINT TECHNOLOGIES, LTD*	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	FLEXTRONICS, LTD*	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CORNING, INC*	None	DIVIDENDS	$1 - $200	S
	EMC CORP*	None	DIVIDENDS	$1 - $200	S
	GENERAL ELECTRIC CO*	None	DIVIDENDS	$1 - $200	S
	GLOBAL TELESYSTEMS, Inc*	$1 - $1,000	DIVIDENDS	$1 - $200	
	NASDAQ 100 TRUST*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	SUN MICROSYSTEMS, INC*	$1 - $1,000	DIVIDENDS	$1 - $200	
	TYCO INTERNATIONAL, LTD*	None	DIVIDENDS	$1 - $200	S
	US AIRWAYS GROUP*	None	DIVIDENDS	$201 - $1,000	S
	DUKE ENERGY CORP*	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	SOUTHERN CO*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	APOLLO GROUP, INC*	None	DIVIDENDS	NONE	P
	APOLLO GROUP, INC*	None	DIVIDENDS	NONE	S
	BIOVAIL CORP*	None	DIVIDENDS	NONE	P
	BIOVAIL CORP*	None	DIVIDENDS	NONE	S
	HOME DEPOT, INC*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RF MICRO DEVICES INC8	None	DIVIDENDS	NONE	P
	RF MICRO DEVICES INC*	None	DIVIDENDS	NONE	S
	WORLDCOM	$1 - $1,000	DIVIDENDS	NONE	P

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FORSYTH COUNTY COUNTY GENERAL OBLIGATION BONDS	S	03-03-03	$1,001 - $15,000
	MECHANICS & FARMERS BANK	S	02-27-03	$1,001 - $15,000
	CIRCUIT CITY	S	10-22-03	$1,001 - $15,000
	COMCAST	S	03-05-03	$1,001 - $15,000
	FIRST FINANCIAL FUND*	S	04-24-03	$1,001 - $15,000
	CENDENT CORP FELINE PRIDE*	S	04-24-03	$1,001 - $15,000
	MODIS PROFESSIONAL SERVICES*	S	04-24-03	$1,001 - $15,000
	SAKS, INC*	S	04-24-03	$1,001 - $15,000
	GENERAL ELECTRIC CO*	S	04-24-03	$1,001 - $15,000
	TYCO INTERNATIONAL, LTD*	S	04-24-03	$1,001 - $15,000
	SOUTHERN CO	P	11-20-03	$1,001 - $15,000
	APOLLO GROUP, INC*	P	11-03-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	APOLLO GROUP, INC*	S	12-05-03	$1,001 - $15,000
	BIOVAIL CORP*	P	04-24-03	$1,001 - $15,000
	BIOVAIL CORP*	S	04-29-03	$1,001 - $15,000
	OFFICE DEPOT*	S	04-24-03	$1,001 - $15,000
	HOME DEPOT, INC*	P	11-06-03	$1,001 - $15,000
	NASDAQ 100 TRUST*	P	04-24-03	$1,001 - $15,000
	RF MICRO DEVICES INC8	P	04-24-03	$1,001 - $15,000
	RF MICRO DEVICES INC*	S	04-28-03	$1,001 - $15,000
	AIM BLUE CHIP FD A*	E	01-01-03	$1,001 - $15,000
	AIM PRIME EQUITY FUND A*	E	01-01-03	$1,001 - $15,000
	BANK OF AMERICA	P	11-03-03	$1,001 - $15,000
	BANK OF AMERICA	S	02-28-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	WESTSIDE2000 LTD/WESTSIDE CAPITAL INVESTMENTS, LLC	E	08-01-03	
	AT & T	P	02-28-03	$1,001 - $15,000
	SEA CONTAINERS	P	12-31-03	$1,001 - $15,000
	INTEL CORP*	S	04-24-03	$1,001 - $15,000
	WESTSIDE CAPITAL, LLC	S	08/01/03	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MELVIN L. WATT

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Congressional Program of the Aspen Institute	Feb. 14-19, 2003	Charlotte, NC-Montego Bay, Jamaica-Charlotte, NC	Y	Y	Y	N/A
The Faith and Politics Institute	Sept. 12-14, 2003	Wash., DC-Potomac, MD-Wash., DC	Y	Y	Y	N/A
Congressional Black Caucus Foundation	Oct. 23-24, 2003	Charlotte, NC-San Juan, Puerto Rico-Charlotte, NC	Y	Y	Y	N/A
Congressional Black Caucus Institute	Oct 25-26, 2003	Charlotte, NC-Detroit, Mich-Chicago, Il	Y	N	N	N/A
Chicago Merchantile Exchange, Inc	Oct27, 2003	Detroit, Mich-Chicago, Il-Wash, DC	N	Y	N	N/A
Carib News	Nov 7-9, 2003	Wash, DC-Nassau, Bahamas-Charlotte, NC	Y	Y	Y	N/A
The Congressional Program of the Aspen Institute	Dec 4-7, 2003	Charlotte, NC-Punta Mita, Mexico-Wash, DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name MELVIN L. WATT

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	EAST TOWNE MANOR, INC, CHARLOTTE, NC
DIRECTOR	WESTSIDE 2000, LTD., CHARLOTTE, NC
DIRECTOR	WESTSIDE CAPITAL INVESTMENTS, LLC, CHARLOTTE, NC

COMPUTATION OF VALUE FOR DISCLOSURE STATEMENT

MELVIN L. WATT

December 31, 2003

EAST TOWNE MANOR, INC.

OWNERSHIP INTEREST		33.33%
COMPUTATION OF FAIR VALUE OF BUSINESS:		
ESTIMATED ANNUAL NET CASH FLOW	$ 75,000	
CAPITALIZATION RATE	12%	
COMPUTED VALUE OF BUSINESS (GOING CONCERN BASIS)	$ 625,000	$ 208,125
MORTGAGES PAYABLE (NO PERSONAL LIABILITY)	$ 2,596,010	$ 865,250

WESTSIDE 2000, LTD./
/WESTSIDE CAPITAL INVESTMENTS, LLC

OWNERSHIP INTEREST		25.22%
COMPUTATION OF FAIR VALUE OF BUSINESS:		
LAND AND BUILDINGS AT COST	$ 100,000	$ 25,220

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 21 PM 2:23

(Office Use Only)

Representative Henry A. Waxman
(Full Name)

2204 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-3976
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: CA District: 30 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry A Waxman	5-20-2004

5430

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Representative Henry A. Waxman

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Generic Pharmaceutical Association	Speech	Jan. 23, 2003	$2,000
Food and Drug Law Institute	Speech	April 1, 2003	$2,000
American Hospital Association	Speech	April 28, 2003	$2,000
GTCBIO	Speech	Oct. 23, 2003	$2,000
Charles Schwab Capital Markets Washington Research Group	Speech	Oct. 29, 2003	$2,000
Center for Business Intelligence	Speech	Nov. 19, 2003	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Henry A. Waxman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Fidelity Low Priced Stock (IRA)	$50,001 - $100,000	dividends, interest & capital gains	$201 - $1,000	
JT	Fidelity Contrafund	$15,001 - $50,000	dividends, interest & capital gains	$201 - $1,000	
JT	Fidelity Fund	$15,001 - $50,000	dividends, interest & capital gains	$201 - $1,000	
JT	Fidelity Magellan	$15,001 - $50,000	dividends, interest & capital gains	$201 - $1,000	
JT	Fidelity High Income	$1,001 - $15,000	Interest	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Henry A. Waxman

JT	I Bond	$1,001 - $15,000		NONE	P
JT	Seminole County, FL School Board Bond	$15,001 - $50,000	Interest	$201 - $1,000	
SP	CA Health FFA Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	
SP	CA State Board Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	
SP	Concord, CA Redevelopment Agency Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	
SP	Desert Sands, CA Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	
SP	LA County CA SR Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	S
SP	Moulton Niguel CA Water District Municipal Bond	$15,001 - $50,000	Interest	$201 - $1,000	S
SP	Vallejo CA Unified School District Municipal Bond	$15,001 - $50,000	dividends, interest & capital gains	$201 - $1,000	
SP	US Treasury Note	$15,001 - $50,000	Interest	$201 - $1,000	
SP	USB Paine Webber Money Market	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Vanguard Small Cap Index IRA	$15,001 - $50,000	Capital gains and dividends	$1,001 - $2,500	
JT	Equity Income Fund T. Rowe Price	$15,001 - $50,000	Dividends, interest & capital gain	$201 - $1,000	
JT	European Stock Fund T. Rowe Price	$1,001 - $15,000	Capital gains and dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Henry A. Waxman

JT	International Stock Fund T. Rowe Price	$15,001 - $50,000	Dividends, interest & capital gains	$201 - $1,000	
JT	Israel Bonds	$1,001 - $15,000	Interest	$201 - $1,000	
	Vanguard Short Term Bond Index IRA	$1,001 - $15,000	Interest	$201 - $1,000	S
	Vanguard European Stock Index IRA	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	P
	Vanguard REIT Index Fund IRA	$15,001 - $50,000	Dividends	$201 - $1,000	
	Vanguard Pacific Stock Index IRA	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	
	Vanguard Short Term Corporate Bond IRA	$1,001 - $15,000	Interest	$201 - $1,000	S
	Vanguard 500 Index Fund (IRA)	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	P
	Vanguard Total Stock Market Index Fund (IRA)	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	S
JT	Vanguard Pacific Stock Index	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	
JT	Vanguard Prime Money Market	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Vanguard Extended Market Index	$15,001 - $50,000	Dividends, interest & capital gains	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Henry A. Waxman

JT	Vanguard Emerging Market Stock Index	$15,001 - $50,000	Capital gains and dividends	$201 - $1,000	
JT	Vanguard California Tax Exempt Fund	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Vanguard European Stock Index	$1,001 - $15,000		NONE	P
JT	Vanguard California Intermediate Term Tax Exempt	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	PS
JT	Vanguard Short Term Tax Exempt Fund	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	PS
JT	Vanguard 500 Index Fund	$1,001 - $15,000	Dividends, interest & capital gains	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name Representative Henry A. Waxman

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Vanguard CA Intermediate Term T-E Fund (Partial Sale)	S	4-24-03	$1,001 - $15,000
JT	Vanguard CA Intermediate Term T-E Fund (Partial Sale)	S	5-5-03	$1,001 - $15,000
JT	Vanguard CA Intermediate Term T-E Fund	S	7-1-03	$1,001 - $15,000
JT	Vanguard Short Term T-E Fund	P	6-25-03	$1,001 - $15,000
JT	Vanguard Short Term T-E Fund	S	7-1-03	$1,001 - $15,000
JT	Vanguard Emerging Markets Stock Fund	P	7-16-03	$1,001 - $15,000
JT	Vanguard Emerging Markets Stock Fund	P	10-6-03	$1,001 - $15,000
JT	Vanguard European Stock Fund	P	7-3-03	$1,001 - $15,000
JT	Vanguard Extended Market Index Fund	P	3-13-03	$1,001 - $15,000
JT	Vanguard Extended Market Index Fund	P	4-24-03	$1,001 - $15,000
JT	Vanguard Extended Market Index Fund	P	5-5-03	$1,001 - $15,000
JT	Vanguard Extended Market Index Fund	P	5-16-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Representative Henry A. Waxman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Vanguard Extended Market Index Fund	P	6-24-03	$1,001 - $15,000
JT	Vanguard 500 Index Fund	P	9-11-03	$15,001 - $50,000
	Vanguard Short Term Bond Fund (IRA) (Partial Sale)	S	3-20-03	$1,001 - $15,000
	Vanguard Short Term Bond Fund (IRA)	S	4-29-03	$1,001 - $15,000
	Vanguard European Stock Index (IRA) (Partial Sale)	S	7-3-03	$1,001 - $15,000
	Vanguard 500 Index Fund (IRA)	P	12-23-03	$1,001 - $15,000
	Vanguard REIT Index Fund (IRA)	P	7-3-03	$1,001 - $15,000
	Vanguard Total Stock Market Index (IRA)	P	3-20-03	$1,001 - $15,000
	Vanguard Total Stock Market Index (IRA)	P	4-29-03	$1,001 - $15,000
	Vanguard Total Stock Market Index (IRA)	S	12-23-03	$1,001 - $15,000
	Fidelity Low Priced Stock (IRA) (Partial Sale)	S	9-6-03	$15,001 - $50,000
JT	Fidelity High Income	S	6-6-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Representative Henry A. Waxman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Fidelity Magellan	P	6-6-03	$1,001 - $15,000
SP	LA County California SR Municipal Bond	S	9-2-03	$15,001 - $50,000
SP	Moulton Niguel CA Water District Municipal Bond	S	9-2-03	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Representative Henry A. Waxman

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
John F. Kennedy School of Government (The Commonwealth Fund grant)	Jan. 16-19	Wash., D.C--Aventura, Florida--Wash., D.C.	Y	Y	Y	N/A
Generic Pharmaceutical Association	Jan. 25-28	Wash., D.C.--San Juan, Puerto Rico--Wash., D.C.	Y	Y	Y	1 Day
The Aspen Institute Congressional Program	Aug. 9-16	Wash., D.C--Moscow, Russia--Los Angeles, CA	Y	Y	Y	2 Days
The Public Governance Institute	Dec. 5-7	No travel paid for by source (conference in Rancho Mirage, CA)	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED

ATIVE RESOURCE CENTER

2004 MAY 17 PM 4:33

(Office Use Only)

MC

5440

Anthony D. Weiner
(Full Name)

80-02 Kew Gardens Road Suite 5000 Kew Gardens, NY 1415
(Mailing Address)

718-520-9001
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: NY District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Only individuals who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. App. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Anthony D. Weiner

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Capline Deferable Equities	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Airborne Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
	Apple Computer Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
	Baxter International	$1,001 - $15,000	DIVIDENDS	NONE	P
	Walt Disney Company Holding	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Earthlink Com	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Anthony D. Weiner

	Freddie Mac	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	General Motors Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pfizer Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sabre Group Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sony Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sprint Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Washington Mutual Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Waste Management Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	JP Morgan Chase	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
	Stewart Information Services	$1,001 - $15,000	DIVIDENDS	NONE	S
	CSC Holdings	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Worldcom Inc. Corporate Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Anthony D. Weiner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Airborne Inc. Stock	S	08-08-03	$1,001 - $15,000
	Baxter International	P	02-18-03	$1,001 - $15,000
	Freddie Mac	P	06-10-03	$1,001 - $15,000
	Sony Corp.	P	08-13-03	$1,001 - $15,000
	JP Morgan Chase	S	09-18-03	$1,001 - $15,000
	Stewart Information Services	S	04-24-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Anthony D. Weiner

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Dominican American National Roundtable	April 23-25	New York--Santo Domingo, Dominican Republic--San Juan, PR	Y	Y	N	N/A
Jewish Community Relations Council	Aug. 17-22	New York--Tel Aviv, Israel--New York	Y	Y	N	N/A
American Israel Public Affairs Committee	Sept. 20-23	New York--Las Vegas--New York	Y	Y	N	N/A
Retail, Wholesale and Department Store Union	April 25-26	Santo Domingo, Dominican Republic--San Juan, PR--New York	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

HOUSE ARCHIVES RECEIVED CENTER
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(9)

MC

Wayne Curtis Weldon
(Full Name)

96 Tanguy Road
(Mailing Address)

Glen Mills Pennsylvania 19342 Daytime Telephone: (202) 225-2011

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 07	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wayne Curtis Weldon	5/17/04

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Drexel University	Approved Teaching Fee	$ 20,000
	Crozer Chester Medical Center	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name [illegible]

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction (P, S, E)
SP	Mega Corp Stock																															
DC, *Examples:*	Simon & Schuster					X								X																		
JT	1st Bank of Paducah, KY accounts		Indefinite				X									X				Royalties									X			
	Congressional Federal Credit Union			X												X							X									
	Franklin Mint Federal Credit Union		X													X							X									
SP	Crozer Chester Medical Center 403(b) Retirement Plan			X										N/A							N/A											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible]

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

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SCHEDULE IV — TRANSACTIONS

Name [illegible]

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	LAND		X		8-18-03				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Congressional Federal Credit Union	Loan (Tuition Costs)	X									
	Federal Plus Student Loans	Loan (Tuition Costs)			X							
	Congressional Federal Credit Union	Car Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Russian Academy of Science / Moscow International Petroleum Club	Jan 8-18	Wash. D.C. - Moscow - Vienna - Wash. DC	N	Y	Y	N
Samtov Aviation	Jan 13-16	Moscow - Saratov - Belgrade	Y	Y	Y	N
Karic Group	Jan 16-18	Belgrade - Vienna	Y	Y	Y	N
Itera Corp	Jan 25	Phila. - Jacksonville - Phila	N	Y	N	N
EURASIA Foundation	April 7-8	Wash. D.C. - West Chester, NY - WASH. D.C.	Y	Y	N	N
World Oil & Gas Forum	March 11-12	WASH. D.C. - Houston, TX - WASH. D.C.	Y	Y	N	N
N. Kentucky Fire fighters	Aug 9-10	Phila. PA - Kentucky - Phila. PA	Y	Y	N	N
Space & Missle Defense Assoc	Aug 20-21	Phila. PA - Huntsville, AL - Phila PA	Y	Y	N	N
International Code Council	Sept. 8	Phila. PA - ~~Boston, MA~~ - Nashville, TN - ~~Phila PA~~	N	Y	N	N
Harvard University	Sept 16	Phila. PA - Boston, MA	N	Y	N	N
University of Georgia	Nov 11-12	Phila. PA - Atlanta, GA - Phila - PA	Y	Y	N	N
IEEE	Dec. 9-10	Phila. PA - Providence R.I - Phila - PA	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		

Use additional sheets if more space is required

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 1:56
MC
(Office Use Only)

David J. Weldon, M.D.
(Full Name)

414 Barnacle Lane
Indialantic, FL 32903
(Mailing Address)

Daytime Telephone: (202) 225-3671

Filer Status	[X] Member of the U.S. House of Representatives — State: FL District: 15	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dan Weldon	May 13, 2004

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp. Stock	X	DIVIDENDS		P
SP	Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts	X	INTEREST	X	
	Thomas Nelson Publishers	Indefinite	Royalties	X	
	Congressional Federal Credit Union Account	X	INTEREST	X	
	Bank of America Tampa, FL	X	INTEREST	X	
	Bank of America Tampa, FL	X		X	
	Merrill Lynch IRA Account	X	DIVIDENDS, INTEREST, CAPITAL GAINS	X	S
	Merrill Lynch Bd Fd High Inc.	X	DIVIDENDS	X	S

Block B columns: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

Block C columns: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)

Block D columns: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

Block E columns: P, S, E

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David J. Weldon, M.D.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Merrill Lynch US High Yield Fund	X						X						X				S
	Sun America High Yield Bond Fund	X						X										S
	Cartridge Source of America								X			X						
	Morgan Stanley Liquid Asset Fund		X						X									P
	Nextel Communications Inc Nts			X					X									P
	Ford Motor Credit Co 7.25%								X									P
	BAC CAP TR IV 5875							X					X					P
	Government Natl Mtg Assn.			X					X									P
	Templeton Foreign Fund				X			X				X						P
	American Gr Fund of America				X			X										P
	American Inv Co of America				X			X					X					P
	AIM Basic Value							X										P
	Unit VK Focus Port Cohen & Steers							X										P
	Unit VK Focus Port Sel 10				X			X										P
	DW Spectrum Select							X	X									P
	DW Spectrum Tech							X	X									P

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SCHEDULE IV — TRANSACTIONS

Name David J. Weldon, M.D.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-03		X								
	Federal National Mortgage Association		X		04/15/03	X									
	Parker Hannifin Corp.	X			06/23/03	X									
	Federal Home Loan Mortgage Corp.		X		06/10/03	X									
	U.S. Treasury Note 4.375% May 15, 2007	X			06/11/03	X									
	Federal National Mortgage Association		X		09/26/03	X									
	U.S. Treasury Note 5.875% February 15, 2004		X		09/26/03	X									
	U.S. Treasury Note 5.625% February 15, 2006	X			09/29/03	X									
	General Electric		X		10/16/03	X									
	Microsoft Corp.		X		10/16/03	X									
	Microsoft Corp.		X		10/16/03	X									
	Motorola Inc.		X		10/16/03	X									
	Nokia Corp.		X		10/16/03	X									
	Oracle Corp.		X		10/16/03	X									
	Pfizer Inc.		X		10/16/03	X									
	Time Warner Inc.		X		10/16/03	X									

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SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	D	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X					
	SunAmerica High Yield Bond Fund Class B	X	10/16/03	X					
	Merrill Lynch US High Yield Fund Class B	X	10/16/03	X					
	Munder NetNet Fund Class B	X	10/16/03						
	Merrill Lynch Bond Fund High Income	X	10/16/03	X					
	Merrill Lynch Retirement Acct. (Schedule A)	X	10/17/03						
	Merrill Lynch Retirement Acct. (Schedule B)	X	10/17/03			X			
	Merrill Lynch Retirement Acct. (Schedule C)	X	10/17/03			X			
	DW Spectrum Select		12/01/03	X					
	DW Spectrum Tech		12/01/03	X					
	AIM Basic Value		10/30/03	X					
	American Gr Fund of America		10/30/03						
	American Inv Co of America		10/30/03	X					
	BAC CAP TR IV		10/30/03						
	Ford Motor Credit 7.25% 10/25/11		10/30/03	X					
	GNMA Pool 622071 4.5% 9/15/33		10/30/03	X					

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SCHEDULE IV — TRANSACTIONS

Name David J. Weldon, M.D.

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	Nextel Communications 5.25% 1/15/10		10/30/03	X				
	Templeton Foreign Fund		10/30/03					
	Unit VK Cohen & Steers Reit 8		10/30/03	X				
	Unit VK Select 10 Indus 2003-5		10/30/03	X				
	Morgan Stanley Liquid Asset Fund		10/30/03					

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Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	3,903.14	
Net Credits & Debits	3,838.60DR	1,769.85DR
Closing Balance Cash & Money Accounts	64.07	

Credits	This Statement	Year-to-Date
Sales	80,482.13	93,679.49
Income	168.04	1,905.06
Funds Received		
Electronic Tfrs		
Other	508.39	535.31
Total Credits	81,158.56	96,119.86

Debits	This Statement	Year-to-Date
Purchases	823.75	11,893.29
Withdrawals	83,513.00	83,513.00
Electronic Tfrs		
Other	660.41	2,483.42
Total Debits	84,997.16	97,889.71

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	1,748.58	1,353.44
Long-Term	(7,147.55)	(8,046.84)

*Excludes transactions for which we have insufficient data.

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
36	ABBEY NATL PLC SPSD ADR	08/30/02	10/17/03	712.76	850.50	(137.74)	LT
6	AT&T CORP	06/18/02	10/17/03	120.71	132.53	(11.82)	LT
11	AT&T CORP	11/26/02	10/17/03	221.31	308.00	(86.69)	ST
13	AT&T CORP	03/20/03	10/17/03	261.55	217.18	44.37	ST
10	AT&T CORP	09/10/99	10/17/03	201.19	669.52	(468.33)	LT
4	AMER INTL GROUP INC	10/02/02	10/17/03	241.63	233.94	7.69	LT
6	AMER INTL GROUP INC	03/20/03	10/17/03	362.45	305.02	57.43	ST
17	AMER INTL GROUP INC	01/02/98	10/17/03	1,026.91	805.32	221.59	LS
14	BP PLC SPON ADR	02/27/01	10/17/03	606.17	692.38	(86.21)	LT
8	BP PLC SPON ADR	08/27/01	10/17/03	346.38	417.84	(71.46)	LT
6	BP PLC SPON ADR	07/30/02	10/17/03	259.78	272.58	(12.80)	LT
10	BP PLC SPON ADR	03/20/03	10/17/03	432.99	391.19	41.80	ST
4	BASF AG SPONSORED ADR	01/02/98	10/17/03	184.47	148.51	35.96	LS
10	BASF AG SPONSORED ADR	02/04/98	10/17/03	461.17	366.25	94.92	LS
10	BASF AG SPONSORED ADR	10/22/98	10/17/03	461.18	394.92	66.26	LT
44	BAE SYS PLC SPN ADR	02/08/02	10/17/03	534.57	887.29	(352.72)	LT
15	BAE SYS PLC SPN ADR	04/24/02	10/17/03	182.24	319.50	(137.26)	LT
26	BAE SYS PLC SPN ADR	03/21/03	10/17/03	315.89	222.78	93.11	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
66	BHP BILLITON LTD ADR	06/19/03	10/17/03	1,100.82	801.56	299.26	ST
10	BECTON DICKINSON CO	10/09/02	10/09/03	375.72	288.92	86.80	ST
24	BECTON DICKINSON CO	10/09/02	10/17/03	893.23	693.43	199.80	LT
10	BECTON DICKINSON CO	02/04/03	10/17/03	372.19	318.03	54.16	ST
20	BRISTOL-MYERS SQUIBB CO	05/17/01	10/17/03	497.77	1,079.12	(581.35)	LT
13	BRISTOL-MYERS SQUIBB CO	08/27/01	10/17/03	323.55	775.79	(452.24)	LT
7	BRISTOL-MYERS SQUIBB CO	02/07/02	10/17/03	174.22	303.28	(129.06)	LT
15	BRISTOL-MYERS SQUIBB CO	04/09/02	10/17/03	373.34	480.00	(106.66)	LT
11	COMCAST CORP NEW CL A	06/18/02	10/17/03	357.15	221.84	135.31	LT
16	COMCAST CORP NEW CL A	09/10/99	10/17/03	519.49	1,120.64	(601.15)	LT
41	CADBURY SCHWEPPES ADR	02/08/02	10/17/03	1,115.14	1,022.56	92.58	LT
17	CADBURY SCHWEPPES ADR	05/02/02	10/17/03	462.38	537.57	(75.19)	LT
3	CADBURY SCHWEPPES ADR	07/24/02	10/17/03	81.60	78.45	3.15	LT
33	CITIGROUP INC	04/19/00	10/17/03	1,588.87	1,408.84	180.03	LT
10	CITIGROUP INC	04/12/01	10/17/03	481.48	432.94	48.54	LT
23	CONSTELLATION ENERGY GP	07/08/03	10/17/03	817.84	792.84	25.00	ST
6	CONSTELLATION ENERGY GP	08/08/03	10/17/03	213.35	204.00	9.35	ST
28	CANON INC ADR REP5SH	01/02/98	10/17/03	1,364.93	652.07	712.86	LS
7	CHEVRONTEXACO CORP	10/02/02	10/17/03	514.61	514.47	.14	LT
7	CHEVRONTEXACO CORP	11/13/02	10/17/03	514.62	462.15	52.47	ST
17	DIAGEO PLC SPSD ADR NEW	08/30/02	10/17/03	789.78	822.19	(32.41)	LT
8	DIAGEO PLC SPSD ADR NEW	11/14/02	10/17/03	371.66	357.04	14.62	ST
7	DIAGEO PLC SPSD ADR NEW	07/03/03	10/17/03	325.21	310.70	14.51	ST
15	DEVON ENERGY CORP NEW	08/26/03	10/17/03	691.91	763.11	(71.20)	ST
41	DANSKE BK A/S UNSPNS ADR	04/11/03	10/17/03	805.61	711.79	93.82	ST
4	DEUTSCHE BANK AG REG	10/21/98	10/17/03	261.10	248.32	12.78	LT
10	DEUTSCHE BANK AG REG	11/20/98	10/17/03	652.77	620.14	32.63	LT
24	DISNEY (WALT) CO COM STK	08/27/01	10/17/03	517.17	639.30	(122.13)	LT
14	DISNEY (WALT) CO COM STK	10/10/01	10/17/03	301.69	268.01	33.68	LT
13	ENI S P A SPONSORED ADR	02/08/02	10/17/03	1,042.15	844.82	197.33	LT
5	ENI S P A SPONSORED ADR	07/29/02	10/17/03	400.84	353.45	47.39	LT
20	ENDESA S.A. SPONSORD ADR	07/26/02	10/17/03	311.19	230.11	81.08	LT
22	ENDESA S.A. SPONSORD ADR	07/29/02	10/17/03	342.31	269.38	72.93	LT
45	ENDESA S.A. SPONSORD ADR	03/24/98	10/17/03	700.16	1,151.14	(450.98)	LS
25	ENDESA S.A. SPONSORD ADR	05/22/98	10/17/03	388.98	626.50	(237.52)	LS
15	ENDESA S.A. SPONSORD ADR	06/17/99	10/17/03	233.38	321.53	(88.15)	LT
8	EMERSON ELEC CO	10/10/01	10/17/03	433.17	370.65	62.52	LT
6	EMERSON ELEC CO	11/28/01	10/17/03	324.89	316.77	8.12	LT
10	EMERSON ELEC CO	01/02/98	10/17/03	541.47	563.13	(21.66)	LS
40	FLEETBOSTON FINL CORP	02/27/01	10/17/03	1,300.33	1,721.26	(420.93)	LT
8	FLEETBOSTON FINL CORP	11/28/01	10/17/03	260.06	300.07	(40.01)	LT
5	FLEETBOSTON FINL CORP	02/07/02	10/17/03	162.55	160.22	2.33	LT
6	FUJI PHOTO FILM ADR NEW	06/19/03	10/17/03	174.59	169.20	5.39	ST
6	FUJI PHOTO FILM ADR NEW	06/26/03	10/17/03	174.60	170.02	4.58	ST
40	FUJI PHOTO FILM ADR NEW	01/02/98	10/17/03	1,163.93	1,550.01	(386.08)	LS
25	GLAXOSMITHKLINE PLC ADR	02/18/99	10/17/03	1,083.94	1,520.96	(437.02)	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
45	GENERAL ELECTRIC	02/17/00	10/17/03	1,281.54	1,971.95	(690.41)	LT
5	HSBC HLDG PLC ADR	10/11/01	10/17/03	353.54	283.45	70.09	LT
21	HSBC HLDG PLC ADR	01/02/98	10/17/03	1,484.83	861.01	623.82	LS
17	HEWLETT PACKARD CO DEL	10/17/00	10/17/03	355.11	762.07	(406.96)	LT
10	HEWLETT PACKARD CO DEL	04/11/01	10/17/03	208.88	301.45	(92.57)	LT
30	HEWLETT PACKARD CO DEL	10/10/01	10/17/03	626.67	510.99	115.68	LT
15	HEWLETT PACKARD CO DEL	05/23/02	10/17/03	313.34	286.43	26.91	LT
8	INTL BUSINESS MACHINES	04/19/00	10/17/03	713.40	862.43	(149.03)	LT
80	ING GP NV SPSD ADR	01/02/98	10/17/03	1,622.32	1,750.00	(127.68)	LS
10	JOHNSON AND JOHNSON COM	02/27/01	10/17/03	503.58	480.89	22.69	LT
2	JOHNSON AND JOHNSON COM	07/16/99	10/17/03	100.71	96.39	4.32	LT
69	KINGFISHER PLC SP ADR	04/15/02	10/17/03	648.56	724.09	(75.53)	LT
63	KINGFISHER PLC SP ADR	08/07/02	10/17/03	592.18	378.83	213.35	LT
42	KT CORP ADR	07/09/03	10/17/03	897.07	800.12	96.95	ST
31	KONINKL PHIL E NY SH NEW	03/18/99	10/17/03	806.57	734.17	72.40	LT
19	KONINKL PHIL E NY SH NEW	10/06/99	10/17/03	494.36	525.76	(31.40)	LT
19	KIMBERLY CLARK	07/31/02	10/17/03	986.81	1,135.40	(148.59)	LT
7	KIMBERLY CLARK	08/13/02	10/17/03	363.56	420.55	(56.99)	LT
3	KIMBERLY CLARK	10/28/02	10/17/03	155.81	150.35	5.46	ST
9	KIMBERLY CLARK	04/04/03	10/17/03	467.44	415.92	51.52	ST
18	MORGAN STANLEY	10/10/01	10/17/03	942.07	901.95	40.12	LT
9	MORGAN STANLEY	02/07/02	10/17/03	471.04	453.19	17.85	LT
16	MEDCO HEALTH SOLUTIONS I	10/08/03	10/17/03	444.13	438.50	5.63	ST
14	MEDCO HEALTH SOLUTIONS I	10/09/03	10/17/03	388.63	385.25	3.38	ST
15	MGIC INVT CORP WIS	07/24/00	10/17/03	766.76	799.55	(32.79)	LT
4	MGIC INVT CORP WIS	05/23/02	10/17/03	204.47	285.46	(80.99)	LT
6	MGIC INVT CORP WIS	04/04/03	10/17/03	306.71	249.94	56.77	ST
5	MERCK&CO INC	02/27/01	10/17/03	242.88	374.11	(131.23)	LT
7	MERCK&CO INC	10/10/01	10/17/03	340.05	456.34	(116.29)	LT
3	MERCK&CO INC	01/02/98	10/09/03	148.64	151.33	(2.69)	LS
11	MERCK&CO INC	01/02/98	10/17/03	534.35	554.90	(20.55)	LS
23	MICROSOFT CORP	03/03/00	10/17/03	662.82	1,129.52	(466.70)	LT
10	MICROSOFT CORP	06/12/00	10/17/03	288.18	334.39	(46.21)	LT
10	MICROSOFT CORP	10/16/00	10/17/03	288.19	252.45	35.74	LT
10	MICROSOFT CORP	02/07/02	10/17/03	288.19	305.80	(17.61)	LT
11	NATL AUSTRALIA B ADR	01/02/98	10/17/03	1,179.80	775.95	403.85	LS
30	NINTENDO LTD ADR	02/08/02	10/17/03	304.48	545.94	(241.46)	LT
27	NINTENDO LTD ADR	09/13/02	10/17/03	274.04	403.59	(129.55)	LT
28	NINTENDO LTD ADR	02/07/03	10/17/03	284.19	291.49	(7.30)	ST
20	NINTENDO LTD ADR	02/04/98	10/17/03	202.99	262.51	(59.52)	LS
5	NIPPON TELG&TEL SPDN ADR	02/17/00	10/17/03	116.09	357.45	(241.36)	LT
5	NIPPON TELG&TEL SPDN ADR	06/27/00	10/17/03	116.09	339.63	(223.54)	LT
20	NIPPON TELG&TEL SPDN ADR	02/27/01	10/17/03	464.39	671.79	(207.40)	LT
2	NIPPON TELG&TEL SPDN ADR	07/15/99	10/17/03	46.43	128.64	(82.21)	LT
10	NIPPON TELG&TEL SPDN ADR	08/26/99	10/17/03	232.19	579.35	(347.16)	LT
10	NOVARTIS ADR	02/26/01	10/17/03	394.48	422.04	(27.56)	LT

FBO DAVID J WELDON JR

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
7	NOVARTIS ADR	01/30/03	10/17/03	276.14	244.77	31.37	ST
23	NOVARTIS ADR	01/02/98	10/17/03	907.30	907.59	(.29)	LS
20	NOVARTIS ADR	03/18/99	10/17/03	788.96	827.85	(38.89)	LT
6	NESTLE S A REP RG SH ADR	07/03/03	10/17/03	346.49	307.44	39.05	ST
32	NESTLE S A REP RG SH ADR	01/02/98	10/17/03	1,847.90	1,194.01	653.89	LS
43	NOKIA CORP ADR	05/13/03	10/17/03	728.38	752.25	(23.87)	ST
102	PORTUGAL TELE SGPS ADR	01/02/98	10/17/03	843.50	954.02	(110.52)	LS
51	PORTUGAL TELE SGPS ADR	05/20/98	10/17/03	421.75	548.26	(126.51)	LS
27	PARKER HANNIFIN CORP	06/18/03	10/17/03	1,358.57	1,220.11	138.46	ST
3	ROCHE HLDG LTD SPN ADR	04/09/02	10/08/03	251.17	233.85	17.32	LT
9	ROCHE HLDG LTD SPN ADR	04/09/02	10/17/03	742.91	701.55	41.36	LT
5	ROCHE HLDG LTD SPN ADR	01/29/03	10/17/03	412.73	343.51	69.22	ST
94	SOCIETE GENERAL SPN ADR	01/02/98	10/17/03	1,372.33	643.33	729.00	LS
20	SOCIETE GENERAL SPN ADR	02/18/99	10/17/03	291.99	152.25	139.74	LT
25	SBC COMMUNICTNS INC PV$1	04/11/01	10/17/03	548.22	1,029.92	(481.70)	LT
11	SBC COMMUNICTNS INC PV$1	05/25/01	10/17/03	241.22	471.76	(230.54)	LT
38	SCOTTISH PWR PLC SPN ADR	06/19/02	10/17/03	894.09	861.55	32.54	LT
10	SCOTTISH PWR PLC SPN ADR	07/24/02	10/17/03	235.29	201.65	33.64	LT
10	SCOTTISH PWR PLC SPN ADR	07/29/02	10/17/03	235.29	217.80	17.49	LT
25	STORA ENSO OYJ SPD ADR	11/19/02	10/17/03	320.23	264.99	55.24	ST
34	STORA ENSO OYJ SPD ADR	12/16/02	10/17/03	435.51	381.43	54.08	ST
13	STORA ENSO OYJ SPD ADR	12/19/02	10/17/03	166.53	145.67	20.86	ST
40	SCHERING PLOUGH CORP	01/02/98	10/17/03	638.37	1,231.26	(592.89)	LS
85	SYNGENTA AG ADR	04/30/01	10/17/03	939.23	867.72	71.51	LT
3	SYNGENTA AG ADR	01/02/98	10/17/03	33.14	33.06	.08	LS
2	SYNGENTA AG ADR	03/18/99	10/17/03	22.09	23.12	(1.03)	LT
4	SYNGENTA AG ADR	04/08/99	10/17/03	44.19	37.85	6.34	LT
10	SHELL TRNS&TRDNG NYSHNEW	05/08/02	10/17/03	398.39	443.02	(44.63)	LT
20	SHELL TRNS&TRDNG NYSHNEW	01/02/98	10/17/03	796.75	886.26	(89.51)	LS
15	SHELL TRNS&TRDNG NYSHNEW	07/16/98	10/17/03	597.57	625.27	(27.70)	LS
10	SONY CORP ADR NEW	09/12/00	10/17/03	374.18	1,094.50	(720.32)	LT
10	SONY CORP ADR NEW	01/10/01	10/17/03	374.18	755.63	(381.45)	LT
10	SONY CORP ADR NEW	08/27/01	10/17/03	374.18	476.10	(101.92)	LT
2	SONY CORP ADR NEW	03/18/03	10/17/03	74.84	74.19	.65	ST
2	SONY CORP ADR NEW	03/19/03	10/17/03	74.84	72.46	2.38	ST
15	TELEFONOS M SA RP L ADR	02/09/00	10/17/03	470.52	635.12	(164.60)	LT
15	TELEFONOS M SA RP L ADR	05/09/01	10/17/03	470.53	503.06	(32.53)	LT
8	TELEFONOS M SA RP L ADR	05/30/03	10/17/03	250.95	243.14	7.81	ST
23	TOTAL S.A. SP ADR	01/02/98	10/17/03	1,810.24	932.90	877.34	LS
9	TOYOTA MOTOR CORP ADR	02/08/02	10/17/03	559.41	459.53	99.88	LT
10	TOYOTA MOTOR CORP ADR	06/06/02	10/17/03	621.57	534.84	86.73	LT
38	VOLVO AKTIEBOLAGET ADR	04/11/03	10/17/03	976.97	676.78	300.19	ST
30	ZURICH FINL SVCS SPN ADR	02/09/00	10/17/03	386.98	1,358.64	(971.66)	LT
22	ZURICH FINL SVCS SPN ADR	02/08/02	10/17/03	283.78	403.08	(119.30)	LT
39	ZURICH FINL SVCS SPN ADR	12/06/02	10/17/03	503.08	401.13	101.95	ST

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Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	4,555.08	
Net Credits & Debits	4,505.86DR	3,413.71DR
Closing Balance Cash & Money Accounts	49.22	

Credits	This Statement	Year-to-Date
Sales	98,938.47	138,114.19
Income	86.99	2,057.45
Funds Received		
Electronic Tfrs		
Other	623.75	639.10
Total Credits	99,649.21	140,810.74

Debits	This Statement	Year-to-Date
Purchases	2,191.76	40,177.71
Withdrawals	101,167.46	101,167.46
Electronic Tfrs		
Other	795.85	2,879.28
Total Debits	104,155.07	144,224.45

Realized Capital Gain and Loss Summary*	This Month	Year-to-Date
Short-Term	3,845.93	3,755.27
Long-Term	2,031.63	142.47

*Excludes transactions for which we have insufficient data.

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
10000	Δ US TSY 5.625% FED 15 2006	09/26/03	10/17/03	10,810.16	10,881.62	(71.46)	ST
5000	Δ U.S. TREASURY NOTE	03/06/02	10/17/03	5,194.92	5,096.84	98.08	LT
5000	Δ US TSY 4.875% MAY 15 2007	06/10/03	10/17/03	5,278.32	5,456.73	(178.41)	ST
10000	Δ US TSY 2.875% JUN 30 2004	07/26/02	10/17/03	10,122.66	10,050.23	72.43	LT
5000	Δ U.S.TREASURY NOTE	01/22/01	10/17/03	5,244.34	5,085.29	159.05	LT
7	ABBOTT LABS	12/28/00	10/17/03	292.23	339.50	(47.27)	LT
3	ABBOTT LABS	01/03/01	10/17/03	125.24	141.70	(16.46)	LT
3	ABBOTT LABS	01/04/01	10/17/03	125.24	129.45	(4.21)	LT
4	ABBOTT LABS	05/15/02	10/17/03	166.99	187.10	(20.11)	LT
4	ABBOTT LABS	06/14/02	10/17/03	166.99	146.19	20.80	LT
7	ABBOTT LABS	10/10/02	10/17/03	292.24	277.91	14.33	LT
3	ABBOTT LABS	03/25/03	10/17/03	125.25	117.04	8.21	ST
3	AIR PRODUCTS&CHEM	01/13/03	10/17/03	142.28	130.98	11.30	ST
3	AIR PRODUCTS&CHEM	01/22/03	10/17/03	142.28	126.19	16.09	ST
4	AIR PRODUCTS&CHEM	01/24/03	10/17/03	189.71	165.03	24.68	ST
3	AIR PRODUCTS&CHEM	04/03/03	10/17/03	142.28	127.83	14.45	ST
3	AIR PRODUCTS&CHEM	08/20/03	10/17/03	142.29	141.68	.61	ST
2	ALCAN INC	08/20/02	10/17/03	83.17	55.38	27.79	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	ALCAN INC	08/23/02	10/17/03	124.76	86.52	38.24	LT
6	ALCAN INC	08/27/02	10/17/03	249.53	175.75	73.78	LT
4	ALCAN INC	10/13/03	10/17/03	166.36	163.20	3.16	ST
8	AXIS CAPITAL HOLDINGS	09/12/03	10/17/03	193.99	185.51	8.48	ST
3	AMERISOURCEBERGEN CORP	10/01/03	10/17/03	171.88	164.54	7.34	ST
2	AMERISOURCEBERGEN CORP	10/03/03	10/17/03	114.59	113.88	.71	ST
3	AMERISOURCEBERGEN CORP	10/08/03	10/17/03	171.90	168.58	3.32	ST
4	AGILENT TECHNOLOGIES INC	07/05/01	10/17/03	96.03	127.87	(31.84)	LT
4	AGILENT TECHNOLOGIES INC	11/15/01	10/17/03	96.03	100.76	(4.73)	LT
4	AGILENT TECHNOLOGIES INC	11/23/01	10/17/03	96.03	99.70	(3.67)	LT
4	AGILENT TECHNOLOGIES INC	12/27/01	10/17/03	96.03	114.74	(18.71)	LT
5	AGILENT TECHNOLOGIES INC	02/26/02	10/17/03	120.05	147.90	(27.85)	LT
4	AGILENT TECHNOLOGIES INC	10/29/02	10/17/03	96.04	50.38	45.66	ST
9	AGILENT TECHNOLOGIES INC	11/22/02	10/17/03	216.09	164.21	51.88	ST
12	AT&T CORP	06/11/03	10/17/03	242.50	241.06	1.44	ST
5	AT&T CORP	06/16/03	10/17/03	101.05	104.35	(3.30)	ST
2	AMER EXPRESS COMPANY	09/18/01	10/17/03	96.01	55.48	40.53	LT
4	AMER EXPRESS COMPANY	10/03/01	10/17/03	192.03	119.96	72.07	LT
3	AMER EXPRESS COMPANY	02/14/02	10/17/03	144.02	104.49	39.53	LT
6	AMER EXPRESS COMPANY	07/05/02	10/17/03	288.04	223.80	64.24	LT
5	AMER EXPRESS COMPANY	07/24/02	10/17/03	240.04	150.79	89.25	LT
5	AMER EXPRESS COMPANY	10/11/02	10/17/03	240.05	155.02	85.03	LT
4	ANADARKO PETE CORP	05/10/02	10/17/03	165.47	211.29	(45.82)	LT
3	ANADARKO PETE CORP	05/15/02	10/17/03	124.10	158.40	(34.30)	LT
4	ANADARKO PETE CORP	06/18/02	10/17/03	165.47	200.37	(34.90)	LT
6	ANADARKO PETE CORP	08/20/02	10/17/03	248.21	259.21	(11.00)	LT
1	ANADARKO PETE CORP	08/30/02	10/17/03	41.37	43.92	(2.55)	LT
2	ANHEUSR BUSCH COS INC	01/10/01	10/17/03	98.01	79.00	19.01	LT
4	ANHEUSR BUSCH COS INC	04/26/01	10/17/03	196.03	160.08	35.95	LT
1	ANHEUSR BUSCH COS INC	11/15/01	10/17/03	49.00	43.57	5.43	LT
3	ANHEUSR BUSCH COS INC	12/07/01	10/17/03	147.03	128.04	18.99	LT
3	ANHEUSR BUSCH COS INC	01/30/03	10/17/03	147.03	139.07	7.96	ST
6	BANK OF N Y CO INC	10/16/02	10/14/03	194.08	148.60	45.48	ST
1	BANK OF N Y CO INC	10/17/02	10/14/03	32.35	26.51	5.84	ST
3	BANK OF N Y CO INC	10/17/02	10/17/03	93.98	79.53	14.45	ST
5	BANK OF N Y CO INC	10/18/02	10/17/03	156.64	135.74	20.90	ST
7	BANK OF N Y CO INC	10/28/02	10/17/03	219.30	192.89	26.41	ST
3	BANK OF N Y CO INC	11/04/02	10/17/03	93.98	81.68	12.30	ST
4	BANK OF N Y CO INC	11/21/02	10/17/03	125.31	117.45	7.86	ST
7	BANK OF N Y CO INC	12/19/02	10/17/03	219.31	165.76	53.55	ST
4	BANK OF N Y CO INC	01/06/03	10/17/03	125.32	106.61	18.71	ST
4	BP PLC SPON ADR	05/13/03	10/17/03	173.51	163.40	10.11	ST
3	BP PLC SPON ADR	05/16/03	10/17/03	130.13	122.57	7.56	ST
3	BP PLC SPON ADR	05/23/03	10/17/03	130.13	122.79	7.34	ST
4	BP PLC SPON ADR	07/22/03	10/17/03	173.51	163.79	9.72	ST
4	BP PLC SPON ADR	07/24/03	10/17/03	173.51	166.60	6.91	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	BP PLC SPON ADR	09/23/03	10/17/03	86.76	84.72	2.04	ST
3	BP PLC SPON ADR	10/03/03	10/17/03	130.14	130.63	(.49)	ST
3	BANK OF AMERICA CORP	12/29/00	10/17/03	244.96	138.70	106.26	LT
4	BANK OF AMERICA CORP	09/17/01	10/17/03	326.62	222.76	103.86	LT
1	BANK OF AMERICA CORP	09/28/01	10/17/03	81.65	58.28	23.37	LT
3	BANK OF AMERICA CORP	01/28/03	10/17/03	244.97	206.58	38.39	ST
2	BANK OF AMERICA CORP	04/07/03	10/17/03	163.31	142.15	21.16	ST
1	BANK OF AMERICA CORP	09/08/03	10/17/03	81.66	76.87	4.79	ST
3	BANK OF AMERICA CORP	09/16/03	10/17/03	244.98	232.68	12.30	ST
6	BELLSOUTH CORP	10/10/02	10/17/03	146.21	132.79	13.42	LT
5	BELLSOUTH CORP	10/11/02	10/17/03	121.84	118.66	3.18	LT
4	BELLSOUTH CORP	11/21/02	10/17/03	97.47	110.73	(13.26)	ST
5	BELLSOUTH CORP	11/25/02	10/17/03	121.84	140.86	(19.02)	ST
6	BELLSOUTH CORP	12/10/02	10/17/03	146.21	155.76	(9.55)	ST
5	BELLSOUTH CORP	01/06/03	10/17/03	121.85	148.04	(26.19)	ST
9	BELLSOUTH CORP	01/24/03	10/17/03	219.33	202.82	16.51	ST
2	BOEING COMPANY	04/17/02	10/17/03	74.73	90.78	(16.05)	LT
4	BOEING COMPANY	05/01/02	10/17/03	149.47	177.56	(28.09)	LT
3	BOEING COMPANY	07/12/02	10/17/03	112.10	121.58	(9.48)	LT
6	BOEING COMPANY	07/24/02	10/17/03	224.22	232.95	(8.73)	LT
5	COMCAST CRP NEW CL A SPL	07/09/01	10/09/03	154.11	193.57	(39.46)	LT
5	COMCAST CRP NEW CL A SPL	07/09/01	10/09/03	154.11	193.56	(39.45)	LT
7	COMCAST CRP NEW CL A SPL	07/09/01	10/17/03	221.18	270.99	(49.81)	LT
3	COMCAST CRP NEW CL A SPL	10/01/01	10/17/03	94.79	105.62	(10.83)	LT
1	COMCAST CRP NEW CL A SPL	11/15/01	10/17/03	31.59	38.30	(6.71)	LT
6	COMCAST CRP NEW CL A SPL	05/13/02	10/17/03	189.59	174.84	14.75	LT
5	COMCAST CRP NEW CL A SPL	05/14/02	10/17/03	157.99	146.85	11.14	LT
4	COMCAST CRP NEW CL A SPL	10/29/02	10/17/03	126.40	92.96	33.44	ST
7	COMCAST CRP NEW CL A SPL	11/22/02	10/17/03	221.20	177.31	43.89	ST
3	COMCAST CRP NEW CL A SPL	01/02/03	10/17/03	94.80	71.83	22.97	ST
3	COMCAST CRP NEW CL A SPL	01/21/03	10/17/03	94.80	77.86	16.94	ST
6	CINERGY CORP COM	09/28/01	10/16/03	217.03	185.51	31.52	LT
5	COOPER CAMERON CORP COM	07/22/02	10/17/03	215.43	201.34	14.09	LT
3	COOPER CAMERON CORP COM	09/03/02	10/17/03	129.26	128.43	.83	LT
2	COOPER CAMERON CORP COM	01/09/03	10/17/03	86.17	95.35	(9.18)	ST
4	COOPER CAMERON CORP COM	03/14/03	10/17/03	172.35	194.01	(21.66)	ST
3	COOPER CAMERON CORP COM	10/09/03	10/17/03	129.28	138.42	(9.14)	ST
2	CITIGROUP INC	05/12/00	10/17/03	96.63	88.49	8.14	LT
3	CITIGROUP INC	09/08/00	10/17/03	144.95	156.59	(11.64)	LT
6	CITIGROUP INC	10/17/00	10/17/03	289.90	275.62	14.28	LT
1	CITIGROUP INC	11/06/00	10/17/03	48.31	51.44	(3.13)	LT
4	CITIGROUP INC	03/12/01	10/17/03	193.27	176.96	16.31	LT
2	CITIGROUP INC	04/26/01	10/17/03	96.63	93.53	3.10	LT
1	CITIGROUP INC	11/15/01	10/17/03	48.31	46.90	1.41	LT
6	CITIGROUP INC	07/29/02	10/17/03	289.91	183.44	106.47	LT
2	CITIGROUP INC	08/09/02	10/17/03	96.63	64.33	32.30	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	CITIGROUP INC	09/06/02	10/17/03	289.92	182.13	107.79	LT
4	CITIGROUP INC	01/03/03	10/17/03	193.28	144.60	48.68	ST
1	CITIGROUP INC	06/26/03	10/17/03	48.32	43.69	4.63	ST
3	CITIGROUP INC	09/19/03	10/17/03	144.96	140.53	4.43	ST
10	CHECK POINT SOFTWRE TECH	10/15/03	10/17/03	174.29	182.62	(8.33)	ST
2	COSTCO WHOLESALE CRP DEL	09/21/01	10/17/03	67.11	62.67	4.44	LT
4	COSTCO WHOLESALE CRP DEL	09/25/01	10/17/03	134.23	134.35	(.12)	LT
1	COSTCO WHOLESALE CRP DEL	11/15/01	10/17/03	33.55	43.12	(9.57)	LT
5	COSTCO WHOLESALE CRP DEL	03/01/02	10/17/03	167.79	202.70	(34.91)	LT
3	COSTCO WHOLESALE CRP DEL	03/06/02	10/17/03	100.68	117.12	(16.44)	LT
5	COSTCO WHOLESALE CRP DEL	10/29/02	10/17/03	167.80	171.30	(3.50)	ST
3	CHEVRONTEXACO CORP	10/02/00	10/17/03	221.65	259.88	(38.23)	LT
4	CHEVRONTEXACO CORP	10/03/00	10/17/03	295.54	348.01	(52.47)	LT
3	CHEVRONTEXACO CORP	02/16/01	10/17/03	221.66	258.93	(37.27)	LT
2	CHEVRONTEXACO CORP	04/26/01	10/17/03	147.77	194.79	(47.02)	LT
3	CHEVRONTEXACO CORP	12/02/02	10/17/03	221.66	200.57	21.09	ST
3	CHEVRONTEXACO CORP	12/27/02	10/17/03	221.67	198.08	23.59	ST
3	CATERPILLAR INC DEL	08/16/02	10/17/03	221.62	131.10	90.52	LT
2	CATERPILLAR INC DEL	08/27/02	10/17/03	147.76	92.06	55.70	LT
4	CIGNA CORP	05/25/01	10/17/03	188.79	367.00	(178.21)	LT
3	CIGNA CORP	06/12/01	10/17/03	141.59	281.33	(139.74)	LT
1	CIGNA CORP	07/06/01	10/17/03	47.19	93.75	(46.56)	LT
2	CIGNA CORP	08/01/01	10/17/03	94.39	179.08	(84.69)	LT
2	CIGNA CORP	08/07/01	10/17/03	94.39	180.24	(85.85)	LT
3	CIGNA CORP	09/26/01	10/17/03	141.60	237.98	(96.38)	LT
1	CIGNA CORP	03/01/02	10/17/03	47.21	91.90	(44.69)	LT
4	CLOROX CO DEL COM	06/19/03	10/17/03	185.82	172.14	13.68	ST
6	CLOROX CO DEL COM	07/08/03	10/17/03	278.74	258.26	20.48	ST
4	CLOROX CO DEL COM	07/15/03	10/17/03	185.84	179.57	6.27	ST
5	COMPUTER ASSOC INTL INC	04/05/02	10/08/03	145.29	99.29	46.00	LT
1	COMPUTER ASSOC INTL INC	04/18/02	10/08/03	29.06	19.15	9.91	LT
3	COMPUTER ASSOC INTL INC	04/18/02	10/10/03	69.30	57.46	11.84	LT
7	COMPUTER ASSOC INTL INC	04/18/02	10/17/03	174.08	134.08	40.00	LT
16	COMPUTER ASSOC INTL INC	08/08/02	10/17/03	397.90	146.61	251.29	LT
10	COMPUTER ASSOC INTL INC	08/19/02	10/17/03	248.69	109.25	139.44	LT
7	DIAGEO PLC SPSD ADR NEW	12/04/02	10/17/03	325.48	302.72	22.76	ST
3	DIAGEO PLC SPSD ADR NEW	12/10/02	10/17/03	139.49	126.08	13.41	ST
4	DIAGEO PLC SPSD ADR NEW	12/18/02	10/17/03	185.99	170.89	15.10	ST
2	DIAGEO PLC SPSD ADR NEW	12/20/02	10/17/03	92.99	85.58	7.41	ST
3	DIAGEO PLC SPSD ADR NEW	03/28/03	10/17/03	139.50	125.55	13.95	ST
6	DOW CHEMICAL PV 2 50	09/28/01	10/17/03	211.66	196.53	15.13	LT
3	DOW CHEMICAL PV 2 50	12/28/01	10/17/03	105.84	101.33	4.51	LT
7	EQUITY RESIDENTIAL	10/10/03	10/17/03	206.34	210.06	(3.72)	ST
8	EQUITY RESIDENTIAL	10/13/03	10/17/03	235.83	241.52	(5.69)	ST
11	EQUITY OFFICE PPTYS SBI	06/23/03	10/10/03	316.19	289.57	26.62	ST
1	EQUITY OFFICE PPTYS SBI	06/30/03	10/10/03	28.75	26.81	1.94	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description		Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
4	EQUITY OFFICE PPTYS	SBI	06/30/03	10/13/03	115.68	107.25	8.43	ST
5	EQUITY OFFICE PPTYS	SBI	07/07/03	10/13/03	144.61	139.14	5.47	ST
4	EXXON MOBIL CORP	COM	04/26/01	10/17/03	154.79	178.96	(24.17)	LT
2	EXXON MOBIL CORP	COM	11/15/01	10/17/03	77.39	74.08	3.31	LT
4	EXXON MOBIL CORP	COM	11/20/01	10/17/03	154.79	152.05	2.74	LT
4	EXXON MOBIL CORP	COM	05/01/02	10/17/03	154.79	159.80	(5.01)	LT
4	EXXON MOBIL CORP	COM	10/29/02	10/17/03	154.80	131.20	23.60	ST
6	EXXON MOBIL CORP	COM	11/22/02	10/17/03	154.81	138.73	16.08	ST
14	EXXON MOBIL CORP	COM	10/06/99	10/17/03	232.18	217.83	14.35	LT
3	EXELON CORPORATION		11/05/99	10/17/03	541.77	494.12	47.65	LT
3	EXELON CORPORATION		02/05/03	10/17/03	191.38	152.51	38.87	ST
3	EXELON CORPORATION		02/06/03	10/17/03	191.39	151.14	40.25	ST
2	EXELON CORPORATION		02/10/03	10/17/03	191.39	148.92	42.47	ST
5	FIRSTENERGY CORP		02/18/03	10/17/03	127.60	97.62	29.98	ST
3	FREDDIE MAC		08/18/03	10/17/03	165.04	138.49	26.55	ST
1	FREDDIE MAC		12/13/01	10/17/03	175.66	192.83	(17.17)	LT
4	FREDDIE MAC		01/09/02	10/17/03	58.55	63.83	(5.28)	LT
3	FREDDIE MAC		05/08/02	10/17/03	234.23	267.49	(33.26)	LT
3	FIFTH THIRD BANCORP		06/28/02	10/17/03	175.68	187.80	(12.12)	LT
2	FIFTH THIRD BANCORP		05/05/03	10/17/03	168.59	150.05	18.54	ST
3	FIFTH THIRD BANCORP		05/07/03	10/17/03	112.39	102.12	10.27	ST
2	FIFTH THIRD BANCORP		06/02/03	10/17/03	168.59	171.90	(3.31)	ST
3	FIFTH THIRD BANCORP		07/16/03	10/17/03	112.39	112.74	(.35)	ST
2	FIFTH THIRD BANCORP		08/14/03	10/17/03	168.60	164.00	4.60	ST
2	GILLETTE CO	COM	10/15/03	10/17/03	112.40	115.07	(2.67)	ST
4	GILLETTE CO	COM	11/07/00	10/17/03	62.47	67.96	(5.49)	LT
6	GILLETTE CO	COM	11/09/00	10/17/03	124.95	136.00	(11.05)	LT
11	GILLETTE CO	COM	11/15/00	10/17/03	187.43	210.00	(22.57)	LT
2	GILLETTE CO	COM	08/10/01	10/17/03	343.62	303.21	40.41	LT
1	GILLETTE CO	COM	10/01/01	10/17/03	62.47	58.82	3.65	LT
6	GILLETTE CO	COM	11/15/01	10/17/03	31.24	31.80	(.56)	LT
1	HONEYWELL INTL INC	DEL	07/16/02	10/17/03	187.45	183.63	3.82	LT
4	HONEYWELL INTL INC	DEL	10/01/01	10/17/03	29.15	26.40	2.75	LT
11	HONEYWELL INTL INC	DEL	10/04/01	10/17/03	116.63	110.40	6.23	LT
1	HONEYWELL INTL INC	DEL	10/12/01	10/17/03	320.74	320.42	.32	LT
5	HONEYWELL INTL INC	DEL	11/15/01	10/17/03	29.15	30.90	(1.75)	LT
5	HONEYWELL INTL INC	DEL	10/29/02	10/17/03	145.79	118.50	27.29	ST
6	HONEYWELL INTL INC	DEL	05/30/03	10/17/03	145.79	130.34	15.45	ST
4	HONEYWELL INTL INC	DEL	06/02/03	10/17/03	174.96	161.68	13.28	ST
7	HONEYWELL INTL INC	DEL	06/16/03	10/17/03	116.63	114.56	2.07	ST
9	HEWLETT PACKARD CO	DEL	10/08/03	10/17/03	204.13	192.46	11.67	ST
6	HEWLETT PACKARD CO	DEL	10/28/02	10/17/03	189.35	140.51	48.84	ST
8	HEWLETT PACKARD CO	DEL	11/06/02	10/17/03	126.23	104.12	22.11	ST
7	HEWLETT PACKARD CO	DEL	11/12/02	10/17/03	168.31	123.41	44.90	ST
10	HEWLETT PACKARD CO	DEL	02/10/03	10/17/03	147.27	116.04	31.23	ST
			04/02/03	10/17/03	210.39	160.10	50.29	ST

FBO DAVID J WELDON JR

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	HEWLETT PACKARD CO DEL	07/18/03	10/17/03	126.23	131.48	(5.25)	ST
8	HEWLETT PACKARD CO DEL	08/22/03	10/17/03	168.32	160.03	8.29	ST
4	HILTON HOTEL CORP	08/13/01	09/26/03	65.66	50.34	15.32	LT
9	HILTON HOTEL CORP	09/17/01	09/26/03	147.76	79.81	67.95	LT
5	HILTON HOTEL CORP	09/17/01	10/17/03	84.34	44.34	40.00	LT
5	HILTON HOTEL CORP	09/27/01	10/17/03	84.34	36.75	47.59	LT
6	HILTON HOTEL CORP	10/11/01	10/17/03	101.21	54.46	46.75	LT
9	HILTON HOTEL CORP	11/15/01	10/17/03	151.82	87.75	64.07	LT
15	HILTON HOTEL CORP	05/31/02	10/17/03	253.05	209.55	43.50	LT
9	HILTON HOTEL CORP	10/29/02	10/17/03	151.83	109.71	42.12	ST
1	HOME DEPOT INC	10/22/02	10/17/03	36.27	29.81	6.46	ST
6	HOME DEPOT INC	10/24/02	10/17/03	217.66	185.01	32.65	ST
7	HOME DEPOT INC	03/13/03	10/17/03	253.95	157.47	96.48	ST
5	HOME DEPOT INC	03/21/03	10/17/03	181.39	127.82	53.57	ST
6	HOME DEPOT INC	04/14/03	10/17/03	217.67	157.55	60.12	ST
5	HOME DEPOT INC	04/30/03	10/17/03	181.40	140.24	41.16	ST
9	J P MORGAN CHASE AND CO	03/20/03	10/17/03	327.49	210.72	116.77	ST
8	J P MORGAN CHASE AND CO	03/21/03	10/17/03	291.10	196.02	95.08	ST
5	J P MORGAN CHASE AND CO	03/25/03	10/17/03	181.94	120.62	61.32	ST
7	J P MORGAN CHASE AND CO	03/28/03	10/17/03	254.72	171.19	83.53	ST
3	J P MORGAN CHASE AND CO	04/02/03	10/17/03	109.17	74.93	34.24	ST
7	KEYSPAN CORP	08/29/01	10/17/03	244.56	228.24	16.32	LT
7	KEYSPAN CORP	09/21/01	10/17/03	244.57	223.89	20.68	LT
1	KEYSPAN CORP	11/15/01	10/17/03	34.93	32.89	2.04	LT
3	KEYSPAN CORP	10/08/02	10/17/03	104.82	102.24	2.58	LT
3	KEYSPAN CORP	01/15/03	10/17/03	104.82	102.40	2.42	ST
3	LOCKHEED MARTIN CORP	03/11/03	10/17/03	137.93	126.66	11.27	ST
3	LOCKHEED MARTIN CORP	03/13/03	10/17/03	137.93	126.68	11.25	ST
3	LOCKHEED MARTIN CORP	04/04/03	10/17/03	137.93	139.50	(1.57)	ST
3	LOCKHEED MARTIN CORP	04/16/03	10/17/03	137.93	133.55	4.38	ST
2	LOCKHEED MARTIN CORP	05/01/03	10/17/03	91.96	98.31	(6.35)	ST
10	LAUDER ESTEE COS INC A	08/20/02	10/17/03	367.08	299.14	67.94	LT
2	LAUDER ESTEE COS INC A	08/21/02	10/17/03	73.41	59.25	14.16	LT
3	LAUDER ESTEE COS INC A	08/28/02	10/17/03	110.12	90.17	19.95	LT
7	LAUDER ESTEE COS INC A	09/17/02	10/17/03	256.96	213.02	43.94	LT
4	LAUDER ESTEE COS INC A	12/02/02	10/17/03	146.84	111.05	35.79	ST
9	LABORATORY CP AMER HLDGS	04/21/03	10/01/03	256.99	246.05	10.94	ST
1	LABORATORY CP AMER HLDGS	04/21/03	10/02/03	28.56	27.34	1.22	ST
6	LABORATORY CP AMER HLDGS	05/01/03	10/02/03	171.41	178.05	(6.64)	ST
2	LINCOLN NTL CORP IND NPV	06/24/02	10/17/03	77.79	84.45	(6.66)	LT
3	LINCOLN NTL CORP IND NPV	06/26/02	10/17/03	116.69	126.02	(9.33)	LT
5	LINCOLN NTL CORP IND NPV	07/03/02	10/17/03	194.49	195.69	(1.20)	LT
5	LINCOLN NTL CORP IND NPV	07/16/02	10/17/03	194.49	185.32	9.17	LT
3	LINCOLN NTL CORP IND NPV	08/19/02	10/17/03	116.70	110.42	6.28	LT
6	MGM MIRAGE INC 1 CT COM	06/02/03	10/17/03	217.18	173.26	43.92	ST
10	MGM MIRAGE INC 1 CT COM	06/04/03	10/17/03	361.99	300.47	61.52	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
10	MORGAN STANLEY	07/07/03	10/17/03	526.87	461.43	65.44	ST
4	MORGAN STANLEY	07/08/03	10/17/03	210.74	187.86	22.88	ST
3	MORGAN STANLEY	07/22/03	10/17/03	158.06	140.45	17.61	ST
3	MORGAN STANLEY	08/05/03	10/17/03	158.07	138.86	19.21	ST
2	MORGAN STANLEY	08/21/03	10/17/03	105.38	99.02	6.36	ST
6	MBNA CORP COM	02/21/03	10/17/03	147.65	86.47	61.18	ST
7	MBNA CORP COM	03/21/03	10/17/03	172.26	110.70	61.56	ST
12	MCDONALDS CORP COM	04/05/02	10/17/03	289.30	338.71	(49.41)	LT
6	MCDONALDS CORP COM	04/10/02	10/17/03	144.65	166.93	(22.28)	LT
5	MCDONALDS CORP COM	04/16/02	10/17/03	120.54	137.55	(17.01)	LT
6	MCDONALDS CORP COM	04/19/02	10/17/03	144.65	171.05	(26.40)	LT
8	MCDONALDS CORP COM	06/27/02	10/17/03	192.87	227.30	(34.43)	LT
12	MCDONALDS CORP COM	10/11/02	10/17/03	289.31	215.51	73.80	LT
1	MCDONALDS CORP COM	07/16/03	10/17/03	24.10	21.09	3.01	ST
6	MCDONALDS CORP COM	07/21/03	10/17/03	144.67	126.36	18.31	ST
3	MERCK&CO INC	08/07/03	10/17/03	146.39	164.85	(18.46)	ST
2	MERCK&CO INC	08/08/03	10/17/03	97.59	111.07	(13.48)	ST
3	MERCK&CO INC	09/09/03	10/17/03	146.39	158.66	(12.27)	ST
4	MERCK&CO INC	09/29/03	10/17/03	195.20	202.59	(7.39)	ST
7	NEWS CORP LTD SPND ADR	11/01/02	10/17/03	198.92	141.90	57.02	ST
6	NEWS CORP LTD SPND ADR	11/05/02	10/17/03	170.51	128.95	41.56	ST
5	NEWS CORP LTD SPND ADR	11/13/02	10/17/03	142.09	104.50	37.59	ST
4	NEWS CORP LTD SPND ADR	11/21/02	10/17/03	113.67	94.29	19.38	ST
8	NEWS CORP LTD SPND ADR	11/27/02	10/17/03	227.35	185.46	41.89	ST
5	NEWS CORP LTD SPND ADR	12/02/02	10/17/03	142.10	120.69	21.41	ST
3	NEWS CORP LTD SPND ADR	07/14/03	10/17/03	85.26	78.38	6.88	ST
11	NOKIA CORP ADR	07/26/03	10/17/03	186.77	170.62	16.15	ST
9	NOKIA CORP ADR	08/01/03	10/17/03	152.81	134.27	18.54	ST
8	NOKIA CORP ADR	08/19/03	10/17/03	135.83	123.53	12.30	ST
10	NOKIA CORP ADR	08/21/03	10/17/03	169.79	156.33	13.46	ST
9	NOKIA CORP ADR	09/16/03	10/17/03	152.62	143.36	9.46	ST
15	NORFOLK SOUTHERN CORP	05/16/02	10/17/03	288.73	322.03	(33.30)	LT
8	NORFOLK SOUTHERN CORP	05/21/02	10/17/03	153.99	169.52	(15.53)	LT
7	NORFOLK SOUTHERN CORP	06/11/02	10/17/03	134.74	155.10	(20.36)	LT
10	NORFOLK SOUTHERN CORP	09/05/02	10/17/03	192.50	199.61	(7.11)	LT
3	ORACLE CORP $0.01 DEL	09/06/02	10/17/03	35.28	28.97	6.31	LT
11	ORACLE CORP $0.01 DEL	09/09/02	10/17/03	129.36	107.65	21.71	LT
21	ORACLE CORP $0.01 DEL	09/20/02	10/17/03	246.96	172.74	74.22	LT
11	ORACLE CORP $0.01 DEL	07/01/03	10/17/03	129.36	133.71	(4.35)	ST
14	ORACLE CORP $0.01 DEL	10/03/03	10/17/03	164.66	168.19	(3.53)	ST
7	PRUDENTIAL FINANCIAL INC	11/15/02	09/29/03	267.00	210.44	56.56	ST
2	PRUDENTIAL FINANCIAL INC	11/15/02	10/17/03	77.23	60.13	17.10	ST
4	PRUDENTIAL FINANCIAL INC	12/10/02	10/17/03	154.47	124.41	30.06	ST
4	PRUDENTIAL FINANCIAL INC	12/19/02	10/17/03	154.47	129.24	25.23	ST
5	PRUDENTIAL FINANCIAL INC	02/13/03	10/17/03	193.10	146.44	46.66	ST
1	PNC FINCL SERVICES GROUP	11/19/01	10/15/03	49.50	56.45	(6.95)	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	PNC FINCL SERVICES GROUP	12/05/01	10/15/03	99.00	116.20	(17.20)	LT
3	PNC FINCL SERVICES GROUP	12/18/01	10/15/03	148.50	168.58	(20.08)	LT
2	PNC FINCL SERVICES GROUP	01/29/02	10/17/03	99.73	110.90	(11.17)	LT
3	PNC FINCL SERVICES GROUP	04/19/02	10/17/03	149.60	173.64	(24.04)	LT
3	PNC FINCL SERVICES GROUP	06/14/02	10/17/03	149.60	158.14	(8.54)	LT
4	PNC FINCL SERVICES GROUP	07/18/02	10/17/03	199.47	161.89	37.58	LT
4	PNC FINCL SERVICES GROUP	07/25/02	10/17/03	199.48	157.18	42.30	LT
1	PEPSICO INC	03/02/01	10/17/03	47.91	45.75	2.16	LT
3	PEPSICO INC	03/20/01	10/17/03	143.75	126.88	16.87	LT
2	PEPSICO INC	04/02/01	10/17/03	95.83	87.80	8.03	LT
5	PEPSICO INC	04/26/01	10/17/03	239.59	222.31	17.28	LT
2	PEPSICO INC	08/07/01	10/17/03	95.83	88.37	7.46	LT
3	PEPSICO INC	07/09/02	10/17/03	143.76	139.80	3.96	LT
3	PEPSICO INC	07/11/02	10/17/03	143.76	130.62	13.14	LT
2	RADIAN GROUP INC	11/28/01	10/17/03	99.69	77.22	22.47	LT
10	RADIAN GROUP INC	01/08/02	10/17/03	498.47	409.80	88.67	LT
2	RADIAN GROUP INC	01/24/02	10/17/03	99.69	88.16	11.53	LT
4	RADIAN GROUP INC	07/12/02	10/17/03	199.39	173.02	26.37	LT
3	RADIAN GROUP INC	09/17/02	10/17/03	149.54	105.34	44.20	LT
5	RADIAN GROUP INC	07/02/03	10/17/03	249.25	190.35	58.90	ST
3	SPX CORP COM	11/27/02	10/17/03	149.84	139.01	10.83	ST
3	SPX CORP COM	12/10/02	10/17/03	149.84	133.95	15.89	ST
4	SPX CORP COM	01/02/03	10/17/03	199.79	153.19	46.60	ST
4	SPX CORP COM	03/07/03	10/17/03	199.79	139.50	60.29	ST
5	SBC COMMUNICTNS INC PV$1	02/07/03	10/17/03	110.29	125.77	(15.48)	ST
7	SBC COMMUNICTNS INC PV$1	04/22/03	10/17/03	154.41	139.01	15.40	ST
5	SBC COMMUNICTNS INC PV$1	05/16/03	10/17/03	110.30	123.78	(13.48)	ST
4	STATE STREET CORP	06/04/03	10/17/03	211.26	159.94	51.32	ST
6	STATE STREET CORP	06/05/03	10/17/03	316.90	240.30	76.60	ST
3	STATE STREET CORP	06/17/03	10/17/03	158.45	123.83	34.62	ST
6	STATE STREET CORP	06/19/03	10/17/03	316.91	243.02	73.89	ST
3	STATE STREET CORP	08/21/03	10/17/03	158.46	135.75	22.71	ST
4	SCHERING PLOUGH CORP	04/14/00	10/17/03	64.23	163.07	(98.84)	LT
3	SCHERING PLOUGH CORP	02/16/01	10/17/03	48.17	123.00	(74.83)	LT
3	SCHERING PLOUGH CORP	04/26/01	10/17/03	48.17	116.70	(68.53)	LT
2	SCHERING PLOUGH CORP	11/15/01	10/17/03	32.12	68.32	(36.20)	LT
3	SCHERING PLOUGH CORP	12/27/01	10/17/03	48.18	107.91	(59.73)	LT
5	SCHERING PLOUGH CORP	11/29/02	10/17/03	80.31	114.46	(34.15)	ST
3	TALISMAN ENERGY INC COM	06/21/02	10/17/03	152.12	135.06	17.06	LT
5	TALISMAN ENERGY INC COM	07/26/02	10/17/03	253.54	195.76	57.78	LT
5	TEXAS INSTRUMENTS	07/08/03	10/17/03	125.09	94.77	30.32	ST
3	TYCO INTL LTD NEW COM	02/08/02	10/17/03	66.74	87.79	(21.05)	LT
5	TYCO INTL LTD NEW COM	02/21/02	10/17/03	111.24	143.25	(32.01)	LT
6	TYCO INTL LTD NEW COM	02/26/02	10/17/03	133.49	178.50	(45.01)	LT
6	TYCO INTL LTD NEW COM	04/04/02	10/17/03	133.49	192.00	(58.51)	LT
17	TYCO INTL LTD NEW COM	06/28/02	10/17/03	378.23	222.93	155.30	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
7	TYCO INTL LTD NEW COM	07/02/02	10/17/03	155.74	90.90	64.84	LT
8	TYCO INTL LTD NEW COM	09/11/02	10/17/03	177.99	140.39	37.60	LT
3	TYCO INTL LTD NEW COM	10/29/02	10/17/03	66.75	43.47	23.28	ST
7	TYCO INTL LTD NEW COM	01/08/03	10/17/03	155.76	118.54	37.22	ST
2	TARGET CORP COM	03/18/03	10/17/03	79.41	58.50	20.91	ST
5	TARGET CORP COM	03/20/03	10/17/03	198.54	149.22	49.32	ST
4	TARGET CORP COM	03/25/03	10/17/03	158.83	119.77	39.06	ST
4	TARGET CORP COM	04/04/03	10/17/03	158.83	123.24	35.59	ST
3	TARGET CORP COM	04/28/03	10/17/03	119.12	99.51	19.61	ST
6	TARGET CORP COM	06/27/03	10/17/03	238.26	225.86	12.40	ST
9	TIME WARNER INC NEW	04/07/03	10/20/03	140.57	111.74	28.83	ST
10	TIME WARNER INC NEW	04/09/03	10/20/03	156.19	126.45	29.74	ST
11	TIME WARNER INC NEW	04/14/03	10/20/03	171.81	137.42	34.39	ST
7	TIME WARNER INC NEW	04/23/03	10/20/03	109.33	96.85	12.48	ST
12	TIME WARNER INC NEW	06/19/03	10/20/03	187.43	191.38	(3.95)	ST
12	TIME WARNER INC NEW	07/09/03	10/20/03	187.43	199.50	(12.07)	ST
8	TIME WARNER INC NEW	07/23/03	10/20/03	124.96	125.44	(.48)	ST
9	TIME WARNER INC NEW	09/17/03	10/20/03	140.58	146.25	(5.67)	ST
1	UNITED TECHS CORP COM	02/14/03	10/17/03	83.78	61.43	22.35	ST
2	UNITED TECHS CORP COM	02/27/03	10/17/03	167.57	116.26	51.29	ST
2	UNITED TECHS CORP COM	03/13/03	10/17/03	167.57	111.34	56.23	ST
3	UNITED TECHS CORP COM	03/27/03	10/17/03	251.36	179.93	71.43	ST
2	UNITED TECHS CORP COM	05/06/03	10/17/03	167.58	125.18	42.40	ST
2	VORNADO REALTY TRUST COM	09/10/02	10/17/03	100.93	82.01	18.92	LT
3	VORNADO REALTY TRUST COM	09/30/02	10/17/03	151.40	118.34	33.06	LT
5	VORNADO REALTY TRUST COM	10/09/02	10/17/03	252.34	178.08	74.26	LT
3	VORNADO REALTY TRUST COM	03/27/03	10/17/03	151.40	108.61	42.79	ST
3	VORNADO REALTY TRUST COM	04/01/03	10/17/03	151.41	108.83	42.58	ST
5	WATERS CORP	06/09/02	09/29/03	134.09	118.33	15.76	LT
1	WATERS CORP	08/09/02	10/06/03	29.13	23.67	5.46	LT
5	WATERS CORP	10/11/02	10/06/03	145.67	134.21	11.46	ST
2	WATERS CORP	10/11/02	10/08/03	58.40	53.69	4.71	ST
3	WATERS CORP	10/15/02	10/08/03	87.62	84.14	3.48	ST
1	XL CAPITAL LTD CL A	04/26/01	10/17/03	71.99	69.10	2.89	LT
2	XL CAPITAL LTD CL A	05/02/01	10/17/03	143.99	141.79	2.20	LT
4	XL CAPITAL LTD CL A	09/21/01	10/17/03	287.99	249.20	38.79	LT
2	XL CAPITAL LTD CL A	05/01/02	10/17/03	144.00	187.09	(43.09)	LT
4	XL CAPITAL LTD CL A	03/10/99	10/17/03	287.98	237.81	50.17	LT
5	WELLS FARGO & CO NEW DEL	07/31/00	10/17/03	274.53	207.20	67.33	LT
3	WELLS FARGO & CO NEW DEL	03/16/01	10/17/03	164.72	143.25	21.47	LT
4	WELLS FARGO & CO NEW DEL	03/22/01	10/17/03	219.63	177.96	41.67	LT
2	WELLS FARGO & CO NEW DEL	04/10/01	10/17/03	109.81	94.32	15.49	LT
1	WELLS FARGO & CO NEW DEL	11/15/01	10/17/03	54.90	42.16	12.74	LT
5	WELLS FARGO & CO NEW DEL	03/12/03	10/17/03	274.54	217.62	56.92	ST
2	WELLS FARGO & CO NEW DEL	06/02/03	10/17/03	109.83	98.07	11.76	ST
3	WACHOVIA CORP NEW	01/11/02	10/17/03	135.44	95.55	39.89	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
4	WACHOVIA CORP NEW	01/22/02	10/17/03	180.59	124.16	56.43	LT
5	WACHOVIA CORP NEW	02/12/02	10/17/03	225.74	157.56	68.18	LT
2	WYETH	09/25/02	10/17/03	89.01	74.77	14.24	LT
4	WYETH	09/27/02	10/17/03	178.03	125.74	52.29	LT
5	WYETH	10/01/02	10/17/03	222.54	167.33	55.21	LT
3	WYETH	10/08/02	10/17/03	133.52	101.80	31.72	LT
4	WYETH	04/11/03	10/17/03	178.04	138.36	39.68	ST

Δ Bonds purchased at a premium show amortization

θ Bonds purchased at a discount show accretion

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
	CASH			49		49				
Total Cash and Money Accounts				49		49				
Total of Long Portfolio				49		49				

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
10/01	Sale	-13	HILTON HOTEL CORP PRICE 16.417600 TRADE DATE 09/26/03 CUS NO 432848109 SEC NO 36430 PRINCIPAL 213.432 SEC FEE 0.010 EXECUTED O.T.C. WE ACTED AS YOUR AGENT	16.417		213.42
10/02	Purchase	4	MERCK&CO. INC PRICE 50.648200 TRADE DATE 09/29/03 CUS NO 589331107 SEC NO 48654 PRINCIPAL 202.592	50.648	202.59	

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Merrill Lynch

RETIREMENT ACCOUNT

Consults

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	4,003.23	
Net Credits & Debits	3,995.84DR	3,207.49DR
Closing Balance Cash & Money Accounts	6.80	

Credits	This Statement	Year-to-Date
Sales	102,389.84	134,264.52
Income	37.15	324.71
Funds Received		
Electronic Tfrs		
Other	602.30	602.83
Total Credits	103,029.29	135,192.06

Debits	This Statement	Year-to-Date
Purchases	2,432.78	31,993.29
Withdrawals	103,813.96	103,813.96
Electronic Tfrs		
Other	778.39	2,592.30
Total Debits	107,025.13	138,399.55

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	9,830.17	10,797.49
Long-Term	5,260.05	2,721.59

*Excludes transactions for which we have insufficient data.

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	ASPEN TECHNOLOGY INC DEL	12/12/01	10/17/03	11.59	31.99	(20.40)	LT
5	ASPEN TECHNOLOGY INC DEL	12/13/01	10/17/03	28.99	79.19	(50.20)	LT
3	ASPEN TECHNOLOGY INC DEL	12/14/01	10/17/03	17.39	47.43	(30.04)	LT
2	ASPEN TECHNOLOGY INC DEL	12/17/01	10/17/03	11.60	31.93	(20.33)	LT
1	ASPEN TECHNOLOGY INC DEL	12/20/01	10/17/03	5.79	16.00	(10.21)	LT
3	ASPEN TECHNOLOGY INC DEL	12/21/01	10/17/03	17.40	47.97	(30.57)	LT
2	ASPEN TECHNOLOGY INC DEL	01/03/02	10/17/03	11.60	34.10	(22.50)	LT
2	ASPEN TECHNOLOGY INC DEL	01/04/02	10/17/03	11.60	35.61	(24.01)	LT
1	ASPEN TECHNOLOGY INC DEL	01/07/02	10/17/03	11.60	36.06	(24.46)	LT
1	ASPEN TECHNOLOGY INC DEL	01/08/02	10/17/03	5.80	18.03	(12.23)	LT
1	ASPEN TECHNOLOGY INC DEL	01/09/02	10/17/03	5.80	18.36	(12.56)	LT
1	ASPEN TECHNOLOGY INC DEL	01/10/02	10/17/03	5.80	18.43	(12.63)	LT
1	ASPEN TECHNOLOGY INC DEL	01/11/02	10/17/03	5.80	18.25	(12.45)	LT
1	ASPEN TECHNOLOGY INC DEL	01/14/02	10/17/03	5.80	17.82	(12.02)	LT
1	ASPEN TECHNOLOGY INC DEL	01/15/02	10/17/03	5.80	16.81	(11.01)	LT
1	ASPEN TECHNOLOGY INC DEL	01/16/02	10/17/03	5.80	16.73	(10.93)	LT
1	ASPEN TECHNOLOGY INC DEL	01/17/02	10/17/03	5.80	16.15	(10.35)	LT
1	ASPEN TECHNOLOGY INC DEL	01/18/02	10/17/03	5.80	16.15	(10.35)	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	ASPEN TECHNOLOGY INC DEL	01/22/02	10/17/03	17.40	47.79	(30.39)	LT
3	ASPEN TECHNOLOGY INC DEL	04/12/02	10/17/03	17.40	53.57	(36.17)	LT
2	ASPEN TECHNOLOGY INC DEL	04/15/02	10/17/03	11.60	35.94	(24.34)	LT
3	ASPEN TECHNOLOGY INC DEL	04/16/02	10/17/03	17.40	54.94	(37.54)	LT
5	ASPEN TECHNOLOGY INC DEL	04/17/02	10/17/03	29.00	90.63	(61.63)	LT
3	ASPEN TECHNOLOGY INC DEL	04/29/02	10/17/03	17.40	39.00	(21.60)	LT
2	ASPEN TECHNOLOGY INC DEL	04/30/02	10/17/03	11.60	26.00	(14.40)	LT
6	ASPEN TECHNOLOGY INC DEL	05/01/02	10/17/03	34.80	77.22	(42.42)	LT
1	ASPEN TECHNOLOGY INC DEL	05/02/02	10/17/03	5.80	13.00	(7.20)	LT
3	ASPEN TECHNOLOGY INC DEL	05/03/02	10/17/03	17.40	38.70	(21.30)	LT
6	ASPEN TECHNOLOGY INC DEL	06/13/02	10/17/03	34.80	55.56	(20.76)	LT
4	ASPEN TECHNOLOGY INC DEL	06/14/02	10/17/03	23.20	35.25	(12.05)	LT
6	ASPEN TECHNOLOGY INC DEL	06/17/02	10/17/03	34.80	50.59	(15.79)	LT
11	ASPEN TECHNOLOGY INC DEL	06/18/02	10/17/03	63.81	88.21	(24.40)	LT
5	ADVANCED ENERGY INDS INC	08/16/01	10/17/03	107.49	133.75	(26.26)	LT
8	ADVANCED ENERGY INDS INC	08/17/01	10/17/03	171.99	209.09	(37.10)	LT
3	ADVANCED ENERGY INDS INC	08/30/01	10/17/03	64.49	67.46	(2.97)	LT
6	ADVANCED ENERGY INDS INC	08/31/01	10/17/03	128.99	138.48	(9.49)	LT
6	ADVANCED ENERGY INDS INC	09/04/01	10/17/03	128.99	139.35	(10.36)	LT
2	ADVANCED ENERGY INDS INC	09/05/01	10/17/03	43.00	46.16	(3.16)	LT
7	ADVANCED ENERGY INDS INC	02/18/03	10/17/03	150.50	72.52	77.98	ST
5	ADVANCED ENERGY INDS INC	02/19/03	10/17/03	107.50	51.77	55.73	ST
5	ADVANCED ENERGY INDS INC	02/20/03	10/17/03	107.50	50.46	57.04	ST
1	AMETEK INC NEW	05/03/02	10/17/03	47.65	39.25	8.40	LT
2	AMETEK INC NEW	05/07/02	10/17/03	95.31	77.45	17.86	LT
1	AMETEK INC NEW	05/08/02	10/17/03	47.65	38.13	9.52	LT
1	AMETEK INC NEW	05/09/02	10/17/03	47.65	38.85	8.80	LT
1	AMETEK INC NEW	05/10/02	10/17/03	47.65	37.86	9.79	LT
1	AMETEK INC NEW	05/13/02	10/17/03	47.65	38.71	8.94	LT
1	AMETEK INC NEW	05/14/02	10/17/03	47.66	40.56	7.10	LT
1	AMETEK INC NEW	05/15/02	10/17/03	47.65	39.04	8.62	LT
1	AMETEK INC NEW	05/16/02	10/17/03	47.66	38.67	8.99	LT
1	AMETEK INC NEW	05/17/02	10/17/03	47.66	38.82	8.84	LT
3	AMETEK INC NEW	05/20/02	10/17/03	142.97	117.75	25.22	LT
1	AMETEK INC NEW	08/23/02	10/17/03	47.66	35.00	12.66	LT
3	AMETEK INC NEW	08/26/02	10/17/03	142.98	104.34	38.64	LT
2	AMETEK INC NEW	09/19/02	10/17/03	95.32	59.88	35.44	LT
5	AMETEK INC NEW	09/20/02	10/17/03	238.31	148.24	90.07	LT
20	AMER CAP STRTGIC LTD	11/14/02	10/17/03	509.37	371.97	137.40	ST
8	AMER CAP STRTGIC LTD	12/06/02	10/17/03	203.75	172.15	31.60	ST
20	ACME COMMUNICATIONS INC	02/28/00	10/17/03	158.39	485.56	(327.17)	LT
9	ACME COMMUNICATIONS INC	02/29/00	10/17/03	71.27	221.27	(150.00)	LT
5	ACME COMMUNICATIONS INC	03/02/00	10/17/03	39.59	130.94	(91.35)	LT
5	ACME COMMUNICATIONS INC	03/03/00	10/17/03	39.60	138.44	(98.84)	LT
14	ACME COMMUNICATIONS INC	03/06/00	10/17/03	110.89	403.22	(292.33)	LT
3	ALLSTREAM INC CL B	09/08/03	10/17/03	126.29	123.93	2.36	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	ALLSTREAM INC CL B	09/11/03	10/17/03	252.58	246.10	6.48	ST
4	ALLSTREAM INC CL B	09/12/03	10/17/03	168.40	159.24	9.16	ST
10	ALASKA CMNCATNS SYS GRP	02/07/00	10/17/03	45.19	151.08	(105.89)	LT
10	ALASKA CMNCATNS SYS GRP	02/08/00	10/17/03	45.19	151.88	(106.69)	LT
21	ALASKA CMNCATNS SYS GRP	02/09/00	10/17/03	94.91	331.44	(236.53)	LT
20	ALASKA CMNCATNS SYS GRP	02/28/00	10/17/03	90.40	293.75	(203.35)	LT
5	ALASKA CMNCATNS SYS GRP	02/29/00	10/17/03	22.60	70.31	(47.71)	LT
25	ALASKA CMNCATNS SYS GRP	03/02/00	10/17/03	113.00	351.56	(238.56)	LT
5	ALASKA CMNCATNS SYS GRP	03/03/00	10/17/03	22.60	71.25	(48.65)	LT
15	ALASKA CMNCATNS SYS GRP	03/07/00	10/17/03	67.80	209.67	(141.87)	LT
3	AMERICAN MED SYS HLDGS	03/27/03	10/17/03	68.13	41.83	26.30	ST
2	AMERICAN MED SYS HLDGS	04/02/03	10/17/03	45.42	29.00	16.42	ST
6	AMERICAN MED SYS HLDGS	04/03/03	10/17/03	136.28	86.77	49.51	ST
5	AMERICAN MED SYS HLDGS	04/04/03	10/17/03	113.56	72.14	41.42	ST
7	AMERICAN MED SYS HLDGS	04/07/03	10/17/03	159.00	100.71	58.29	ST
2	AMERICAN MED SYS HLDGS	04/08/03	10/17/03	45.43	28.95	16.48	ST
6	ARRIS GROUP INC	09/25/03	10/17/03	33.95	36.00	(2.05)	ST
12	ARRIS GROUP INC	09/26/03	10/17/03	67.91	69.97	(2.06)	ST
6	ARRIS GROUP INC	09/29/03	10/17/03	33.95	33.72	.23	ST
6	ARRIS GROUP INC	09/30/03	10/17/03	33.96	34.56	(.60)	ST
6	ARRIS GROUP INC	10/01/03	10/17/03	33.95	35.10	(1.15)	ST
7	ARRIS GROUP INC	10/02/03	10/17/03	39.62	37.94	1.68	ST
6	ARRIS GROUP INC	10/03/03	10/17/03	33.96	32.40	1.56	ST
6	ARRIS GROUP INC	10/06/03	10/17/03	33.96	32.46	1.50	ST
6	ARRIS GROUP INC	10/07/03	10/17/03	33.96	32.16	1.80	ST
6	ARRIS GROUP INC	10/08/03	10/17/03	33.96	30.90	3.06	ST
6	ARRIS GROUP INC	10/09/03	10/17/03	33.96	31.92	2.04	ST
6	ARRIS GROUP INC	10/10/03	10/17/03	33.96	32.19	1.77	ST
6	ARRIS GROUP INC	10/13/03	10/17/03	33.96	32.58	1.38	ST
6	ARRIS GROUP INC	10/14/03	10/17/03	33.96	33.36	.60	ST
6	ARRIS GROUP INC	10/15/03	10/17/03	33.96	34.50	(.54)	ST
6	ARRIS GROUP INC	10/16/03	10/17/03	33.97	35.28	(1.31)	ST
8	ALLIED WASTE INDS NEW	08/14/02	10/17/03	85.83	63.49	22.34	LT
26	ALLIED WASTE INDS NEW	10/08/02	10/17/03	278.96	154.08	124.88	LT
6	ALLIED WASTE INDS NEW	10/09/02	10/17/03	64.37	35.93	28.44	LT
3	ALLIED WASTE INDS NEW	10/24/02	10/17/03	32.18	19.50	12.68	ST
2	ALLIED WASTE INDS NEW	10/25/02	10/17/03	21.45	13.00	8.45	ST
4	ALLIED WASTE INDS NEW	10/30/02	10/17/03	42.92	30.00	12.92	ST
5	ALLIED WASTE INDS NEW	03/06/03	10/17/03	53.65	38.66	14.99	ST
3	ALLIED WASTE INDS NEW	03/11/03	10/17/03	32.19	23.34	8.85	ST
11	ALLIED WASTE INDS NEW	03/12/03	10/17/03	118.03	83.64	34.39	ST
20	ALLIED WASTE INDS NEW	03/26/03	10/17/03	214.61	172.02	42.59	ST
4	ARGOSY GAMING CORP	12/09/02	10/17/03	95.79	73.38	22.41	ST
6	ARGOSY GAMING CORP	12/10/02	10/17/03	143.69	113.25	30.44	ST
3	ARGOSY GAMING CORP	12/11/02	10/17/03	71.84	56.67	15.17	ST
3	ARGOSY GAMING CORP	12/12/02	10/17/03	71.84	56.40	15.44	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	ARGOSY GAMING CORP	12/13/02	10/17/03	143.69	110.35	33.34	ST
3	ARGOSY GAMING CORP	12/24/02	10/17/03	71.84	56.24	15.60	ST
2	ARGOSY GAMING CORP	12/26/02	10/17/03	47.90	37.92	9.98	ST
2	ARGOSY GAMING CORP	12/27/02	10/17/03	47.89	37.46	10.43	ST
8	ARGOSY GAMING CORP	12/30/02	10/17/03	191.60	148.50	43.10	ST
3	ARGOSY GAMING CORP	12/31/02	10/17/03	71.85	57.26	14.59	ST
2	ARGOSY GAMING CORP	01/02/03	10/17/03	47.90	38.00	9.90	ST
8	ARGOSY GAMING CORP	04/10/03	10/17/03	191.61	152.74	38.87	ST
6	BEARINGPOINT INC	07/14/03	10/17/03	53.81	61.50	(7.69)	ST
6	BEARINGPOINT INC	07/18/03	10/17/03	53.81	60.98	(7.17)	ST
10	BEARINGPOINT INC	08/06/03	10/17/03	89.69	102.27	(12.58)	ST
39	BEARINGPOINT INC	08/14/03	10/17/03	349.82	296.05	53.77	ST
26	BEARINGPOINT INC	08/22/03	10/17/03	233.21	220.85	12.36	ST
27	BEARINGPOINT INC	09/03/03	10/17/03	242.19	244.54	(2.35)	ST
8	BUNGE LIMITED	08/07/01	10/17/03	222.70	128.01	94.69	LT
1	BUNGE LIMITED	08/28/01	10/17/03	27.83	16.00	11.83	LT
7	BUNGE LIMITED	09/05/01	10/17/03	194.88	126.89	67.99	LT
1	BORLAND SOFTWARE CORP	03/28/02	10/17/03	8.25	12.75	(4.50)	LT
12	BORLAND SOFTWARE CORP	04/01/02	10/17/03	99.11	154.80	(55.69)	LT
23	BORLAND SOFTWARE CORP	04/03/02	10/17/03	189.97	283.65	(93.68)	LT
11	BORLAND SOFTWARE CORP	04/04/02	10/17/03	90.85	129.80	(38.95)	LT
4	BORLAND SOFTWARE CORP	02/18/03	10/17/03	33.04	43.20	(10.16)	ST
8	BORLAND SOFTWARE CORP	04/09/03	10/17/03	66.08	68.00	(1.92)	ST
6	BORLAND SOFTWARE CORP	04/10/03	10/17/03	49.57	50.94	(1.37)	ST
2	CDI CORP	04/10/03	10/17/03	65.03	45.78	19.25	ST
1	CDI CORP	04/11/03	10/17/03	32.51	22.77	9.74	ST
11	CDI CORP	04/14/03	10/17/03	357.70	250.25	107.45	ST
2	CDI CORP	04/15/03	10/17/03	65.03	46.00	19.03	ST
2	CDI CORP	08/15/03	10/17/03	65.03	47.92	17.11	ST
3	CDI CORP	08/26/03	10/17/03	97.56	73.43	24.13	ST
1	CDI CORP	09/03/03	10/17/03	32.52	26.77	5.75	ST
1	CDI CORP	09/04/03	10/17/03	32.52	27.09	5.43	ST
1	CDI CORP	09/05/03	10/17/03	32.52	26.90	5.62	ST
1	CDI CORP	09/08/03	10/17/03	32.52	27.24	5.28	ST
1	CDI CORP	09/09/03	10/17/03	32.52	27.59	4.93	ST
2	CDI CORP	09/10/03	10/17/03	65.04	54.17	10.87	ST
1	CDI CORP	09/11/03	10/17/03	32.53	27.34	5.19	ST
5	CABOT CORP	07/11/02	10/17/03	147.34	130.62	16.72	LT
4	CABOT CORP	07/23/02	10/17/03	117.87	90.93	26.94	LT
3	CABOT CORP	07/24/02	10/17/03	88.40	69.20	19.20	LT
11	CABOT CORP	07/29/02	10/17/03	324.15	276.25	47.90	LT
16	CABOT CORP	07/30/02	10/17/03	471.50	405.43	66.07	LT
4	CABOT CORP	03/20/03	10/17/03	117.87	95.41	22.46	ST
2	CABOT CORP	03/21/03	10/17/03	58.93	49.88	9.05	ST
2	CABOT CORP	03/24/03	10/17/03	58.93	48.08	10.85	ST
2	CABOT CORP	07/14/03	10/17/03	58.94	57.99	.95	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	CABOT CORP	07/17/03	10/17/03	88.41	86.12	2.29	ST
3	CABOT CORP	07/21/03	10/17/03	88.41	86.89	1.52	ST
6	CABOT CORP	07/22/03	10/17/03	176.82	173.60	3.22	ST
2	CABOT CORP	07/23/03	10/17/03	58.95	57.40	1.55	ST
7	CONCEPTUS INC	12/12/02	10/17/03	84.83	88.81	(3.98)	ST
2	CONCEPTUS INC	12/13/02	10/17/03	24.23	24.97	(.74)	ST
4	CONCEPTUS INC	12/16/02	10/17/03	48.48	50.28	(1.80)	ST
3	CONCEPTUS INC	12/17/02	10/17/03	36.35	38.34	(1.99)	ST
5	CONCEPTUS INC	12/18/02	10/17/03	60.60	61.14	(.54)	ST
6	CONCEPTUS INC	12/19/02	10/17/03	72.72	74.66	(1.94)	ST
5	CONCEPTUS INC	12/20/02	10/17/03	60.60	61.74	(1.14)	ST
2	CONCEPTUS INC	04/07/03	10/17/03	24.25	17.50	6.75	ST
15	CAL DIVE INTERNATIONAL	11/13/00	10/17/03	315.88	319.06	(3.18)	LT
6	CAL DIVE INTERNATIONAL	11/14/00	10/17/03	126.35	131.36	(5.01)	LT
5	CAL DIVE INTERNATIONAL	08/20/01	10/17/03	105.29	86.25	19.04	LT
5	CAL DIVE INTERNATIONAL	08/21/01	10/17/03	105.30	86.20	19.10	LT
6	CAL DIVE INTERNATIONAL	08/23/01	10/17/03	126.36	105.03	21.33	LT
3	CAL DIVE INTERNATIONAL	08/24/01	10/17/03	63.18	51.90	11.28	LT
2	C&D TECHNOLOGIES INC	11/26/01	10/17/03	43.01	42.09	.92	LT
3	C&D TECHNOLOGIES INC	11/27/01	10/17/03	64.52	63.00	1.52	LT
4	C&D TECHNOLOGIES INC	11/28/01	10/17/03	86.03	83.07	2.96	LT
6	C&D TECHNOLOGIES INC	11/29/01	10/17/03	129.05	124.65	4.40	LT
10	C&D TECHNOLOGIES INC	11/30/01	10/17/03	215.09	207.17	7.92	LT
2	C&D TECHNOLOGIES INC	12/13/01	10/17/03	43.01	44.00	(.99)	LT
2	C&D TECHNOLOGIES INC	12/14/01	10/17/03	43.01	43.71	(.70)	LT
2	C&D TECHNOLOGIES INC	12/17/01	10/17/03	43.01	43.78	(.77)	LT
1	C&D TECHNOLOGIES INC	12/20/01	10/17/03	21.50	22.00	(.50)	LT
1	C&D TECHNOLOGIES INC	12/20/01	10/17/03	21.51	22.00	(.49)	LT
2	C&D TECHNOLOGIES INC	01/03/02	10/17/03	43.02	48.52	(5.50)	LT
3	C&D TECHNOLOGIES INC	01/11/02	10/17/03	64.53	68.85	(4.32)	LT
5	C&D TECHNOLOGIES INC	01/14/02	10/17/03	107.55	113.64	(6.09)	LT
3	C&D TECHNOLOGIES INC	01/15/02	10/17/03	64.53	68.87	(4.34)	LT
4	C&D TECHNOLOGIES INC	01/16/02	10/17/03	86.04	90.16	(4.12)	LT
2	C&D TECHNOLOGIES INC	02/06/02	10/17/03	43.02	39.87	3.15	LT
2	C&D TECHNOLOGIES INC	02/07/02	10/17/03	43.02	38.98	4.04	LT
2	C&D TECHNOLOGIES INC	02/08/02	10/17/03	43.02	39.96	3.06	LT
1	C&D TECHNOLOGIES INC	02/11/02	10/17/03	21.51	20.66	.85	LT
1	C&D TECHNOLOGIES INC	02/12/02	10/17/03	21.51	20.78	.73	LT
1	C&D TECHNOLOGIES INC	02/13/02	10/17/03	21.51	21.70	(.19)	LT
1	C&D TECHNOLOGIES INC	02/14/02	10/17/03	21.51	21.07	.44	LT
1	C&D TECHNOLOGIES INC	02/15/02	10/17/03	21.51	20.79	.72	LT
2	C&D TECHNOLOGIES INC	02/19/02	10/17/03	43.02	41.82	1.20	LT
2	C&D TECHNOLOGIES INC	07/30/03	10/17/03	43.02	30.00	13.02	ST
2	C&D TECHNOLOGIES INC	08/01/03	10/17/03	43.02	29.76	13.26	ST
3	C&D TECHNOLOGIES INC	08/04/03	10/17/03	64.53	44.29	20.24	ST
2	C&D TECHNOLOGIES INC	08/05/03	10/17/03	43.02	29.15	13.87	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
1	C&D TECHNOLOGIES INC	08/06/03	10/17/03	21.51	14.80	6.71	ST
2	C&D TECHNOLOGIES INC	08/07/03	10/17/03	43.02	29.44	13.58	ST
1	C&D TECHNOLOGIES INC	08/08/03	10/17/03	21.52	14.77	6.75	ST
2	CONNETICS CORP	11/12/02	09/30/03	35.09	22.83	12.26	ST
2	CONNETICS CORP	11/13/02	09/30/03	35.09	23.64	11.45	ST
1	CONNETICS CORP	11/14/02	09/30/03	17.54	11.91	5.63	ST
3	CONNETICS CORP	11/15/02	09/30/03	52.65	35.87	16.78	ST
2	CONNETICS CORP	11/18/02	09/30/03	35.10	23.71	11.39	ST
2	CONNETICS CORP	11/19/02	09/30/03	35.09	23.22	11.87	ST
6	CONNETICS CORP	02/03/03	09/30/03	105.30	77.88	27.42	ST
7	CONNETICS CORP	02/04/03	09/30/03	122.86	90.56	32.30	ST
7	C H ROBINSON WORLDWIDE	09/20/01	10/17/03	280.20	183.07	97.13	LT
15	C H ROBINSON WORLDWIDE	01/14/98	10/17/03	600.41	161.93	438.48	LS
7	CORPORATE EXEC BOARD CO	09/24/01	10/17/03	352.64	187.04	165.60	LT
10	CORPORATE EXEC BOARD CO	02/23/99	10/17/03	503.77	115.01	388.76	LT
3	CHARMING SHOPPES INC	01/31/02	10/17/03	20.18	17.40	2.78	LT
2	CHARMING SHOPPES INC	02/01/02	10/17/03	13.45	11.60	1.85	LT
2	CHARMING SHOPPES INC	02/04/02	10/17/03	13.45	11.26	2.19	LT
2	CHARMING SHOPPES INC	02/05/02	10/17/03	13.45	11.28	2.17	LT
3	CHARMING SHOPPES INC	02/06/02	10/17/03	20.18	17.31	2.87	LT
2	CHARMING SHOPPES INC	02/08/02	10/17/03	13.45	12.00	1.45	LT
3	CHARMING SHOPPES INC	02/11/02	10/17/03	20.19	17.70	2.49	LT
4	CHARMING SHOPPES INC	02/12/02	10/17/03	26.92	23.96	2.96	LT
13	CHARMING SHOPPES INC	02/13/02	10/17/03	87.48	80.60	6.88	LT
4	CHARMING SHOPPES INC	02/25/02	10/17/03	26.92	26.00	.92	LT
1	CHARMING SHOPPES INC	02/26/02	10/17/03	6.73	6.50	.23	LT
18	CHARMING SHOPPES INC	02/27/02	10/17/03	121.14	130.50	(9.36)	LT
3	CHARMING SHOPPES INC	03/12/02	10/17/03	20.19	23.10	(2.91)	LT
3	CHARMING SHOPPES INC	03/13/02	10/17/03	20.19	22.38	(2.19)	LT
3	CHARMING SHOPPES INC	03/14/02	10/17/03	20.19	22.41	(2.22)	LT
3	CHARMING SHOPPES INC	03/15/02	10/17/03	20.19	23.10	(2.91)	LT
6	CHARMING SHOPPES INC	03/18/02	10/17/03	40.38	45.85	(5.47)	LT
6	CHARMING SHOPPES INC	08/08/02	10/17/03	40.38	38.20	2.18	LT
22	CHARMING SHOPPES INC	08/09/02	10/17/03	148.06	138.17	9.89	LT
8	CHARMING SHOPPES INC	08/12/02	10/17/03	53.84	48.83	5.01	LT
9	CHARMING SHOPPES INC	08/13/02	10/17/03	60.57	57.27	3.30	LT
7	CHARMING SHOPPES INC	08/14/02	10/17/03	47.11	42.35	4.76	LT
12	CHARMING SHOPPES INC	10/08/02	10/17/03	80.76	51.84	28.92	LT
12	CHARMING SHOPPES INC	10/09/02	10/17/03	80.76	54.00	26.76	LT
6	CHARMING SHOPPES INC	10/17/02	10/17/03	40.38	30.29	10.09	ST
2	CHARMING SHOPPES INC	10/22/02	10/17/03	13.46	10.00	3.46	ST
24	CHARMING SHOPPES INC	10/24/02	10/17/03	161.53	120.00	41.53	ST
7	CITY NATIONAL CORP	11/03/00	10/17/03	398.28	248.47	149.81	LT
8	CITY NATIONAL CORP	05/08/01	10/17/03	455.18	314.22	140.96	LT
3	CLARCOR INC	01/07/03	10/17/03	125.12	100.82	24.30	ST
3	CLARCOR INC	01/21/03	10/17/03	125.12	101.46	23.66	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description		Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	CLARCOR INC		01/22/03	10/17/03	250.25	201.81	48.44	ST
3	COHERENT INC CAL		02/19/02	10/17/03	82.31	84.51	(2.20)	LT
2	COHERENT INC CAL		02/20/02	10/17/03	54.87	56.37	(1.50)	LT
5	COHERENT INC CAL		02/25/02	10/17/03	137.19	141.62	(4.43)	LT
3	COHERENT INC CAL		02/28/02	10/17/03	82.31	85.26	(2.95)	LT
4	COHERENT INC CAL		03/05/02	10/17/03	109.75	124.24	(14.49)	LT
1	COHERENT INC CAL		03/06/02	10/17/03	27.43	32.10	(4.67)	LT
3	COHERENT INC CAL		05/07/02	10/17/03	82.31	85.95	(3.64)	LT
1	COHERENT INC CAL		05/15/02	10/17/03	27.43	31.59	(4.16)	LT
2	COHERENT INC CAL		05/16/02	10/17/03	54.87	63.04	(8.17)	LT
2	COHERENT INC CAL		06/07/02	10/17/03	54.88	55.52	(.64)	LT
1	COHERENT INC CAL		06/10/02	10/17/03	27.44	28.50	(1.06)	LT
1	COHERENT INC CAL		06/11/02	10/17/03	27.44	28.15	(.71)	LT
5	COHERENT INC CAL		06/12/02	10/17/03	137.20	140.21	(3.01)	LT
16	COHERENT INC CAL		10/30/02	10/17/03	439.04	262.69	176.35	ST
2	COHERENT INC CAL		11/07/02	10/17/03	54.88	36.00	18.88	ST
4	COHERENT INC CAL		04/02/03	10/17/03	109.77	81.08	28.69	ST
2	CONMED CORP	COM	02/26/02	10/17/03	42.09	40.60	1.49	LT
2	CONMED CORP	COM	02/28/02	10/17/03	42.09	41.90	.19	LT
1	CONMED CORP	COM	03/01/02	10/17/03	21.04	21.60	(.56)	LT
2	CONMED CORP	COM	03/04/02	10/17/03	42.09	44.56	(2.47)	LT
2	CONMED CORP	COM	03/05/02	10/17/03	42.10	44.14	(2.04)	LT
2	CONMED CORP	COM	03/06/02	10/17/03	42.10	45.00	(2.90)	LT
2	CONMED CORP	COM	03/07/02	10/17/03	42.10	44.66	(2.56)	LT
2	CONMED CORP	COM	03/08/02	10/17/03	42.10	44.84	(2.74)	LT
1	CONMED CORP	COM	03/11/02	10/17/03	21.05	22.45	(1.40)	LT
1	CONMED CORP	COM	03/12/02	10/17/03	21.05	23.05	(2.00)	LT
2	CONMED CORP	COM	03/13/02	10/17/03	42.10	47.27	(5.17)	LT
1	CONMED CORP	COM	03/14/02	10/17/03	21.05	24.24	(3.19)	LT
2	CONMED CORP	COM	03/15/02	10/17/03	42.10	49.18	(7.08)	LT
1	CONMED CORP	COM	03/18/02	10/17/03	21.06	24.48	(3.42)	LT
4	DREXLER TECHNOLOGY	DEL	09/15/03	10/17/03	65.47	60.89	4.58	ST
3	DREXLER TECHNOLOGY	DEL	09/16/03	10/17/03	49.10	46.96	2.14	ST
2	DREXLER TECHNOLOGY	DEL	09/22/03	10/17/03	32.73	32.00	.73	ST
1	DREXLER TECHNOLOGY	DEL	09/24/03	10/17/03	16.37	15.98	.39	ST
3	DREXLER TECHNOLOGY	DEL	09/25/03	10/17/03	49.11	47.44	1.67	ST
3	DREXLER TECHNOLOGY	DEL	09/26/03	10/17/03	49.12	46.55	2.57	ST
10	EMCORE CORP		09/28/01	10/17/03	32.20	87.97	(55.77)	LT
15	EMCORE CORP		10/01/01	10/17/03	48.30	120.58	(72.28)	LT
14	EMCORE CORP		06/01/99	10/17/03	45.07	154.01	(108.94)	LT
10	EMCORE CORP		11/12/99	10/17/03	32.20	68.75	(36.55)	LT
2	ENTRUST INC	COM	12/12/01	10/17/03	9.61	19.92	(10.31)	LT
7	ENTRUST INC	COM	12/13/01	10/17/03	33.66	66.91	(33.25)	LT
3	ENTRUST INC	COM	12/14/01	10/17/03	14.42	28.80	(14.38)	LT
2	ENTRUST INC	COM	12/18/01	10/17/03	9.62	20.48	(10.86)	LT
3	ENTRUST INC	COM	12/19/01	10/17/03	14.42	29.88	(15.46)	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	ENTRUST INC COM	12/20/01	10/17/03	14.43	29.47	(15.04)	LT
3	ENTRUST INC COM	12/21/01	10/17/03	14.43	29.46	(15.03)	LT
3	ENTRUST INC COM	12/24/01	10/17/03	14.43	28.89	(14.46)	LT
2	ENTRUST INC COM	12/26/01	10/17/03	9.62	20.18	(10.56)	LT
2	ENTRUST INC COM	12/27/01	10/17/03	9.62	20.70	(11.08)	LT
2	ENTRUST INC COM	12/28/01	10/17/03	9.62	20.58	(10.96)	LT
2	ENTRUST INC COM	12/31/01	10/17/03	9.62	21.18	(11.56)	LT
3	ENTRUST INC COM	01/02/02	10/17/03	14.43	29.95	(15.52)	LT
1	ENTRUST INC COM	01/03/02	10/17/03	4.81	10.77	(5.96)	LT
1	ENTRUST INC COM	01/04/02	10/17/03	4.81	11.50	(6.69)	LT
1	ENTRUST INC COM	01/07/02	10/17/03	4.81	12.14	(7.33)	LT
1	ENTRUST INC COM	01/08/02	10/17/03	4.81	12.03	(7.22)	LT
1	ENTRUST INC COM	01/09/02	10/17/03	4.81	12.09	(7.28)	LT
1	ENTRUST INC COM	01/10/02	10/17/03	4.81	12.33	(7.52)	LT
1	ENTRUST INC COM	01/11/02	10/17/03	4.81	11.25	(6.44)	LT
1	ENTRUST INC COM	01/14/02	10/17/03	4.81	10.25	(5.44)	LT
1	ENTRUST INC COM	01/15/02	10/17/03	4.81	10.29	(5.48)	LT
3	ENTRUST INC COM	01/16/02	10/17/03	14.43	29.09	(14.66)	LT
6	ENTRUST INC COM	01/17/02	10/17/03	28.86	59.60	(30.74)	LT
27	ENTRUST INC COM	01/23/02	10/17/03	129.87	197.15	(67.28)	LT
30	ENTRUST INC COM	01/24/02	10/17/03	144.30	212.71	(68.41)	LT
5	ENTRUST INC COM	01/25/02	10/17/03	24.06	34.98	(10.92)	LT
6	EXTREME NETWORKS INC COM	07/31/03	10/17/03	46.07	37.26	8.81	ST
6	EXTREME NETWORKS INC COM	08/01/03	10/17/03	46.07	37.56	8.51	ST
6	EXTREME NETWORKS INC COM	08/04/03	10/17/03	46.07	36.30	9.77	ST
6	EXTREME NETWORKS INC COM	08/05/03	10/17/03	46.08	36.06	10.02	ST
15	EXTREME NETWORKS INC COM	08/06/03	10/17/03	115.20	86.97	28.23	ST
6	EXTREME NETWORKS INC COM	08/07/03	10/17/03	46.07	34.80	11.27	ST
6	EXTREME NETWORKS INC COM	08/08/03	10/17/03	46.08	35.63	10.45	ST
6	EXTREME NETWORKS INC COM	08/11/03	10/17/03	46.08	35.70	10.38	ST
6	EXTREME NETWORKS INC COM	08/12/03	10/17/03	46.08	36.51	9.57	ST
6	EXTREME NETWORKS INC COM	08/13/03	10/17/03	46.08	37.31	8.77	ST
7	EXTREME NETWORKS INC COM	08/14/03	10/17/03	53.77	42.98	10.79	ST
67	ENTRAVISION COMMNCTNS A	02/09/01	10/17/03	654.55	754.88	(100.33)	LT
22	ENTRAVISION COMMNCTNS A	02/13/01	10/17/03	214.92	240.29	(25.37)	LT
3	ENTRAVISION COMMNCTNS A	02/14/01	10/17/03	29.30	31.77	(2.47)	LT
6	ENTRAVISION COMMNCTNS A	02/15/01	10/17/03	58.61	65.45	(6.84)	LT
15	ENTRAVISION COMMNCTNS A	03/13/01	10/17/03	146.54	107.19	39.35	LT
2	ENTRAVISION COMMNCTNS A	03/14/01	10/17/03	19.54	14.69	4.85	LT
7	ENTRAVISION COMMNCTNS A	03/15/01	10/17/03	68.39	56.00	12.39	LT
16	ENTRAVISION COMMNCTNS A	04/19/01	10/17/03	156.32	163.28	(6.96)	LT
5	ENTRAVISION COMMNCTNS A	06/26/01	10/17/03	48.85	59.01	(10.16)	LT
4	ENTRAVISION COMMNCTNS A	08/02/01	10/17/03	39.08	49.41	(10.33)	LT
7	ENTRAVISION COMMNCTNS A	08/03/01	10/17/03	68.40	87.50	(19.10)	LT
5	ELECTRO SCIENTFC IND INC	06/07/02	10/17/03	121.39	125.49	(4.10)	LT
10	ELECTRO SCIENTFC IND INC	06/11/02	10/17/03	242.78	250.98	(8.20)	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
4	ELECTRO SCIENTFC IND INC	06/12/02	10/17/03	97.11	100.81	(3.70)	LT
10	ELECTRO SCIENTFC IND INC	07/10/02	10/17/03	242.78	221.22	21.56	LT
2	ELECTRO SCIENTFC IND INC	03/05/03	10/17/03	48.55	32.80	15.75	ST
15	ELECTRO SCIENTFC IND INC	03/06/03	10/17/03	364.18	238.93	125.25	ST
10	ELECTRO SCIENTFC IND INC	04/16/03	10/17/03	242.79	127.80	114.99	ST
4	ELECTRO SCIENTFC IND INC	04/17/03	10/17/03	97.11	51.80	45.31	ST
17	ELECTRO SCIENTFC IND INC	05/06/03	10/17/03	412.76	228.63	184.13	ST
6	ELECTRO SCIENTFC IND INC	06/05/03	10/17/03	145.68	86.64	59.04	ST
1	ELECTRO SCIENTFC IND INC	06/10/03	10/17/03	24.28	14.00	10.28	ST
2	ELECTRO SCIENTFC IND INC	06/11/03	10/17/03	48.56	27.72	20.84	ST
3	ELECTRO SCIENTFC IND INC	06/12/03	10/17/03	72.84	41.42	31.42	ST
8	FMC CORP COM NEW	12/10/01	10/17/03	223.98	233.87	(9.89)	LT
2	FMC CORP COM NEW	12/11/01	10/17/03	55.99	58.35	(2.36)	LT
5	FMC CORP COM NEW	12/13/01	10/17/03	139.99	143.43	(3.44)	LT
5	FMC CORP COM NEW	12/18/01	10/17/03	139.99	147.36	(7.37)	LT
3	FMC CORP COM NEW	12/26/01	10/17/03	83.99	94.70	(10.71)	LT
10	FMC CORP COM NEW	01/03/02	10/17/03	280.00	345.27	(65.27)	LT
1	FMC CORP COM NEW	01/07/02	10/17/03	28.01	34.34	(6.33)	LT
4	FINANCIAL FEDERAL CORP	03/05/03	10/17/03	132.15	98.00	34.15	ST
3	FINANCIAL FEDERAL CORP	03/06/03	10/17/03	99.11	72.63	26.48	ST
4	FINANCIAL FEDERAL CORP	03/07/03	10/17/03	132.15	96.07	36.08	ST
1	FINANCIAL FEDERAL CORP	03/10/03	10/17/03	33.03	23.95	9.08	ST
6	FINANCIAL FEDERAL CORP	03/11/03	10/17/03	198.23	120.28	77.95	ST
3	FINANCIAL FEDERAL CORP	04/02/03	10/17/03	99.11	59.95	39.16	ST
1	FINANCIAL FEDERAL CORP	04/03/03	10/17/03	33.03	19.75	13.28	ST
2	FINANCIAL FEDERAL CORP	04/04/03	10/17/03	66.08	38.49	27.59	ST
1	FINANCIAL FEDERAL CORP	04/07/03	10/17/03	33.04	19.74	13.30	ST
1	FINANCIAL FEDERAL CORP	04/08/03	10/17/03	33.04	19.91	13.13	ST
1	FINANCIAL FEDERAL CORP	04/09/03	10/17/03	33.04	19.80	13.24	ST
2	FINANCIAL FEDERAL CORP	04/10/03	10/17/03	33.04	19.87	13.17	ST
3	FINANCIAL FEDERAL CORP	06/03/03	10/17/03	66.08	51.19	14.89	ST
2	FINANCIAL FEDERAL CORP	06/04/03	10/17/03	99.13	76.87	22.26	ST
10	FLIR SYSTEMS INC	08/02/02	10/17/03	53.59	38.99	14.60	LT
10	FLIR SYSTEMS INC	08/05/02	10/17/03	267.98	190.76	77.22	LT
2	FLIR SYSTEMS INC	08/13/02	10/17/03	267.98	198.86	69.12	LT
8	FLIR SYSTEMS INC	08/23/02	10/17/03	53.59	39.98	13.61	LT
14	FLIR SYSTEMS INC	08/29/02	10/17/03	214.39	159.25	55.14	LT
4	FLIR SYSTEMS INC	10/07/02	10/17/03	375.19	206.73	168.46	LT
8	FLIR SYSTEMS INC	04/22/03	10/17/03	107.19	94.00	13.19	ST
4	FLIR SYSTEMS INC	04/25/03	10/17/03	214.39	193.98	20.41	ST
2	FLIR SYSTEMS INC	08/26/03	10/17/03	107.20	100.47	6.73	ST
12	FELCOR LODGING TRUST TEX	09/17/03	10/17/03	53.61	57.02	(3.41)	ST
3	FELCOR LODGING TRUST TEX	06/11/03	10/17/03	136.31	102.00	34.31	ST
15	FELCOR LODGING TRUST TEX	06/12/03	10/17/03	34.07	25.33	8.74	ST
3	FELCOR LODGING TRUST TEX	06/13/03	10/17/03	170.39	125.15	45.24	ST
		06/16/03	10/17/03	34.07	24.91	9.16	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	FELCOR LODGING TRUST TEX	06/17/03	10/17/03	22.71	16.55	6.16	ST
7	FELCOR LODGING TRUST TEX	06/18/03	10/17/03	79.52	59.15	20.37	ST
6	FELCOR LODGING TRUST TEX	06/19/03	10/17/03	68.16	50.83	17.33	ST
5	FELCOR LODGING TRUST TEX	06/20/03	10/17/03	56.80	41.60	15.20	ST
1	FELCOR LODGING TRUST TEX	09/03/03	10/17/03	11.35	9.93	1.42	ST
2	FELCOR LODGING TRUST TEX	09/09/03	10/17/03	22.72	19.90	2.82	ST
5	FELCOR LODGING TRUST TEX	09/10/03	10/17/03	56.80	49.56	7.24	ST
6	FELCOR LODGING TRUST TEX	09/11/03	10/17/03	68.16	59.61	8.55	ST
6	FELCOR LODGING TRUST TEX	09/12/03	10/17/03	68.16	59.34	8.82	ST
3	FELCOR LODGING TRUST TEX	09/15/03	10/17/03	34.09	29.71	4.38	ST
6	FISHER SCIENTIFIC I NEW	05/09/01	10/17/03	250.78	161.42	89.36	LT
5	FISHER SCIENTIFIC I NEW	06/11/01	10/17/03	208.99	132.50	76.49	LT
5	FISHER SCIENTIFIC I NEW	06/14/01	10/17/03	208.99	134.74	74.25	LT
2	FISHER SCIENTIFIC I NEW	02/26/02	10/17/03	83.59	54.40	29.19	LT
3	FISHER SCIENTIFIC I NEW	02/27/02	10/17/03	125.40	83.07	42.33	LT
3	FISHER SCIENTIFIC I NEW	02/28/02	10/17/03	125.40	85.50	39.90	LT
1	FISHER SCIENTIFIC I NEW	03/01/02	10/17/03	41.80	28.50	13.30	LT
3	FLOWSERVE CORP	04/16/02	10/17/03	65.69	95.99	(30.30)	LT
3	FLOWSERVE CORP	04/23/02	10/17/03	65.69	93.69	(28.00)	LT
4	FLOWSERVE CORP	07/26/02	10/17/03	87.59	65.80	21.79	LT
11	FLOWSERVE CORP	08/02/02	10/17/03	240.89	178.75	62.14	LT
4	FLOWSERVE CORP	08/05/02	10/17/03	87.59	65.74	21.85	LT
2	FLOWSERVE CORP	08/26/02	10/17/03	43.79	36.44	7.35	LT
8	FLOWSERVE CORP	08/27/02	10/17/03	175.19	148.86	26.33	LT
7	FLOWSERVE CORP	09/20/02	10/17/03	153.29	104.67	48.62	LT
12	FLOWSERVE CORP	09/23/02	10/17/03	262.79	174.39	88.40	LT
59	FLOWSERVE CORP	09/27/02	10/17/03	1,292.07	496.70	795.37	LT
14	FORWARD AIR CORP	04/19/01	10/17/03	438.87	458.39	(19.52)	LT
5	FORWARD AIR CORP	04/23/01	10/17/03	156.74	154.06	2.68	LT
6	FORWARD AIR CORP	04/24/01	10/17/03	188.09	185.91	2.18	LT
9	FORWARD AIR CORP	04/25/01	10/17/03	282.13	280.78	1.35	LT
2	FORWARD AIR CORP	06/15/01	10/17/03	62.69	49.00	13.69	LT
3	FORWARD AIR CORP	09/10/01	10/17/03	94.04	76.14	17.90	LT
5	FORWARD AIR CORP	09/17/01	10/17/03	156.75	125.50	31.25	LT
5	FORWARD AIR CORP	10/11/01	10/17/03	156.76	125.65	31.11	LT
5	FAIRMONT HOTELS AND RESO	09/12/02	10/17/03	132.19	117.10	15.09	LT
1	FAIRMONT HOTELS AND RESO	09/20/02	10/17/03	26.43	22.86	3.57	LT
6	FAIRMONT HOTELS AND RESO	09/24/02	10/17/03	158.63	138.59	20.04	LT
3	FAIRMONT HOTELS AND RESO	09/25/02	10/17/03	79.31	69.00	10.31	LT
7	FAIRMONT HOTELS AND RESO	10/07/02	10/17/03	185.07	159.69	25.38	LT
1	FAIRMONT HOTELS AND RESO	10/23/02	10/17/03	26.43	24.92	1.51	ST
1	FAIRMONT HOTELS AND RESO	10/24/02	10/17/03	26.44	24.73	1.71	ST
1	FAIRMONT HOTELS AND RESO	10/25/02	10/17/03	26.44	24.78	1.66	ST
1	FAIRMONT HOTELS AND RESO	10/30/02	10/17/03	26.44	24.32	2.12	ST
1	FAIRMONT HOTELS AND RESO	10/31/02	10/17/03	26.44	24.73	1.71	ST
1	FAIRMONT HOTELS AND RESO	11/01/02	10/17/03	26.44	24.38	2.06	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
1	FAIRMONT HOTELS AND RESO	11/04/02	10/17/03	26.44	24.65	1.79	ST
1	FAIRMONT HOTELS AND RESO	11/06/02	10/17/03	26.44	24.50	1.94	ST
1	FAIRMONT HOTELS AND RESO	11/08/02	10/17/03	26.44	24.07	2.37	ST
1	FAIRMONT HOTELS AND RESO	11/11/02	10/17/03	26.44	23.77	2.67	ST
1	FAIRMONT HOTELS AND RESO	11/15/02	10/17/03	26.45	23.71	2.74	ST
13	FMC TECHS INC COM	12/10/01	10/17/03	271.68	208.20	63.48	LT
4	FMC TECHS INC COM	12/11/01	10/17/03	83.59	51.95	31.64	LT
8	FMC TECHS INC COM	12/13/01	10/17/03	167.19	127.69	39.50	LT
9	FMC TECHS INC COM	12/18/01	10/17/03	188.09	131.18	56.91	LT
5	FMC TECHS INC COM	12/26/01	10/17/03	104.50	84.30	20.20	LT
12	FMC TECHS INC COM	01/03/02	10/17/03	250.80	200.09	50.71	LT
4	FILENET CORP COM	07/09/03	10/17/03	99.19	72.12	27.07	ST
3	FILENET CORP COM	07/10/03	10/17/03	74.39	53.57	20.82	ST
3	FILENET CORP COM	07/11/03	10/17/03	74.39	53.65	20.74	ST
2	FILENET CORP COM	07/14/03	10/17/03	49.59	36.66	12.93	ST
4	FILENET CORP COM	08/22/03	10/17/03	99.20	81.20	18.00	ST
4	FILENET CORP COM	08/25/03	10/17/03	99.20	82.08	17.12	ST
4	FILENET CORP COM	08/26/03	10/17/03	99.21	82.07	17.14	ST
12	GREATER BAY BANCORP	09/17/03	10/17/03	299.62	255.03	44.59	ST
3	GREATER BAY BANCORP	09/18/03	10/17/03	74.90	63.78	11.12	ST
1	GREATER BAY BANCORP	09/26/03	10/17/03	24.97	21.27	3.70	ST
3	GREATER BAY BANCORP	09/29/03	10/17/03	74.91	63.75	11.16	ST
4	GREATER BAY BANCORP	09/30/03	10/17/03	99.88	84.44	15.44	ST
5	HYPERCOM CORP	06/11/03	10/17/03	27.14	22.50	4.64	ST
2	HYPERCOM CORP	06/12/03	10/17/03	10.85	8.95	1.90	ST
3	HYPERCOM CORP	06/13/03	10/17/03	16.28	13.19	3.09	ST
4	HYPERCOM CORP	06/16/03	10/17/03	21.72	17.63	4.09	ST
10	HYPERCOM CORP	06/17/03	10/17/03	54.30	43.19	11.11	ST
7	HYPERCOM CORP	06/18/03	10/17/03	38.01	30.10	7.91	ST
3	HYPERCOM CORP	06/19/03	10/17/03	16.29	12.85	3.44	ST
3	HYPERCOM CORP	06/20/03	10/17/03	16.29	12.75	3.54	ST
9	HYPERCOM CORP	06/23/03	10/17/03	48.87	37.52	11.35	ST
7	HYPERCOM CORP	06/24/03	10/17/03	38.02	28.70	9.32	ST
2	HEADWATERS INC DEL	11/06/02	10/17/03	31.11	34.00	(2.89)	ST
1	HEADWATERS INC DEL	11/11/02	10/17/03	15.55	17.00	(1.45)	ST
2	HEADWATERS INC DEL	11/12/02	10/17/03	31.11	34.00	(2.89)	ST
2	HEADWATERS INC DEL	11/13/02	10/17/03	31.11	34.00	(2.89)	ST
3	HEADWATERS INC DEL	11/15/02	10/17/03	46.67	50.58	(3.91)	ST
3	HEADWATERS INC DEL	11/18/02	10/17/03	46.68	50.79	(4.11)	ST
4	HEADWATERS INC DEL	11/22/02	10/17/03	62.23	66.38	(4.15)	ST
8	HEADWATERS INC DEL	11/26/02	10/17/03	124.48	134.59	(10.11)	ST
1	HEADWATERS INC DEL	12/04/02	10/17/03	15.56	13.50	2.06	ST
3	HEADWATERS INC DEL	12/06/02	10/17/03	46.68	41.63	5.05	ST
2	HEADWATERS INC DEL	12/12/02	10/17/03	31.12	28.00	3.12	ST
3	HEADWATERS INC DEL	12/13/02	10/17/03	46.68	42.00	4.68	ST
4	HEADWATERS INC DEL	12/16/02	10/17/03	62.24	55.40	6.84	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
6	HEADWATERS INC DEL	12/17/02	10/17/03	93.36	82.41	10.95	ST
13	HEADWATERS INC DEL	12/18/02	10/17/03	202.28	173.52	28.76	ST
3	HEADWATERS INC DEL	12/19/02	10/17/03	46.68	39.26	7.42	ST
6	HEADWATERS INC DEL	01/16/03	10/17/03	93.36	93.00	.36	ST
2	HEADWATERS INC DEL	01/17/03	10/17/03	31.13	30.62	.51	ST
2	ICU MEDICAL INC	06/03/03	10/17/03	64.53	63.43	1.10	ST
12	ICU MEDICAL INC	06/17/03	10/17/03	387.22	382.90	4.32	ST
3	ICU MEDICAL INC	07/09/03	10/17/03	96.80	90.71	6.09	ST
5	ICU MEDICAL INC	07/10/03	10/17/03	161.34	149.29	12.05	ST
1	ICU MEDICAL INC	07/11/03	10/17/03	32.28	29.12	3.16	ST
1	IPC HOLDINGS LTD	09/17/03	10/17/03	35.59	35.00	.59	ST
2	IPC HOLDINGS LTD	09/26/03	10/17/03	71.19	69.90	1.29	ST
3	IPC HOLDINGS LTD	09/29/03	10/17/03	106.79	104.40	2.39	ST
1	IPC HOLDINGS LTD	10/08/03	10/17/03	35.60	35.27	.33	ST
1	IPC HOLDINGS LTD	10/09/03	10/17/03	35.59	35.21	.38	ST
1	IPC HOLDINGS LTD	10/10/03	10/17/03	35.60	35.28	.32	ST
1	IPC HOLDINGS LTD	10/13/03	10/17/03	35.60	35.96	(.36)	ST
2	IPC HOLDINGS LTD	10/14/03	10/17/03	71.20	72.62	(1.42)	ST
2	IPC HOLDINGS LTD	10/16/03	10/17/03	71.21	72.00	(.79)	ST
6	INFORMATION HOLDINGS INC	07/09/03	10/17/03	132.29	109.71	22.58	ST
2	INFORMATION HOLDINGS INC	07/10/03	10/17/03	44.09	36.17	7.92	ST
2	INFORMATION HOLDINGS INC	07/11/03	10/17/03	44.10	35.18	8.92	ST
3	INFORMATION HOLDINGS INC	07/14/03	10/17/03	66.15	54.00	12.15	ST
27	INTERSIL CORP CL A	02/07/03	10/17/03	761.65	377.06	384.59	ST
21	INTERSIL CORP CL A	03/12/03	10/17/03	592.41	304.50	287.91	ST
26	INTERMUNE INC	06/12/03	10/17/03	490.33	466.91	23.42	ST
16	INTERMUNE INC	07/30/03	10/17/03	301.74	300.66	1.08	ST
5	INTERMUNE INC	09/10/03	10/17/03	94.29	99.82	(5.53)	ST
2	INTERMUNE INC	09/17/03	10/17/03	37.73	42.66	(4.93)	ST
13	INTGTD DEVICE TECH CALIF	01/16/03	10/17/03	188.10	103.61	84.49	ST
39	INTGTD DEVICE TECH CALIF	01/17/03	10/17/03	564.30	296.73	267.57	ST
34	INTGTD DEVICE TECH CALIF	01/30/03	10/17/03	491.95	254.24	237.71	ST
21	INTGTD DEVICE TECH CALIF	01/31/03	10/17/03	303.86	156.17	147.69	ST
1	JONES LANG LASALLE INC	09/30/03	10/17/03	18.54	18.50	.04	ST
2	JONES LANG LASALLE INC	10/16/03	10/17/03	37.10	37.59	(.49)	ST
12	J JILL GROUP INC	12/06/02	10/17/03	151.43	196.62	(45.19)	ST
5	J JILL GROUP INC	12/17/02	10/17/03	63.09	74.43	(11.34)	ST
5	J JILL GROUP INC	12/18/02	10/17/03	63.10	72.73	(9.63)	ST
3	J JILL GROUP INC	12/19/02	10/17/03	37.86	43.50	(5.64)	ST
2	J JILL GROUP INC	12/20/02	10/17/03	25.24	29.22	(3.98)	ST
1	KENNAMETAL INC	05/05/03	10/17/03	40.37	31.80	8.57	ST
2	KENNAMETAL INC	05/07/03	10/17/03	80.75	63.38	17.37	ST
1	KENNAMETAL INC	05/08/03	10/17/03	40.37	31.78	8.59	ST
2	KENNAMETAL INC	05/09/03	10/17/03	80.75	63.53	17.22	ST
1	KENNAMETAL INC	06/03/03	10/17/03	40.37	33.98	6.39	ST
1	KENNAMETAL INC	06/09/03	10/17/03	40.38	33.97	6.41	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
5	KENNAMETAL INC	06/23/03	10/17/03	201.90	168.82	33.08	ST
3	KENNAMETAL INC	07/31/03	10/17/03	121.13	115.50	5.63	ST
8	KENNAMETAL INC	08/01/03	10/17/03	323.05	306.80	16.25	ST
8	LOGITECH INTL SA SP ADR	07/11/03	10/17/03	281.34	227.60	53.74	ST
1	LOGITECH INTL SA SP ADR	09/17/03	10/17/03	35.16	31.97	3.19	ST
8	LOGITECH INTL SA SP ADR	09/22/03	10/17/03	281.36	255.50	25.86	ST
5	LABRANCHE & CO INC COM	04/11/02	10/17/03	88.87	216.98	(128.11)	LT
6	LABRANCHE & CO INC COM	04/16/02	10/17/03	55.54	147.19	(91.65)	LT
7	LABRANCHE & CO INC COM	04/17/02	10/17/03	66.65	183.35	(116.70)	LT
3	LABRANCHE & CO INC COM	04/19/02	10/17/03	77.77	202.51	(124.74)	LT
6	LABRANCHE & CO INC COM	04/22/02	10/17/03	33.33	86.53	(53.20)	LT
4	LABRANCHE & CO INC COM	04/23/02	10/17/03	66.66	170.40	(103.74)	LT
6	LABRANCHE & CO INC COM	04/24/02	10/17/03	44.44	113.90	(69.46)	LT
11	LAM RESEARCH CORP COM	05/07/02	10/17/03	66.66	153.62	(86.96)	LT
3	LAM RESEARCH CORP COM	09/09/02	10/17/03	300.72	116.36	184.36	LT
24	LAM RESEARCH CORP COM	09/16/02	10/17/03	82.01	31.05	50.96	LT
22	LAM RESEARCH CORP COM	09/17/02	10/17/03	656.13	250.53	405.60	LT
19	LAM RESEARCH CORP COM	09/19/02	10/17/03	601.45	202.07	399.38	LT
10	LAM RESEARCH CORP COM	09/20/02	10/17/03	519.44	174.68	344.76	LT
3	LAM RESEARCH CORP COM	04/01/03	10/17/03	273.39	115.37	158.02	ST
2	M T S SYSTEMS CORP COM	04/02/03	10/17/03	82.02	36.64	45.38	ST
11	M T S SYSTEMS CORP COM	09/24/03	10/17/03	33.85	30.05	3.80	ST
5	M T S SYSTEMS CORP COM	09/25/03	10/17/03	186.22	164.08	22.14	ST
2	M T S SYSTEMS CORP COM	09/26/03	10/17/03	84.64	74.03	10.61	ST
2	M T S SYSTEMS CORP COM	09/30/03	10/17/03	33.85	31.20	2.65	ST
1	M T S SYSTEMS CORP COM	10/01/03	10/17/03	33.86	31.61	2.25	ST
2	M T S SYSTEMS CORP COM	10/02/03	10/17/03	16.93	15.64	1.29	ST
2	M T S SYSTEMS CORP COM	10/03/03	10/17/03	33.86	31.90	1.96	ST
1	M T S SYSTEMS CORP COM	10/06/03	10/17/03	33.86	32.08	1.78	ST
1	M T S SYSTEMS CORP COM	10/07/03	10/17/03	16.93	16.05	.88	ST
1	M T S SYSTEMS CORP COM	10/08/03	10/17/03	16.93	16.19	.74	ST
1	M T S SYSTEMS CORP COM	10/09/03	10/17/03	16.93	16.21	.72	ST
1	M T S SYSTEMS CORP COM	10/10/03	10/17/03	16.93	16.08	.85	ST
2	M T S SYSTEMS CORP COM	10/13/03	10/17/03	16.93	16.24	.69	ST
2	M T S SYSTEMS CORP COM	10/15/03	10/17/03	33.86	34.34	(.48)	ST
41	MICREL INC	10/16/03	10/17/03	33.87	34.00	(.13)	ST
11	MICREL INC	10/03/02	10/17/03	661.29	199.16	462.13	LT
5	MICREL INC	10/04/02	10/17/03	177.42	50.93	126.49	LT
4	MICREL INC	10/07/02	10/17/03	80.64	24.30	56.34	LT
26	MICREL INC	12/17/02	10/17/03	64.51	37.97	26.54	ST
6	MICREL INC	12/18/02	10/17/03	419.37	233.26	186.11	ST
5	MEDICIS PHARMS CL A COM	12/31/02	10/17/03	96.78	54.00	42.78	ST
3	MEDICIS PHARMS CL A COM	06/03/02	10/17/03	291.03	231.05	59.98	LT
1	MAXIMUS INC	09/04/02	10/17/03	174.62	127.50	47.12	LT
1	MAXIMUS INC	01/23/02	10/17/03	34.98	38.10	(3.12)	LT
		01/31/02	10/17/03	34.98	34.36	.62	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	MAXIMUS INC	02/04/02	10/17/03	104.96	104.97	(.01)	LT
18	MAXIMUS INC	02/05/02	10/17/03	629.81	583.00	46.81	LT
3	METTLER-TOLEDO INTL INC	03/14/00	10/17/03	114.74	115.50	(.76)	LT
10	METTLER-TOLEDO INTL INC	03/20/00	10/17/03	382.48	432.40	(49.92)	LT
10	METTLER-TOLEDO INTL INC	05/02/00	10/17/03	382.48	340.00	42.48	LT
3	METTLER-TOLEDO INTL INC	04/23/02	10/17/03	114.74	119.64	(4.90)	LT
4	METTLER-TOLEDO INTL INC	04/24/02	10/17/03	152.99	155.89	(2.90)	LT
8	METTLER-TOLEDO INTL INC	04/25/02	10/17/03	306.00	304.10	1.90	LT
1	METTLER-TOLEDO INTL INC	07/18/02	10/17/03	38.25	27.50	10.75	LT
1	METTLER-TOLEDO INTL INC	07/18/02	10/17/03	38.24	27.50	10.74	LT
2	METTLER-TOLEDO INTL INC	07/19/02	10/17/03	76.51	53.88	22.63	LT
26	METTLER-TOLEDO INTL INC	07/29/98	10/17/03	994.45	546.00	448.45	LS
5	METTLER-TOLEDO INTL INC	10/01/99	10/17/03	191.24	140.00	51.24	LT
11	METTLER-TOLEDO INTL INC	10/04/99	10/17/03	420.72	310.69	110.03	LT
1	MERISTAR HOSP CORP $0.01	11/07/02	10/17/03	7.37	7.59	(.22)	ST
2	MERISTAR HOSP CORP $0.01	11/08/02	10/17/03	14.75	15.29	(.54)	ST
4	MERISTAR HOSP CORP $0.01	11/11/02	10/17/03	29.51	29.65	(.14)	ST
1	MERISTAR HOSP CORP $0.01	11/12/02	10/17/03	7.37	7.49	(.12)	ST
7	MERISTAR HOSP CORP $0.01	11/13/02	10/17/03	51.65	50.82	.83	ST
3	MERISTAR HOSP CORP $0.01	11/14/02	10/17/03	22.14	22.95	(.81)	ST
5	MERISTAR HOSP CORP $0.01	11/15/02	10/17/03	36.90	36.88	.02	ST
4	MERISTAR HOSP CORP $0.01	11/18/02	10/17/03	29.52	28.83	.69	ST
3	MERISTAR HOSP CORP $0.01	11/19/02	10/17/03	22.14	21.55	.59	ST
5	MERISTAR HOSP CORP $0.01	11/20/02	10/17/03	36.90	36.03	.87	ST
7	MERISTAR HOSP CORP $0.01	11/21/02	10/17/03	51.66	50.75	.91	ST
5	MERISTAR HOSP CORP $0.01	11/22/02	10/17/03	36.90	36.25	.65	ST
2	MERISTAR HOSP CORP $0.01	11/25/02	10/17/03	14.76	14.80	(.04)	ST
2	MERISTAR HOSP CORP $0.01	11/26/02	10/17/03	14.76	14.80	(.04)	ST
4	MERISTAR HOSP CORP $0.01	11/27/02	10/17/03	29.52	30.15	(.63)	ST
7	MERISTAR HOSP CORP $0.01	02/18/03	10/17/03	51.66	24.32	27.34	ST
5	MERISTAR HOSP CORP $0.01	02/19/03	10/17/03	36.90	16.57	20.33	ST
6	MERISTAR HOSP CORP $0.01	02/20/03	10/17/03	44.28	18.30	25.98	ST
3	MERISTAR HOSP CORP $0.01	10/09/03	10/17/03	22.14	21.60	.54	ST
5	MERISTAR HOSP CORP $0.01	10/10/03	10/17/03	36.90	35.88	1.02	ST
11	MERISTAR HOSP CORP $0.01	10/13/03	10/17/03	81.18	79.20	1.98	ST
1	MERISTAR HOSP CORP $0.01	10/14/03	10/17/03	7.38	7.20	.18	ST
14	MERISTAR HOSP CORP $0.01	10/15/03	10/17/03	103.33	100.80	2.53	ST
1	MYKROLIS CORP DEL COM	06/20/03	10/17/03	14.40	10.14	4.26	ST
10	MYKROLIS CORP DEL COM	06/23/03	10/17/03	144.09	99.57	44.52	ST
13	MYKROLIS CORP DEL COM	06/24/03	10/17/03	187.32	123.62	63.70	ST
2	MYKROLIS CORP DEL COM	06/25/03	10/17/03	28.81	19.71	9.10	ST
6	MYKROLIS CORP DEL COM	06/26/03	10/17/03	86.45	58.19	28.26	ST
3	MYKROLIS CORP DEL COM	06/27/03	10/17/03	43.22	29.84	13.38	ST
5	MYKROLIS CORP DEL COM	06/30/03	10/17/03	72.04	49.91	22.13	ST
4	MYKROLIS CORP DEL COM	07/01/03	10/17/03	57.64	39.97	17.67	ST
2	MYKROLIS CORP DEL COM	08/26/03	10/17/03	28.82	24.49	4.33	ST

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
1	MYKROLIS CORP DEL COM	09/03/03	10/17/03	14.41	13.09	1.32	ST
3	MYKROLIS CORP DEL COM	09/17/03	10/17/03	43.23	41.27	1.96	ST
3	MYKROLIS CORP DEL COM	09/18/03	10/17/03	43.23	40.66	2.57	ST
3	MYKROLIS CORP DEL COM	09/19/03	10/17/03	43.23	41.14	2.09	ST
3	MYKROLIS CORP DEL COM	09/22/03	10/17/03	43.23	41.13	2.10	ST
3	MYKROLIS CORP DEL COM	09/23/03	10/17/03	43.23	41.46	1.77	ST
3	MYKROLIS CORP DEL COM	09/24/03	10/17/03	43.23	40.87	2.36	ST
3	MYKROLIS CORP DEL COM	09/25/03	10/17/03	43.23	39.94	3.29	ST
3	MYKROLIS CORP DEL COM	09/26/03	10/17/03	43.23	38.98	4.25	ST
3	MYKROLIS CORP DEL COM	09/29/03	10/17/03	43.23	38.14	5.09	ST
3	MYKROLIS CORP DEL COM	09/30/03	10/17/03	43.23	37.07	6.16	ST
2	MYKROLIS CORP DEL COM	10/01/03	10/17/03	28.83	24.54	4.29	ST
6	MINERALS TECHNOLOGIES	01/16/03	10/17/03	322.18	237.00	85.18	ST
5	MINERALS TECHNOLOGIES	01/17/03	10/17/03	268.48	194.53	73.95	ST
2	MINERALS TECHNOLOGIES	09/24/03	10/17/03	107.39	103.70	3.69	ST
2	MINERALS TECHNOLOGIES	09/25/03	10/17/03	107.39	103.11	4.28	ST
4	MINERALS TECHNOLOGIES	09/26/03	10/17/03	214.81	206.09	8.72	ST
12	NII HLDGS INC CL B	06/03/03	10/17/03	821.12	396.00	425.12	ST
6	NATL INSTRUMENTS CORP	09/16/02	10/17/03	252.70	155.79	96.91	LT
8	NATL INSTRUMENTS CORP	09/17/02	10/17/03	336.94	206.32	130.62	LT
3	NATL INSTRUMENTS CORP	09/18/02	10/17/03	126.35	76.08	50.27	LT
2	NATL INSTRUMENTS CORP	09/19/02	10/17/03	84.24	48.56	35.68	LT
1	NATL INSTRUMENTS CORP	09/20/02	10/17/03	42.12	22.00	20.12	LT
5	NATL INSTRUMENTS CORP	09/23/02	10/17/03	210.60	108.04	102.56	LT
2	NCO GROUP INC COM	07/16/02	10/17/03	49.63	37.53	12.10	LT
5	NCO GROUP INC COM	07/17/02	10/17/03	124.09	92.54	31.55	LT
5	NCO GROUP INC COM	07/18/02	10/17/03	124.09	87.04	37.05	LT
4	NCO GROUP INC COM	07/19/02	10/17/03	99.27	68.31	30.96	LT
4	NCO GROUP INC COM	07/22/02	10/17/03	99.27	67.72	31.55	LT
2	NCO GROUP INC COM	07/23/02	10/17/03	49.63	33.82	15.81	LT
2	NCO GROUP INC COM	09/16/02	10/17/03	49.63	33.88	15.75	LT
2	NCO GROUP INC COM	09/17/02	10/17/03	49.64	29.31	20.33	LT
2	NCO GROUP INC COM	04/16/03	10/17/03	49.64	32.50	17.14	ST
1	NCO GROUP INC COM	04/28/03	10/17/03	24.82	16.45	8.37	ST
1	NCO GROUP INC COM	04/30/03	10/17/03	24.82	16.50	8.32	ST
3	NCO GROUP INC COM	06/17/03	10/17/03	74.46	59.33	15.13	ST
2	NCO GROUP INC COM	07/14/03	10/17/03	49.64	37.00	12.64	ST
2	NCO GROUP INC COM	07/17/03	10/17/03	49.64	36.90	12.74	ST
6	NCO GROUP INC COM	07/18/03	10/17/03	148.92	110.24	38.68	ST
3	NCO GROUP INC COM	08/05/03	10/17/03	74.47	55.49	18.98	ST
12	NETWORK ASSOCIATES INC	05/20/03	10/17/03	178.91	135.60	43.31	ST
29	NETWORK ASSOCIATES INC	07/25/03	10/17/03	432.37	326.37	106.00	ST
1	NOVA CHEMICALS CORP	09/04/01	10/17/03	21.76	19.77	1.99	LT
8	NOVA CHEMICALS CORP	09/05/01	10/17/03	174.15	157.27	16.88	LT
3	NOVA CHEMICALS CORP	09/19/01	10/17/03	65.30	49.50	15.80	LT
6	NOVA CHEMICALS CORP	09/20/01	10/17/03	130.61	96.96	33.65	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
5	NOVA CHEMICALS CORP	09/21/01	10/17/03	108.84	77.06	31.78	LT
6	NOVA CHEMICALS CORP	09/24/01	10/17/03	130.61	93.26	37.35	LT
2	NOVA CHEMICALS CORP	09/25/01	10/17/03	43.54	31.90	11.64	LT
3	NOVA CHEMICALS CORP	09/26/01	10/17/03	65.30	49.23	16.07	LT
20	NOVA CHEMICALS CORP	01/03/02	10/17/03	435.41	392.18	43.23	LT
2	NAVIGANT CONSULTING INC	07/08/03	10/17/03	28.39	23.89	4.50	ST
6	NAVIGANT CONSULTING INC	07/09/03	10/17/03	85.19	74.84	10.35	ST
11	NAVIGANT CONSULTING INC	07/10/03	10/17/03	156.19	136.58	19.61	ST
6	NAVIGANT CONSULTING INC	07/14/03	10/17/03	85.20	76.32	8.88	ST
6	NAVIGANT CONSULTING INC	07/15/03	10/17/03	85.20	74.92	10.28	ST
3	NAVIGANT CONSULTING INC	07/16/03	10/17/03	42.60	36.75	5.85	ST
5	NAVIGANT CONSULTING INC	07/17/03	10/17/03	71.00	59.51	11.49	ST
11	NETIQ CORP	04/23/02	10/17/03	126.94	260.87	(133.93)	LT
5	NETIQ CORP	05/07/02	10/17/03	57.70	100.00	(42.30)	LT
2	NETIQ CORP	06/14/02	10/17/03	23.08	39.91	(16.83)	LT
6	NETIQ CORP	06/21/02	10/17/03	69.24	117.60	(48.36)	LT
8	NETIQ CORP	01/08/03	10/17/03	92.32	105.15	(12.83)	ST
11	NETIQ CORP	04/25/03	10/17/03	126.94	131.89	(4.95)	ST
43	NETIQ CORP	07/24/03	10/17/03	496.25	469.52	26.73	ST
28	NETIQ CORP	09/18/03	10/17/03	323.13	376.18	(53.05)	ST
15	NETIQ CORP	10/16/03	10/17/03	173.12	171.79	1.33	ST
2	OWENS &MINOR INC NEW COM	09/25/02	10/17/03	46.09	28.58	17.51	LT
2	OWENS &MINOR INC NEW COM	09/30/02	10/17/03	46.09	28.74	17.35	LT
1	OWENS &MINOR INC NEW COM	10/01/02	10/17/03	23.04	14.15	8.89	LT
10	OWENS &MINOR INC NEW COM	10/02/02	10/17/03	230.50	141.32	89.18	LT
2	OWENS &MINOR INC NEW COM	10/07/02	10/17/03	46.10	27.87	18.23	LT
2	OWENS &MINOR INC NEW COM	10/08/02	10/17/03	46.10	27.90	18.20	LT
1	OWENS &MINOR INC NEW COM	10/09/02	10/17/03	23.05	13.73	9.32	LT
4	OLIN CORP $1 NEW	05/23/02	10/09/03	68.03	74.75	(6.72)	LT
1	OLIN CORP $1 NEW	05/30/02	10/09/03	17.01	19.27	(2.26)	LT
1	OLIN CORP $1 NEW	05/30/02	10/10/03	16.99	19.27	(2.28)	LT
1	OLIN CORP $1 NEW	05/30/02	10/13/03	17.14	19.28	(2.14)	LT
3	OLIN CORP $1 NEW	05/31/02	10/13/03	51.42	60.58	(9.16)	LT
1	OLIN CORP $1 NEW	06/03/02	10/13/03	17.15	19.26	(2.11)	LT
1	OLIN CORP $1 NEW	06/04/02	10/14/03	17.24	19.00	(1.76)	LT
2	OLIN CORP $1 NEW	06/05/02	10/14/03	34.51	38.00	(3.49)	LT
1	OLIN CORP $1 NEW	06/05/02	10/16/03	17.52	19.00	(1.48)	LT
2	OLIN CORP $1 NEW	06/06/02	10/16/03	35.06	37.83	(2.77)	LT
1	OLIN CORP $1 NEW	06/07/02	10/16/03	17.53	19.42	(1.89)	LT
2	OLIN CORP $1 NEW	06/07/02	10/17/03	35.19	38.85	(3.66)	LT
2	OLIN CORP $1 NEW	06/10/02	10/17/03	35.19	39.45	(4.26)	LT
2	OLIN CORP $1 NEW	06/11/02	10/17/03	35.19	40.37	(5.18)	LT
2	OLIN CORP $1 NEW	06/12/02	10/17/03	35.19	40.17	(4.98)	LT
2	OLIN CORP $1 NEW	06/13/02	10/17/03	35.19	40.17	(4.98)	LT
2	OLIN CORP $1 NEW	06/14/02	10/17/03	35.20	40.34	(5.14)	LT
1	OLIN CORP $1 NEW	06/17/02	10/17/03	17.60	20.09	(2.49)	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
5	OLIN CORP $1 NEW	07/24/02	10/17/03	88.00	89.46	(1.46)	LT
5	OLIN CORP $1 NEW	03/20/03	10/17/03	88.00	91.45	(3.45)	ST
4	OLIN CORP $1 NEW	03/21/03	10/17/03	70.40	77.74	(7.34)	ST
7	OLIN CORP $1 NEW	06/05/03	10/17/03	123.20	126.38	(3.18)	ST
7	OLIN CORP $1 NEW	06/06/03	10/17/03	123.21	125.59	(2.38)	ST
6	PERICOM SEMICONDUCTOR	07/23/02	10/17/03	64.43	54.24	10.19	LT
7	PERICOM SEMICONDUCTOR	07/25/02	10/17/03	75.17	62.97	12.20	LT
5	PERICOM SEMICONDUCTOR	07/26/02	10/17/03	53.69	45.00	8.69	LT
3	PERICOM SEMICONDUCTOR	07/30/02	10/17/03	32.22	28.47	3.75	LT
8	PERICOM SEMICONDUCTOR	07/31/02	10/17/03	85.91	75.71	10.20	LT
7	PERICOM SEMICONDUCTOR	08/01/02	10/17/03	75.18	64.03	11.15	LT
6	PERICOM SEMICONDUCTOR	08/02/02	10/17/03	64.45	55.23	9.22	LT
11	PAREXEL INTRNL CORP	01/14/03	10/17/03	187.42	121.55	65.87	ST
3	PAREXEL INTRNL CORP	01/27/03	10/17/03	51.11	39.00	12.11	ST
2	PAREXEL INTRNL CORP	01/29/03	10/17/03	34.07	25.81	8.26	ST
2	PAREXEL INTRNL CORP	02/12/03	10/17/03	34.08	26.20	7.88	ST
1	PAREXEL INTRNL CORP	02/26/03	10/17/03	17.04	14.26	2.78	ST
2	PAREXEL INTRNL CORP	02/27/03	10/17/03	34.08	28.44	5.64	ST
5	PAREXEL INTRNL CORP	02/28/03	10/17/03	85.20	70.85	14.35	ST
2	PAREXEL INTRNL CORP	10/16/03	10/17/03	34.09	34.14	(.05)	ST
35	PARAMETRIC TECHNOLOGY CP	03/28/02	10/17/03	128.44	207.03	(78.59)	LT
3	PARAMETRIC TECHNOLOGY CP	04/02/02	10/17/03	11.00	17.85	(6.85)	LT
27	PARAMETRIC TECHNOLOGY CP	04/03/02	10/17/03	99.08	159.40	(60.32)	LT
9	PARAMETRIC TECHNOLOGY CP	04/04/02	10/17/03	33.02	53.10	(20.08)	LT
21	PARAMETRIC TECHNOLOGY CP	04/05/02	10/17/03	77.07	122.47	(45.40)	LT
13	PARAMETRIC TECHNOLOGY CP	05/13/02	10/17/03	47.71	48.10	(.39)	LT
36	PARAMETRIC TECHNOLOGY CP	05/14/02	10/17/03	132.12	133.50	(1.38)	LT
9	PARAMETRIC TECHNOLOGY CP	02/28/03	10/17/03	33.03	19.35	13.68	ST
7	PARAMETRIC TECHNOLOGY CP	03/04/03	10/17/03	25.70	15.05	10.65	ST
3	PRG SCHULTZ INTL INC GA	02/27/02	10/17/03	17.99	28.95	(10.96)	LT
9	PRG SCHULTZ INTL INC GA	02/28/02	10/17/03	53.99	90.13	(36.14)	LT
7	PRG SCHULTZ INTL INC GA	03/01/02	10/17/03	41.99	71.39	(29.40)	LT
3	PRG SCHULTZ INTL INC GA	03/04/02	10/17/03	17.99	32.22	(14.23)	LT
6	PRG SCHULTZ INTL INC GA	03/05/02	10/17/03	35.99	65.92	(29.93)	LT
5	PRG SCHULTZ INTL INC GA	03/06/02	10/17/03	30.00	57.95	(27.95)	LT
5	PRG SCHULTZ INTL INC GA	03/07/02	10/17/03	30.00	61.75	(31.75)	LT
7	PRG SCHULTZ INTL INC GA	03/08/02	10/17/03	41.99	89.02	(47.03)	LT
1	PRG SCHULTZ INTL INC GA	03/11/02	10/17/03	6.00	12.65	(6.65)	LT
4	PRG SCHULTZ INTL INC GA	03/12/02	10/17/03	24.00	50.24	(26.24)	LT
5	PRG SCHULTZ INTL INC GA	03/13/02	10/17/03	30.00	63.33	(33.33)	LT
6	PRG SCHULTZ INTL INC GA	03/14/02	10/17/03	36.00	75.08	(39.08)	LT
7	PRG SCHULTZ INTL INC GA	03/15/02	10/17/03	42.00	90.89	(48.89)	LT
5	PRG SCHULTZ INTL INC GA	05/30/02	10/17/03	30.00	71.12	(41.12)	LT
9	PRG SCHULTZ INTL INC GA	05/31/02	10/17/03	54.00	126.70	(72.70)	LT
6	PRG SCHULTZ INTL INC GA	06/03/02	10/17/03	36.00	81.17	(45.17)	LT
4	PRG SCHULTZ INTL INC GA	06/04/02	10/17/03	24.00	52.51	(28.51)	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
7	PRG SCHULTZ INTL INC GA	10/17/02	10/17/03	42.00	63.98	(21.98)	ST
11	PRG SCHULTZ INTL INC GA	10/18/02	10/17/03	66.00	96.87	(30.87)	ST
6	PRG SCHULTZ INTL INC GA	10/21/02	10/17/03	36.00	50.40	(14.40)	ST
6	PRG SCHULTZ INTL INC GA	10/22/02	10/17/03	36.00	50.99	(14.99)	ST
2	PRG SCHULTZ INTL INC GA	10/23/02	10/17/03	12.00	17.94	(5.94)	ST
6	PRG SCHULTZ INTL INC GA	02/27/03	10/17/03	36.00	42.60	(6.60)	ST
12	PRG SCHULTZ INTL INC GA	02/28/03	10/17/03	72.00	84.63	(12.63)	ST
2	PRG SCHULTZ INTL INC GA	06/16/03	10/17/03	12.00	13.50	(1.50)	ST
12	PRG SCHULTZ INTL INC GA	06/19/03	10/17/03	72.00	83.87	(11.87)	ST
2	PRG SCHULTZ INTL INC GA	06/20/03	10/17/03	12.01	14.00	(1.99)	ST
5	PREMCOR INC	01/17/03	10/17/03	114.04	104.87	9.17	ST
11	PREMCOR INC	01/21/03	10/17/03	250.89	236.61	14.28	ST
3	PREMCOR INC	01/22/03	10/17/03	68.42	62.99	5.43	ST
20	PREMCOR INC	01/28/03	10/17/03	456.18	393.64	62.54	ST
4	PREMCOR INC	04/23/03	10/17/03	91.23	90.64	.59	ST
3	PREMCOR INC	04/28/03	10/17/03	68.42	66.51	1.91	ST
9	PREMCOR INC	04/29/03	10/17/03	205.29	197.44	7.85	ST
3	PREMCOR INC	06/09/03	10/17/03	68.43	62.08	6.35	ST
1	PREMCOR INC	06/10/03	10/17/03	22.81	20.89	1.92	ST
2	PREMCOR INC	06/16/03	10/17/03	45.62	42.93	2.69	ST
1	PREMCOR INC	06/18/03	10/17/03	22.81	21.49	1.32	ST
2	PREMCOR INC	06/19/03	10/17/03	45.63	43.52	2.11	ST
3	PHOENIX COS INC	12/13/02	10/13/03	35.55	20.23	15.32	ST
9	PHOENIX COS INC	12/13/02	10/14/03	107.17	60.71	46.46	ST
9	PHOENIX COS INC	12/16/02	10/14/03	107.18	65.59	41.59	ST
5	PHOENIX COS INC	12/16/02	10/15/03	59.79	36.45	23.34	ST
2	PHOENIX COS INC	12/17/02	10/15/03	23.93	14.30	9.63	ST
7	PHOENIX COS INC	12/17/02	10/16/03	83.34	50.05	33.29	ST
11	PHOENIX COS INC	12/17/02	10/17/03	130.56	78.65	51.91	ST
11	PHOENIX COS INC	12/18/02	10/17/03	130.56	78.43	52.13	ST
16	QUEST SOFTWARE INC COM	12/19/02	10/17/03	221.90	165.31	56.59	ST
8	QUEST SOFTWARE INC COM	12/20/02	10/17/03	110.95	87.28	23.67	ST
3	QUEST SOFTWARE INC COM	12/24/02	10/17/03	41.60	33.69	7.91	ST
2	QUEST SOFTWARE INC COM	12/26/02	10/17/03	27.74	21.97	5.77	ST
8	QUEST SOFTWARE INC COM	12/27/02	10/17/03	110.97	86.77	24.20	ST
1	RELIANCE STL & ALUM CO	04/03/01	10/17/03	26.42	22.20	4.22	LT
7	RELIANCE STL & ALUM CO	04/04/01	10/17/03	185.01	157.22	27.79	LT
27	RELIANCE STL & ALUM CO	01/02/98	10/17/03	713.57	536.67	176.90	LT
4	RESOURCES CONNECTION INC	03/27/01	10/13/03	99.79	71.33	28.46	LT
1	RESOURCES CONNECTION INC	03/27/01	10/14/03	24.94	17.83	7.11	LT
4	RESOURCES CONNECTION INC	03/27/01	10/17/03	104.59	71.34	33.25	LT
3	RESOURCES CONNECTION INC	03/28/01	10/17/03	78.44	51.19	27.25	LT
2	RESOURCES CONNECTION INC	03/29/01	10/17/03	52.29	37.33	14.96	LT
1	RESOURCES CONNECTION INC	05/08/01	10/17/03	26.14	24.50	1.64	LT
5	RESOURCES CONNECTION INC	05/09/01	10/17/03	130.75	122.33	8.42	LT
6	RESOURCES CONNECTION INC	05/11/01	10/17/03	156.90	146.53	10.37	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
5	RESOURCES CONNECTION INC	08/08/01	10/17/03	130.75	97.83	32.92	LT
3	RESOURCES CONNECTION INC	08/09/01	10/17/03	78.45	59.58	18.87	LT
2	RESOURCES CONNECTION INC	09/19/01	10/17/03	52.30	39.00	13.30	LT
7	REHABCARE GROUP INC COM	05/21/03	10/17/03	123.19	96.00	27.19	ST
23	REHABCARE GROUP INC COM	05/22/03	10/17/03	404.78	315.19	89.59	ST
20	SUPERIOR ENERGY SVCS INC	05/08/00	10/17/03	195.98	204.57	(8.59)	LT
13	SUPERIOR ENERGY SVCS INC	05/09/00	10/17/03	127.39	134.23	(6.84)	LT
13	SUPERIOR ENERGY SVCS INC	07/27/00	10/17/03	127.39	118.34	9.05	LT
15	SUPERIOR ENERGY SVCS INC	11/07/00	10/17/03	147.00	134.63	12.37	LT
13	SUPERIOR ENERGY SVCS INC	11/08/00	10/17/03	127.40	117.81	9.59	LT
130	SOLUTIA INC COM	08/26/03	10/17/03	370.48	500.75	(130.27)	ST
3	SIERRA PACIFIC RES NEW	08/07/01	10/17/03	16.64	45.84	(29.20)	LT
13	SIERRA PACIFIC RES NEW	08/08/01	10/17/03	72.14	198.97	(126.83)	LT
1	SIERRA PACIFIC RES NEW	08/09/01	10/17/03	5.54	14.99	(9.45)	LT
18	SIERRA PACIFIC RES NEW	08/10/01	10/17/03	99.90	278.16	(178.26)	LT
22	SIERRA PACIFIC RES NEW	09/25/01	10/17/03	122.11	329.47	(207.36)	LT
1	SCOTTS CO CL A PV$.01	02/26/02	10/17/03	56.70	45.50	11.20	LT
3	SCOTTS CO CL A PV$.01	03/13/02	10/17/03	170.12	140.96	29.16	LT
2	SCOTTS CO CL A PV$.01	03/14/02	10/17/03	113.41	94.06	19.35	LT
2	SCOTTS CO CL A PV$.01	03/15/02	10/17/03	113.41	94.00	19.41	LT
4	SCOTTS CO CL A PV$.01	03/19/02	10/17/03	226.84	187.52	39.32	LT
1	SCOTTS CO CL A PV$.01	03/25/02	10/17/03	56.71	44.49	12.22	LT
5	SEMTECH CORPORATION	09/19/02	10/17/03	113.73	50.20	63.53	LT
11	SEMTECH CORPORATION	09/20/02	10/17/03	250.21	110.00	140.21	LT
6	SEMTECH CORPORATION	10/16/02	10/17/03	136.48	71.40	65.08	LT
3	SEMTECH CORPORATION	10/17/02	10/17/03	68.24	39.27	28.97	ST
2	SEMTECH CORPORATION	12/11/02	10/17/03	45.49	24.00	21.49	ST
17	SEMTECH CORPORATION	12/12/02	10/17/03	386.70	200.12	186.58	ST
9	SEMTECH CORPORATION	12/13/02	10/17/03	204.72	103.35	101.37	ST
3	SEMTECH CORPORATION	12/16/02	10/17/03	68.24	35.21	33.03	ST
11	SEMTECH CORPORATION	12/18/02	10/17/03	250.22	126.85	123.37	ST
6	SEMTECH CORPORATION	03/20/03	10/17/03	136.48	97.59	38.89	ST
12	SEMTECH CORPORATION	06/23/03	10/17/03	272.97	179.19	93.78	ST
18	SEMTECH CORPORATION	06/24/03	10/17/03	409.47	260.55	148.92	ST
12	SIERRA HEALTH SVCS INC	08/22/03	10/17/03	274.90	237.79	37.11	ST
8	SIERRA HEALTH SVCS INC	08/25/03	10/17/03	183.27	151.92	31.35	ST
5	SIERRA HEALTH SVCS INC	09/15/03	10/17/03	114.55	103.96	10.59	ST
3	STERIS CORP	07/31/03	10/17/03	67.22	69.48	(2.26)	ST
3	STERIS CORP	08/01/03	10/17/03	67.22	68.46	(1.24)	ST
6	STERIS CORP	08/04/03	10/17/03	134.45	133.66	.79	ST
9	STERIS CORP	08/05/03	10/17/03	201.68	200.74	.94	ST
3	STERIS CORP	08/18/03	10/17/03	67.22	67.74	(.52)	ST
3	STERIS CORP	08/19/03	10/17/03	67.23	68.16	(.93)	ST
4	STERIS CORP	08/21/03	10/17/03	89.64	90.94	(1.30)	ST
6	STERIS CORP	08/22/03	10/17/03	134.45	135.72	(1.27)	ST
7	STERIS CORP	08/25/03	10/17/03	156.88	157.89	(1.01)	ST

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
9	TEKTRONIX INC	08/22/01	10/17/03	239.11	171.55	67.56	LT
11	TEKTRONIX INC	08/23/01	10/17/03	292.25	219.66	72.59	LT
6	TEKTRONIX INC	08/24/01	10/17/03	159.41	122.40	37.01	LT
14	TEKTRONIX INC	08/29/01	10/17/03	371.96	278.81	93.15	LT
3	TEKTRONIX INC	08/30/01	10/17/03	79.70	57.75	21.95	LT
5	TEKTRONIX INC	08/31/01	10/17/03	132.84	97.49	35.35	LT
5	TEKTRONIX INC	09/04/01	10/17/03	132.84	98.88	33.96	LT
9	TEKTRONIX INC	09/20/01	10/17/03	239.13	155.71	83.42	LT
4	TEKTRONIX INC	10/18/01	10/17/03	106.27	74.84	31.43	LT
7	TEKTRONIX INC	10/19/01	10/17/03	186.00	129.60	56.40	LT
1	TUESDAY MORNING CORP NEW	11/21/02	10/17/03	32.40	20.76	11.64	ST
1	TUESDAY MORNING CORP NEW	11/22/02	10/17/03	32.40	20.45	11.95	ST
1	TUESDAY MORNING CORP NEW	11/25/02	10/17/03	32.40	20.39	12.01	ST
1	TUESDAY MORNING CORP NEW	11/26/02	10/17/03	32.40	19.71	12.69	ST
2	TUESDAY MORNING CORP NEW	11/29/02	10/17/03	64.82	40.31	24.51	ST
1	TUESDAY MORNING CORP NEW	12/02/02	10/17/03	32.41	20.49	11.92	ST
5	TUESDAY MORNING CORP NEW	12/20/02	10/17/03	162.05	82.15	79.90	ST
1	TUESDAY MORNING CORP NEW	12/24/02	10/17/03	32.41	16.99	15.42	ST
1	TUESDAY MORNING CORP NEW	12/26/02	10/17/03	32.41	17.00	15.41	ST
1	TUESDAY MORNING CORP NEW	12/27/02	10/17/03	32.41	16.92	15.49	ST
3	TUESDAY MORNING CORP NEW	12/30/02	10/17/03	97.23	50.73	46.50	ST
1	TUESDAY MORNING CORP NEW	12/31/02	10/17/03	32.41	16.99	15.42	ST
2	TUESDAY MORNING CORP NEW	01/08/03	10/17/03	64.82	36.82	28.00	ST
5	TUESDAY MORNING CORP NEW	01/27/03	10/17/03	162.05	89.27	72.78	ST
3	TUESDAY MORNING CORP NEW	01/28/03	10/17/03	97.23	53.88	43.35	ST
3	TUESDAY MORNING CORP NEW	02/26/03	10/17/03	97.23	50.00	47.23	ST
1	TUESDAY MORNING CORP NEW	03/05/03	10/17/03	32.41	16.68	15.73	ST
3	TUESDAY MORNING CORP NEW	03/10/03	10/17/03	97.23	49.98	47.25	ST
3	TUESDAY MORNING CORP NEW	03/26/03	10/17/03	97.23	59.34	37.89	ST
2	TUESDAY MORNING CORP NEW	03/27/03	10/17/03	64.82	39.20	25.62	ST
3	TUESDAY MORNING CORP NEW	03/28/03	10/17/03	97.24	58.12	39.12	ST
1	TEEKAY SHIPPING CORP(MH)	05/16/02	10/16/03	43.63	39.99	3.64	LT
2	TEEKAY SHIPPING CORP(MH)	05/23/02	10/16/03	87.28	78.98	8.30	LT
2	TEEKAY SHIPPING CORP(MH)	05/28/02	10/16/03	87.28	79.62	7.66	LT
2	TEEKAY SHIPPING CORP(MH)	05/29/02	10/16/03	87.27	79.82	7.45	LT
4	TEEKAY SHIPPING CORP(MH)	05/30/02	10/16/03	174.57	159.12	15.45	LT
2	TEEKAY SHIPPING CORP(MH)	05/31/02	10/17/03	86.65	79.91	6.74	LT
9	UNITED THERAPEUTICS CORP	07/11/03	10/17/03	182.86	187.61	(4.75)	ST
4	UNITED THERAPEUTICS CORP	07/14/03	10/17/03	81.27	83.42	(2.15)	ST
5	UNITED THERAPEUTICS CORP	08/05/03	10/17/03	101.60	90.70	10.90	ST
4	UNITED THERAPEUTICS CORP	09/03/03	10/17/03	81.28	90.28	(9.00)	ST
4	VALSPAR CORP COM	04/24/01	10/17/03	190.27	113.65	76.62	LT
4	VALSPAR CORP COM	04/25/01	10/17/03	190.27	114.61	75.66	LT
3	VALSPAR CORP COM	04/26/01	10/17/03	142.70	89.58	53.12	LT
1	VALSPAR CORP COM	04/27/01	10/17/03	47.56	30.59	16.97	LT
10	VALSPAR CORP COM	05/14/01	10/17/03	475.68	317.55	158.13	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	VALSPAR CORP COM	07/26/02	10/17/03	95.13	77.38	17.75	LT
7	VALSPAR CORP COM	07/14/03	10/17/03	332.98	292.08	40.90	ST
3	VALSPAR CORP CON	07/15/03	10/17/03	142.71	126.00	16.71	ST
6	VARIAN INC	10/27/00	10/17/03	212.20	216.07	(3.87)	LT
9	VARIAN INC	10/30/00	10/17/03	318.31	276.75	41.56	LT
13	VARIAN INC	10/31/00	10/17/03	459.79	401.46	58.33	LT
5	VARIAN INC	11/08/00	10/17/03	176.84	164.82	12.02	LT
15	VARIAN INC	11/09/00	10/17/03	530.52	489.01	41.51	LT
14	VARIAN INC	03/27/01	10/17/03	495.16	349.01	146.15	LT
2	VARIAN INC	03/28/01	10/17/03	70.74	46.50	24.24	LT
1	VARIAN INC	03/29/01	10/17/03	35.37	23.50	11.87	LT
5	VARIAN INC	04/10/01	10/17/03	176.85	117.50	59.35	LT
15	VARIAN SEMICNDCTR EQ ASC	06/21/01	10/17/03	649.61	558.00	91.61	LT
5	VARIAN SEMICNDCTR EQ ASC	07/16/01	10/17/03	216.53	175.00	41.53	LT
11	VARIAN SEMICNDCTR EQ ASC	07/17/01	10/17/03	476.39	374.17	102.22	LT
1	VARIAN SEMICNDCTR EQ ASC	08/06/01	10/17/03	43.30	35.00	8.30	LT
4	VARIAN SEMICNDCTR EQ ASC	08/07/01	10/17/03	173.23	137.54	35.69	LT
5	VARIAN SEMICNDCTR EQ ASC	08/08/01	10/17/03	216.54	174.08	42.46	LT
5	VARIAN SEMICNDCTR EQ ASC	08/09/01	10/17/03	216.54	175.00	41.54	LT
14	VARIAN SEMICNDCTR EQ ASC	03/04/03	10/17/03	606.33	341.10	265.23	ST
21	VARCO INTL INC PV $0.01	03/15/00	10/17/03	345.86	399.55	(53.69)	LT
7	VARCO INTL INC PV $0.01	04/14/98	10/17/03	115.28	142.13	(26.85)	LS
21	VARCO INTL INC PV $0.01	08/07/98	10/17/03	345.85	443.50	(97.65)	LS
14	VARCO INTL INC PV $0.01	09/04/98	10/17/03	230.57	193.75	36.82	LS
10	WESTERN WIRELESS CP A	06/13/03	10/17/03	201.58	110.00	91.58	ST
1	WESTERN WIRELESS CP A	06/17/03	10/17/03	20.15	11.00	9.15	ST
8	WESTERN WIRELESS CP A	06/20/03	10/17/03	161.27	96.00	65.27	ST
19	WESTERN WIRELESS CP A	06/23/03	10/17/03	383.03	225.72	157.31	ST
9	WESTERN WIRELESS CP A	08/14/03	10/17/03	181.44	133.71	47.73	ST
2	WESTPORT RES CORP	12/26/01	10/17/03	49.63	33.60	16.03	LT
2	WESTPORT RES CORP	12/27/01	10/17/03	49.63	34.25	15.38	LT
2	WESTPORT RES CORP	12/28/01	10/17/03	49.63	34.78	14.85	LT
2	WESTPORT RES CORP	12/31/01	10/17/03	49.63	34.91	14.72	LT
2	WESTPORT RES CORP	01/02/02	10/17/03	49.63	33.59	16.04	LT
2	WESTPORT RES CORP	01/03/02	10/17/03	49.64	33.60	16.04	LT
2	WESTPORT RES CORP	01/04/02	10/17/03	49.63	35.15	14.48	LT
2	WESTPORT RES CORP	01/07/02	10/17/03	49.64	36.16	13.48	LT
2	WESTPORT RES CORP	01/08/02	10/17/03	49.64	35.00	14.64	LT
2	WESTPORT RES CORP	01/09/02	10/17/03	49.64	36.20	13.44	LT
2	WESTPORT RES CORP	01/10/02	10/17/03	49.64	35.43	14.21	LT
1	WESTPORT RES CORP	01/11/02	10/17/03	24.82	17.55	7.27	LT
1	WESTPORT RES CORP	01/14/02	10/17/03	24.82	17.06	7.76	LT
1	WESTPORT RES CORP	01/15/02	10/17/03	24.82	16.80	8.02	LT
1	WESTPORT RES CORP	01/16/02	10/17/03	24.82	17.19	7.63	LT
1	WESTPORT RES CORP	01/17/02	10/17/03	24.82	16.00	8.82	LT
1	WESTPORT RES CORP	01/18/02	10/17/03	24.82	15.97	8.85	LT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
1	WESTPORT RES CORP	01/22/02	10/17/03	24.82	16.07	8.75	LT
1	WESTPORT RES CORP	01/23/02	10/17/03	24.82	16.59	8.23	LT
1	WESTPORT RES CORP	01/24/02	10/17/03	24.82	16.93	7.89	LT
1	WESTPORT RES CORP	01/25/02	10/17/03	24.82	16.93	7.89	LT
1	WESTPORT RES CORP	01/28/02	10/17/03	24.82	16.20	8.62	LT
1	WESTPORT RES CORP	12/03/02	10/17/03	24.82	18.90	5.92	ST
4	WESTPORT RES CORP	01/16/03	10/17/03	99.28	80.00	19.28	ST
1	WESTPORT RES CORP	01/27/03	10/17/03	24.83	19.70	5.13	ST
3	WASTE CONNECTIONS INC	08/01/03	10/17/03	100.55	100.07	.48	ST
4	WASTE CONNECTIONS INC	08/04/03	10/17/03	134.07	133.69	.38	ST
3	WASTE CONNECTIONS INC	08/05/03	10/17/03	100.55	100.27	.28	ST
4	WASTE CONNECTIONS INC	08/06/03	10/17/03	134.08	133.77	.31	ST
2	WESTCORP INC	08/26/02	10/17/03	71.97	42.28	29.69	LT
1	WESTCORP INC	08/28/02	10/17/03	35.98	21.15	14.83	LT
1	WESTCORP INC	08/29/02	10/17/03	35.98	20.91	15.07	LT
3	WESTCORP INC	08/30/02	10/17/03	107.96	60.88	47.08	LT
1	WESTCORP INC	09/04/02	10/17/03	35.98	19.62	16.36	LT
1	WESTCORP INC	09/06/02	10/17/03	35.99	20.03	15.96	LT
1	WESTCORP INC	09/10/02	10/17/03	35.99	20.37	15.62	LT
1	WESTCORP INC	09/12/02	10/17/03	35.99	19.95	16.04	LT
1	WESTCORP INC	09/16/02	10/17/03	35.99	20.51	15.48	LT
1	WESTCORP INC	09/17/02	10/17/03	35.99	20.20	15.79	LT
1	WESTCORP INC	10/24/02	10/17/03	35.99	18.63	17.36	ST
1	WESTCORP INC	11/14/02	10/17/03	35.98	20.46	15.52	ST
1	WESTCORP INC	11/18/02	10/17/03	35.99	21.01	14.98	ST
1	WESTCORP INC	11/19/02	10/17/03	35.99	20.91	15.08	ST
1	WESTCORP INC	11/20/02	10/17/03	35.99	20.87	15.12	ST
1	WESTCORP INC	11/21/02	10/17/03	35.99	21.08	14.91	ST
1	WESTCORP INC	11/22/02	10/17/03	35.99	20.87	15.12	ST
1	WESTCORP INC	11/26/02	10/17/03	35.99	20.53	15.46	ST
2	WESTCORP INC	12/06/02	10/17/03	71.98	40.00	31.98	ST
1	WESTCORP INC	12/09/02	10/17/03	35.99	20.76	15.23	ST
1	WESTCORP INC	12/10/02	10/17/03	35.99	20.81	15.18	ST
1	WESTCORP INC	12/11/02	10/17/03	35.99	20.69	15.30	ST
1	WESTCORP INC	12/12/02	10/17/03	35.99	20.90	15.09	ST
1	WESTCORP INC	12/13/02	10/17/03	36.00	20.99	15.01	ST
6	WIND RIVER SYSTEMS INC	05/10/02	10/03/03	36.30	38.79	(2.49)	LT
13	WIND RIVER SYSTEMS INC	07/10/02	10/06/03	81.30	66.12	15.18	LT
2	WIND RIVER SYSTEMS INC	07/11/02	10/07/03	12.57	10.18	2.39	LT
8	WIND RIVER SYSTEMS INC	07/15/02	10/07/03	50.32	44.00	6.32	LT
4	WIND RIVER SYSTEMS INC	08/29/02	10/07/03	25.16	19.65	5.51	LT
1	WIND RIVER SYSTEMS INC	09/03/02	10/07/03	6.29	5.00	1.29	LT
3	WIND RIVER SYSTEMS INC	09/04/02	10/07/03	18.88	15.52	3.36	LT
4	WIND RIVER SYSTEMS INC	09/04/02	10/08/03	24.99	20.70	4.29	LT
1	WIND RIVER SYSTEMS INC	09/05/02	10/08/03	6.25	5.20	1.05	LT
4	WIND RIVER SYSTEMS INC	09/05/02	10/09/03	25.41	20.84	4.57	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
2	WIND RIVER SYSTEMS INC	09/05/02	10/10/03	12.18	10.42	1.76	LT
2	WIND RIVER SYSTEMS INC	09/11/02	10/10/03	12.19	11.00	1.19	LT
1	WIND RIVER SYSTEMS INC	09/11/02	10/13/03	6.32	5.50	.82	LT
2	WIND RIVER SYSTEMS INC	09/12/02	10/13/03	12.65	10.48	2.17	LT
2	WIND RIVER SYSTEMS INC	09/13/02	10/13/03	12.65	10.50	2.15	LT
6	WIND RIVER SYSTEMS INC	09/16/02	10/13/03	37.96	31.49	6.47	LT
3	WIND RIVER SYSTEMS INC	09/16/02	10/14/03	19.25	15.75	3.50	LT
6	WIND RIVER SYSTEMS INC	09/17/02	10/14/03	38.53	31.43	7.10	LT
2	XICOR INC	03/25/02	10/17/03	22.47	18.20	4.27	LT
4	XICOR INC	04/25/02	10/17/03	44.95	39.15	5.80	LT
3	XICOR INC	04/26/02	10/17/03	33.71	28.50	5.21	LT
3	XICOR INC	04/29/02	10/17/03	33.71	29.55	4.16	LT
3	XICOR INC	04/30/02	10/17/03	33.72	29.76	3.96	LT
4	XICOR INC	05/01/02	10/17/03	33.72	29.67	4.05	LT
2	XICOR INC	05/02/02	10/17/03	44.95	39.40	5.55	LT
8	XICOR INC	05/03/02	10/17/03	22.48	18.80	3.68	LT
2	XICOR INC	05/06/02	10/17/03	89.92	73.71	16.21	LT
2	XICOR INC	05/07/02	10/17/03	22.48	17.50	4.98	LT
2	XICOR INC	05/09/02	10/17/03	22.48	19.56	2.92	LT
1	XICOR INC	05/10/02	10/17/03	22.48	19.22	3.26	LT
2	XICOR INC	05/13/02	10/17/03	11.24	9.60	1.64	LT
2	XICOR INC	05/14/02	10/17/03	22.48	19.98	2.50	LT
2	XICOR INC	05/15/02	10/17/03	22.48	19.92	2.56	LT
1	XICOR INC	05/16/02	10/17/03	22.48	18.66	3.82	LT
2	XICOR INC	05/17/02	10/17/03	11.24	9.64	1.60	LT
2	XICOR INC	05/20/02	10/17/03	22.48	18.10	4.38	LT
2	XICOR INC	05/21/02	10/17/03	22.48	18.40	4.08	LT
4	XICOR INC	05/22/02	10/17/03	22.48	18.16	4.32	LT
2	XICOR INC	05/23/02	10/17/03	44.96	35.40	9.56	LT
3	XICOR INC	05/24/02	10/17/03	22.48	17.56	4.92	LT
7	XICOR INC	05/28/02	10/17/03	33.72	25.89	7.83	LT
2	XICOR INC	07/10/02	10/17/03	78.68	33.71	44.97	LT
2	XICOR INC	07/11/02	10/17/03	22.48	8.98	13.50	LT
1	XICOR INC	07/12/02	10/17/03	22.48	10.04	12.44	LT
12	WEBMETHODS INC	07/15/02	10/17/03	11.25	5.28	5.97	LT
6	WEBMETHODS INC	10/30/01	10/17/03	107.27	111.39	(4.12)	LT
5	WEBMETHODS INC	10/31/01	10/17/03	53.63	56.67	(3.04)	LT
8	WEBMETHODS INC	05/06/02	10/17/03	44.69	54.93	(10.24)	LT
7	WEBMETHODS INC	05/07/02	10/17/03	71.51	81.92	(10.41)	LT
6	WEBMETHODS INC	05/15/02	10/17/03	62.57	91.77	(29.20)	LT
24	WEBMETHODS INC	06/14/02	10/17/03	53.63	49.80	3.83	LT
20	WEBMETHODS INC	06/19/02	10/17/03	214.56	206.22	8.34	LT
18	WEBMETHODS INC	09/19/03	10/17/03	178.80	194.70	(15.90)	ST
1	WILSON GREATBATCH TECHS	09/22/03	10/17/03	160.93	173.96	(13.03)	ST
6	WILSON GREATBATCH TECHS	01/03/02	10/17/03	38.21	35.00	3.21	LT
		01/30/02	10/17/03	229.30	173.04	56.26	LT

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
7	WILSON GREATBATCH TECHS	01/31/02	10/17/03	267.53	195.06	72.47	LT
3	WILSON GREATBATCH TECHS	02/26/02	10/17/03	114.65	81.14	33.51	LT
3	WILSON GREATBATCH TECHS	02/27/02	10/17/03	114.66	81.00	33.66	LT
4	WILSON GREATBATCH TECHS	02/28/02	10/17/03	152.88	105.96	46.92	LT

LS This transaction may be subject to reduced capital gains tax rates on five year gains.
__ Please consult your tax advisor for more information.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
	CASH			6		6				
Total Cash and Money Accounts				6		6				
Total of Long Portfolio				6		6				

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
10/01	Purchase	12	ARRIS GROUP INC WE MAKE A MKT IN ISSUE PRICE 5.831000 TRADE DATE 09/26/03 CUS NO 04269Q100 SEC NO 019D9 PRINCIPAL 69.976 EXECUTED O.T.C. WE ACTED AS YOUR AGENT	5.831	69.97	
10/01	Purchase	3	DREXLER TECHNOLOGY DEL WE MAKE A MKT IN ISSUE PRICE 15.515300 TRADE DATE 09/26/03 CUS NO 261876106 SEC NO 24554 PRINCIPAL 46.554 EXECUTED O.T.C. WE ACTED AS YOUR AGENT	15.515	46.55	
10/01	Purchase	1	GREATER BAY BANCORP	21.270	21.27	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 18 P 1:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Gerald C. Weller
(Full Name)

1309 Union St
Morris, IL 60450
(Mailing Address)

Daytime Telephone: 202.225.3635

Filer Status	[X] Member of the U.S. House of Representatives — State: Illinois District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gerald C. Weller	5/17/04

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; UNEARNED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Transaction (P, S, E)
SP	Mega Corp. Stock	X	DIVIDENDS		P
DC	Simon & Schuster	Indefinite	INTEREST	X	
JT	1st Bank of Paducah, KY accounts		Royalties	X	
	Prudential Jennison Growth Fund	X	DIVIDENDS; CAPITAL GAIN	X	
	Prudential Small Company Fund IRA	X	DIVIDENDS; CAPITAL GAIN	X	
	Prudential Equity Fund	X	DIVIDENDS; CAPITAL GAIN	X	
	Morris Community Credit Union	X	INTEREST	X	
	Duplex-Deerpath & Quail – Morris, IL	X	DIVIDENDS	X	E
	1309 A Union St – Morris, IL	X	DIVIDENDS	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gerald C. Weller

Page 2 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FDIC/1203 C Lisbon Rd-Memis, IL					X								X												X						E
	115 D St SE, WDC #109						X							X										X								
	Lot, San Juan del Sur, Nicaragua					X											N/A							N	/	A						

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SCHEDULE IV — TRANSACTIONS

Name Gerald C. Weller | Page 3 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Duplex - Deerpath & Quail - Morris, IL		X		10/3/03				X						
	Condo - 1803 C Lisbon Rd, Morris, IL		X		8/01/03			X							

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Name Gerald C. Weller | Page 4 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Grundy County National Bank - Morris, IL	Mortgage - Deerpath & Quail		X			
	Grundy County National Bank - Morris, IL	Mortgage - 1309 A Union					
	Morris Building & Loan - Morris, IL	Mortgage - 1801C/1803C Lisbon Rd.					
	Homecomings Financial Corp. - Carol Stream, IL	Home Equity Loan	X				
	USBank - Washington, DC	Mortgage - 115 D St. SE #109, WDC		X			
	National Capital Bank of WDC	Home Equity Loan	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

5502

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American Association of Railroads	Jan 16-20	Chicago - Miami - Chicago	Y	Y	N	N
Public Affairs Council	Jan 22-24	Chicago - Tampa - Chicago	Y	Y	N	N
Chicago Mercantile Exchange	Mar 13-16	WDC - Ft. Lauderdale - WDC	Y	Y	N	N
NASDAQ	Mar 28-31	WDC - Key Biscayne - WDC	Y	Y	N	N
Federation of Chambers of Commerce of Sri Lanka	Jun 28-Jul 3	WDC - Colombo, Sri Lanka - WDC	Y	Y	N	N
Puerto Rico Bankers Association	July 18-21	WDC - San Juan, PR - WDC	Y	Y	N	N
Dominican Assn of Free Zone Industries	Aug 20-23	San Juan, PR - Dom Rep - Chicago	Y	Y	N	N
Dominican American Nat'l Roundtable	Oct 10-11	Chicago - Atlantic City	Y	N	N	N
Fire Emergency Management Services	Oct 11-12	Atlantic City - San Francisco - Chicago	Y	N	N	N

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Name Gerald C. Weller	Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Congressional Board of Advisors	Congressional Youth Leadership Council

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

5504

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:02
(Office Use Only)

Robert Wexler
(Full Name)

7370 Viale Caterina
Delray Beach FL 33446
(Mailing Address)

202-225-3001
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: Florida District: 19	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert Wexler	5/12/04

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Name	Robert Wexler	Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Association for International Road Safety	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Robert Wexler

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP — Mega Corp. Stock			DIVIDENDS: X		P
Simon & Schuster		Indefinite	Royalties	X	
1st Bank of Paducah, KY accounts		X	INTEREST: X		
Orbus		X	CAPITAL GAIN: X	X	
4 stats com		X	CAPITAL GAIN: X	X	
Eagle Building	X		Bond/loan	X	

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name Robert Wexler

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NONE														

This page may be copied if more space is required.

5509

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability								
				C $15,001 – $50,000		E $100,001 – $250,000		G $500,001 – $1,000,000		I $5,000,001 – $25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	NONE										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Chinese International Economic Cooperation Assoc.	Jan. 15-17, 2003	Wash. D.C. - Taiwan - Wash. D.C.	Y	Y	NO	NO
	International Management + Development Institute	Feb. 18-21, 2003	Wash. D.C. - Germany - Wash. D.C.	Y	Y	NO	NO
	Friedrich Ebert Stiftung	Nov. 8-11, 2003	Wash. D.C. - Germany - Wash. D.C.	Y	Y	NO	NO

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

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2004 MAY 18 PM 4:43

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

Wayne Edward Whitfield
(Full Name)

301 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-3115
Daytime Telephone:

HAND DELIVERED
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: KY District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward Whitfield	5-18-04

5513

SCHEDULE I - EARNED INCOME

Name Wayne Edward Whitfield

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
CSX Corporation	Retirement Benefit	$16,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
AOL Time Warner	$1,001 - $15,000	DIVIDENDS	$1 - $200	
AT&T Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
C&K Drilling (LP)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
Chase Manhattan (JPM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Chevron Stock	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
Cisco	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

	Citicorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Coastline Credit Union	$1 - $1,000	INTEREST	$1 - $200	
	Coca-Cola Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Commercial Real Estate– Huntington Beach, CA	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Congressional Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
	CSX (401K)	$100,001 - $250,000	DIVIDENDS/INTEREST	$201 - $1,000	
	CSX Corp. Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Duke Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Exxon	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Fidelity Blue Chip Fun	$15,001 - $50,000	CAPITAL GAINS	$1 - $200	
	Fidelity IRA	$100,001 - $250,000	INTEREST/CAPITAL GAINS	$1,001 - $2,500	
	Flavorx Stock	$15,001 - $50,000			
	General Electric	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Hewlett-Packard	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

	Intel	$15,001 - $50,000	DIVIDENDS	NONE	
	Marshall & Isley Bank	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Maxim Integrated Pro.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	May Department Store	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Nokia **Stock was partially sold in 2002.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Northwestern Annuity	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
	Oak Aoc. Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Payless Shoes **Stock partially sold in 2002.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Pfizer	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Rental Property--Vista Del Lida, Newport Beach, CA	$100,001 - $250,000	RENT	$15,001 - $50,000	
	Riggs Bank	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schering-Plough	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schwab Account Cash	$1 - $1,000	INTEREST	$1 - $200	
	Schwab IRA (A)	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

	Schwab IRA (B)	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	
	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	TIA-CREFF	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	U.S. Government Thrift	$100,001 - $250,000	DIVIDENDS/INTEREST	$201 - $1,000	
	United Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Vacant Lot–Greenbriar Sporting Club–Travelers Hill, White Sulphur Springs, WV	$100,001 - $250,000			
	Vacant Lot–Madisonville, KY	$1,001 - $15,000			
	Vanguard GNMA	$15,001 - $50,000	DIVIDENDS/INTEREST	$1 - $200	
	Vanguard Interved Min.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Vanguard Midcap Index	$15,001 - $50,000	DIVIDENDS/INTEREST	$1 - $200	
	Vanguard S&P 500 Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Vanguard Tax Managed (Fd)	$15,001 - $50,000	DIVIDENDS/INTEREST	$1 - $200	
	Vanguard Windsor II	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Williams Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

	Xerox	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Amgen Stock	S	10-17-03	$1,001 - $15,000
	Johnson & Johnson Stock	S	6-10-03	$15,001 - $50,000
	Vanguard US Value Mutual Fund	S	12-24-03	$15,001 - $50,000
	Vacant Lot--Hopkinsville, KY	Other	11-12-03 Donated to Habitat for Humanity	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Wayne Edward Whitfield

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	BB&T Bank-Charleston, WV	Mortgage-Lot 26 Greenbriar, White Sulphur Springs, WV	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Wayne Edward Whitfield

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The American Society of Interventional Pain Physicians	Mar. 21-22	Wash.,D.C.--San Diego--Wash., D.C.	Y	Y	N	N/A
National Cable and Telecommunications Association	June 7-9	Wash., D.C.--Chicago--Wash., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Wayne Edward Whitfield

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Governors	Wesley Theological Semiary, Washington D.C.

ROGER F. WICKER
1st District, Mississippi

APPROPRIATIONS COMMITTEE
DEFENSE SUBCOMMITTEE
LABOR-HHS-EDUCATION SUBCOMMITTEE
VICE CHAIRMAN FOREIGN OPERATIONS, EXPORT FINANCING AND RELATED PROGRAMS SUBCOMMITTEE

BUDGET COMMITTEE

HOUSE REPUBLICAN POLICY COMMITTEE
DEPUTY MAJORITY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

2455 Rayburn House Office Building
Washington, DC 20515
(202) 225-4306
email: roger.wicker@mail.house.gov
internet: http://www.house.gov/wicker/welcome.html

500 West Main Street, Suite 210
P.O. Box 1482
Tupelo, MS 38802
(662) 844-5437

8700 Northwest Drive, Suite 102
P.O. Box 70
Southaven, MS 38671
(662) 342-3942

523 Main Street
Columbus, MS 39701
(662) 327-0748

1360 Sunset Drive, Suite 2
Gulfport, MS 39507

HAND DELIVERED

May 17, 2004

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2004 MAY 17 PM 3:44
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U.S. HOUSE OF REPRESENTATIVES

MC

The Honorable Joel Hefley, Chairman
The Honorable Alan B. Mollohan, Ranking Minority Member
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, DC 20515

Dear Joel and Alan,

Allow me to add this explanatory note to my 2003 Financial Disclosure Statement. This report eliminated a number of entries that occurred on my 2002 Schedule III. One of these previously reported entries was WorldCom stock. Bankruptcy reorganization effectively eliminated my ownership of this stock.

Further, the following items were previously reported on my disclosure as "DC". These items are owned solely by my eldest daughter, who is no longer a dependent child:

SPY Stock
Eaton Vance Growth Fund
Eaton Vance Emerging Growth Fund
Munder Net-Net Fund
Nasdaq 100 Trust
WorldCom Stock
Ann Taylor Stores Stock

Thank you for your attention to this matter. Please contact me should you require additional information or clarification.

With best wishes, I am

Sincerely yours,

Roger

Roger F. Wicker

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 3:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Roger F. Wicker
(Full Name)

2455 Rayburn Building Washington, DC 20515
(Mailing Address)

202-225-4306
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MS District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Roger F. Wicker	5/17/04

5525

SCHEDULE I - EARNED INCOME

Name Roger F. Wicker

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Long Enterprises	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	511 Bashford Lane, Alexandria, VA	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	Peoples Bank Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
	Furniture Brands International Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Schering-Plough Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Schering-Plough Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Lucent Technologies Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker

	Avaya Stock	$1 - $1,000	DIVIDENDS	NONE	
	Amazon.com Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Bio-Key Stock	$1 - $1,000	DIVIDENDS	NONE	
SP	American Funds IRA	$1,001 - $15,000	NA	NONE	
	Putnam Growth IRA	$15,001 - $50,000	NA	NONE	

SCHEDULE V - LIABILITIES

Name Roger F. Wicker

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chevy Chase Bank, MD	Mortgage on 511 Bashford Lane, Alexandria, VA	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 17 PM 3:38

(45)

HAND DELIVERED
(Office Use Only)

Heather Wilson
(Full Name)

20 First Plaza Suite 603 505 346 6789
Albuquerque NM 87102
(Mailing Address) Daytime Telephone: 202 225 6316

Filer Status	[X] Member of the U.S. House of Representatives — State: NM District: 01	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Heather Wilson	5-16-04

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NM Air Guard, Albuquerque, NM	Spouse Salary	NA
	Hone Law Firm, Albuquerque, NM	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

05/17/2004 MON 14:27 [TX/RX NO 6014] 0005

Name Heather Wilson | Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
HBO, Real Time with Bill Maher	TV Appearance	Sep 20, 2003	$800

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Heather Wilson

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA, (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples: SP Mega Corp. Stock	X	X	X	P
	Examples: Simon & Schuster	Indefinite	Royalties	X	
	Examples: 1st Bank of Paducah, KY accounts	X	X	X	
	Checking Acct, BofA, NM	X	X	X	
	Checking Acct, BofA, Texas	X	X	X	
	Condo Apartment 4701 Green Ave Austin	X	X	X	
	Schwab SEP IRA	See Attch			
SP	House 4016 Lafayette NE, ABQ	X	X	X	
SP	6 Unimproved lots, Valencia CO	X		X	

...ssets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [illegible]	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L [illegible]	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Union [illegible] Vet, Colfax Co NM			X																	X											
SP	Hand Law Firm					X															X											
SP	Sandia Area Federal CU			X												X							X									
SP	Bank of the West	X														X							X									
SP	Wells Fargo Checking						X									X							X									
SP	Kirtland Federal Credit Union															X							X									
SP	Rodey Law Firm 401(K)					X															X											
SP	T Rowe Price Tax Free Int Fund																															
SP	T Rowe Price Prime Reserve			X										X									X									
SP	T Rowe Price High Yield																															
SP	Schwab One	Sold		A																												
SP	TD Waterhouse	Sold		A																												
SP	Siegel Trading	X																			X											
DC	Schwab Investment Account	Sold		A																												
DC	Schwab Investment Account	Sold		A																												
SP	Cigna Investment Sic IRA	Sold		A			X							X		X							X									
SP	Schwab S&P 401A	Sold		A																												
SP	Schwab IRA	Sold		A																												
SP	House 731 Player Loop, Rio Rancho NM						X									X					X											
SP	House 5553 Hayes, NW, Alb, NM						X									X								X								
SP	House 6737 Ranchitos, NE, Alb					X															X											
SP	Bank of Albuquerque 401 checking acct			X												X							X									

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SCHEDULE IV — TRANSACTIONS

Name Heather Wilson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
SP	Home 931 Player Loop, Rio Rancho, NM	X			6-23-03				X						
SP	House 5433 Hayes, NW, Alb, NM	X			7-28-03			X							
SP	House 6737 Ranchitos, NE, Alb, NM	X			11-11-03		X								
	See also attch records of transactions														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Washington Mutual, Milwaukee WI	Mortgage on 4701 Penn. Ave					
	National City Mortgage, Dayton, OH	" " 931 Player Loop		X			
	" " " " "	" " 5433 Hayes		X			
	Wells Fargo, Albuquerque	Line of Credit / House		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received b you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, an the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directl by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under th Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided t a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HBO, Real Time w/ Bill Maher	Sep 19-20	ABQ NM - Los Angeles - ABQ NM	Y	Y	N	N

This page may be copied if more space is required.

Name Heather Wilson | Page 9 of 9

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Continuing	State of NM, Public Employees Retirement System	4 years earned service w/ PERA. Vesting is at 5 years. No payments currently received.

Use additional sheets if more space is required.

charles SCHWAB

Account Statement
Retain for Your Records

Contributory IRA
Account Number: 4461-1597

Statement Period: December 1, 2003 to December 31, 2003
Last Statement: November 30, 2003

SCHWAB signature platinum

Visit our website at: schwab.com
Questions? Call 1-800-435-9050

Account Opened in: 1983
Page 1

JAY R HONE
CHARLES SCHWAB & CO INC.CUST
IRA CONTRIBUTORY
PO BOX 10565
ALBUQUERQUE NM 87184-0565

0150069

Account Value Summary	
Cash & Sweep Money Market Funds	$ 117.42
Investments	$ 46,091.53
Total Account Value	**$ 46,208.95**

Change In Value Summary	
Change In Value Since November 30, 2003:	$ (1,704.28)
Change In Value Since January 1, 2003:	$ (4,412.40)

Rate Summary	
Schwab MMF	0.39%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
CASH					$ 0.15
SCHWAB MONEY MARKET FUND	SWMXX	117.2700	L	$ 1	117.27
Investments					
BETHLEHEM STEEL CORP	BHMSQ	700	L	$ 0.0095	$ 6.65
E M C CORP MASS	EMC	450	L	12.9200	5,814.00
FONAR CORP	FONR	1,048	L	1.1600	1,206.20
FONAR NON VTG CL A PFD NON VOTING CLASS A		200	L	0.0001	0.02
GOODYEAR TIRE & RUBBER	GT	200	L	7.8600	1,572.00
HOLLIS EDEN PHARM INC	HEPH	1,000	L	11.0100	11,010.00
INTEL CORP	INTC	7.3148	L	32.2000	235.54
MESA AIR GROUP INC NEV	MESA	224	L	12.5200	2,804.48
MICROSOFT CORP	MSFT	600	L	27.5400	16,524.00
MUSE TECHNOLOGIES INC	MUZE	3,000	L	0.0001	0.30
SHAMAN PHARMACEUTCLS NEW	SHPH	1	L	0.0021	
SOLECTRON CORP DEL	SLR	542	L	5.9100	3,203.22

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CNCO3708-012008 120404

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05/17/2004 MON 14:27 [TX/RX NO 5014] 012

charles SCHWAB

Account Statement
Retain for Your Records

Contributory IRA
Account Number: 4461-1597

Statement Period: December 1, 2003 to December 31, 2003
Page 2

Investment Detail (continued)

Description	Symbol	Quantity Long/Short		Price	Market Value
Investments (continued)					
SPIEGEL INC CL A NON-VTG	SPGLQ	3,000	L	$ 0.0550	$ 165.00
SUNGOLD INTL HLDGS CORPF	SGIHF	3,150	L	0.0470	148.05
V P G I CORPORATION	VPGI	250	L	0.1200	30.00
FIDELITY VALUE FUND Q	FDVLX	54.3430	L	62.0700	3,373.07
	Total Account Value				$ 46,208.95

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 0.15
12/05	12/05	LT Cap Gain Rein	FIDELITY VALUE FUND			2.70
12/05	12/05	Cash Dividend	FIDELITY VALUE FUND			12.44
Investments Activity						
12/05	12/05	Reinvested Shares	FIDELITY VALUE FUND	0.2090	$ 59.4500	$ (12.44)
12/05	12/05	Reinvested Shares	FIDELITY VALUE FUND	0.0450	59.4500	(2.70)
12/16	12/16	Name Change	SUNGOLD ENTMT CORP XXX NC TO SUNGOLD INTL	(3,150)		
12/16	12/16	Name Change	SUNGOLD INTL HLDGS CORPF	3,150		

Contribution Summary

	2002	2003
Traditional IRA	$ 0.00	$ 0.00
Year to Date Total:	$0.00	$0.00

Income Summary

Description	This Period	Year to Date
Money Funds Dividends		$ 75.15
Cash Dividends	$ 12.59	177.04
Total Capital Gains	2.70	2.70
Total Income	$ 15.29	$ 254.89

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

SCHWAB *signature platinum*

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CNCO2706-013009 1201056

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All Transactions For All Dates

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Date	Action	Quantity	Symbol	Description	Price	Amo
12/16/2003		3150.0000	SGIHF	SUNGOLD INTL HLDGS CORPF type: NC		
12/16/2003		-3150.0000		SUNGOLD ENTMT CORP XXXN/C TO SUNGOLD INTL type: NC		
12/05/2003	Buy	0.2090	FDVLX	FIDELITY VALUE FUND type: REINVEST DIVIDEND	$59.45000	-$12.
12/05/2003	Buy	0.0450	FDVLX	FIDELITY VALUE FUND type: REINVEST DIVIDEND	$59.45000	-$2.
12/05/2003			FDVLX	FIDELITY VALUE FUND type: LT CAP GAIN REIN		$2.
12/05/2003			FDVLX	FIDELITY VALUE FUND type: CASH DIVIDEND		$12.
12/01/2003			INTC	INTEL CORP type: QUALIFIED DIV		$0.
11/10/2003	Buy	96.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$96.
11/07/2003			MSFT	MICROSOFT CORP type: QUALIFIED DIV		$96.
10/23/2003		-500.0000		LEGATO SYSTEMS INC XXXSTOCK MERGER type: MERGER		
10/23/2003		450.0000	EMC	E M C CORP MASS type: MERGER		
10/15/2003		3.7200	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
10/06/2003	Sell	3949.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$3949.
10/01/2003	Buy	100.0000	HEPH	HOLLIS EDEN PHARM INC	$19.60000	-$1974.
10/01/2003	Buy	100.0000	HEPH	HOLLIS EDEN PHARM INC	$19.60000	-$1974.
09/29/2003	Sell	23674.6500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$23674.
09/24/2003	Buy	100.0000	HEPH	HOLLIS EDEN PHARM INC	$29.46000	-$2949.

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09/24/2003	Buy	700.0000	HEPH	HOLLIS EDEN PHARM INC	$29.57000	-$20725.
09/15/2003		8.2300	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
09/08/2003		150.0000	SGGNF	SUNGOLD ENTMT CORP F type: SHARE DIVIDEND		
09/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$0.
08/15/2003		8.9700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
08/15/2003		250.0000	VPGI	V P G I CORPORATION type: NC		
08/15/2003		-250.0000		UNIVIEW TECH CORP NEWXXXN/C TO VPGI CORP type: NC		
07/23/2003		-5000.0000		P S C INC ^XXBANKRUPTCY REORG		
07/15/2003		9.8500	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
06/16/2003		12.6700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
06/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$0.
05/15/2003		12.5300	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
04/15/2003		12.4500	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
03/20/2003	Buy	23089.4900	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$23089.
03/17/2003		2.1600	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
03/14/2003	Sell	1000.0000	GLW	CORNING INC	$5.87000	$5839.
03/14/2003	Sell	1000.0000	INTC	INTEL CORP	$17.28010	$17249.
03/10/2003	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.
03/07/2003			MSFT	MICROSOFT CORP type: ORD DIV - CASH		$48.
03/04/2003	Buy	20.1500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$20.
03/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$20.
02/18/2003		3.0700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
02/14/2003		300.0000	MSFT	MICROSOFT CORP type: STOCK SPLIT		
01/15/2003		1.5000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
12/31/2002		5.0700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
12/30/2002			FDVLX	FIDELITY VALUE FUND type: ORD INC DIV REINV.		$0.
12/30/2002	Buy	0.0120	FDVLX	FIDELITY VALUE FUND type: REINVEST DIVIDEND	$46.11000	-$0.
12/17/2002	Buy	24.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$24.
12/16/2002			GT	GOODYEAR TIRE & RUBBER type: ORD DIV - CASH		$24.
12/06/2002	Buy	0.4000	FDVLX	FIDELITY VALUE FUND type: REINVEST DIVIDEND	$46.93000	-$18.
12/06/2002			FDVLX	FIDELITY VALUE FUND		$18.

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Account Statement
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Simplified Employee Plan
Account Number: 4461-1603

Statement Period: November 1, 2003 to December 31, 2003
Last Statement: October 31, 2003

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Account Opened In: 1999
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31/13-CNCC2706-013010-SML-87184065005 1201099 *1-2
JAY R HONE
CHARLES SCHWAB & CO INC.CUST
SEP-IRA
PO BOX 10565
ALBUQUERQUE NM 87184-0565

013010

Account Value Summary	
Cash & Sweep Money Market Funds	$ 269.40
Investments	$ 22,409.40
Total Account Value	$ 22,678.80

Change In Value Summary	
Change In Value Since October 31, 2003:	$ (4,840.04)
Change In Value Since January 1, 2003:	$ (7,422.65)

Rate Summary	
Schwab US Treas MF	0.36%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
SCHWAB US TREAS MONEY FD	SWUXX	269.4000	L	$ 1	$ 269.40
Investments					
ENTEGRIS INC	ENTG	750	L	$ 12.8500	$ 9,637.50
HOLLIS EDEN PHARM INC	HEPH	700	L	11.0100	7,707.00
TAKEOUTMUSIC.COM NEW	TKMU	8	L	0.1000	0.80
VANGUARD 500 INDEX FUND INVESTOR SHARES Q	VFINX	49.3240	L	102.6700	5,064.10
Total Account Value					$ 22,678.80

C00013010010 2K

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
12/26	12/26	Cash Dividend	VANGUARD 500 INDEX FUND INVESTOR SH			$ 23.08

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Account Statement
Retain for Your Records

Simplified Employee Plan
Account Number: 4461-1603

Statement Period: November 1, 2003 to December 31, 2003
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Transaction Detail (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Investments Activity						
12/26	12/25	Reinvested Shares	VANGUARD 500 INDEX FUND INVESTOR SHARES	0.2280	$ 101.1500	$ (23.08)

Contribution Summary

	2002	2003
SEP IRA	$ 11,000.00	$ 0.00
Year to Date Total:	$11,000.00	$0.00

Income Summary

Description	This Period	Year to Date
Money Funds Dividends		$ 52.29
Cash Dividends	$ 23.08	92.38
Total Income	$ 23.08	$ 144.67

Footnotes For Your Account

◊ Dividends paid on this security will be automatically reinvested.

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Date	Action	Quantity	Symbol	Description	Price	Amo
12/26/2003			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES type: CASH DIVIDEND		$23.
12/26/2003	Buy	0.2280	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES type: REINVEST DIVIDEND	$101.15000	-$23.
10/15/2003		2.6600	SWUXX	SCHWAB US TREAS MONEY FD type: ORD DIV		
10/01/2003	Sell	2520.9500	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	$2520.
09/29/2003	Sell	17729.9500	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	$17729.
09/26/2003	Buy	0.1910	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES type: REINVEST DIVIDEND	$92.08000	-$17.
09/26/2003			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES type: ORD INC DIV REINV.		$17.
09/26/2003	Buy	100.0000	HEPH	HOLLIS EDEN PHARM INC	$24.91000	-$2520.
09/24/2003	Buy	380.0000	HEPH	HOLLIS EDEN PHARM INC	$29.50000	-$11228.
09/24/2003	Buy	220.0000	HEPH	HOLLIS EDEN PHARM INC	$29.50000	-$6500.
09/15/2003		6.3200	SWUXX	SCHWAB US TREAS MONEY FD type: ORD DIV		
08/15/2003		6.9900	SWUXX	SCHWAB US TREAS MONEY FD type: ORD DIV		
07/15/2003		7.4300	SWUXX	SCHWAB US TREAS MONEY FD type: ORD DIV		
06/20/2003	Buy	0.1590	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES type: REINVEST DIVIDEND	$91.94000	-$14.
06/20/2003			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES		$14.

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				type: ORD INC DIV REINV.		
06/16/2003		9.5400	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
05/15/2003		9.2600	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
04/15/2003		8.5700	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
03/28/2003			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES		$14.
				type: ORD INC DIV REINV.		
03/28/2003	Buy	0.1830	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES	$79.69000	-$14.
				type: REINVEST DIVIDEND		
03/28/2003	Buy	2257.4800	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$2257.
03/20/2003	Sell	125.0000	INTC	INTEL CORP	$18.30000	$2257.
03/20/2003	Buy	17269.5200	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$17269.
03/17/2003		0.5400	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
03/14/2003	Sell	1000.0000	INTC	INTEL CORP	$17.30000	$17269.
03/04/2003	Buy	22.5000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$22.
03/01/2003			INTC	INTEL CORP		$22.
				type: ORD DIV - CASH		
02/18/2003		0.6800	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
01/15/2003		0.3000	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
12/31/2002		0.9200	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
12/27/2002			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES		$20.
				type: ORD INC DIV REINV.		
12/27/2002	Buy	0.2510	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES	$80.73000	-$20.
				type: REINVEST DIVIDEND		
12/03/2002	Buy	22.5000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$22.
12/01/2002			INTC	INTEL CORP		$22.
				type: ORD DIV - CASH		
11/15/2002		0.7700	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
10/15/2002		0.8700	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
09/27/2002			VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES		$16.
				type: ORD INC DIV REINV.		
09/27/2002	Buy	0.2200	VFINX	VANGUARD 500 INDEX FUND INVESTOR SHARES	$76.37000	-$16.
				type: REINVEST DIVIDEND		
09/16/2002		0.9600	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		
09/04/2002	Buy	22.5000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$22.
09/01/2002			INTC	INTEL CORP		$22.
				type: ORD DIV - CASH		
08/15/2002		1.0100	SWUXX	SCHWAB US TREAS MONEY FD		
				type: ORD DIV		

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Account Statement
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Custodial Account
Account Number: 4460-9017

Statement Period: October 1, 2003 to December 31, 2003
Last Statement: September 30, 2003

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Account Opened in: 1997
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JAY R HONE CUST FOR
CAITLIN ANN HONE UNMUTMA
PO BOX 10565
ALBUQUERQUE NM 87184

013008

C00013008010 2R

Account Value Summary	
Cash & Sweep Money Market Funds	$ 25.19
Investments	$ 3,427.61
Total Account Value	**$ 3,452.80**

Change in Value Summary	
Change in Value Since September 30, 2003:	$ 122.46
Change in Value Since January 1, 2003:	$ 1,442.78

Rate Summary	
Schwab MMF	0.39%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
CASH					$ 0.80
SCHWAB MONEY MARKET FUND	SWMXX	24.3900	L	$ 1	24.39
Investments					
INTEL CORP	INTC	20	L	$ 32.2000	$ 644.00
SOLECTRON CORP DEL	SLR	471	L	5.9100	2,783.61
	Total Account Value				**$ 3,452.80**

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 0.40

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Account Statement
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Custodial Account
Account Number: 4460-9017

Statement Period: October 1, 2003 to December 31, 2003

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Cash Dividends	$ 0.40	$ 1.60
Total Income	$ 0.40	$ 1.60

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Date	Action	Quantity	Symbol	Description	Price	Al
12/01/2003			INTC	INTEL CORP type: QUALIFIED DIV		$
09/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$
07/23/2003		-1000.0000		P S C INC ^XXBANKRUPTCY REORG		
06/03/2003	Buy	1.2000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$
06/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$
03/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$
12/01/2002			INTC	INTEL CORP type: ORD DIV - CASH		$
09/04/2002	Buy	1.2000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$
09/01/2002			INTC	INTEL CORP type: ORD DIV - CASH		$
06/01/2002			INTC	INTEL CORP type: ORD DIV - CASH		$
03/01/2002			INTC	INTEL CORP type: ORD DIV - CASH		$
12/10/2001	Buy	1.2000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$
12/01/2001			INTC	INTEL CORP type: ORD DIV - CASH		$

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Account Statement
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Custodial Account
Account Number: 4461-1718

Statement Period: November 1, 2003 to December 31, 2003
Last Statement: October 31, 2003

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JAY R HONE CUST FOR
JOSHUA PAUL HONE UNMUTMA
PO BOX 10665
ALAMEDA NM 87114

012805

Account Value Summary	
Cash & Sweep Money Market Funds	$ 20.66
Investments	$ 4,416.37
Total Account Value	$ 4,437.03

Change In Value Summary	
Change in Value Since October 31, 2003:	$ 107.37
Change in Value Since January 1, 2003:	$ (303.76)

Rate Summary	
Schwab MMF	0.39%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
SCHWAB MONEY MARKET FUND	SWMXX	20.6600	L	$ 1	$ 20.66
Investments					
BETHLEHEM STEEL CORP	BHMSQ	1,000	L	$ 0.0095	$ 9.50
DISNEY WALT CO Q	DIS	31.3742	L	23.3300	731.96
GOODYEAR TIRE & RUBBER	GT	400	L	7.8600	3,144.00
HOLLIS EDEN PHARM INC	HEPH	45	L	11.0100	495.45
SOLECTRON CORP DEL	SLR	6	L	5.9100	35.46
		Total Account Value			$ 4,437.03

Dividends Pending

Payable Date	Transaction	Description	Quantity	Rate Per Share	Share Distribution	Cash Distribution

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Account Statement
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Custodial Account
Account Number: 4481-1718

Statement Period: November 1, 2003 to December 31, 2003

Dividends Pending (continued)

Payable Date	Transaction	Description	Quantity	Rate Per Share	Share Distribution	Cash Distribution
01/06	Qualified Dividend	DISNEY WALT CO	31.3742	0.2100		$ 6.59

Pending transactions are not included in account value.

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Money Funds Dividends		$ 5.38
Cash Dividends		6.51
Total Income	$ 0.00	$ 11.89

Footnotes For Your Account

◊ Dividends paid on this security will be automatically reinvested.

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Date	Action	Quantity	Symbol	Description	Price	Amo
01/07/2004	Buy	0.2707	DIS	DISNEY WALT CO type: REINVEST DIVIDEND	$24.34880	-$6.!
01/06/2004			DIS	DISNEY WALT CO type: QUALIFIED DIV		$6.!
10/15/2003		0.1300	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
09/29/2003	Sell	1357.4500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$1357.4
09/24/2003	Buy	45.0000	HEPH	HOLLIS EDEN PHARM INC	$29.50000	-$1357.4
09/15/2003		0.3100	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
08/15/2003		0.3700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
07/23/2003		-2300.0000		P S C INC ^XXBANKRUPTCY REORG		
07/15/2003		0.5800	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
06/16/2003		0.6400	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
05/15/2003		0.6000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
04/15/2003		0.5800	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
03/17/2003		0.7000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
02/18/2003		1.0200	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
01/15/2003		0.4500	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
01/10/2003	Buy	0.3590	DIS	DISNEY WALT CO type: REINVEST DIVIDEND	$18.13620	-$6.!
01/09/2003			DIS	DISNEY WALT CO		$6.!

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				type: ORD INC DIV REINV.		
12/31/2002		1.5000	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
12/17/2002	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.(
12/16/2002			GT	GOODYEAR TIRE & RUBBER		$48.(
				type: ORD DIV - CASH		
11/15/2002		1.2400	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
10/15/2002		1.1600	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
09/17/2002	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.(
09/16/2002		1.2800	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
09/16/2002			GT	GOODYEAR TIRE & RUBBER		$48.(
				type: ORD DIV - CASH		
08/15/2002		1.2400	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
07/15/2002		1.2600	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
07/15/2002	Sell	429.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$429.(
07/10/2002	Buy	1000.0000	PSCX	P S C INC	$0.40000	-$429.(
07/08/2002	Buy	670.0200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$670.(
07/01/2002	Sell	1000.0000	PSCX	P S C INC	$0.70000	$870.(
06/18/2002	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.(
06/17/2002		0.9900	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
06/17/2002			GT	GOODYEAR TIRE & RUBBER		$48.(
				type: ORD DIV - CASH		
05/15/2002		1.0400	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
04/15/2002		1.2200	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
03/18/2002	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.(
03/15/2002		0.9300	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
03/15/2002			GT	GOODYEAR TIRE & RUBBER		$48.(
				type: ORD DIV - CASH		
02/15/2002		1.2400	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
01/15/2002		0.6000	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
12/31/2001		1.9600	SWMXX	SCHWAB MONEY MARKET FUND		
				type: ORD DIV		
12/24/2001	Buy	0.3105	DIS	DISNEY WALT HLDG CO	$20.77110	-$6.(
				type: REINVEST DIVIDEND		
12/24/2001	Buy	48.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$48.(
12/21/2001			DIS	DISNEY WALT HLDG CO		$6.(
				type: ORD INC DIV REINV.		
12/17/2001			GT	GOODYEAR TIRE & RUBBER		$48.(
				type: ORD DIV - CASH		

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Account Statement
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Schwab One® Account
Account Number: 4461-1645

Statement Period: December 1, 2003 to December 31, 2003
Last Statement: November 30, 2003

SCHWAB signature platinum

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Account Opened in: 1990
Page 1

JAY R HONE
PO BOX 10665
ALBUQUERQUE NM 87184-0665

013011

Account Value Summary	
Cash & Sweep Money Market Funds	$ 611.70
Investments	$ 38,765.61
Total Account Value	**$ 39,377.31**

Change in Value Summary	
Change in Value Since November 30, 2003:	$ (1,275.05)
Change in Value Since January 1, 2003:	$ (77,439.34)

Margin Account Information	
Margin Account Equity	$ 39,257.00
Funds Available Margin	$ 25,721.00

Rate Summary	
Margin Loan Rate	4.50% to 7.50%

D0001301101102M

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
CASH					$ 611.70
Investments					
A T & T CORP NEW (M)	T	200	L	$ 20.3000	$ 4,060.00
A T & T WIRELESS SVCS (M) ODDLOT TENDER OFFER EXP:1/16/04	AWE	321	L	7.9900	2,564.79
COMCAST CORP NEW CL A (M) ODDLOT PROGRAM EXP:01/15/04	CMCSA	323	L	32.8700	10,617.01
HOME DEPOT INC (M)	HD	300	L	35.4900	10,647.00
LORAL SPAC & COMMUN NEWF	LRLSQ	30	L	0.3150	9.45
MEDCOHEALTH SOLUTIONS (M)	MHS	14	L	33.9900	475.86
MERCK & CO INC (M)	MRK	120	L	45.2000	5,544.00
PRICELINE.COM INC NEW (M)	PCLN	125	L	17.9000	2,237.50
SPIEGEL INC CL A NON-VTG	SPGLQ	2,000	L	0.0550	110.00
CALL HOLLIS EDEN PHAR (M) $25 EXP 03/20/04	OGQCE	100	L	0.2500	2,500.00
	Less: Margin Loan Outstanding				**$ 0.00**
	Total Account Value				**$ 39,377.31**

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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Account Statement
Retain for Your Records

Schwab One® Account
Account Number: 4461-1645

Statement Period: December 1, 2003 to December 31, 2003
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Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
12/18	12/18	Qualified Dividend	HOME DEPOT INC			$ 21.00
Investments Activity						
12/20	12/20	Expired	PUT HOLLIS EDEN PHAR $7.50 EXP 12/20/03	(20)		
12/20	12/20	Expired	PUT 1/10TH SPX LEAPS $100 EXP 12/20/03	(2)		
12/20	12/20	Expired	PUT DOW JONES INDUS IND $78 ADJ EXP 12/20/03	(2)		
12/20	12/20	Expired	PUT DOW JONES INDUS IND $88 ADJ EXP 12/20/03	(2)		

Dividends Pending

Payable Date	Transaction	Description	Quantity	Rate Per Share	Share Distribution	Cash Distribution
01/02	Qualified Dividend	MERCK & CO INC	120	0.3700		$ 44.40

Pending transactions are not included in account value.

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Schwab One Interest		$ 140.52
Cash Dividends	$ 21.00	492.00
Total Income	**$ 21.00**	**$ 632.52**
Interest Paid on Margin Loan During Current Period[1]		**$ 0.00**
Interest Paid on Margin Loan Year to Date[1]		**$ (6.38)**

[1] *Certain margin loan interest may be deductible; consult your tax advisor.*

Footnotes For Your Account

(M) Assets held in margin account.

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Date	Action	Quantity	Symbol	Description	Price	
01/02/2004			MRK	MERCK & CO INC type: QUALIFIED DIV		
12/20/2003		-2.0000		PUT 1/10TH SPX LEAPS$100 EXP 12/20/03 type: EXPIRED		
12/20/2003		-2.0000		PUT DOW JONES INDUS IND$88 ADJ EXP 12/20/03 type: EXPIRED		
12/20/2003		-2.0000		PUT DOW JONES INDUS IND$78 ADJ EXP 12/20/03 type: EXPIRED		
12/20/2003		-20.0000	QGQXU	PUT HOLLIS EDEN PHAR$7.50 EXP 12/20/03 type: EXPIRED		
12/18/2003			HD	HOME DEPOT INC type: QUALIFIED DIV		
11/26/2003				SCHWAB1 INT 10/30-11/25 type: INTEREST		
11/04/2003	Buy to Open	8.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP 03/20/04	$0.65000	-$
11/03/2003			T	A T & T CORP NEW type: ORD DIV - CASH		
10/30/2003				SCHWAB1 INT 09/29-10/28 type: INTEREST		
10/08/2003	Buy to Open	30.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP 03/20/04	$2.65000	-$
10/01/2003			MRK	MERCK & CO INC type: ORD DIV - CASH		
10/01/2003	Buy to Open	7.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP 03/20/04	$3.50000	-$
09/30/2003	Buy to Open	3.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP	$6.00000	-$

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				03/20/04		
09/30/2003	Buy to Open	7.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP	$6.00000	-$
				03/20/04		
09/29/2003				SCHWAB1 INT 08/28-09/28		
				type: INTEREST		
09/29/2003				INTEREST 08/28THRU 09/28		
				type: MARGIN INTEREST		
09/26/2003				FUNDS RECEIVED		$2
				type: DEPOSIT		
09/26/2003				FUNDS RECEIVED		$1:
				type: DEPOSIT		
09/24/2003	Buy to Open	20.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP	$7.70000	-$1:
				03/20/04		
09/18/2003			HD	HOME DEPOT INC		
				type: ORD DIV - CASH		
09/16/2003				FUNDS RECEIVED *		$1
				type: *DEPOSIT		
09/16/2003				FUNDS RECEIVED *		$:
				type: *DEPOSIT		
09/15/2003	Buy to Open	25.0000	QGQCE	CALL HOLLIS EDEN PHAR$25 EXP	$7.90000	-$1:
				03/20/04		
09/08/2003				SCHWAB ONE CHECK 0272		-$3:
				type: SCHWAB CHECK		
09/04/2003	Sell	900.0000	HEPH	HOLLIS EDEN PHARM INC	$21.85000	$1:
09/04/2003	Sell	100.0000	HEPH	HOLLIS EDEN PHARM INC	$21.85000	$:
08/28/2003				SCHWAB1 INT 07/30-08/27		
				type: INTEREST		
08/21/2003				SCHWAB ONE CHECK 0270		-$3:
				type: SCHWAB CHECK		
08/21/2003				SCHWAB ONE CHECK 0271		-$3
				type: SCHWAB CHECK		
08/19/2003			MHS	MEDCOHEALTH SOLUTIONS		
				type: CASH IN LIEU		
08/19/2003		14.0000	MHS	MEDCOHEALTH SOLUTIONS		
				type: SPIN-OFF		
08/19/2003	Buy	700.0000	HEPH	HOLLIS EDEN PHARM INC	$19.29000	-$1:
08/19/2003	Buy	300.0000	HEPH	HOLLIS EDEN PHARM INC	$19.29000	-$:
08/01/2003			T	A T & T CORP NEW		
				type: ORD DIV - CASH		
07/30/2003				SCHWAB1 INT 06/27-07/29		
				type: INTEREST		
07/23/2003		-2500.0000		P S C INC ^XXBANKRUPTCY REORG		
07/01/2003			MRK	MERCK & CO INC		
				type: ORD DIV - CASH		
06/27/2003				SCHWAB1 INT 05/29-06/26		
				type: INTEREST		
06/26/2003			HD	HOME DEPOT INC		
				type: ORD DIV - CASH		
06/17/2003		125.0000	PCLND	PRICELINE.COM INC NEW		
				type: REVERSE SPLIT		
06/17/2003		-750.0000		PRICELINE.COM INC XXX1 FOR 6 REVERSE SPLIT		
				type: REVERSE SPLIT		
06/17/2003		30.0000	LOR	LORAL SPAC & COMMUN NEWF		

06/17/2003		-300.0000		type: REVERSE SPLIT LORAL SPACE & COMMUN XXX1 FOR 10 REVERSE SPLIT type: REVERSE SPLIT		
05/29/2003				SCHWAB1 INT 04/29-05/28 type: INTEREST		
05/19/2003	Buy to Open	10.0000	QGQXU	PUT HOLLIS EDEN PHAR$7.50 EXP 12/20/03	$1.30000	-$
05/19/2003	Buy to Open	10.0000	QGQXU	PUT HOLLIS EDEN PHAR$7.50 EXP 12/20/03	$1.30000	-$
05/01/2003			T	A T & T CORP NEW ODDLOT TENDER OFFER type: ORD DIV - CASH		

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Date	Action	Quantity	Symbol	Description	Price	
04/29/2003				SCHWAB1 INT 03/28-04/28 type: INTEREST		
04/01/2003			MRK	MERCK & CO INC type: ORD DIV - CASH		
03/28/2003				SCHWAB1 INT 02/27-03/27 type: INTEREST		
03/27/2003			HD	HOME DEPOT INC type: ORD DIV - CASH		
03/14/2003	Sell	200.0000	INTC	INTEL CORP	$17.15000	$
03/14/2003	Sell	1900.0000	GLW	CORNING INC	$5.87000	$1
03/14/2003	Sell	1500.0000	GLW	CORNING INC	$5.87000	$
03/14/2003	Sell	100.0000	GLW	CORNING INC	$5.87000	
03/14/2003	Sell	1000.0000	GLW	CORNING INC	$5.87000	$
03/14/2003	Sell	500.0000	GLW	CORNING INC	$5.87000	$
03/14/2003	Sell	800.0000	INTC	INTEL CORP	$17.15000	$1
03/14/2003	Sell	1000.0000	INTC	INTEL CORP	$17.15000	$1
03/14/2003	Sell	2000.0000	INTC	INTEL CORP	$17.33000	$3
03/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		
02/27/2003				SCHWAB1 INT 01/30-02/26 type: INTEREST		
02/03/2003			T	A T & T CORP NEW ODDLOT TENDER OFFER type: ORD DIV - CASH		
01/30/2003				SCHWAB1 INT 12/30-01/29 type: INTEREST		
01/02/2003			MRK	MERCK & CO INC type: ORD DIV - CASH		
12/30/2002				SCHWAB1 INT 11/27-12/29 type: INTEREST		

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Account Statement
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Simplified Employee Plan
Account Number: 9453-3994

Statement Period: December 1, 2003 to December 31, 2003
Last Statement: November 30, 2003

Account Opened in: 1993
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31/12-CNO)1808-016179-SMM-47114171808 376535 *1-2

HEATHER ANN WILSON
UTA CHARLES SCHWAB & CO INC
SEP-IRA DTD 04/02/93
9220 GUADALUPE TRAIL NW
ALBUQUERQUE NM 87114-1718

016179

Account Value Summary	
Cash, Money Market, and Deposit Accounts	$ 10,013.43
Investments	$ 26,323.69
Total Account Value	**$ 36,337.12**

Change in Value Summary	
Change in Value Since November 30, 2003:	$ (848.28)
Change in Value Since January 1, 2003:	$ (3,878.19)

Rate Summary	
Deposit Accounts: Interest rate as of 12/31 *(Z)*	0.38%
Schwab MMF	0.39%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
DEPOSIT ACCOUNTS *(X,Z)*					$ 4.11
SCHWAB MONEY MARKET FUND	SWMXX	10,009.3200	L	$ 1	10,009.32
Investments					
A T & T CORP NEW	T	30	L	$ 20.3000	$ 609.00
A T & T WIRELESS SVCS ODDLOT TENDER OFFER EXP:1/16/04	AWE	48	L	7.9900	383.52
CELLSTAR CORP NEW	CLST	200	L	12.6300	2,526.00
COMCAST CORP NEW CL A ODDLOT PROGRAM EXP:01/15/04	CMCSA	48	L	32.8700	1,577.76
DURBAN RDPT DP ADR F SPONSORED ADR 1 ADR REP 1 ORD	DROOY	2,000	L	3.2000	6,400.00
HOLLIS EDEN PHARM INC	HEPH	500	L	11.0100	5,505.00
INTEL CORP	INTC	205.3162	L	32.2000	6,611.18
MUSE TECHNOLOGIES INC	MUZE	2,000	L	0.0001	0.20
SHAMAN PHARMACEUTCLS NEW	SHPH	1	L	0.0021	

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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Account Statement
Retain for Your Records

Simplified Employee Plan
Account Number: 9453-3994

Statement Period: December 1, 2003 to December 31, 2003
Page 2

Investment Detail (continued)

Description	Symbol	Quantity Long/Short		Price	Market Value
Investments (continued)					
SOLECTRON CORP DEL	SLR	433	L	$ 5.9100	$ 2,559.03
SPIEGEL INC CL A NON-VTG	SPGLQ	2,000	L	0.0550	110.00
TAKEOUTMUSIC.COM NEW	TKMU	120	L	0.1000	12.00
V P G I CORPORATION	VPGI	250	L	0.1200	30.00
1ST UTD METHDIST 8.50%05 7 GENL MTG BD DUE 03/01/05 SER 1995 A		10,000	L	N/A	N/A
Total Account Value (excludes unpriced securities)					$ 38,337.12

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash, Money Market, and Deposit Accounts Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 4.11
12/31	12/31	Dividend	SCHWAB MONEY MARKET FUND			4.53

Money Funds Detail

Settle Date	Transaction	Quantity	Price	Purchase/Debit	Sale/Credit
Money Market Funds (Sweep) Activity					
SCHWAB MONEY MARKET FUND Activity					
Opening Shares of SCHWAB MONEY MARKET FUND were 10,004.79					
12/31	Dividend	4.5300	$ 1	$ 4.53	
Closing Shares of SCHWAB MONEY MARKET FUND were 10,009.32					
	Total Money Market Funds (Sweep) Activity			$ 4.53	$ 0.00

SCHWAB MMF 0.380%

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance (X,Z)		$ 0.00
12/02	Auto Transfer	BANK CREDIT FROM BROKERAGE (X)		$ 4.11
		Total Activity	$ 0.00	$ 4.11
		Ending Balance (X,Z)		$ 4.11

Deposit Accounts: Interest rate as of 12/31 was 0.38%. Your interest period was 12/01/03 - 12/31/03. (Z)

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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Account Statement
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Simplified Employee Plan
Account Number: 9453-3994

Statement Period: December 1, 2003 to December 31, 2003
Page 3

Contribution Summary

	2002	2003
SEP IRA	$ 0.00	$ 0.00
Year to Date Total:	$0.00	$0.00

Income Summary

Description	This Period	Year to Date
Money Funds Dividends	$ 4.53	$ 82.31
Cash Dividends	4.11	60.46
Total Income	$ 8.64	$ 142.77

Footnotes For Your Account

X Balances held at Charles Schwab Bank, N.A., member FDIC.

Z Please note that the interest is paid for a period that differs from the Statement Period. Bank balances include any interest that Charles Schwab Bank, N.A. paid as indicated on the Bank Account Statement or Deposit Accounts Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

7 Alternative investments: Price is provided for informational purposes only and is the initial purchase price or the most recent price received from a third party, and, in either instance, may not represent the current market value. See the "Addendum to the Agreement Non-Standard Assets" for details on pricing policies. If you have sold or transferred this asset, please contact Charles Schwab & Co., Inc. and, if applicable, your investment advisor.

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Account Statement
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Terms and Conditions

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Sweep Bank) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Bank Deposit Accounts: Schwab acts as your agent and custodian in establishing and maintaining your Bank Deposit Accounts. Bank Deposit Accounts constitute direct obligations of the Sweep Bank and are not an obligation of Schwab. Free Credit Balances will be deposited into Bank Deposit Accounts without limit even if the amount in the Bank Deposit Accounts exceeds the $100,000 FDIC insurance limits. For deposit insurance purposes, deposits you may establish in one capacity directly with a Sweep Bank or through an intermediary, such as Schwab, will be aggregated with the Bank Deposit Accounts. You are responsible for monitoring the total amount of deposits you have with the Sweep Bank in order to determine the extent of deposit insurance coverage available to you.

Bank Interest: For Bank Deposit Accounts, interest is paid for a period that differs from the Statement Period. Bank Deposit Account balances include interest the Sweep Bank paid as indicated on your statement. Bank Deposit Account balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Credit Interest: Interest accrues from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. If, on any given day, the interest that Schwab calculates for the free credit balances in your brokerage Account is less than $.005, you will not earn any interest on that day. For Bank Deposit Account balances held at a Sweep Bank, the interest will accrue even if the amount is less than $.005.

Cash: Any free credit balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. The time of these transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request.

Latest Price/Price (Investment Detail Section Only): The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection.

Non-Standard Assets: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain non-standard assets may be furnished by a third party as provided in Schwab's Non-Standard Asset Custody Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. Assets held by a third party are not covered by SIPC.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) You should advise us promptly of any material changes in your investment objectives or financial situation. 3) Exercise assignment notices for option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., member NYSE/SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not guaranteed deposits or obligations of the above referenced bank, and are subject to investment risk, are not FDIC insured, may lose value, and are not bank guaranteed.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Sweep Bank: Includes the Schwab-affiliated, FDIC-insured bank, as referenced in your account statements and disclosed in your account documents into which free credit balances may be automatically deposited pursuant to your Account Agreement.

Sweep Funds: Includes the primary money market funds into which free credit balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Sweep Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Sweep Funds is the current 7-day yield as of the statement period. Yields vary. Schwab and the Sweep Funds investment advisor may be voluntarily reducing a portion of a Sweep Fund's expenses. Without these reductions, yields would have been lower.

IN CASE OF QUESTIONS OR ERRORS: If you have questions about this statement or about specific Schwab transactions (other than electronic fund transfers), contact Schwab at 800-435-4000. If you have a complaint regarding your Schwab statement, products or services, please write to the Chairman's Division at Charles Schwab & Co., Inc., Attention: Chairman's Division, 101 Montgomery St., San Francisco, CA 94104, or call 800-435-4000.

Brokerage Transactions: If you find errors or omissions relating to your brokerage activity you must notify us within 10 days after this statement is sent or made available to you. If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately.

Electronic Fund Transfers: In case of errors or questions about your electronic fund transfers, or if you think your statement is wrong or if you need more information about a transfer on the statement, call us toll-free as soon as you can at 877-560-5811. Alternatively, you may write us at the following addresses: For Schwab MoneyLink ® transfer errors or questions, write to Charles Schwab & Co., Inc., MoneyLink Support Services, MS 45FRT-29, 101 Montgomery St., San Francisco, CA 94104. For any other errors or questions regarding electronic fund transfers, please write to Charles Schwab & Co., Inc., Attention: Management Services Company, MS 03-408, 9601 East Panorama Circle, Englewood, CO 80112.

We must hear from you no later than 60 days after we sent you the first statement on which the error or problem appeared. If you tell us orally, we may still require you to send us your complaint or question in writing within 10 business days. We need the following information: your name, Account number, a description of the error or the transfer in question, a clear explanation of why you believe it is an error or why you need more information, and the dollar amount of the suspected error. We will tell you the results of our investigation within 10 business days after we hear from you and will correct any error promptly. If we need more time, we may take up to 45 days to investigate your complaint or question.

If you are a new customer and the error or question concerns an electronic fund transfer that occurred within 30 days after the first deposit to the Account was made, we will tell you the results of our investigation within 20 business days after we hear from you. If we need more time, we may take up to 90 days to investigate. In addition, we may take up to 90 days to investigate if the error or question relates to an electronic fund transfer that was initiated outside of the United States. If we decide we need the additional time to investigate, we will provisionally credit your Account within 10 business days (or 20 business days for errors or questions relating to transactions that occurred within 30 days after the first deposit to the Account was made) for the amount you think is in error, so you will have the use of the money during our investigation. If we ask you to put your complaint or question in writing and we do not receive it within 10 business days (or 20 business days if applicable), we may not credit your Account. We will tell you the results within 3 business days after completing our investigation. If we decide that there was no error, we will send you a written explanation. You may ask for copies of the documents that we used in our investigation.

Additional Information:
A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners.

(2003-11585)REG24970NRSB-01(09/03)

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Go

All Transactions For All Dates

To sort, click on column title

Date	Action	Quantity	Symbol	Description	Price	Am
12/31/2003		4.5300	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
12/02/2003				BROKERAGE TRF TO BANK type: auto transfer		-$4
12/01/2003			INTC	INTEL CORP type: QUALIFIED DIV		$4
11/17/2003		3.3000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
11/04/2003	Buy	7.1300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$7
11/03/2003			T	A T & T CORP NEW type: ORD DIV - CASH		$7
10/15/2003		4.8200	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
09/29/2003	Sell	14777.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$14777
09/24/2003	Buy	500.0000	HEPH	HOLLIS EDEN PHARM INC	$29.49000	-$14777.9
09/15/2003		7.4000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
09/03/2003	Buy	4.1100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$4
09/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$4
08/15/2003		8.0600	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
08/15/2003		250.0000	VPGI	V P G I CORPORATION type: NC		
08/15/2003		-250.0000		UNIVIEW TECH CORP NEWXXXN/C TO VPGI CORP type: NC		
08/04/2003	Buy	5.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5
08/01/2003			T	A T & T CORP NEW type: ORD DIV - CASH		$5

07/23/2003		-3500.0000		P S C INC ^XXBANKRUPTCY REORG		
07/15/2003		8.6300	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
06/16/2003		11.3500	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
06/03/2003	Buy	4.1100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$4
06/01/2003			INTC	INTEL CORP		$4
05/15/2003		11.2500	SWMXX	type: ORD DIV - CASH SCHWAB MONEY MARKET FUND type: ORD DIV		
05/02/2003	Buy	5.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5
05/01/2003			T	A T & T CORP NEW ODDLOT TENDER OFFER type: ORD DIV - CASH		$5
04/15/2003		11.2600	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
03/20/2003	Buy	17116.5300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$17116
03/17/2003		3.7000	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
03/14/2003	Sell	1000.0000	INTC	INTEL CORP	$17.15000	$17116.5
03/04/2003	Buy	24.1100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$24
03/01/2003			INTC	INTEL CORP type: ORD DIV - CASH		$24
02/18/2003		5.2400	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
02/04/2003	Buy	5.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5
02/03/2003			T	A T & T CORP NEW ODDLOT TENDER OFFER type: ORD DIV - CASH		$5
01/15/2003		2.5700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
12/31/2002		8.7400	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
12/03/2002	Buy	24.1100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$24
12/02/2002	Buy	12.8000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$12
12/01/2002			INTC	INTEL CORP type: ORD DIV - CASH		$24
11/19/2002		30.0000	T	A T & T CORP NEW type: REVERSE SPLIT		
11/19/2002		-150.0000		A T & T CORP XXX1 FOR 5 REVERSE SPLIT type: REVERSE SPLIT		
11/18/2002			CMCSA	COMCAST CORP NEW CL A type: CASH IN LIEU		$12
11/18/2002		48.0000	CMCSA	COMCAST CORP NEW CL A type: SPIN-OFF		
11/15/2002		6.7900	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
11/04/2002	Buy	5.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5
11/01/2002			T	A T & T CORP type: ORD DIV - CASH		$5
10/15/2002		6.8700	SWMXX	SCHWAB MONEY MARKET FUND type: ORD DIV		
09/16/2002		7.6100	SWMXX	SCHWAB MONEY MARKET FUND		

[illegible]

TD Waterhouse

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100 Wall Street
New York, NY 10005-3701
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ACCOUNT STATEMENT

ACCOUNT NO.	LAST STATEMENT	PERIOD ENDING	PAGE
394-14073-1-8	01/31/2003	03/31/2003	1 OF 2

31 056 NNYN 1 25198 09969 001/002
JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

BRANCH INFORMATION

THE SHOPS AT PARK SQUARE
6600 INDIAN SCHOOL RD STE L
ALBUQUERQUE, NM 87110

CUSTOMER SERVICE: (800) 934-4448

PORTFOLIO VALUE SUMMARY

ASSET ALLOCATION
MARCH 31, 2003

	THIS PERIOD	%	LAST PERIOD	%
MONEY MARKET ACCT - FDIC				
MONEY MARKET FUND	$2,183.87	34.9	$2,176.41	35.7
STOCKS	4,070.00	65.1	3,915.00	64.3
FIXED INCOME				
OPTIONS				
MUTUAL FUNDS				
UNIT INVESTMENT TRUSTS				
TOTAL PORTFOLIO VALUE	$6,253.87	100.0	$6,091.41	100.0

PORTFOLIO POSITIONS-LONG

ACCT	QUANTITY	DESCRIPTION	SYMBOL	MARKET PRICE	MARKET VALUE	PORT PCT	DIV OR INT %	EST. ANNUAL INCOME
CASH	2,183.87	CASH & CASH EQUIVALENTS CMP MONEY MARKET PORTFOLIO	CMFMZ	1.000	2,183.87	34.9	0.67	15
CASH	250	STOCKS INTEL CORP	INTC	16.280	4,070.00	65.1	0.08	20
		TOTAL ACCOUNT			6,253.87	100.0		35

INCOME AND EXPENSE SUMMARY

	THIS PERIOD	YEAR-TO-DATE		THIS PERIOD	YEAR-TO-DATE
MONEY MARKET INTEREST			MARGIN INTEREST PAID		
TAXABLE DIVIDENDS	$7.46	$8.93	DIVIDENDS CHARGED		
NON-TAXABLE DIVIDENDS			ACCRUED INT ON PURCHASES		
TAXABLE BOND INTEREST					

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ACCOUNT STATEMENT

ACCOUNT NO.	CUSTOMER NAME	PERIOD ENDING	PAGE
394-14073-1-8	JAY R HONE	03/31/2003	2 OF 2

MONTHLY ACTIVITY - INFORMATION TO BALANCE YOUR ACCOUNT

MONTHLY SERVICES SUMMARY

DEBITS		CREDITS	
DIVIDEND/INTEREST CHARGED	$ 0.00	DIVIDEND/INTEREST INCOME	$ 7.46
MONEY MARKET FUNDS PURCHASED	7.46	MONEY MARKET FUNDS SOLD	0.00
TOTAL DEBITS	$7.46	TOTAL CREDITS	$7.46

OPENING BALANCE $0.00 + NET ACTIVITY $0.00 = CLOSING BALANCE BROKERAGE ACCOUNT $0.00

MONTHLY ACTIVITY BY ABOVE CATEGORY

DIVIDEND AND INTEREST ACTIVITY

ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	DEBIT	CREDIT
CASH	02/28	DIVIDEND	CMF MONEY MARKET PORTFOLIO MONTHLY DIVIDEND			1.22
CASH	03/03	DIVIDEND	INTEL CORP CASH DIV ON 250 SHS REC 02/07/03 PAY 03/01/03			5.00
CASH	03/31	DIVIDEND	CMF MONEY MARKET PORTFOLIO MONTHLY DIVIDEND			1.24
			TOTAL			7.46

MONEY MARKET ACTIVITY

DATE	ENTRY	DESCRIPTION	AMOUNT	BALANCE
		OPENING BALANCE MONEY MARKET FUND		2,176.41
02/28	REINVEST	CMF MONEY MARKET PORTFOLIO MONTHLY DIVIDEND REINVESTED	1.22	2,177.63
03/03	BOUGHT	CMF MONEY MARKET PORTFOLIO FUNDS PURCHASED	5.00	2,182.63
03/31	REINVEST	CMF MONEY MARKET PORTFOLIO MONTHLY DIVIDEND REINVESTED	1.24	2,183.87
		CLOSING BALANCE MONEY MARKET FUND		2,183.87

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Waterhouse

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ACCOUNT STATEMENT

ACCOUNT NO.	LAST STATEMENT	PERIOD ENDING	PAGE
394-14073-1-8	10/31/2003	12/31/2003	1 OF 3

S1 056 XXX NNNY 1 42212 14598 001/003
JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

BRANCH INFORMATION

THE SHOPS AT PARK SQUARE
6600 INDIAN SCHOOL RD STE L
ALBUQUERQUE, NM 87110

CUSTOMER SERVICE: (800) 934-4448

[illegible]

ASSET ALLOCATION
DECEMBER 31, 2003

	THIS PERIOD	%	LAST PERIOD	%
MONEY MARKET ACCT - FDIC				
MONEY MARKET FUND	$2,131.52	20.9	$ 2,125.18	20.5
STOCKS	8,050.00	79.1	8,262.50	79.5
FIXED INCOME				
OPTIONS				
MUTUAL FUNDS				
UNIT INVESTMENT TRUSTS				
TOTAL PORTFOLIO VALUE	$10,181.52	100.0	$10,387.68	100.0

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ACCT	QUANTITY	DESCRIPTION	SYMBOL	MARKET PRICE	MARKET VALUE	PORT PCT	DIV OR INT %	EST. ANNUAL INCOME
CASH	2,131.52	CASH & CASH EQUIVALENTS TD WATERHOUSE MONEY MARKET PORTFOLIO (SWEEP)	CMFMZ	1.000	2,131.52	20.9	0.38	8
CASH	250	STOCKS INTEL CORP	INTC	32.200	8,050.00	79.1	0.08	20
		TOTAL ACCOUNT			10,181.52	100.0		28

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	THIS PERIOD	YEAR-TO-DATE		THIS PERIOD	YEAR-TO-DATE
MONEY MARKET INTEREST			MARGIN INTEREST PAID		
TAXABLE DIVIDENDS	$6.34	$31.58	DIVIDENDS CHARGED		
NON-TAXABLE DIVIDENDS			ACCRUED INT ON PURCHASES		
TAXABLE BOND INTEREST					

05/17/2004 MON 14:27 [TX/RX NO 5014] 0043

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CIGNA Financial Services, Inc.

Acct #	Customer Name	Period	Page
81139785	HONE	01/31/03 - 02/28/03	2 of 3

Account Value Detail

Acct Type	Quantity	Description	Symbol/ Cusip	Current Price	Market Value	Est Annual Income	Est Yield
		Cash and Equivalents					
Cash		MONEY MARKET RETAIL CLASS			1,780.42		
		Cash and Equivalents total market value:			1,780.42		
		Stocks					
Cash	260	AT&T CORP NEW	T	18.540	4,820.40	195.00	4.04%
Cash	418	AT&T WIRELESS SVCS INC	AWE	5.910	2,470.38		
Cash	200	CELLSTAR CORP COM NEW 50% INITIAL & MAINTENANCE	CLST	5.330	1,066.00		
Cash	420	COMCAST CORP NEW CL A	CMCSA	29.220	12,272.40		
Cash	1,000	CORNING INC	GLW	4.920	4,920.00		
Cash	2,100	INTEL CORPORATION	INTC	17.260	36,246.00	168.00	.46%
Cash	500	KERR MCGEE CORP	KMG	41.240	20,620.00	900.00	4.36%
Cash	500	MELLON FINL CORP	MEL	22.510	11,255.00	260.00	2.31%
Cash	4,000	PSC INC BB	PSCX	0.005	20.00		
Cash	120	TAKEOUTMUSIC.COM HLDGS CORP COM NEW BB	TKMU	0.100	12.00		
Cash	300	TEXAS INSTRUMENTS INCORPORATED	TXN	16.750	5,025.00	25.50	.50%
		Stocks total market value:			98,727.18	1,548.50	1.57%
		Mutual Funds					
Cash	298.438	ECLIPSE INDEXED EQUITY FUND SERVICE CLASS	MAGSX	19.230	5,738.96		
		Mutual Funds total market value:			5,738.96		
		ACCOUNT VALUE:			106,246.56		

Dividends, Interest and Principal

Acct Type	Date	Activity	Quantity	Description	Debit	Credit
Cash	02/03/03	DIVIDEND		AT&T CORP NEW ON 250		48.75
Cash	02/10/03	DIVIDEND		TEXAS INSTRUMENTS INCORPORATED ON 300		6.38
Cash	02/14/03	DIVIDEND		MELLON FINL CORP ON 500		65.00
Money Market	02/28/03	TAXABLE MNY DIV		MONEY MARKET RETAIL CLASS ACCUMULATED EARNINGS POSTED		0.56

If this statement is incorrect, please notify CIGNA Financial Services, Inc. We recommend you retain this statement for use in preparing income tax returns.
Account cleared with Pierce Securities, Inc. a member of the NPC, NYSE, NASD and other principal exchanges.

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CIGNA Financial Services, Inc.

Acct #	Customer Name	Period	Page
61139785	HONE	01/31/03 - 02/28/03	3 of 3

MONEY MARKET RETAIL - CASS Sweep Activity

Acct Type	Date	Activity	Description	Debit	Credit
Cash	02/03/03	SWEEP	SWEPT TO MONEY MARKET RETAIL C AUTOMATIC PURCHASE	48.75	
Cash	02/10/03	SWEEP	SWEPT TO MONEY MARKET RETAIL C AUTOMATIC PURCHASE	8.38	
Cash	02/14/03	SWEEP	SWEPT TO MONEY MARKET RETAIL C AUTOMATIC PURCHASE	65.00	
Money Market	02/28/03	TAXABLE MNY DIV	EARNINGS REINVESTED	0.56	

Retirement Account Summary

December 31, 2002 Account Value $153,364.48

REMINDER - NO CONTRIBUTIONS HAVE BEEN MADE FOR CURRENT YEAR

End of Statement

If this statement is incorrect, please notify CIGNA Financial Services, Inc. We recommend you retain this statement for use in preparing income tax returns.
Account carried with Fiserv Securities, Inc. a member of the SIPC, NYSE, NASD and other principal exchanges.

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>03274 0763298 001 008137 898
CIGNA BANK & TRUST CO FSB A/C/F
JAY R HONE ROL IRA
9220 GUADALUPE TRL NW
ALBUQUERQUE NM 87114-1718

RETIREMENT ACCOUNT
Traditional Rollover IRA

YOUR ACCOUNT NUMBER
81139785

YOUR REPRESENTATIVE
CIGNA FINANCIAL SERVICES
(888) 244 6237
REP ID: E201

Total value of your retirement account on Oct 31, 2003

	$159,145.75
Total value of your retirement account on December 31, 2002	$103,364.46

Note The total value of your account does not include unpriced securities. Your Beginning Balance may include unpriced assets that were not included in last month's statement.

If this statement is incorrect, please notify CIGNA Financial Services, Inc. We recommend you retain this statement for use in preparing income tax returns.

Change in the value of your account

Total value of your account on September 30, 2003	$144,461.79
+ deposits and transfers into your account, less withdrawals and transfers out	
+ income your investments have earned	$225.31
− charges and other deductions	
+ change in the value of your investments	$14,458.65
Total value of your account on October 31, 2003	**$159,145.75**

Asset allocation

Cash and equivalents	$2,835.30
Equities	$156,310.45
Total assets	$159,145.75
Total value of your account on October 31, 2003	$159,145.75

Summary of your contributions and distributions

You haven't made any contributions for this tax year.

Summary of your income

Tax-deferred income	This period	Year-to-date
Dividends	$225.00	$1,396.39
Money market dividends	$0.31	$4.80
Total tax-deferred income	$225.31	$1,401.19
Total income your investments have earned	$225.31	$1,401.19

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Account carried with Fiserv Securities, Inc., a member of the NYSE, NASD and other principal exchanges, SIPC.

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AY R NONE ROL IRA

OUR ACCOUNT NUMBER
81139765

Your investments

	Quantity	Price on 10/31/03 ($)	Value on 10/31/03 ($)	Est. annual income ($)	Est. yield (%)
Cash and equivalents					
MONEY MARKET RETAIL CLASS			2,835.30		0.14
Total cash and equivalents			2,835.30		
Equities					
Stocks and related options					
AT&T CORP NEW T	260	18.5900	4,833.40	247.00	5.11
AT&T WIRELESS SVCS INC AWE	418	7.2500	3,030.50		
CELLSTAR CORP COM NEW CLST	200	12.2100	2,442.00		
COMCAST CORP NEW CL A CMCSA	420	33.8700	14,225.40		
CORNING INC GLW	1,000	10.9800	10,980.00		
INTEL CORPORATION INTC	2,100	32.9500	69,195.00	168.00	0.24
KERR MCGEE CORP KMG	500	41.5000	20,750.00	900.00	4.33
MELLON FINL CORP MEL	500	29.8700	14,935.00	320.00	2.14
PSC INC BB PSCXQ	4,000	not available			
TAKEOUTMUSIC.COM HLDGS CORP COM NEW BB TKMU	120	0.1000	12.00	~	
TEXAS INSTRUMENTS INCORPORATED TXN	300	28.9200	8,676.00	25.50	0.29
Total stocks and related options			149,079.30		
Mutual funds					
ECLIPSE INDEXED EQUITY FUND SERVICE CLASS MAQSX	298.438	24.2300	7,231.15		
Total mutual funds			7,231.15		
Total equities			156,310.45		
Total value of your retirement account			$159,145.75		

Activities in your account

Date	Activity	Details	Quantity	Price ($)	Amount added to (deducted from) your account($)
10/01/03	DIVIDEND	KERR MCGEE CORP ON 500			225.00
10/01/03	SWEEP	SWEPT TO MONEY MARKET RETAIL C AUTOMATIC PURCHASE			(225.00)
10/31/03	TAXABLE MNY DIV	MONEY MARKET RETAIL CLASS ACCUMULATED EARNINGS POSTED			0.31
10/31/03	TAXABLE MNY DIV	EARNINGS REINVESTED			(0.31)

About your statement

In this statement, you and your mean the account holder. FSI means Fiserv Securities, Inc., the carrying firm for your brokerage account.

[redacted]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A Page 1 of 7
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER 7
2004 MAY 17 AM 10: 07
HC
(Office Use Only)

Addison (Joe) Graves Wilson
(Full Name)

Post Office Box 2168 West Columbia, SC 29171
(Mailing Address)

202-225-2452
Daytime Telephone.

Filer Status ☑ Member of the U.S. House of Representatives State: SC District: 02 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Addison (Joe) Graves Wilson	May 17, 2004

5573

SCHEDULE I - EARNED INCOME

Name Addison (Joe) Graves Wilson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
South Carolina National Guard (DFAS)	Salary	$7,990
Lexington School District Two	Spouse Salary	$15,068

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
DIAGIO	Appearance	2003	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Addison (Joe) Graves Wilson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	2823 Wilton Road, Springdale, SC	$50,001 - $100,000	RENT	$5,001 - $15,000	
	2827 Wilton Road, Springdale, SC	$50,001 - $100,000	RENT	$5,001 - $15,000	
	South Carolina Retirement Systems	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	South Carolina Deferred Compensation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Graves Park Estates	$50,001 - $100,000	INTEREST	NONE	
	Moseley and Wilson Partnership (101 Shuler Street, West Columbia, South Carolina)	$100,001 - $250,000	RENT	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Addison (Joe) Graves Wilson

	Moseley and Wilson Partnership (515 E. Main Street, Lexington, South Carolina)	$50,001 - $100,000	RENT	$5,001 - $15,000	
	Moseley and Wilson Partnership (640 Sunset Blvd., West Columbia, South Carolina)	$250,001 - $500,000	RENT	$15,001 - $50,000	
	Moseley and Wilson Partnership (922 Sunset Blvd., West Columbia, South Carolina)	$50,001 - $100,000	RENT	$5,001 - $15,000	
	Moseley and Wilson Partnership (1534 Sunset Blvd., West Columbia, South Carolina)	$100,001 - $250,000	RENT	$5,001 - $15,000	

SCHEDULE V - LIABILITIES

Name Addison (Joe) Graves Wilson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America	Mortgage on 220-A justice Court, NE, Washington, DC 20002	$100,001 - $250,000
	Branch Banking and Trust Company of S.C.	Personal Loan	$15,001 - $50,000
	Carolina First Bank	Personal Loan Secured by 2823 Wilson Road, Springdale, SC	$15,001 - $50,000
	Carolina First Bank	Personal Loan Secured by 2827 Wilton Road, Springdale, SC	$15,001 - $50,000
	Regions Bank	Personal Loan Secured by Graves Park Estates Property, springdale, SC	$50,001 - $100,000
	Carolina First Bank	Moseley and Wilson Partnership Mortgage/Personal Guarantor	$100,001 - $250,000
	Carolina First Bank	Personal Loan/Campaign Loan/Secured by Property at Wilton Road, Springdale, SC	$50,001 - $100,000
	Carolina First Bank	Personal Loan/Campaign Loan/Secured by Property at Wilton Road, Springdale, SC	$50,001 - $100,000
	Carolina First Bank	Personal Loan/Campaign Loan/Secured by Property at Wilton Road, Springdale, SC	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Addison (Joe) Graves Wilson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Moseley and Wilson Partnership

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 AM 9:57
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

5580

Frank R. Wolf
(Full Name)

241 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-5136
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: VA District: 10	☐ Officer Or Employee — Employing Office: U.S. HOUSE OF REPRESENTATIVES
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

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Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank R Wolf	May 13, 2004

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank R. Wolf

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Franklin VA Tax-Free Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Manufacturers Life Insurance Co. Manufacturers Investment Trust (IRA)	None	DIVIDENDS	NONE	
	Oppenheimer Capital Appreciation Fund A. IRA	None	DIVIDENDS	$15,001 - $50,000	
	Hartford Leaders Plus Variable Annuity IRA (rolled over from Manufacturers Life and Oppenheimer IRAs)	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
	Manufacturers Life Insurance Co. Manufacturers Investment Trust	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank R. Wolf

	Wright Patman Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
	U.S. Savings Bonds	$1,001 - $15,000	INTEREST	NONE	

SCHEDULE IV - TRANSACTIONS

Name Frank R. Wolf

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Manufacturers Life Insurance Co. Manufacturers Investment Trust	P	monthly	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Frank R. Wolf

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
International Foundation	Aug. 21-29	Wash., D.C. - Tirana, Albania - Rome -Wash., D.C.	Y	Y	Y	4 days

SCHEDULE VIII - POSITIONS

Name Frank R. Wolf

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, International Council of reference (uncompensated)	Stephen's Children (non-profit organization)
Member, Board of Advisors (uncompensated)	International Justice Mission
Member, Congressional Committee (uncompensated)	George C. Marshall International Center (non-profit organization)
Member, Board of Trustees (uncompensated)	Shenandoah University
Member, Board (uncompensated)	Congressional Hunger Center (non-profit organization)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2002

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 4:54
MC
(Official use only)
U.S. HOUSE OF REPRESENTATIVES

Lynn C Woolsey
(Full Name)

923 B Street
Petaluma CA 94952
(Mailing Address)

Daytime Telephone: 202-225-5161

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lynn C Woolsey	5/11/04

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name LYNN C. WOOLSEY Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Examples:	Block A	Block B	Block C	Block D	Block E
SP, DC, JT	SP	Mega Corp. Stock	E (X)	DIVIDENDS (X)	I (X)	P
		Simon & Schwister	Indefinite	INTEREST (X)		
		1st Bank of Paducah, KY account				
		ADVANCED FIBRE COMM INC.	C ($1,001 – $15,000) (X)		I (X)	
		AUTODESK INC	C ($1,001 – $15,000) (X)	DIVIDENDS (X)		P
		PUTNAM COLLEGE ADV.			I (X)	
		EDWARD JONES IRA ACCOUNT				
		– US TREASURY STRIP 11-15-06			I (X)	
		– ADVANCED FIBRE COMM INC.	C ($1,001 – $15,000) (X)		I (X)	P

Block A columns: A None; B $1,001 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K Over $50,000,000.

Block C columns: DIVIDENDS; RENT; INTEREST; ROYALTIES; EXCEPTED TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income).

Block D columns: I None; II $201 – $1,000; III $1,001 – $2,500; IV $2,501 – $5,000; V $5,001 – $15,000; VI $15,001 – $50,000; VII $50,001 – $100,000; VIII $100,001 – $1,000,000; IX $1,000,001 – $5,000,000; X $5,000,001 – $25,000,000; XI Over $25,000,000.

Block E columns: P, S, E.

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (If needed)

Name: LYNN C. WOOLSEY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	- AGILENT TECHNOLOGIES		X									X						P
	- FAIR ISAAC CO		X									X						P
	- NORDSTROM INC		X															
	- SBC COMM. INC		X															
	- VODAFONE GROUP PLC		X															
	- CALVERT SOCIAL FUND		X									X						
	- CAPITAL INCOME BUILDER FUND		X										X					P
	- COLUMBIA MIDCAP VALUE FUND											X						E

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SCHEDULE IV — TRANSACTIONS

Name LYNN C. WOOLSEY | Page 4 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
	AUTODESK INC COMMON STOCK				02-04-03	X									
	FAIR ISAAC CORP COMMON STOCK				11-13-03	X									
	PUTNAM OTC + EMERGING GROWTH FUND		X		11-13-03										
	CAPITAL INCOME BUILDER FUND CL A				11-24-03	X									
	LIBERTY SELECT VALUE (NAME CHANGE)				10-10-03	X									
	BECAME: COLUMBIA MIDCAP VALUE FUND														
	US TREASURY STRIP 11/15/03 (MATURED)		X		11-15-03										

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Name Lynn C Woolsey | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Faith & Politics Institute	Jan 10-12	Santa Rosa, CA - Santa Barbara, Santa Barbara, CA - Santa Rosa	Y	Y	N	N
	The Aspen Institute	Feb 14-19	Baltimore, MD - Jamaica, Jamaica - Miami - S.F	Y	Y	N	N
	The Aspen Institute	June 27, July 3	San Francisco - Frankfurt, Frankfurt - Wash. DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 4:51 MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

David Wu
(Full Name)

1023 Longworth HOB Washington, DC 20515
(Mailing Address)

202-225-0855
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: OR District: 01	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/4

5591

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Cisco Systems Stock - SEP-IRA	$1 - $1,000	DIVIDENDS	NONE	
	Hershey Stock - SEP-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard Health Care Fund - SEP-IRA	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Bidwell & Company cash acct SEP-IRA	$1 - $1,000	INTEREST	NONE	
	Fog Cutter Capital Group - SEP-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	NVR Inc LP SEP-IRA	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

	General Electric Co Stock SEP-IRA	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	1602 SE Flavel St. Portland, OR - house	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Seligman Communication Information Fund IRA	$1,001 - $15,000	DIVIDENDS	NONE	S
	Loan to David Wu for Congress	$15,001 - $50,000	Loan Repayment/Interest	NONE	
	Pfizer Stock - SEP-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Microsoft Stock - SEP-IRA	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Intel Stock - SEP-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Agere Systems - SEP-IRA	$1 - $1,000	DIVIDENDS	NONE	
	Merrill Lynch Cash Acct IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name David Wu

eport any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities itures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not port a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fog Cutter Capital Group - IRA	P	12-03-03	$1,001 - $15,000
	Merrill Lynch Cash Acct IRA	P	1-03-03	$1,001 - $15,000
	Seligman Communication Information Fund IRA	S	1-03-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Wu

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Congressional Black Caucus Foundation	Oct. 23-26	Wash., D.C. - Fajardo, P.R. - Wash., D.C.	Y	Y	Y	N/A
The Public Governance Institute	Feb. 28-Mar. 2	Wash., D.C. - White Sulphur Springs, W.V. - Wash., D.C.	Y	Y	Y	N/A
The Commonwealth Fund, John F. Kennedy School of Government	Jan. 16-19	Wash., D.C. - Ft. Lauderdale, FL - Wash., D.C.	Y	Y	Y	One Day
Global Alliance for Democracy and Peace	Nov. 1	Wash., D.C. - Houston, TX - Wash., D.C.	N	Y	N	N/A

CHEDULE VIII - POSITIONS

Name David Wu

eport all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, presentative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or ıy educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Portland Youth Philharmonic
Director	Portland-Suzhou Sister City Association

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A

For use by Members, officers, and candidates

HAND DELIVERED

4

LEGISLATIVE ... 2004 MAY 17 PM 4:26 MC

(Office Use Only)

Albert Russell Wynn
(Full Name)

2410 Enterprise Road
(Mailing Address)

202.225.8699
Daytime Telephone:

Mitchellville, MD 20721

Filer Status	[x] Member of the U.S. House of Representatives — State: MD District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [x]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Albert R. Wynn	05/17/04

5597

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Prince George's County Public Schools Board of Education	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

5598

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples:* SP — Mega Corp. Stock	E: X		DIVIDENDS: X		P
Examples: Simon & Schuster	F: X	Indefinite	INTEREST: X	Royalties	
Examples: 1st Bank of Paducah, KY accounts				VI: X	
77 Gremont Street Hyattsville, MD	F: X		RENT: X	III: X	
MFS Emerging Growth Mutual Fund	C: X		INTEREST: X	II: X	

For additional assets and unearned income, use next page.

5599

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Washington Mutual Mortgage Company	7745 Gremont Street Hyattsville, MD Mortgage		X			
	Allan Kruger, Attorney		X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

5600

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2

LEGISLATIVE RESOURCE CENTER

2004 MAY 13 PM 2:48

(Office Use Only)

MC

5601

Rep. C. W. Bill Young
(Full Name)

2407 Rayburn HOB
(Mailing Address)

Washington, DC 20515

202-225-5961
Daytime Telephone:

Filer Status [X] Member of the U.S. House of Representatives — State: FL District: 10 [ ] Officer or Employee — Employing Office:

Report Type [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/2004

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Rep. C. W. Bill Young

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		None; A; B; C: $1,001 – $15,000; D; E: $50,001 – $100,000; F; G: $250,001 – $500,000; H; I: $1,000,001 – $5,000,000; J; K: $25,000,001 – $50,000,000; L	DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None; I; II: $201 – $1,000; III; IV; V: $2,501 – $5,000; VI; VII: $15,001 – $50,000; VIII; IX: $100,001 – $1,000,000; X; XI: Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock	Indefinite; X	X; Royalties		P
	Examples: Simon & Schuster			X	
	Examples: 1st Bank of Paducah, KY accounts	X	X		
SP	Wright Patman FCU-IPA	X	N/A	N/A	
JT	Wright Patman FCU	X	X	X	

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

7 LEGISLATIVE RESOURCE CENTER
2004 APR 30 AM 11:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Donald Edwin Young
(Full Name)
2111 Rayburn HOB
(Mailing Address)
Washington, DC 20515

202-225-5765
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: AK District: At-Large	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Don Young	Apr 30, 2004

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Name Donald E. Young | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Alaska	Legislative and teaching pensions	4444.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

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BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock			X								X																	P
			Simon & Schuster	Indefinite																Royalties							X				
			1st Bank of Paducah, KY accounts				X									X															
	Putnam Fund Growth & Inc													X																	
	State Farm Insurance				X											X															
SP	Wright Patman Corp FCU			X												X															
	Wright Patman Corp FCU															X						X									
	Wright Patman Corp FCU					X										X						X									
JT	State of Ark Perm Fund		X											X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Donald E. Young

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Dayon Limited	X												X									X									
	NY Life Ins					X										X							X									
SP	Gwitchin Yanzee Native Corp	X																			X											
	H-Power Stock		X																		X											
	2835 Aldersberry Trail Fairbanks, AK					X									X						X											

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SCHEDULE IV — TRANSACTIONS

Name Donald E. Young | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Putnam Fund Growth & Inc	X			Periodic reinvestment	X									
	2835 Alderberry Trail, Fairbanks, AK		X		Jul 30 2003				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wells Fargo Bank, AK	Mortgage on 2285 Alleghany Trail, Fairbanks, AK		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name Donald E. Young | Page 7 of 7

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Assn of American Railroads	Jan 17-18	DC - Miami - DC	Y	Y	Y	N
Maritime Trades Dept	Feb 19-23	DC - Miami - DC	Y	Y	Y	N
Winn Dixie	Apr 4-6	DC - Jacksonville - DC	Y	Y	Y	N
Guardian Air Service	Apr 14	Las Vegas - Ontario, CA - Palm Springs	Y	N	Y	N
NRA	Apr 26-28	DC - Orlando - DC	Y	Y	Y	N
Robert A Roe Assoc	May 16-17	DC - Newark - DC	Y	Y	Y	N
Corpus Christi Regional Port	Jun 6-7	DC - Corpus - Brownsville - DC	Y	Y	Y	N
Winn Dixie	Nov 7-9	DC - Jacksonville - DC	Y	Y	Y	N

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(1) Name Donald E. Young | Page 8 of 8

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	National Rifle Association

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

2000 MAY 10 PM 2:52
U.S. HOUSE OF REPRESENTATIVES

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